**3GPP TSG-RAN WG2 Meeting #114-e *R2-210xxxx***

**E-meeting, 19th May – 27th May 2021**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.331** | **CR** | **2599** | **rev** | **1** | **Current version:** | **16.4.1** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | Introduction of the intra-NR and inter-RAT HST Capabilities and Configuration | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Apple, OPPO, CATT, Nokia, Nokia Shanghai Bell, Ericsson | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_HST-Core | | | | |  | ***Date:*** | | | 2021-04-28 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | In RAN4 LS (R4-2105855), RAN4 agreed to add two additional UE feature groups 10-4 and 10-5 for NR support for high speed train scenario as below, and requests RAN2 to define the corresponding UE capabilities.   * 10-4) Support of intra-NR HST RRM measurement with speed up to 500km/h * 10-5) Support of NR-LTE inter-RAT RRM measurement with speed up to 500km/h * Note: UE can indicate support of 10-4 or 10-5 only if 10-1 is NOT supported   *10-1: RRM enhanced requirements specified within NR and NR-E-UTRAN inter-RAT measurement for NR HST.* | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | 1. Introduce the two new capabilities to indicate the support of the intra-NR and NR-LTE inter-RAT HST RRM measurement. 2. Clarify that when receiving the HST configuration (i.e.HighSpeedConfig) the UE just enables the HST function according to its HST capability. 3. Introduce two new configurations (i.e. highSpeedIntraRAT-NR-r16 and highSpeedInterRAT-EUTRA-r16) to enable the HST measurement enhancement for intra-NR and inter-RAT LTE seperately.   UE who supports partial capability shall not be required to enable the HST operations for both intra-/inter-RAT case  **Impact analysis**  Impacted 5G architecture options: NE-DC, NR SA    Impacted functionality: HST feature    Inter-operability:   1. If the UE is implemented according to the CR and the network is not, there is no inter-operability issue. 2. If the network is implemented according to the CR and the UE is not, there is no inter-operability issue. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | UE cannot indicate its support of the HST capability if UE supports the HST RRM measurement only in intra-NR or in NR-LTE inter-RAT. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 6.3.2, 6.3.3 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | | **X** |  | Other core specifications | | | | TS 38.306CRxxxx, | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

--------------------------------- [1st Change] ----------------------------

### 6.3.2 Radio resource control information elements

– *HighSpeedConfig*

The IE *HighSpeedConfig* is used to configure parameters for high speed scenarios.

***HighSpeedConfig* information element**

-- ASN1START

-- TAG-HIGHSPEEDCONFIG-START

HighSpeedConfig-r16 ::= SEQUENCE {

highSpeedMeasFlag-r16 ENUMERATED {true} OPTIONAL, -- Need R

highSpeedDemodFlag-r16 ENUMERATED {true} OPTIONAL, -- Need R

...

}

-- TAG-HIGHSPEEDCONFIG-STOP

-- ASN1STOP

| ***HighSpeedConfig* field descriptions** |
| --- |
| ***highSpeedMeasFlag-r16***  If the field is present and UE supports*measurementEnhancement-r16*, the UE shall apply the enhanced intra-NR and inter-RAT EUTRAN RRM requirements to support high speed up to 500 km/h as specified in TS 38.133 [14].  If the field is present and UE supports *intraNR-MeasurementEnhancement-r16*, the UE shall apply enhanced intra-NR measurement requirements to support high speed up to 500 km/h as specified in TS 38.133 [14].  If the field is present and UE supports *interRAT-MeasurementEnhancement-r16*, the UE shall apply enhanced inter-RAT EUTRAN measurement requirements to support high speed up to 500 km/h as specified in TS 38.133 [14]. |
| ***highSpeedDemodFlag-r16***  If the field is present, the UE shall apply the enhanced demodulation processing for HST-SFN joint transmission scheme with velocity up to 500km/h as specified in TS 38.101-4 [59]. |

--------------------------------- [2nd Change] ----------------------------

6.3.3 UE capability information elements

– *UE-NR-Capability*

The IE *UE-NR-Capability* is used to convey the NR UE Radio Access Capability Parameters, see TS 38.306 [26].

