**3GPP TSG-RAN WG2 Meeting #112-e *R2-20xxxxx***

**Online, November 2nd - 13th, 2020**

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| *CR-Form-v12.1* |
| **CHANGE REQUEST** |
|  |
|  | **38.306** | **CR** | **DraftCR** | **rev** | **-** | **Current version:** | **16.2.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

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| ***Title:***  | Out-of-order CBG-based re-transmission |
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| ***Source to WG:*** | Ericsson |
| ***Source to TSG:*** | RAN WG2 |
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| ***Work item code:*** | NR\_L1enh\_URLLC-Core |  | ***Date:*** | 2020-11-12 |
|  |  |  |  |  |
| ***Category:*** | **F ?** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)* |
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| ***Reason for change:*** | RAN1 has introduced a new FG 11-12 to resolve the issue identified in RAN plenary#89e. In RAN plenary#89, it is agreed with the below conclusion.Conclusion: Introduce a new FG "Out-of-order CBG-based re-transmission(s) with cancelled initial PUSCH transmission". Details are to be finalised by RAN1 and RAN2. |
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| ***Summary of change:*** | 1. Add the FG 11-12 for in-order CBG-based re-tx in LS R2-2011120
2. Clarify that the “cbg-TransIndication-UL” indicate both in-order and out-of-order CBG-based re-tx.
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| ***Consequences if not approved:*** | The support of CBG-based retransmission capability signalling is incomplete  |
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| ***Clauses affected:*** | 4.2.7.10 |
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|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
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| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

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| ***Start of the change*** |

4.2.7.10 *Phy-Parameters*

| **Definitions for parameters** | **Per** | **M** | **FDD-TDD****DIFF** | **FR1-FR2****DIFF** |
| --- | --- | --- | --- | --- |
| ***absoluteTPC-Command***Indicates whether the UE supports absolute TPC command mode. | UE | No | No | Yes |
| ***aggregationFactorSPS-DL-r16***Indicates whether the UE supports configurable PDSCH aggregation factor ({1, 2, 4, 8}) per DL SPS configuration. The UE can include this feature only if the UE indicates supports of *downlinkSPS*. | UE | No | No | Yes |
| ***almostContiguousCP-OFDM-UL***Indicates whether the UE supports almost contiguous UL CP-OFDM transmissions as defined in clause 6.2 of TS 38.101-1 [2]. | UE | No | No | Yes |
| ***bwp-SwitchingDelay***Defines whether the UE supports DCI and timer based active BWP switching delay type1 or type2 specified in clause 8.6.2 of TS 38.133 [5]. It is mandatory to report type 1 or type 2. This capability is not applicable to IAB-MT. | UE | Yes | No | No |
| ***bwp-SwitchingMultiCCs-r16***Indicates whether the UE supports incremental delay for DCI and timer based active BWP switching on multiple CCs simultaneously as specified in TS 38.133 [5]. The capability signalling comprises of the following:- *type1-r16* indicates the delay value for type 1 BWP switching delay for type1 and has values of {100us, 200us}- *type2-r16* indicates the delay value for type 2 BWP switching delay and has values of {200us, 400us, 800us, 1000us}UE indicates support of this feature indicates support of *bwp-SwitchingDelay*, *bwp-SameNumerology* and *bwp-DiffNumerology*. | UE | No | No | No |
| ***cbg-FlushIndication-DL***Indicates whether the UE supports CBG-based (re)transmission for DL using CBG flushing out information (CBGFI) as specified in TS 38.214 [12]. | UE | No | No | No |
| ***cbg-TransIndication-DL***Indicates whether the UE supports CBG-based (re)transmission for DL using CBG transmission information (CBGTI) as specified in TS 38.214 [12]. | UE | No | No | No |
| ***cbg-TransIndication-UL***Indicates whether the UE supports both in-order and out-of-order CBG-based (re)transmission for UL using CBG transmission information (CBGTI) as specified in TS 38.214 [12]. | UE | No | No | No |
| ***cbg-TransInOrderPUSCH-UL-r16***Indicates whether the UE supports CBG-based re-transmission(s) of a TB using CBG transmission information (CBGTI) as specified in TS 38.214 [12] in the following two cases (both are considered as in-order CBG-based retransmission(s)): 1. if the initial PUSCH transmission was not cancelled due to gNB scheduling/indication/configuration; and
2. if the initial PUSCH transmission was cancelled due to gNB scheduling/indication/configuration and the following condition is satisfied: the UE is scheduled for a re-transmission of a CBG #N in a given TB when CBG #N-1 has been transmitted before or is scheduled in the same UL grant that includes CBG#N.
