**3GPP TSG-RAN WG2 Meeting #113 electronic *R2-2101985***

**25 Jan – 5 Feb 2021**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **36.331** | **CR** | **4551** | **rev** | **1** | **Current version:** | 16.3.0 |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | BufferSize reconfiguration for UDC after RRC connection re-establishment | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | MediaTek Inc. | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | TEI16 | | | | |  | ***Date:*** | | | 2021-01-28 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | In RAN2#107bis meeting, RAN2 agreed that network can reconfigure UDC (bufferSize as well, which is mandatory present) via the first *RRCConnectionReconfiguration* message after successful completion of the RRC connection re-establishment procedure, this agreement need to be reflected in the specification. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | 1. Remove the field description of *bufferSize* to allow reconfigure after RRC connection re-establishment.   **Impact Analysis**  Impacted functionality:  The corrections only relate to bufferSize reconfiguration for UDC and not impacts other functionality.  Inter-operability:   * If the network is implemented according to the CR and the UE is not, once network reconfigure via first *RRCConnectionReconfiguration* message after RRC connection re-establishment, UE might not reconfigure bufferSize according to the message. * If the UE is implemented according to the CR and the network is not, the network can only reconfigure bufferSize applied for UDC via handover procedure for reestablishment case, there are no interoperability issues. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | Network can’t reconfigure bufferSize at the first RRCConnectionReconfiguration message after successful completion of the RRC connection re-establishment procedure. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 6.3.2 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |

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| --- | --- |
| ***This CR's revision history:*** |  |

Beginning of the change

### 6.3.2 Radio resource control information elements

*/ Unchanged partes are omitted/*

#### – *PDCP-Config*

The IE *PDCP-Config* is used to set the configurable PDCP parameters for data radio bearers.

*PDCP-Config* information element

-- ASN1START

PDCP-Config ::= SEQUENCE {

discardTimer ENUMERATED {

ms50, ms100, ms150, ms300, ms500,

ms750, ms1500, infinity

} OPTIONAL, -- Cond Setup

rlc-AM SEQUENCE {

statusReportRequired BOOLEAN

} OPTIONAL, -- Cond Rlc-AM-UM

rlc-UM SEQUENCE {

pdcp-SN-Size ENUMERATED {len7bits, len12bits}

} OPTIONAL, -- Cond Rlc-UM

headerCompression CHOICE {

notUsed NULL,

rohc SEQUENCE {

maxCID INTEGER (1..16383) DEFAULT 15,

profiles SEQUENCE {

profile0x0001 BOOLEAN,

profile0x0002 BOOLEAN,

profile0x0003 BOOLEAN,

profile0x0004 BOOLEAN,

profile0x0006 BOOLEAN,

profile0x0101 BOOLEAN,

profile0x0102 BOOLEAN,

profile0x0103 BOOLEAN,

profile0x0104 BOOLEAN

},

...