***UE-NR-Capability* information element**

-- ASN1START

-- TAG-UE-NR-CAPABILITY-START

UE-NR-Capability ::= SEQUENCE {

accessStratumRelease AccessStratumRelease,

pdcp-Parameters PDCP-Parameters,

rlc-Parameters RLC-Parameters OPTIONAL,

mac-Parameters MAC-Parameters OPTIONAL,

phy-Parameters Phy-Parameters,

rf-Parameters RF-Parameters,

measAndMobParameters MeasAndMobParameters OPTIONAL,

fdd-Add-UE-NR-Capabilities UE-NR-CapabilityAddXDD-Mode OPTIONAL,

tdd-Add-UE-NR-Capabilities UE-NR-CapabilityAddXDD-Mode OPTIONAL,

fr1-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

fr2-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

featureSets FeatureSets OPTIONAL,

featureSetCombinations SEQUENCE (SIZE (1..maxFeatureSetCombinations)) OF FeatureSetCombination OPTIONAL,

lateNonCriticalExtension OCTET STRING (CONTAINING UE-NR-Capability-v15c0) OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1530 OPTIONAL

}

-- Regular non-critical extensions:

UE-NR-Capability-v1530 ::= SEQUENCE {

fdd-Add-UE-NR-Capabilities-v1530 UE-NR-CapabilityAddXDD-Mode-v1530 OPTIONAL,

tdd-Add-UE-NR-Capabilities-v1530 UE-NR-CapabilityAddXDD-Mode-v1530 OPTIONAL,

dummy ENUMERATED {supported} OPTIONAL,

interRAT-Parameters InterRAT-Parameters OPTIONAL,

inactiveState ENUMERATED {supported} OPTIONAL,

delayBudgetReporting ENUMERATED {supported} OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1540 OPTIONAL

}

UE-NR-Capability-v1540 ::= SEQUENCE {

sdap-Parameters SDAP-Parameters OPTIONAL,

overheatingInd ENUMERATED {supported} OPTIONAL,

ims-Parameters IMS-Parameters OPTIONAL,

fr1-Add-UE-NR-Capabilities-v1540 UE-NR-CapabilityAddFRX-Mode-v1540 OPTIONAL,

fr2-Add-UE-NR-Capabilities-v1540 UE-NR-CapabilityAddFRX-Mode-v1540 OPTIONAL,

fr1-fr2-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1550 OPTIONAL

}

UE-NR-Capability-v1550 ::= SEQUENCE {

reducedCP-Latency ENUMERATED {supported} OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1560 OPTIONAL

}

UE-NR-Capability-v1560 ::= SEQUENCE {

nrdc-Parameters NRDC-Parameters OPTIONAL,

receivedFilters OCTET STRING (CONTAINING UECapabilityEnquiry-v1560-IEs) OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1570 OPTIONAL

}

UE-NR-Capability-v1570 ::= SEQUENCE {

nrdc-Parameters-v1570 NRDC-Parameters-v1570 OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1610 OPTIONAL

}

-- Late non-critical extensions:

UE-NR-Capability-v15c0 ::= SEQUENCE {

nrdc-Parameters-v15c0 NRDC-Parameters-v15c0 OPTIONAL,

partialFR2-FallbackRX-Req ENUMERATED {true} OPTIONAL,

nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- Regular non-critical extensions:

UE-NR-Capability-v1610 ::= SEQUENCE {

inDeviceCoexInd-r16 ENUMERATED {supported} OPTIONAL,

dl-DedicatedMessageSegmentation-r16 ENUMERATED {supported} OPTIONAL,

nrdc-Parameters-v1610 NRDC-Parameters-v1610 OPTIONAL,

powSav-Parameters-r16 PowSav-Parameters-r16 OPTIONAL,

fr1-Add-UE-NR-Capabilities-v1610 UE-NR-CapabilityAddFRX-Mode-v1610 OPTIONAL,

fr2-Add-UE-NR-Capabilities-v1610 UE-NR-CapabilityAddFRX-Mode-v1610 OPTIONAL,

bh-RLF-Indication-r16 ENUMERATED {supported} OPTIONAL,

directSN-AdditionFirstRRC-IAB-r16 ENUMERATED {supported} OPTIONAL,

bap-Parameters-r16 BAP-Parameters-r16 OPTIONAL,

referenceTimeProvision-r16 ENUMERATED {supported} OPTIONAL,

sidelinkParameters-r16 SidelinkParameters-r16 OPTIONAL,

highSpeedParameters-r16 HighSpeedParameters-r16 OPTIONAL,

mac-Parameters-v1610 MAC-Parameters-v1610 OPTIONAL,

mcgRLF-RecoveryViaSCG-r16 ENUMERATED {supported} OPTIONAL,

resumeWithStoredMCG-SCells-r16 ENUMERATED {supported} OPTIONAL,

resumeWithStoredSCG-r16 ENUMERATED {supported} OPTIONAL,

resumeWithSCG-Config-r16 ENUMERATED {supported} OPTIONAL,

ue-BasedPerfMeas-Parameters-r16 UE-BasedPerfMeas-Parameters-r16 OPTIONAL,

son-Parameters-r16 SON-Parameters-r16 OPTIONAL,

onDemandSIB-Connected-r16 ENUMERATED {supported} OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1640 OPTIONAL

