**3GPP TSG-RAN WG2 Meeting #113e *R2-21xxxxx***

**,** **Jan 25-Feb 05, 2021**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.331** | **CR** | **2402** | **rev** | **1** | **Current version:** | **16.3.1** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | Release with Redirect for connection resume triggered by NAS | | | | | | | | | |
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| ***Source to WG:*** | Ericsson | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_newRAT-Core, TEI16 | | | | |  | ***Date:*** | | | 2021-01-12 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | In Rel-15, it was agreed to not support the case that UE may receive a Release with Redirect in Response to an *RRCResumeRequest* (“2-steps”) at a NAS initiated resume of the RRC Connection. Corresponding changes in Rel-16 version of NAS specification TS 24.501 is already in place, but is currently missing in TS 38.331.  For further details, see R2-2101289. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | 1. Message RRCRelese, field description for redirectedCarrierInfo   Changed existing text to state that Network may use Release with Redirect for resume request triggered by NAS, if supported by UE.   1. 6.3.3, UE-NR-Capability information element   Introduced new UE capability to indicate that UE supports Release with Redirect for resume request triggered by NAS.  **Impact Analysis**  Impacted 5G architecture options: NR SA  Impacted functionality: RRC Resume and Release with Redirect  Inter-operability:  1. If the network is implemented according to the CR and the UE is not, there are no interoperability issues, since UE will not indicate support Release with Redirect for connection resume triggered by NAS.  2. If the UE is implemented according to the CR and the network is not, there are no interoperability issues, since the network will not use Release with Redirect for connection resume triggered by NAS. | | | | | | | | |
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| ***Consequences if not approved:*** | | Release with Redirect for resume request triggered by NAS will not be covered by all related specifications, and cannot be used. | | | | | | | | |
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| ***Clauses affected:*** | | 6.2.2, 6.3.3 | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | | **X** |  | Other core specifications | | | | TS 38.306 CR503  TS 38.300 CR338… | | |
| ***affected:*** | |  |  | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  |  | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | | Revision of R2-2101290 | | | | | | | | |

### <First change>

### 6.2.2 Message definitions

#### <cut>

#### – *RRCRelease*

The *RRCRelease* message is used to command the release of an RRC connection or the suspension of the RRC connection.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: Network to UE

*RRCRelease* message

-- ASN1START

-- TAG-RRCRELEASE-START

RRCRelease ::= SEQUENCE {

rrc-TransactionIdentifier RRC-TransactionIdentifier,

criticalExtensions CHOICE {

rrcRelease RRCRelease-IEs,

criticalExtensionsFuture SEQUENCE {}

}

}

RRCRelease-IEs ::= SEQUENCE {

redirectedCarrierInfo RedirectedCarrierInfo OPTIONAL, -- Need N

cellReselectionPriorities CellReselectionPriorities OPTIONAL, -- Need R

suspendConfig SuspendConfig OPTIONAL, -- Need R

deprioritisationReq SEQUENCE {

deprioritisationType ENUMERATED {frequency, nr},

deprioritisationTimer ENUMERATED {min5, min10, min15, min30}

} OPTIONAL, -- Need N

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension RRCRelease-v1540-IEs OPTIONAL

}

RRCRelease-v1540-IEs ::= SEQUENCE {

waitTime RejectWaitTime OPTIONAL, -- Need N

nonCriticalExtension RRCRelease-v1610-IEs OPTIONAL

}

RRCRelease-v1610-IEs ::= SEQUENCE {

voiceFallbackIndication-r16 ENUMERATED {true} OPTIONAL, -- Need N

measIdleConfig-r16 SetupRelease {MeasIdleConfigDedicated-r16} OPTIONAL, -- Need M

nonCriticalExtension SEQUENCE {} OPTIONAL

}

RedirectedCarrierInfo ::= CHOICE {

nr CarrierInfoNR,

eutra RedirectedCarrierInfo-EUTRA,

...