}

},

...,

[[ rn-IntegrityProtection-r10 ENUMERATED {enabled} OPTIONAL -- Cond RN

]],

[[ pdcp-SN-Size-v1130 ENUMERATED {len15bits} OPTIONAL -- Cond Rlc-AM2

]],

[[ ul-DataSplitDRB-ViaSCG-r12 BOOLEAN OPTIONAL, -- Need ON

t-Reordering-r12 ENUMERATED {

ms0, ms20, ms40, ms60, ms80, ms100, ms120, ms140,

ms160, ms180, ms200, ms220, ms240, ms260, ms280, ms300,

ms500, ms750, spare14, spare13, spare12, spare11, spare10,

spare9, spare8, spare7, spare6, spare5, spare4, spare3,

spare2, spare1} OPTIONAL -- Cond SetupS

]],

[[ ul-DataSplitThreshold-r13 CHOICE {

release NULL,

setup ENUMERATED {

b0, b100, b200, b400, b800, b1600, b3200, b6400, b12800,

b25600, b51200, b102400, b204800, b409600, b819200,

spare1}

} OPTIONAL, -- Need ON

pdcp-SN-Size-v1310 ENUMERATED {len18bits} OPTIONAL, -- Cond Rlc-AM3

statusFeedback-r13 CHOICE {

release NULL,

setup SEQUENCE {

statusPDU-TypeForPolling-r13 ENUMERATED {type1, type2} OPTIONAL, -- Need ON

statusPDU-Periodicity-Type1-r13 ENUMERATED {

ms5, ms10, ms20, ms30, ms40, ms50, ms60, ms70, ms80, ms90,

ms100, ms150, ms200, ms300, ms500, ms1000, ms2000, ms5000,

ms10000, ms20000, ms50000} OPTIONAL, -- Need ON

statusPDU-Periodicity-Type2-r13 ENUMERATED {

ms5, ms10, ms20, ms30, ms40, ms50, ms60, ms70, ms80, ms90,

ms100, ms150, ms200, ms300, ms500, ms1000, ms2000, ms5000,

ms10000, ms20000, ms50000} OPTIONAL, -- Need ON

statusPDU-Periodicity-Offset-r13 ENUMERATED {

ms1, ms2, ms5, ms10, ms25, ms50, ms100, ms250, ms500,

ms2500, ms5000, ms25000} OPTIONAL -- Need ON

}

} OPTIONAL -- Need ON

]],

[[ ul-LWA-Config-r14 CHOICE {

release NULL,

setup SEQUENCE {

ul-LWA-DRB-ViaWLAN-r14 BOOLEAN,

ul-LWA-DataSplitThreshold-r14 ENUMERATED {

b0, b100, b200, b400, b800, b1600, b3200, b6400,

b12800, b25600, b51200, b102400, b204800, b409600,

b819200 } OPTIONAL -- Need OR

}

} OPTIONAL, -- Need ON

uplinkOnlyHeaderCompression-r14 CHOICE {

notUsed-r14 NULL,

rohc-r14 SEQUENCE {

maxCID-r14 INTEGER (1..16383) DEFAULT 15,

profiles-r14 SEQUENCE {

profile0x0006-r14 BOOLEAN

},

...

}

} OPTIONAL -- Need ON

]],

[[ uplinkDataCompression-r15 SEQUENCE {

bufferSize-r15 ENUMERATED {kbyte2, kbyte4, kbyte8, spare1},

dictionary-r15 ENUMERATED {sip-SDP, operator} OPTIONAL, -- Need OR

...

} OPTIONAL,-- Cond Rlc-AM4

pdcp-DuplicationConfig-r15 CHOICE {

release NULL,

setup SEQUENCE {

pdcp-Duplication-r15 ENUMERATED {configured, activated}

}

} OPTIONAL -- Need ON

]],

[[

ethernetHeaderCompression-r16 SetupRelease {EthernetHeaderCompression-r16} OPTIONAL -- Need ON

]]

}

EthernetHeaderCompression-r16 ::= SEQUENCE {

ehc-Common-r16 SEQUENCE {

ehc-CID-Length-r16 ENUMERATED {bits7, bits15}

},

ehc-Downlink-r16 SEQUENCE {

drb-ContinueEHC-DL-r16 ENUMERATED {true} OPTIONAL -- Need OR

} OPTIONAL,-- Need ON

ehc-Uplink-r16 SEQUENCE {

maxCID-EHC-UL-r16 INTEGER (1..32767),

drb-ContinueEHC-UL-r16 ENUMERATED {true} OPTIONAL -- Need OR

} OPTIONAL, -- Need ON

...