 | UE | No | No | No |
| ***cli-RSSI-FDM-DL-r16***Indicates whether serving cell DL signal/channel (e.g. PDSCH/PDCCH) and CLI-RSSI FDMed reception is supported as specified in TS 38.215 [13]. | UE | No | TDD only | Yes |
| ***cli-SRS-RSRP-FDM-DL-r16***Indicates whether serving cell DL signal/channel (e.g. PDSCH/PDCCH) and SRS-RSRP FDMed reception is supported as specified in TS 38.215 [13]. | UE | No | TDD only | Yes |
| ***codebookVariantsList-r16***Indicates the list of *SupportedCSI-RS-Resource* applicable to the codebook types supported by the UE. | UE | No | No | No |
| ***configuredUL-GrantType1***Indicates whether the UE supports Type 1 PUSCH transmissions with configured grant as specified in TS 38.214 [12] with UL-TWG-repK value of one. | UE | No | No | No |
| ***configuredUL-GrantType2***Indicates whether the UE supports Type 2 PUSCH transmissions with configured grant as specified in TS 38.214 [12] with UL-TWG-repK value of one. | UE | No | No | No |
| ***cqi-TableAlt***Indicates whether UE supports the CQI table with target BLER of 10^-5. | UE | No | No | Yes |
| ***crossSlotScheduling-r16***Indicates whether UE supports dynamic indication of applicable minimum scheduling restriction by DCI format 0\_1 and 1\_1, and the minimum scheduling offset for PDSCH and aperiodic CSI-RS triggering offset (K0), and PUSCH (K2), and the extended value range for aperiodic CSI-RS triggering offset. Support of this feature is reported for licensed and unlicensed bands, respectively. When this field is reported, either of *licensedBand-r16* or *unlicensedBand-r16* shall be reported, at least. | UE | No | No | No |
| ***csi-ReportFramework***See *csi-ReportFramework* in 4.2.7.2. For a band combination comprised of FR1 and FR2 bands, this parameter, if present, limits the corresponding parameter in *MIMO-ParametersPerBand*. | UE | Yes | No | N/A |
| ***csi-ReportFrameworkExt-r16***See *csi-ReportFramework* in 4.2.7.2. For a band combination comprised of FR1 and FR2 bands, this parameter, if present, limits the corresponding parameter in *MIMO-ParametersPerBand*. | UE | No | No | N/A |
| ***csi-ReportWithoutCQI***Indicates whether UE supports CSI reporting with report quantity set to 'CRI/RI/i1' as defined in clause 5.2.1.4 of TS 38.214 [12]. | UE | No | No | Yes |
| ***csi-ReportWithoutPMI***Indicates whether UE supports CSI reporting with report quantity set to 'CRI/RI/CQI' as defined in clause 5.2.1.4 of TS 38.214 [12]. | UE | No | No | Yes |
| ***csi-RS-CFRA-ForHO***Indicates whether the UE can perform reconfiguration with sync using a contention free random access with 4-step RA type on PRACH resources that are associated with CSI-RS resources of the target cell. | UE | No | No | No |
| ***csi-RS-IM-ReceptionForFeedback***See *csi-RS-IM-ReceptionForFeedback* in 4.2.7.2. For a band combination comprised of FR1 and FR2 bands, this parameter, if present, limits the corresponding parameter in *MIMO-ParametersPerBand*. | UE | Yes | No | N/A |
| ***csi-RS-ProcFrameworkForSRS***See *csi-RS-ProcFrameworkForSRS* in 4.2.7.2. For a band combination comprised of FR1 and FR2 bands, this parameter, if present, limits the corresponding parameter in *MIMO-ParametersPerBand*. | UE | No | No | N/A |
| ***csi-TriggerStateNon-ActiveBWP-r16***Indicates whether the UE supports CSI trigger states containing non-active BWP. | UE | TBD | No | No |
