**3GPP TSG-RAN WG2 Meeting #113-e *R2-21xxxxx***

**Electronic, Jan 25 – Feb 05, 2021**

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| *CR-Form-v12.1* |
| **CHANGE REQUEST** |
|  |
|  | **38.331** | **CR** | **2361** | **rev** | **1** | **Current version:** | **16.3.1** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:***  |  Cleanup on overheating UAI reporting procedure |
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| ***Source to WG:*** | Apple |
| ***Source to TSG:*** | R2 |
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| ***Work item code:*** | TEI16 |  | ***Date:*** | 2021-01-11 |
|  |  |  |  |  |
| ***Category:*** | F |  | ***Release:*** |  Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)* |
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| ***Reason for change:*** | In in NR-DC, SCG overheating UAI is not allowed. The spec does not differentiate the overheating case from other cases (i.e., power saving and DRX), which leads to confusions that the SCG overheating UAI may be allowed to be transmitted through SRB3. |
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| ***Summary of change:*** | To make it clear that the UAI config/reporting over SRB3 is only feasible for power saving and DRX configuration. |
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| ***Consequences if not approved:*** | The spec remains confusing. |
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| ***Clauses affected:*** | 5.7.4.2 |
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|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
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| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

#### -----------------------------------------------Start of 1st Change------------------------------------------------

#### 5.7.4.2 Initiation

A UE capable of providing delay budget report in RRC\_CONNECTED may initiate the procedure in several cases, including upon being configured to provide delay budget report and upon change of delay budget preference.

A UE capable of providing overheating assistance information in RRC\_CONNECTED may initiate the procedure if it was configured to do so, upon detecting internal overheating, or upon detecting that it is no longer experiencing an overheating condition.

A UE capable of providing IDC assistance information in RRC\_CONNECTED may initiate the procedure if it was configured to do so, upon detecting IDC problem if the UE did not transmit an IDC assistance information since it was configured to provide IDC indications, or upon change of IDC problem information.

A UE capable of providing its preference on DRX parameters of a cell group for power saving in RRC\_CONNECTED may initiate the procedure in several cases, if it was configured to do so, including upon having a preference on DRX parameters and upon change of its preference on DRX parameters. Both configuration and reporting are separate for MCG and SCG.

A UE capable of providing its preference on the maximum aggregated bandwidth of a cell group for power saving in RRC\_CONNECTED may initiate the procedure in several cases, if it was configured to do so, including upon having a maximum aggregated bandwidth preference and upon change of its maximum aggregated bandwidth preference. Both configuration and reporting are separate for MCG and SCG.A UE capable of providing its preference on the maximum number of secondary component carriers of a cell group for power saving in RRC\_CONNECTED may initiate the procedure in several cases, if it was configured to do so, including upon having a maximum number of secondary component carriers preference and upon change of its maximum number of secondary component carriers preference. Both configuration and reporting are separate for MCG and SCG.

A UE capable of providing its preference on the maximum number of MIMO layers of a cell group for power saving in RRC\_CONNECTED may initiate the procedure in several cases, if it was configured to do so, including upon having a maximum number of MIMO layers preference and upon change of its maximum number of MIMO layers preference. Both configuration and reporting are separate for MCG and SCG.

A UE capable of providing its preference on the minimum scheduling offset for cross-slot scheduling of a cell group for power saving in RRC\_CONNECTED may initiate the procedure in several cases, if it was configured to do so, including upon having a minimum scheduling offset preference and upon change of its minimum scheduling offset preference. Both configuration and reporting are separate for MCG and SCG.

A UE capable of providing assistance information to transition out of RRC\_CONNECTED state may initiate the procedure if it was configured to do so, upon determining that it prefers to transition out of RRC\_CONNECTED state, or upon change of its preferred RRC state.

A UE capable of providing configured grant assistance information for NR sidelink communication in RRC\_CONNECTED may initiate the procedure in several cases, including upon being configured to provide traffic pattern information and upon change of traffic patterns.

A UE capable of providing an indication of its preference in being provisioned with reference time information may initiate the procedure upon being configured to provide this indication, or if it was configured to provide this indication and upon change of its preference.

Upon initiating the procedure, the UE shall:

1> if configured to provide delay budget report:

2> if the UE did not transmit a *UEAssistanceInformation* message with *delayBudgetReport* since it was configured to provide delay budget report; or

2> if the current delay budget is different from the one indicated in the last transmission of the *UEAssistanceInformation* message including *delayBudgetReport* and timer T342 is not running:

3> start or restart timer T342 with the timer value set to the *delayBudgetReportingProhibitTimer*;

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide a delay budget report;

1> if configured to provide overheating assistance information:

2> if the overheating condition has been detected and T345 is not running; or

2> if the current overheating assistance information is different from the one indicated in the last transmission of the *UEAssistanceInformation* message including *overheatingAssistance* and timer T345 is not running:

3> start timer T345 with the timer value set to the *overheatingIndicationProhibitTimer*;

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide overheating assistance information;

1> if configured to provide IDC assistance information:

2> if the UE did not transmit a *UEAssistanceInformation* message with *idc-Assistance* since it was configured to provide IDC assistance information:

3> if on one or more frequencies included in *candidateServingFreqListNR*, the UE is experiencing IDC problems that it cannot solve by itself; or

3> if on one or more supported UL CA combination comprising of carrier frequencies included in *candidateServingFreqListNR*, the UE is experiencing IDC problems that it cannot solve by itself:

4> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide IDC assistance information;

2> else if the current IDC assistance information is different from the one indicated in the last transmission of the *UEAssistanceInformation* message:

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide IDC assistance information;

NOTE 1: The term "IDC problems" refers to interference issues applicable across several subframes/slots where not necessarily all the subframes/slots are affected.