}

-- ASN1STOP

| *PDCP-Config* field descriptions |
| --- |
| ***bufferSize***  Indicates the buffer size applied for UDC specified in TS 36.323 [8]. Value kbyte2 means 2048 bytes, kbyte4 means 4096 bytes and so on. |
| ***dictionary***  Indicates which pre-defined dictionary is used for UDC as specified in TS 36.323 [8]. The value *sip-SDP* means that UE shall prefill the buffer with standard dictionary for SIP and SDP defined in TS 36.323 [8], and the value *operator* means that UE shall prefill the buffer with operator-defined dictionary. |
| ***discardTimer***  Indicates the discard timer value specified in TS 36.323 [8]. Value in milliseconds. Value ms50 means 50 ms, ms100 means 100 ms and so on. |
| ***drb-ContinueEHC-DL***  Indicates whether the PDCP entity continues or resets the downlink EHC header compression protocol during PDCP re-establishment, as specified in TS 36.323 [8]. The field is configured only in case of resuming an RRC connection or reconfiguration with sync, where the PDCP termination point is not changed and the *fullConfig* is not indicated. |
| ***drb-ContinueEHC-UL***  Indicates whether the PDCP entity continues or resets the uplink EHC header compression protocol during PDCP re-establishment, as specified in TS 36.323 [8]. The field is configured only in case of resuming an RRC connection or reconfiguration with sync, where the PDCP termination point is not changed and the *fullConfig* is not indicated. |
| ***ehc-CID-Length***  Indicates the length of the CID field for EHC packet. Once the field *ethernetHeaderCompression-r16* is configured for a DRB, the value of the field *ehc-CID-Length* for this DRB is not reconfigured to a different value. |
| ***ehc-Common***  Indicates the configurations that apply for both downlink and uplink. |
| ***ehc-Downlink***  Indicates the configurations that apply for only downlink. If the field is configured, then Ethernet header compression is configured for downlink. Otherwise, it is not configured for downlink. |
| ***ehc-Uplink***  Indicates the configurations that apply for only uplink. If the field is configured, then Ethernet header compression is configured for uplink. Otherwise, it is not configured for uplink. |
| ***ethernetHeaderCompression***  This field configures Ethernet Header Compression. This field can only be configured for DRB.  E-UTRAN does not reconfigure *ethernetHeaderCompression* for an MCG DRB except for upon handover and upon the first reconfiguration after RRC connection re-establishment. E-UTRAN does not reconfigure *ethernetHeaderCompression* for a SCG DRB except for upon SCG change involving PDCP re-establishment.  E-UTRAN does not configure this field if *uplinkDataCompression* is configured. E-UTRAN does not configure this field for split and LWA DRBs*.* |
| ***headerCompression***  E-UTRAN does not reconfigure header compression for an MCG DRB except for upon handover and upon the first reconfiguration after RRC connection re-establishment. E-UTRAN does not reconfigure header compression for a SCG DRB except for upon SCG change involving PDCP re-establishment. E-UTRAN does not configure header compression while *t-Reordering* is configured. E-UTRAN only configures this field when neither *uplinkOnlyHeaderCompression* nor *uplinkDataCompression* is configured.  If *headerCompression* is configured, the UE shall apply the configured ROHC profile(s) in both uplink and downlink. ROHC and EHC can be both configured simultaneously for a DRB. |
| ***maxCID***  Indicates the value of the MAX\_CID parameter as specified in TS 36.323 [8]. The total value of MAX\_CIDs across all bearers for the UE should be less than or equal to the value of *maxNumberROHC-ContextSessions* parameter as indicated by the UE. |
| ***maxCID-EHC-UL***  Indicates the value of the MAX\_CID\_EHC\_UL parameter as specified in TS 36.323 [8]. The total value of MAX\_CID\_EHC\_UL across all bearers for the UE should be less than or equal to the value of *maxNumberEHC-Contexts* parameter as indicated by the UE. |
| ***pdcp-Duplication***  Parameter for configuring PDCP duplication as specified in TS 36.323 [8]. Value *configured* indicates that PDCP duplication is configured but initially deactivated and value *activated* indicates that PDCP duplication is configured and activated upon configuration. For EN-DC, E-UTRAN configures PDCP duplication for MCG DRB only if PDCP duplication is not configured for any split DRB. PDCP duplication is not supported during a DAPS handover. |
| ***pdcp-SN-Size***  Indicates the PDCP Sequence Number length in bits. For RLC UM: value *len7bits* means that the 7-bit PDCP SN format is used and *len12bits* means that the 12-bit PDCP SN format is used. For RLC AM: value *len15bits* means that the 15-bit PDCP SN format is used, value *len18bits* means that the 18-bit PDCP SN format is used, otherwise if the field is not included upon setup of the PCDP entity 12-bit PDCP SN format is used, as specified in TS 36.323 [8]. |