| ***dci-DL-PriorityIndicator-r16***Indicates whether the UE supports the priority indicator field configured in DCI formats 1\_1 and 1\_2 in a BWP when configured to monitor both DCI formats 1\_1 and 1\_2 in the BWP. | UE | No | No | No |
| ***dci-Format1-2And0-2-r16***Indicates whether the UE supports monitoring DCI format 1\_2 for DL scheduling and monitoring DCI format 0\_2 for UL scheduling. | UE | No | No | No |
| ***dci-UL-PriorityIndicator-r16***Indicates whether the UE supports the priority indicator field configured in DCI formats 0\_1 and 0\_2 in a BWP when configured to monitor both DCI formats 0\_1 and 0\_2 in the BWP. | UE | No | No | No |
| ***defaultSpatialRelationPathlossRS-r16***Indicates the UE support of default spatial relation and pathloss reference RS for dedicated PUCCH/SRS and PUSCH. The UE indicating support of this also indicates the capabilities of supported SRS resources and maximum supported spatial relations for the supported FR2 bands using *supportedSRS-Resources* and *maxNumberConfiguredSpatialRelations.* | UE | No | No | FR2 only |
| ***dl-64QAM-MCS-TableAlt***Indicates whether the UE supports the alternative 64QAM MCS table for PDSCH. | UE | No | No | Yes |
| ***dl-SchedulingOffset-PDSCH-TypeA***Indicates whether the UE supports DL scheduling slot offset (K0) greater than 0 for PDSCH mapping type A. | UE | Yes | Yes | Yes |
| ***dl-SchedulingOffset-PDSCH-TypeB***Indicates whether the UE supports DL scheduling slot offset (K0) greater than 0 for PDSCH mapping type B. | UE | Yes | Yes | Yes |
| ***downlinkSPS***Indicates whether the UE supports PDSCH reception based on semi-persistent scheduling. | UE | No | No | No |
| ***dynamicBetaOffsetInd-HARQ-ACK-CSI***Indicates whether the UE supports indicating beta-offset (UCI repetition factor onto PUSCH) for HARQ-ACK and/or CSI via DCI among the RRC configured beta-offsets. | UE | No | No | No |
| ***dynamicHARQ-ACK-Codebook***Indicates whether the UE supports HARQ-ACK codebook dynamically constructed by DCI(s). This field shall be set to *supported*. | UE | Yes | No | No |
| ***dynamicHARQ-ACK-CodeB-CBG-Retx-DL***Indicates whether the UE supports HARQ-ACK codebook size for CBG-based (re)transmission based on the DAI-based solution as specified in TS 38.213 [11]. | UE | No | No | No |
| ***dynamicPRB-BundlingDL***Indicates whether UE supports DCI-based indication of the PRG size for PDSCH reception. | UE | No | No | No |
| ***dynamicSFI***Indicates whether the UE supports monitoring for DCI format 2\_0 and determination of slot formats via DCI format 2\_0. | UE | No | Yes | Yes |
| ***dynamicSwitchRA-Type0-1-PDSCH***Indicates whether the UE supports dynamic switching between resource allocation Types 0 and 1 for PDSCH as specified in TS 38.212 [10]. | UE | No | No | No |
| ***dynamicSwitchRA-Type0-1-PUSCH***Indicates whether the UE supports dynamic switching between resource allocation Types 0 and 1 for PUSCH as specified in TS 38.212 [10]. | UE | No | No | No |
| ***enhancedPowerControl-r16***For DG-PUSCH, one bit (separately from SRI) in UL grant is used to indicate the P0 value if SRI is present in the UL grant, and 1 or 2 bits is used to indicate the P0 value if SRI is not present in the UL grant. | UE | No | No | Yes |
| ***extendedCG-Periodicities-r16***Indicates that the UE supports extended periodicities for CG Type 1 (if the UE indicates *configuredUL-GrantType1* capability) or CG Type 2 (if the UE indicates *configuredUL-GrantType2* capability) as specified by *periodicityExt-r16* field of IE *ConfiguredGrantConfig* in TS 38.331 [2]. | UE | No | No | No |