}

RedirectedCarrierInfo-EUTRA ::= SEQUENCE {

eutraFrequency ARFCN-ValueEUTRA,

cnType ENUMERATED {epc,fiveGC} OPTIONAL -- Need N

}

CarrierInfoNR ::= SEQUENCE {

carrierFreq ARFCN-ValueNR,

ssbSubcarrierSpacing SubcarrierSpacing,

smtc SSB-MTC OPTIONAL, -- Need S

...

}

SuspendConfig ::= SEQUENCE {

fullI-RNTI I-RNTI-Value,

shortI-RNTI ShortI-RNTI-Value,

ran-PagingCycle PagingCycle,

ran-NotificationAreaInfo RAN-NotificationAreaInfo OPTIONAL, -- Need M

t380 PeriodicRNAU-TimerValue OPTIONAL, -- Need R

nextHopChainingCount NextHopChainingCount,

...

}

PeriodicRNAU-TimerValue ::= ENUMERATED { min5, min10, min20, min30, min60, min120, min360, min720}

CellReselectionPriorities ::= SEQUENCE {

freqPriorityListEUTRA FreqPriorityListEUTRA OPTIONAL, -- Need M

freqPriorityListNR FreqPriorityListNR OPTIONAL, -- Need M

t320 ENUMERATED {min5, min10, min20, min30, min60, min120, min180, spare1} OPTIONAL, -- Need R

...

}

PagingCycle ::= ENUMERATED {rf32, rf64, rf128, rf256}

FreqPriorityListEUTRA ::= SEQUENCE (SIZE (1..maxFreq)) OF FreqPriorityEUTRA

FreqPriorityListNR ::= SEQUENCE (SIZE (1..maxFreq)) OF FreqPriorityNR

FreqPriorityEUTRA ::= SEQUENCE {

carrierFreq ARFCN-ValueEUTRA,

cellReselectionPriority CellReselectionPriority,

cellReselectionSubPriority CellReselectionSubPriority OPTIONAL -- Need R

}

FreqPriorityNR ::= SEQUENCE {

carrierFreq ARFCN-ValueNR,

cellReselectionPriority CellReselectionPriority,

cellReselectionSubPriority CellReselectionSubPriority OPTIONAL -- Need R

}

RAN-NotificationAreaInfo ::= CHOICE {

cellList PLMN-RAN-AreaCellList,

ran-AreaConfigList PLMN-RAN-AreaConfigList,

...

}

PLMN-RAN-AreaCellList ::= SEQUENCE (SIZE (1.. maxPLMNIdentities)) OF PLMN-RAN-AreaCell

PLMN-RAN-AreaCell ::= SEQUENCE {

plmn-Identity PLMN-Identity OPTIONAL, -- Need S

ran-AreaCells SEQUENCE (SIZE (1..32)) OF CellIdentity

}

PLMN-RAN-AreaConfigList ::= SEQUENCE (SIZE (1..maxPLMNIdentities)) OF PLMN-RAN-AreaConfig