| ***profiles***  The profiles used by both compressor and decompressor in both UE and E-UTRAN. The field indicates which of the ROHC profiles specified in TS 36.323 [8] are supported, i.e. value *true* indicates that the profile is supported. Profile 0x0000 shall always be supported when the use of ROHC is configured. If support of two ROHC profile identifiers with the same 8 LSB's is signalled, only the profile corresponding to the highest value shall be applied. |
| ***statusFeedback***  Indicates whether the UE shall send PDCP Status Report periodically or by E-UTRAN polling as specified in TS 36.323 [8]. E-UTRAN configures this field only for LWA DRB. |
| ***statusPDU-TypeForPolling***  Indicates the PDCP Control PDU option when it is triggered by E-UTRAN polling. Value *type1* indicates using the legacy PDCP Control PDU for PDCP status reporting and value *type2* indicates using the LWA specific PDCP Control PDU for LWA status reporting as specified in TS 36.323 [8]. |
| ***statusPDU-Periodicity-Type1***  Indicates the value of the PDCP Status reporting periodicity for *type1* Status PDU, as specified in TS 36.323 [8]. Value in milliseconds. Value ms5 means 5 ms, ms10 means 10 ms and so on. |
| ***statusPDU-Periodicity-Type2***  Indicates the value of the PDCP Status reporting periodicity for *type2* Status PDU, as specified in TS 36.323 [8]. Value in milliseconds. Value ms5 means 5 ms, ms10 means 10 ms and so on. |
| ***statusPDU-Periodicity-Offset***  Indicates the value of the offset for *type2* Status PDU periodicity, as specified in TS 36.323 [8]. Value in milliseconds. Value ms1 means 1 ms, ms2 means 2 ms and so on. |
| ***t-Reordering***  Indicates the value of the reordering timer, as specified in TS 36.323 [8]. Value in milliseconds. Value ms0 means 0 ms and behaviour as specified in 7.3.2 applies, ms20 means 20 ms and so on. |
| ***rn-IntegrityProtection***  Indicates that integrity protection or verification shall be applied for all subsequent packets received and sent by the RN on the DRB. |
| ***statusReportRequired***  Indicates whether or not the UE shall send a PDCP Status Report upon re-establishment of the PDCP entity, upon PDCP data recovery, upon uplink data switching during DAPS handover and upon release of the source cell after DAPS handover as specified in TS 36.323 [8]. If the UE supports DAPS handover, for RLC UM radio bearers, the field has the value FALSE if it has not been configured. |
| ***ul-DataSplitDRB-ViaSCG***  Indicates whether the UE shall send PDCP PDUs via SCG as specified in TS 36.323 [8]. E-UTRAN only configures the field (i.e. indicates value *TRUE*) for split DRBs. For PDCP duplication, if this field is set to *TRUE*, the primary RLC entity is SCG RLC entity and the secondary RLC entity is MCG RLC entity. If this field is not configured or set to *FALSE*, the primary RLC entity is MCG RLC entity and the secondary RLC entity is SCG RLC entity. |
| ***ul-DataSplitThreshold***  Indicates the threshold value for uplink data split operation specified in TS 36.323 [8]. Value b100 means 100 Bytes, b200 means 200 Bytes and so on. E-UTRAN only configures this field for split DRBs. |
| ***ul-LWA-DRB-ViaWLAN***  Indicates whether the UE shall send PDCP PDUs via the LWAAP entity as specified in TS 36.323 [8]. E‑UTRAN only configures this field (i.e. indicates value *TRUE*) for LWA DRBs. |
| ***ul-LWA-DataSplitThreshold***  Indicates the threshold value for uplink data split operation as specified in TS 36.323 [8]. Value b0 means 0 Bytes, b100 means 100 Bytes and so on. E-UTRAN only configures this field for LWA DRBs. |
| ***uplinkDataCompression***  Indicates the UDCconfiguration that the UE shall apply**.** E-UTRAN does not configure *uplinkDataCompression* for a DRB, if *ethernetHeaderCompression, headerCompression* or *uplinkOnlyHeaderCompression* is already configured for the DRB. E-UTRAN does not configure *uplinkDataCompression* for the split and LWA DRBs*.*The maximum number of DRBs where *uplinkDataCompression* can be applied is two. In this version of the specification, for existing DRBs, E-UTRAN can configure *uplinkDataCompression* via handover procedure or the first *RRCConnectionReconfiguration* message after RRC connection re-establishment.. |
| ***uplinkOnlyHeaderCompression***  Indicates the ROHC configuration that the UE shall apply uplink-only ROHC operations, see TS 36.323 [8]. E-UTRAN only configures this field when *headerCompression* is not configured.  E-UTRAN does not reconfigure header compression for an MCG DRB except for upon handover and upon the first reconfiguration after RRC connection re-establishment. E-UTRAN does not reconfigure header compression for a SCG DRB except for upon SCG change involving PDCP re-establishment. For split and LWA DRBs E-UTRAN configures only *notUsed*. |