| ***extendedSPS-Periodicities-r16***Indicates that the UE supports extended periodicities for downlink SPS as specified by *periodicityExt-r16* field of IE *SPS-Config* in TS 38.331 [2]. | UE | No | No | No |
| ***fdd-PCellUL-TX-AllUL-Subframe-r16***Indicates whether the UE configured with *tdm-patternConfig-r16* can be semi-statically configured with LTE UL transmissions in all UL subframes not limited to the reference tdm-pattern (only for type 1 UE) in case of LTE FDD PCell. UE indicating support can configure its LTE FDD PCell with this feature on the band combination which indicates support of either *tdm-restrictionFDD-endc-r16*or *tdm-restrictionDualTX-FDD-endc-r16*. | UE | No | FDD only | FR1 only |
| ***harqACK-CB-SpatialBundlingPUCCH-Group-r16***Indicates whether the UE supports HARQ-ACK codebook type and HARQ-ACK spatial bundling configuration per PUCCH group as specified in TS 38.213 [11]. If the UE indicates support of this, it also supports two NR PUCCH groups with same numerology by setting *twoPUCCH-Group* to *supported.* | UE | No | No | No |
| ***harqACK-separateMultiDCI-MultiTRP-r16***Indicates whether the UE support of separate HARQ-ACK. The capability signalling of this feature includes the following:- *maxNumberLongPUCCHs-r16* indicates maximum number of long PUCCHs within a slot for separate HARQ-AckThe UE that indicates support of this feature shall support *multiDCI-MultiTRP-r16.* | UE | No | No | No |
| ***harqACK-jointMultiDCI-MultiTRP-r16***Indicates whether the UE support of joint HARQ-ACK. The UE that indicates support of this feature shall support *multiDCI-MultiTRP-r16.* | UE | No | No | No |
| ***pucch-F0-2WithoutFH***Indicates whether the UE supports transmission of a PUCCH format 0 or 2 without frequency hopping. When included, the UE does not support PUCCH formats 0 and 2 without frequency hopping. When not included, the UE supports the PUCCH formats 0 and 2 without frequency hopping. | UE | Yes | No | Yes |
| ***pucch-F1-3-4WithoutFH***Indicates whether the UE supports transmission of a PUCCH format 1, 3 or 4 without frequency hopping. When included, the UE does not support PUCCH formats 1, 3 and 4 without frequency hopping. When not included, the UE supports the PUCCH formats 1, 3 and 4 without frequency hopping. | UE | Yes | No | Yes |
| ***interleavingVRB-ToPRB-PDSCH***Indicates whether the UE supports receiving PDSCH with interleaved VRB-to-PRB mapping as specified in TS 38.211 [6]. | UE | Yes | No | No |
| ***interSlotFreqHopping-PUSCH***Indicates whether the UE supports inter-slot frequency hopping for PUSCH transmissions. | UE | No | No | No |
| ***intraSlotFreqHopping-PUSCH***Indicates whether the UE supports intra-slot frequency hopping for PUSCH transmission, except for PUSCH scheduled by PDCCH in the Type1-PDCCH common search space before RRC connection establishment. | UE | Yes | No | Yes |
| ***maxLayersMIMO-Adaptation-r16***Indicates whether the UE supports the network configuration of *maxMIMO-Layers* per DL BWP. If the UE supports this feature, the UE needs to report *maxLayersMIMO-Indication*. | UE | No | No | Yes |
| ***maxLayersMIMO-Indication***Indicates whether the UE supports the network configuration of *maxMIMO-Layers* as specified in TS 38.331 [9]. | UE | Yes | No | No |