PLMN-RAN-AreaConfig ::= SEQUENCE {

plmn-Identity PLMN-Identity OPTIONAL, -- Need S

ran-Area SEQUENCE (SIZE (1..16)) OF RAN-AreaConfig

}

RAN-AreaConfig ::= SEQUENCE {

trackingAreaCode TrackingAreaCode,

ran-AreaCodeList SEQUENCE (SIZE (1..32)) OF RAN-AreaCode OPTIONAL -- Need R

}

-- TAG-RRCRELEASE-STOP

-- ASN1STOP

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| *RRCRelease-IEs* field descriptions |
| ***cnType***  Indicate that the UE is redirected to EPC or 5GC. |
| ***deprioritisationReq***  Indicates whether the current frequency or RAT is to be de-prioritised. |
| ***deprioritisationTimer***  Indicates the period for which either the current carrier frequency or NR is deprioritised. Value *minN* corresponds to N minutes. |
| ***measIdleConfig***  Indicates measurement configuration to be stored and used by the UE while in RRC\_IDLE or RRC\_INACTIVE. |
| ***suspendConfig***  Indicates configuration for the RRC\_INACTIVE state. The network does not configure *suspendConfig* when the network redirect the UE to an inter-RAT carrier frequency or if the UE is configured with a DAPS bearer. |
| ***redirectedCarrierInfo***  Indicates a carrier frequency (downlink for FDD) and is used to redirect the UE to an NR or an inter-RAT carrier frequency, by means of cell selection at transition to RRC\_IDLE or RRC\_INACTIVE as specified in TS 38.304 [20]. Based on UE capability, the network may include *redirectedCarrierInfo* in *RRCRelease* message with *suspendConfig* if this message is sent in response to an *RRCResumeRequest* or an *RRCResumeRequest1* which is triggered by the NAS layer (see 5.3.1.4 in TS 24.501 [23]). |
| ***voiceFallbackIndication***  Indicates the RRC release is triggered by EPS fallback for IMS voice as specified in TS 23.502 [43]. |

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| *CarrierInfoNR* field descriptions |
| ***carrierFreq***  Indicates the redirected NR frequency. |
| ***ssbSubcarrierSpacing***  Subcarrier spacing of SSB in the redirected SSB frequency. Only the values 15 kHz or 30 kHz (FR1), and 120 kHz or 240 kHz (FR2) are applicable. |
| ***smtc***  The SSB periodicity/offset/duration configuration for the redirected SSB frequency. It is based on timing reference of PCell. If the field is absent, the UE uses the SMTC configured in the measObjectNR having the same SSB frequency and subcarrier spacing. |

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| *RAN-NotificationAreaInfo* field descriptions |
| ***cellList***  A list of cells configured as RAN area. |
| ***ran-AreaConfigList***  A list of RAN area codes or RA code(s) as RAN area. |

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| *PLMN-RAN-AreaConfig* field descriptions |
| ***plmn-Identity***  PLMN Identity to which the cells in *ran-Area* belong. If the field is absent the UE uses the ID of the registered PLMN. |
| ***ran-AreaCodeList***  The total number of RAN-AreaCodes of all PLMNs does not exceed 32. |
| ***ran-Area***  Indicates whether TA code(s) or RAN area code(s) are used for the RAN notification area. The network uses only TA code(s) or both TA code(s) and RAN area code(s) to configure a UE. The total number of TACs across all PLMNs does not exceed 16. |

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| *PLMN-RAN-AreaCell* field descriptions |
| ***plmn-Identity***  PLMN Identity to which the cells in *ran-AreaCells* belong. If the field is absent the UE uses the ID of the registered PLMN. |
| ***ran-AreaCells***  The total number of cells of all PLMNs does not exceed 32. |

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| *SuspendConfig* field descriptions |
| ***ran-NotificationAreaInfo***  Network ensures that the UE in RRC\_INACTIVE always has a valid *ran-NotificationAreaInfo*. |
| ***ran-PagingCycle***  Refers to the UE specific cycle for RAN-initiated paging. Value *rf32* corresponds to 32 radio frames, value *rf64* corresponds to 64 radio frames and so on. |
| ***t380***  Refers to the timer that triggers the periodic RNAU procedure in UE. Value *min5* corresponds to 5 minutes, value *min10* corresponds to 10 minutes and so on. |

### <Nest change>

### 6.3.3 UE capability information elements

<cut>

#### – *UE-NR-Capability*

The IE *UE-NR-Capability* is used to convey the NR UE Radio Access Capability Parameters, see TS 38.306 [26].