| Conditional presence | Explanation |
| --- | --- |
| *Rlc-AM-UM* | The field is mandatory present upon setup of a PDCP entity for a radio bearer configured with RLC AM. The field is optional, need ON, in case of reconfiguration of a PDCP entity at handover, at the first reconfiguration after RRC re-establishment or at SCG change involving PDCP re-establishment or PDCP data recovery for a radio bearer configured with RLC AM. If the UE supports DAPS handover, this field is optional, need ON, for a radio bearer configured with RLC UM. Otherwise the field is not present. |
| *Rlc-AM2* | The field is optionally present, need OP, upon setup of a PDCP entity for a radio bearer configured with RLC AM. Otherwise the field is not present. |
| *Rlc-AM3* | The field is optionally present, need OP, upon setup of a PDCP entity for a radio bearer configured with RLC AM, if *pdcp-SN-Size-v1130* is absent. Otherwise the field is not present. |
| *Rlc-AM4* | The field is optionally present, need ON, upon setup of a PDCP entity for a radio bearer configured with RLC AM. The field is optional, need OP, in case of reconfiguration of a PDCP entity at handover, or at the first reconfiguration after RRC re-establishment. Otherwise the field is not present and the UE shall continue to use the existing value. |
| *Rlc-UM* | The field is mandatory present upon setup of a PDCP entity for a radio bearer configured with RLC UM. It is optionally present, Need ON, upon handover within E-UTRA, upon the first reconfiguration after re-establishment and upon SCG change involving PDCP re-establishment. Otherwise the field is not present. |
| *RN* | The field is optionally present when signalled to the RN, need OR. Otherwise the field is not present. |
| *Setup* | The field is mandatory present in case of radio bearer setup. Otherwise the field is optionally present, need ON. |
| *SetupS* | The field is mandatory present in case of setup of or reconfiguration to a split DRB or LWA DRB as well as in case of setup of or reconfiguration to a DRB associated with at least one RLC entity configured with *rlc-OutOfOrderDelivery*. The field is optionally present upon reconfiguration of a split DRB or LWA DRB or upon DRB type change from split to MCG DRB or from LWA to LTE only as well as upon reconfiguration of a DRB associated with at least one RLC entity configured with *rlc-OutOfOrderDelivery*, need ON. Otherwise the field is not present. |

End of the change