| ***maxNumberPathlossRS-update-r16***Indicates the maximum number of configured pathloss reference RSs for PUSCH/PUCCH/SRS by RRC that the UE can support for MAC-CE based pathloss reference RS update. | UE | No | No | No |
| ***maxNumberSearchSpaces***Indicates whether the UE supports up to 10 search spaces in an SCell per BWP. | UE | No | No | No |
| ***maxNumberSRS-PosPathLossEstimateAllServingCells-r16***Indicates the maximum number of pathloss estimates that the UE can simultaneously maintain for all the SRS resource sets for positioning across all cells in addition to the up to four pathloss estimates that the UE maintains per serving cell for the PUSCH/PUCCH/SRS transmissions. The UE shall include this field if the UE supports any of *olpc-SRS-PosBasedOnPRS-Serving-r16, olpc-SRS-PosBasedOnSSB-Neigh-r16* and *olpc-SRS-PosBasedOnPRS-Neigh-r16.* Otherwise, the UE does not include this field; | UE | No | No | No |
| ***maxNumberSRS-PosSpatialRelationsAllServingCells-r16***Indicates the maximum number of maintained spatial relations for all the SRS resource sets for positioning across all serving cells in addition to the spatial relations maintained spatial relations per serving cell for the PUSCH/PUCCH/SRS transmissions. It is only applied for FR2. The UE can include this field only if the UE supports any of *spatialRelation-SRS-PosBasedOnSSB-Serving-r16*, *spatialRelation-SRS-PosBasedOnCSI-RS-Serving-r16*, *spatialRelation-SRS-PosBasedOnPRS-Serving-r16*, *spatialRelation-SRS-PosBasedOnSSB-Neigh-r16* or *spatialRelation-SRS-PosBasedOnPRS-Neigh-r16*. Otherwise, the UE does not include this field; | UE | No | No | FR2 only |
| ***maxTotalResourcesForAcrossFreqRanges-r16***Indicates the maximum total number of SSB/CSI-RS/CSI-IM resources for beam management, pathloss measurement, BFD, RLM and new beam identification across frequency ranges (both FR1 and FR2) that the UE supports.The capability signalling includes the following:- *maxNumberResWithinSlotAcrossCC-AcrossFR-r16* indicates maximum total number of SSB/CSI-RS/CSI-IM resources configured to measure within a slot across all CCs in one frequency range for any of L1-RSRP measurement, L1-SINR measurement, pathloss measurement, BFD, RLM and new beam identification.- *maxNumberResAcrossCC-AcrossFR-r16* indicates maximum total number of SSB/CSI-RS/CSI-IM resources configured across all CCs in one frequency range for any of L1-RSRP measurement, L1-SINR measurement, pathloss measurement, BFD, RLM and new beam identification.gNB takes into conjunction of this feature and the features *maxTotalResourcesForOneFreqRange-r16****,*** *beamManagementSSB-CSI-RS, maxNumberCSI-RS-BFD, maxNumberSSB-BFD* and *maxNumberCSI-RS-SSB-CBD* when configuring SSB/CSI-RS/CSI-IM resources for beam management, pathloss measurement, BFD, RLM and new beam identification across frequency ranges. | UE | No | No | No |