*UE-NR-Capability* information element

-- ASN1START

-- TAG-UE-NR-CAPABILITY-START

UE-NR-Capability ::= SEQUENCE {

accessStratumRelease AccessStratumRelease,

pdcp-Parameters PDCP-Parameters,

rlc-Parameters RLC-Parameters OPTIONAL,

mac-Parameters MAC-Parameters OPTIONAL,

phy-Parameters Phy-Parameters,

rf-Parameters RF-Parameters,

measAndMobParameters MeasAndMobParameters OPTIONAL,

fdd-Add-UE-NR-Capabilities UE-NR-CapabilityAddXDD-Mode OPTIONAL,

tdd-Add-UE-NR-Capabilities UE-NR-CapabilityAddXDD-Mode OPTIONAL,

fr1-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

fr2-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

featureSets FeatureSets OPTIONAL,

featureSetCombinations SEQUENCE (SIZE (1..maxFeatureSetCombinations)) OF FeatureSetCombination OPTIONAL,

lateNonCriticalExtension OCTET STRING (CONTAINING UE-NR-Capability-v15c0) OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1530 OPTIONAL

}

-- Regular non-critical extensions:

UE-NR-Capability-v1530 ::= SEQUENCE {

fdd-Add-UE-NR-Capabilities-v1530 UE-NR-CapabilityAddXDD-Mode-v1530 OPTIONAL,

tdd-Add-UE-NR-Capabilities-v1530 UE-NR-CapabilityAddXDD-Mode-v1530 OPTIONAL,

dummy ENUMERATED {supported} OPTIONAL,

interRAT-Parameters InterRAT-Parameters OPTIONAL,

inactiveState ENUMERATED {supported} OPTIONAL,

delayBudgetReporting ENUMERATED {supported} OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1540 OPTIONAL

}

UE-NR-Capability-v1540 ::= SEQUENCE {

sdap-Parameters SDAP-Parameters OPTIONAL,

overheatingInd ENUMERATED {supported} OPTIONAL,

ims-Parameters IMS-Parameters OPTIONAL,

fr1-Add-UE-NR-Capabilities-v1540 UE-NR-CapabilityAddFRX-Mode-v1540 OPTIONAL,

fr2-Add-UE-NR-Capabilities-v1540 UE-NR-CapabilityAddFRX-Mode-v1540 OPTIONAL,

fr1-fr2-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1550 OPTIONAL

}

UE-NR-Capability-v1550 ::= SEQUENCE {

reducedCP-Latency ENUMERATED {supported} OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1560 OPTIONAL

}

UE-NR-Capability-v1560 ::= SEQUENCE {

nrdc-Parameters NRDC-Parameters OPTIONAL,

receivedFilters OCTET STRING (CONTAINING UECapabilityEnquiry-v1560-IEs) OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1570 OPTIONAL

}

UE-NR-Capability-v1570 ::= SEQUENCE {

nrdc-Parameters-v1570 NRDC-Parameters-v1570 OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1610 OPTIONAL

}

-- Late non-critical extensions:

UE-NR-Capability-v15c0 ::= SEQUENCE {

nrdc-Parameters-v15c0 NRDC-Parameters-v15c0 OPTIONAL,

partialFR2-FallbackRX-Req ENUMERATED {true} OPTIONAL,

nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- Regular non-critical extensions:

UE-NR-Capability-v1610 ::= SEQUENCE {

inDeviceCoexInd-r16 ENUMERATED {supported} OPTIONAL,

dl-DedicatedMessageSegmentation-r16 ENUMERATED {supported} OPTIONAL,

nrdc-Parameters-v1610 NRDC-Parameters-v1610 OPTIONAL,

powSav-Parameters-r16 PowSav-Parameters-r16 OPTIONAL,

fr1-Add-UE-NR-Capabilities-v1610 UE-NR-CapabilityAddFRX-Mode-v1610 OPTIONAL,

fr2-Add-UE-NR-Capabilities-v1610 UE-NR-CapabilityAddFRX-Mode-v1610 OPTIONAL,

bh-RLF-Indication-r16 ENUMERATED {supported} OPTIONAL,

directSN-AdditionFirstRRC-IAB-r16 ENUMERATED {supported} OPTIONAL,

bap-Parameters-r16 BAP-Parameters-r16 OPTIONAL,

referenceTimeProvision-r16 ENUMERATED {supported} OPTIONAL,

sidelinkParameters-r16 SidelinkParameters-r16 OPTIONAL,

highSpeedParameters-r16 HighSpeedParameters-r16 OPTIONAL,

mac-Parameters-v1610 MAC-Parameters-v1610 OPTIONAL,

mcgRLF-RecoveryViaSCG-r16 ENUMERATED {supported} OPTIONAL,

resumeWithStoredMCG-SCells-r16 ENUMERATED {supported} OPTIONAL,

resumeWithStoredSCG-r16 ENUMERATED {supported} OPTIONAL,

resumeWithSCG-Config-r16 ENUMERATED {supported} OPTIONAL,

ue-BasedPerfMeas-Parameters-r16 UE-BasedPerfMeas-Parameters-r16 OPTIONAL,

son-Parameters-r16 SON-Parameters-r16 OPTIONAL,

onDemandSIB-Connected-r16 ENUMERATED {supported} OPTIONAL,

nonCriticalExtension SEQUENCE {} OPTIONAL

}

UE-NR-Capability-v16xy ::= SEQUENCE {

redirectAtResumeByNAS-r16 ENUMERATED {supported} OPTIONAL,

nonCriticalExtension SEQUENCE {} OPTIONAL

}

UE-NR-CapabilityAddXDD-Mode ::= SEQUENCE {

phy-ParametersXDD-Diff Phy-ParametersXDD-Diff OPTIONAL,

mac-ParametersXDD-Diff MAC-ParametersXDD-Diff OPTIONAL,

measAndMobParametersXDD-Diff MeasAndMobParametersXDD-Diff OPTIONAL

}

UE-NR-CapabilityAddXDD-Mode-v1530 ::= SEQUENCE {

eutra-ParametersXDD-Diff EUTRA-ParametersXDD-Diff

}

UE-NR-CapabilityAddFRX-Mode ::= SEQUENCE {

phy-ParametersFRX-Diff Phy-ParametersFRX-Diff OPTIONAL,

measAndMobParametersFRX-Diff MeasAndMobParametersFRX-Diff OPTIONAL

}

UE-NR-CapabilityAddFRX-Mode-v1540 ::= SEQUENCE {

ims-ParametersFRX-Diff IMS-ParametersFRX-Diff OPTIONAL

}

UE-NR-CapabilityAddFRX-Mode-v1610 ::= SEQUENCE {

powSav-ParametersFRX-Diff-r16 PowSav-ParametersFRX-Diff-r16 OPTIONAL,

mac-ParametersFRX-Diff-r16 MAC-ParametersFRX-Diff-r16 OPTIONAL

}

BAP-Parameters-r16 ::= SEQUENCE {

flowControlBH-RLC-ChannelBased-r16 ENUMERATED {supported} OPTIONAL,

flowControlRouting-ID-Based-r16 ENUMERATED {supported} OPTIONAL

}

-- TAG-UE-NR-CAPABILITY-STOP

-- ASN1STOP

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| *UE-NR-Capability* field descriptions |
| ***featureSetCombinations***  A list of *FeatureSetCombination:s* for *supportedBandCombinationList* in *UE-NR-Capability*. The *FeatureSetDownlink:s* and *FeatureSetUplink:s* referred to from these *FeatureSetCombination:s* are defined in the *featureSets* list in *UE-NR-Capability*. |

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| *UE-NR-Capability-v1540 field descriptions* |
| ***fr1-fr2-Add-UE-NR-Capabilities***  This instance of *UE-NR-CapabilityAddFRX-Mode* does not include any other fields than *csi-RS-IM-ReceptionForFeedback*/ *csi-RS-ProcFrameworkForSRS*/ *csi-ReportFramework*. |