NOTE 2: For the frequencies on which a serving cell or serving cells is configured that is activated, IDC problems consist of interference issues that the UE cannot solve by itself, during either active data exchange or upcoming data activity which is expected in up to a few hundred milliseconds.
For frequencies on which a SCell or SCells is configured that is deactivated, reporting IDC problems indicates an anticipation that the activation of the SCell or SCells would result in interference issues that the UE would not be able to solve by itself.
For a non-serving frequency, reporting IDC problems indicates an anticipation that if the non-serving frequency or frequencies became a serving frequency or serving frequencies then this would result in interference issues that the UE would not be able to solve by itself.

1> if configured to provide its preference on DRX parameters of a cell group for power saving:

2> if the UE has a preference on DRX parameters of the cell group and the UE did not transmit a *UEAssistanceInformation* message with *drx-Preference* for the cell group since it was configured to provide its preference on DRX parameters of the cell group for power saving; or

2> if the current *drx-Preference* information for the cell group is different from the one indicated in the last transmission of the *UEAssistanceInformation* message including *drx-Preference* for the cell group and timer T346a associated with the cell group is not running:

3> start the timer T346a with the timer value set to the *drx-PreferenceProhibitTimer* of the cell group;

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide the current *drx-Preference*;

1> if configured to provide its preference on the maximum aggregated bandwidth of a cell group for power saving:

2> if the UE has a preference on the maximum aggregated bandwidth of the cell group and the UE did not transmit a *UEAssistanceInformation* message with *maxBW-Preference* for the cell group since it was configured to provide its preference on the maximum aggregated bandwidth of the cell group for power saving; or

2> if the current *maxBW-Preference* information for the cell group is different from the one indicated in the last transmission of the *UEAssistanceInformation* message including *maxBW-Preference* for the cell group and timer T346b associated with the cell group is not running:

3> start the timer T346b with the timer value set to the *maxBW-PreferenceProhibitTimer* of the cell group;

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide the current *maxBW-Preference*;

1> if configured to provide its preference on the maximum number of secondary component carriers of a cell group for power saving:

2> if the UE has a preference on the maximum number of secondary component carriers of the cell group and the UE did not transmit a *UEAssistanceInformation* message with *maxCC-Preference* for the cell group since it was configured to provide its preference on the maximum number of secondary component carriers of the cell group for power saving; or

2> if the current *maxCC-Preference* information for the cell group is different from the one indicated in the last transmission of the *UEAssistanceInformation* message including *maxCC-Preference* for the cell group and timer T346c associated with the cell group is not running:

3> start the timer T346c with the timer value set to the *maxCC-PreferenceProhibitTimer* of the cell group;

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide the current *maxCC-Preference*;

1> if configured to provide its preference on the maximum number of MIMO layers of a cell group for power saving:

2> if the UE has a preference on the maximum number of MIMO layers of the cell group and the UE did not transmit a *UEAssistanceInformation* message with *maxMIMO-LayerPreference* for the cell group since it was configured to provide its preference on the maximum number of MIMO layers of the cell group for power saving; or

2> if the current *maxMIMO-LayerPreference* information for the cell group is different from the one indicated in the last transmission of the *UEAssistanceInformation* message including *maxMIMO-LayerPreference* for the cell group and timer T346d associated with the cell group is not running:

3> start the timer T346d with the timer value set to the *maxMIMO-LayerPreferenceProhibitTimer* of the cell group;

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide the current *maxMIMO-LayerPreference*;

1> if configured to provide its preference on the minimum scheduling offset for cross-slot scheduling of a cell group for power saving:

2> if the UE has a preference on the minimum scheduling offset for cross-slot scheduling of the cell group and the UE did not transmit a *UEAssistanceInformation* message with *minSchedulingOffsetPreference* for the cell group since it was configured to provide its preference on the minimum scheduling offset for cross-slot scheduling of the cell group for power saving; or

2> if the current *minSchedulingOffsetPreference* information for the cell group is different from the one indicated in the last transmission of the *UEAssistanceInformation* message including *minSchedulingOffsetPreference* for the cell group and timer T346e associated with the cell group is not running:

3> start the timer T346e with the timer value set to the *minSchedulingOffsetPreferenceProhibitTimer* of the cell group;

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide the current *minSchedulingOffsetPreference*;

1> if configured to provide its release preference and timer T346f is not running:

2> if the UE determines that it would prefer to transition out of RRC\_CONNECTED state; or

2> if the UE is configured with *connectedReporting* and the UE determines that it would prefer to revert an earlier indication to transition out of RRC\_CONNECTED state:

3> start timer T346f with the timer value set to the *releasePreferenceProhibitTimer*;

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide the release preference;

1> if configured to provide configured grant assistance information for NR sidelink communication:

2> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide configured grant assistance information for NR sidelink communication;

1> if configured to provide preference in being provisioned with reference time information:

2> if the UE did not transmit a *UEAssistanceInformation* message with *referenceTimeInfoPreference* since it was configured to provide preference; or

2> if the UE's preference changed from the last time UE initiated transmission of the *UEAssistanceInformation* message including *referenceTimeInfoPreference*:

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide preference in being provisioned with reference time information.