| ***maxTotalResourcesForOneFreqRange-r16***Indicates the maximum total number of SSB/CSI-RS/CSI-IM resources for beam management, pathloss measurement, BFD, RLM and new beam identification for one frequency range that the UE supports.The capability signalling includes the following:*- maxNumberResWithinSlotAcrossCC-OneFR-r16* indicates maximum total number of SSB/CSI-RS/CSI-IM resources configured to measure within a slot across all CCs in one frequency range for any of L1-RSRP measurement, L1-SINR measurement, pathloss measurement, BFD, RLM and new beam identification*- maxNumberResAcrossCC-OneFR-r16* indicates maximum total number of SSB/CSI-RS/CSI-IM resources configured across all CCs in one frequency range for any of L1-RSRP measurement, L1-SINR measurement, pathloss measurement, BFD, RLM and new beam identification.gNB takes into conjunction of this feature and the features *beamManagementSSB-CSI-RS, maxNumberCSI-RS-BFD, maxNumberSSB-BFD* and *maxNumberCSI-RS-SSB-CBD* when configuring SSB/CSI-RS/CSI-IM resources for beam management, pathloss measurement, BFD, RLM and new beam identification across one frequency range.NOTE: For FR1 the reference SCS is 15 kHz, for FR2 the reference SCS is 60 kHz | UE | No | No | Yes |
| ***monitoringDCI-SameSearchSpace-r16***Indicates whether the UE supports monitoring both DCI format 0\_1/1\_1 and DCI format 0\_2/1\_2 in the same search space. If the UE supports this feature, the UE needs to report *dci-Format1-2And0-2-r16*. | UE | No | No | No |
| ***multipleCORESET***Indicates whether the UE supports configuration of more than one PDCCH CORESET per BWP in addition to the CORESET with CORESET-ID 0 in the BWP. It is mandatory with capability signaling for FR2 and optional for FR1. | UE | CY | No | Yes |
| ***mux-HARQ-ACK-PUSCH-DiffSymbol***Indicates whether the UE supports HARQ-ACK piggyback on a PUSCH with/without aperiodic CSI once per slot when the starting OFDM symbol of the PUSCH is different from the starting OFDM symbols of the PUCCH resource that HARQ-ACK would have been transmitted on. | UE | Yes | No | Yes |
| ***mux-MultipleGroupCtrlCH-Overlap***Indicates whether the UE supports more than one group of overlapping PUCCHs and PUSCHs per slot per PUCCH cell group for control multiplexing. | UE | No | No | Yes |
| ***mux-SR-HARQ-ACK-CSI-PUCCH-MultiPerSlot***Indicates whether the UE supports multiplexing SR, HARQ-ACK and CSI on a PUCCH or piggybacking on a PUSCH more than once per slot when SR, HARQ-ACK and CSI are supposed to be sent with the same or different starting symbol in a slot. | UE | No | No | Yes |
| ***mux-SR-HARQ-ACK-CSI-PUCCH-OncePerSlot****sameSymbol* indicates the UE supports multiplexing SR, HARQ-ACK and CSI on a PUCCH or piggybacking on a PUSCH once per slot, when SR, HARQ-ACK and CSI are supposed to be sent with the same starting symbols on the PUCCH resources in a slot. *diffSymbol* indicates the UE supports multiplexing SR, HARQ-ACK and CSI on a PUCCH or piggybacking on a PUSCH once per slot, when SR, HARQ-ACK and CSI are supposed to be sent with the different starting symbols in a slot. The UE is mandated to support the multiplexing and piggybacking features indicated by *sameSymbol* while the UE is optional to support the multiplexing and piggybacking features indicated by *diffSymbol*.If the UE indicates *sameSymbol* in this field and does not support *mux-HARQ-ACK-PUSCH-DiffSymbol*, the UE supports HARQ-ACK/CSI piggyback on PUSCH once per slot, when the starting OFDM symbol of the PUSCH is the same as the starting OFDM symbols of the PUCCH resource(s) that would have been transmitted on.If the UE indicates *sameSymbol* in this field and supports *mux-HARQ-ACK-PUSCH-DiffSymbol*, the UE supports HARQ-ACK/CSI piggyback on PUSCH once per slot for which case the starting OFDM symbol of the PUSCH is the different from the starting OFDM symbols of the PUCCH resource(s) that would have been transmitted on. | UE | FD | No | Yes |
