**3GPP TSG-RAN WG2 Meeting #114-e *R2-210xxxx***

**Electronic meeting, May 19 – 27, 2021**

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| *CR-Form-v12.0* |
| **CHANGE REQUEST** |
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|  | **38.331** | **CR** |  | **rev** | **-** | **Current version:** | **15.13.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

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| ***Title:***  | Correction to ca-ParametersNR-ForDC |
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| ***Source to WG:*** | Ericsson |
| ***Source to TSG:*** | R2  |
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| ***Work item code:*** | NR\_newRAT-Core  |  | ***Date:*** | 2021-05-26 |
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| ***Category:*** | **F** |  | ***Release:*** | Rel-15 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)Rel-12 (Release 12)**Rel-13 (Release 13)Rel-14 (Release 14)Rel-15 (Release 15)Rel-16 (Release 16)* |
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| ***Reason for change:*** | The current definition of ca-ParametersNR-forDC implies that:1. If, and only if, the UE supports **all** features that it reports for CA also for NR-DC, the UE should include ca-ParametersNRDC but omit all versions of ca-ParametersNR-ForDC(-vXXXX) therein. The NW should then inherit the content of the corresponding ca-ParametersNR(-vXXXX) and assume that the UE supports them for NR-DC as well;
2. If the UE supports one or more features for CA but not for NR-DC (or a feature for NR-DC but not for CA), the UE has to include all the ca-ParametersNR-ForDC(-vXXXX) fields applicable to NR-DC within CA-ParametersNRDC and its extensions;

However, 1) creates compatibility problems between new UEs and legacy gNBs. For instance, when reporting its capabilities to a legacy gNB, a Rel-16 UE may not include in CA-ParametersNRDC any of the ca-ParametersNR-ForDC from Rel-15 (since it does not support them for NR-DC). But it includes the Rel-16 extension, ca-ParametersNRDC-v1610, since it supports those features for NR-DC. From the perspective of the legacy gNB, which does not understand the Rel-16 extension, it will find the CA-ParametersNRDC without any Rel-15 fields for ca-ParametersNR-ForDC, and will thus consider that the UE indicated that all its parameters reported for CA in Rel-15 in ca-ParametersNR are also applicable for NR-DC.Hence, this behaviour is corrected so that the inheritance of ca-ParametersNR(-vXXX) upon absence of ca-ParametersNR-forDC(-vXXX) for NR-DC is handled independently for each extension of ca-ParametersNR-forDC(-vXXX). For instance, if the UE included ca-ParametersNR-v1540 branch and it also supports those features for NR-DC, it should omit ca-ParametersNR-ForDC-v1540, since the UE capabilities reported in ca-ParametersNR-v1540 shall be inherited to NR-DC as well. If the UE, however, included ca-ParametersNR containing parallelTxSRS-PUCCH-PUSCH, but it does not support parallelTxSRS-PUCCH-PUSCH for NR-DC, it would still have to report ca-ParametersNR-ForDC, but without including parallelTxSRS-PUCCH-PUSCH therein. In other words, the NR-DC capability is either coming fully from ca-ParametersNR-ForDC(-vXXXX) or fully from ca-ParametersNR(-vXXXX), and not from both these IE “groups”. If ca-ParametersNR-ForDC(-vXXXX) is absent, ca-ParametersNR(-vXXXX) is valid also for NR-DC. If the UE includes ca-ParametersNR-ForDC(-vXXXX), and an IE under ca-ParametersNR-ForDC(-vXXXX) is absent, the capability IE shall be considered absent for NR-DC even if it is present in ca-ParametersNR(-vXXXX). If ca-ParametersNR-ForDC(-vXXXX) is present but empty, none of the capabilities under CA-ParametersNR(-vXXXX) are supported for NR-DC.**Impact analysis**Impacted 5G architecture options: NR-DC   Impacted functionality: CA parameters supported for NR-DC  Inter-operability: If the network implements the CR and the UE does not, the UE may omit an NR-DC extension ca-ParametersNR-ForDC(-vXXXX) for the sake of indicating that it does not support the fields therein for NR-DC. However, the network will interpret as the UE inherits the parameters of the omitted extension (ca-ParametersNR-ForDC(-vXXXX)) from the corresponding extension indicated for CA (ca-ParametersNR(-vXXXX)). Hence, the network may attempt to configure the UE with features it does not support for NR-DC.If the UE implements the CR and the network does not, the UE may omit an NR-DC extension ca-ParametersNR-ForDC(-vXXXX) for the sake of indicating that the UE inherits the parameters of the omitted extension (ca-ParametersNR-ForDC(-vXXXX)) from the corresponding extension indicated for CA (ca-ParametersNR(-vXXXX)). However, the network will interpret as the UE does not support the parameters of the omitted extension (ca-ParametersNR-ForDC(-vXXXX)). Hence, the network may not configure features for NR-DC that the UE may have actually supported.**This CR is considered mandatory to support the impacted functionality.** |
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| ***Summary of change:*** | 6.3.3 UE capability information elements*- CA-ParametersNRDC*- correct so that so that the inheritance of ca-ParametersNR(-vXXX) upon absence of ca-ParametersNR-forDC(-vXXX) for NR-DC is handled independently for each extension of ca-ParametersNR-forDC(-vXXX).  |
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| ***Consequences if not approved:*** | A compatibility problem will be present for NR-DC configuration between new UEs and legacy gNBs.  |
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| ***Clauses affected:*** | 6.3.3 |
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|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
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| ***Other comments:*** |  |
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| ***This CR's revision history:*** |  |

START OF CHANGE

#### – CA-ParametersNRDC

The IE *CA-ParametersNRDC* contains dual connectivity related capabilities that are defined per band combination.

*CA-ParametersNRDC* information element

-- ASN1START

-- TAG-CA-PARAMETERS-NRDC-START

CA-ParametersNRDC ::= SEQUENCE {

 ca-ParametersNR-ForDC CA-ParametersNR OPTIONAL,

 ca-ParametersNR-ForDC-v1540 CA-ParametersNR-v1540 OPTIONAL,

 ca-ParametersNR-ForDC-v1550 CA-ParametersNR-v1550 OPTIONAL,

 ca-ParametersNR-ForDC-v1560 CA-ParametersNR-v1560 OPTIONAL,

 featureSetCombinationDC FeatureSetCombinationId OPTIONAL

}

-- TAG-CA-PARAMETERS-NRDC-STOP

-- ASN1STOP

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| *CA-ParametersNRDC* field descriptions |
| ***ca-ParametersNR-forDC (with and without suffix)***If this field is present for a band combination, it reports the UE capabilities when NR-DC is configured with the band combination. If a version of this field (i.e., with and without suffix) is absent for a band combination, the corresponding *ca-ParametersNR* field version in *BandCombination* is applicable to the UE configured with NR-DC for the band combination. |
| ***featureSetCombinationDC***If this field is present for a band combination, it reports the feature set combination supported for the band combination when NR-DC is configured. If this field is absent for a band combination, the *featureSetCombination* in *BandCombination* (without suffix) is applicable to the UE configured with NR-DC for the band combination. |

END OF CHANGE