}

UE-NR-Capability-v1640 ::= SEQUENCE {

redirectAtResumeByNAS-r16 ENUMERATED {supported} OPTIONAL,

phy-ParametersSharedSpectrumChAccess-r16 Phy-ParametersSharedSpectrumChAccess-r16 OPTIONAL,

nonCriticalExtension UE-NR-Capability-v16xy OPTIONAL

}

UE-NR-Capability-v16xy ::= SEQUENCE {

highSpeedParameters-v16xy HighSpeedParameters-v16xy OPTIONAL,

nonCriticalExtension SEQUENCE {} OPTIONAL

}

UE-NR-CapabilityAddXDD-Mode ::= SEQUENCE {

phy-ParametersXDD-Diff Phy-ParametersXDD-Diff OPTIONAL,

mac-ParametersXDD-Diff MAC-ParametersXDD-Diff OPTIONAL,

measAndMobParametersXDD-Diff MeasAndMobParametersXDD-Diff OPTIONAL

}

UE-NR-CapabilityAddXDD-Mode-v1530 ::= SEQUENCE {

eutra-ParametersXDD-Diff EUTRA-ParametersXDD-Diff

}

UE-NR-CapabilityAddFRX-Mode ::= SEQUENCE {

phy-ParametersFRX-Diff Phy-ParametersFRX-Diff OPTIONAL,

measAndMobParametersFRX-Diff MeasAndMobParametersFRX-Diff OPTIONAL

}

UE-NR-CapabilityAddFRX-Mode-v1540 ::= SEQUENCE {

ims-ParametersFRX-Diff IMS-ParametersFRX-Diff OPTIONAL

}

UE-NR-CapabilityAddFRX-Mode-v1610 ::= SEQUENCE {

powSav-ParametersFRX-Diff-r16 PowSav-ParametersFRX-Diff-r16 OPTIONAL,

mac-ParametersFRX-Diff-r16 MAC-ParametersFRX-Diff-r16 OPTIONAL

}

BAP-Parameters-r16 ::= SEQUENCE {

flowControlBH-RLC-ChannelBased-r16 ENUMERATED {supported} OPTIONAL,

flowControlRouting-ID-Based-r16 ENUMERATED {supported} OPTIONAL

}

-- TAG-UE-NR-CAPABILITY-STOP

-- ASN1STOP

|  |
| --- |
| ***UE-NR-Capability* field descriptions** |
| ***featureSetCombinations***  A list of *FeatureSetCombination:s* for *supportedBandCombinationList* in *UE-NR-Capability*. The *FeatureSetDownlink:s* and *FeatureSetUplink:s* referred to from these *FeatureSetCombination:s* are defined in the *featureSets* list in *UE-NR-Capability*. |

|  |
| --- |
| ***UE-NR-Capability-v1540 field descriptions*** |
| ***fr1-fr2-Add-UE-NR-Capabilities***  This instance of *UE-NR-CapabilityAddFRX-Mode* does not include any other fields than *csi-RS-IM-ReceptionForFeedback*/ *csi-RS-ProcFrameworkForSRS*/ *csi-ReportFramework*. |

--------------------------------- [3rd Change] ----------------------------

### 6.3.3 UE capability information elements

– *HighSpeedParameters*

The IE *HighSpeedParameters* is used to convey capabilities related to high speed scenarios.

***HighSpeedParameters* information element**

-- ASN1START

-- TAG-HIGHSPEEDPARAMETERS-START

HighSpeedParameters-r16 ::= SEQUENCE {

measurementEnhancement-r16 ENUMERATED {supported} OPTIONAL,

demodulationEnhancement-r16 ENUMERATED {supported} OPTIONAL

}HighSpeedParameters-v16xy ::= CHOICE {

intraNR-MeasurementEnhancement-r16 ENUMERATED {supported},

interRAT-MeasurementEnhancement-r16 ENUMERATED {supported}

}

-- TAG-HIGHSPEEDPARAMETERS-STOP

-- ASN1STOP

--------------------------------- [Change End] -----------------------------