| ***mux-SR-HARQ-ACK-PUCCH***Indicates whether the UE supports multiplexing SR and HARQ-ACK on a PUCCH or piggybacking on a PUSCH once per slot, when SR and HARQ-ACK are supposed to be sent with the different starting symbols in a slot. | UE | No | No | Yes |
| ***nzp-CSI-RS-IntefMgmt***Indicates whether the UE supports interference measurements using NZP CSI-RS. | UE | No | No | No |
| ***oneFL-DMRS-ThreeAdditionalDMRS-UL***Defines whether the UE supports DM-RS pattern for UL transmission with 1 symbol front-loaded DM-RS with three additional DM-RS symbols. | UE | No | No | Yes |
| ***oneFL-DMRS-TwoAdditionalDMRS-UL***Defines support of DM-RS pattern for UL transmission with 1 symbol front-loaded DM-RS with 2 additional DM-RS symbols and more than 1 antenna ports. | UE | Yes | No | Yes |
| ***onePortsPTRS***Defines whether UE supports PT-RS with 1 antenna port in DL reception and/or UL transmission. It is mandatory with UE capability signalling for FR2 and optional for FR1. The left most in the bitmap corresponds to DL reception and the right most bit in the bitmap corresponds to UL transmission. | UE | CY | No | Yes |
| ***onePUCCH-LongAndShortFormat***Indicates whether the UE supports transmission of one long PUCCH format and one short PUCCH format in TDM in the same slot. | UE | No | No | Yes |
| ***pCell-FR2***Indicates whether the UE supports PCell operation on FR2. | UE | Yes | No | FR2 only |
| ***pdcch-MonitoringSingleOccasion***Indicates whether the UE supports receiving PDCCH scrambled with C-RNTI or CS-RNTI in a search space configured to be monitored within a single span of any three contiguous OFDM symbols in a slot with the capability of supporting at least 44 blind decodes in a slot for 15 kHz subcarrier spacing. | UE | No | No | FR1 only |
| ***pdcch-BlindDetectionCA***Indicates PDCCH blind decoding capabilities supported by the UE for CA with more than 4 CCs as specified in TS 38.213 [11]. The field value is from 4 to 16.NOTE: FR1-FR2 differentiation is not allowed in this release, although the capability signalling is supported for FR1-FR2 differentiation. | UE | No | No | No |
| ***pdcch-BlindDetectionMCG-UE***Indicates PDCCH blind decoding capabilities supported for MCG when in NR DC. The field value is from 1 to 15. The UE sets the value in accordance with the constraints specified in TS 38.213 [11].Additionally, if the UE does not report *pdcch-BlindDetectionCA*, and if X is the maximum number of CCs supported by the UE across all NR-DC band combinations then there is at least one parameter pair (X1, X2) such that X1 + X2 = X and the UE supports at least one NR-DC band combination with X1 CCs in MCG and X2 CCs in SCG and for which X1 <= *pdcch-BlindDetectionMCG-UE* and X2 <= *pdcch-BlindDetectionSCG-UE*. | UE | No | No | Yes |
| ***pdcch-BlindDetectionSCG-UE***Indicates PDCCH blind decoding capabilities supported for SCG when in NR DC. The field value is from 1 to 15. The UE sets the value in accordance with the constraints specified in TS 38.213 [11].Additionally, if the UE does not report *pdcch-BlindDetectionCA*, and if X is the maximum number of CCs supported by the UE across all NR-DC band combinations then there is at least one parameter pair (X1, X2) such that X1 + X2 = X and the UE supports at least one NR-DC band combination with X1 CCs in MCG and X2 CCs in SCG and for which X1 <= *pdcch-BlindDetectionMCG-UE* and X2 <= *pdcch-BlindDetectionSCG-UE*. | UE | No | No | Yes |
| ***pdsch-256QAM-FR1***Indicates whether the UE supports 256QAM modulation scheme for PDSCH for FR1 as defined in 7.3.1.2 of TS 38.211 [6]. | UE | Yes | No | FR1 only |
| ***pdsch-MappingTypeA***Indicates whether the UE supports receiving PDSCH using PDSCH mapping type A with less than seven symbols. This field shall be set to *supported*. | UE | Yes | No | No |
| ***pdsch-MappingTypeB***Indicates whether the UE supports receiving PDSCH using PDSCH mapping type B. | UE | Yes | No | No |
| ***pdsch-RepetitionMultiSlots***Indicates whether the UE supports receiving PDSCH scheduled by DCI format 1\_1 when configured with higher layer parameter *pdsch-AggregationFactor* > 1, as defined in 5.1.2.1 of TS 38.214 [12]. | UE | No | No | No |
| ***pdsch-RE-MappingFR1-PerSymbol/pdsch-RE-MappingFR1-PerSlot***Indicates the maximum number of supported PDSCH Resource Element (RE) mapping patterns for FR1, each described as a resource (including NZP/ZP CSI-RS, CRS, CORESET and SSB) or bitmap. The number of patterns coinciding in a symbol in a CC and in a slot in a CC are limited by the respective capability parameters. Value n10 means 10 RE mapping patterns and n16 means 16 RE mapping patterns, and so on. The UE shall set the fields *pdsch-RE-MappingFR1-PerSymbol* and *pdsch-RE-MappingFR1-PerSlo*t to at least n10 and n16, respectively. In the exceptional case that the UE does not include the fields, the network may anyway assume that the UE supports the required minimum values. | UE | Yes | No | FR1 only |
| ***pdsch-RE-MappingFR2-PerSymbol/pdsch-RE-MappingFR2-PerSlot***Indicates the maximum number of supported PDSCH Resource Element (RE) mapping patterns for FR2, each described as a resource (including NZP/ZP CSI-RS, CORESET and SSB) or bitmap. The number of patterns coinciding in a symbol in a CC and in a slot in a CC are limited by the respective capability parameters. Value n6 means 6 RE mapping patterns and n16 means 16 RE mapping patterns, and so on. The UE shall set the fields *pdsch-RE-MappingFR2-PerSymbol* and *pdsch-RE-MappingFR2-PerSlo*t to at least n6 and n16, respectively. In the exceptional case that the UE does not include the fields, the network may anyway assume that the UE supports the required minimum values. | UE | Yes | No | FR2 only |
| ***precoderGranularityCORESET***Indicates whether the UE supports receiving PDCCH in CORESETs configured with CORESET-precoder-granularity equal to the size of the CORESET in the frequency domain as specified in TS 38.211 [6]. | UE | No | No | No |
| ***pre-EmptIndication-DL***Indicates whether the UE supports interrupted transmission indication for PDSCH reception based on reception of DCI format 2\_1 as defined in TS 38.213 [11]. | UE | No | No | No |
| ***pucch-F2-WithFH***Indicates whether the UE supports transmission of a PUCCH format 2 (2 OFDM symbols in total) with frequency hopping in a slot. This field shall be set to *supported*. | UE | Yes | No | Yes |
| ***pucch-F3-WithFH***Indicates whether the UE supports transmission of a PUCCH format 3 (4~14 OFDM symbols in total) with frequency hopping in a slot. This field shall be set to *supported*. | UE | Yes | No | Yes |
| ***pucch-F3-4-HalfPi-BPSK***Indicates whether the UE supports pi/2-BPSK for PUCCH format 3/4 as defined in 6.3.2.6 of TS 38.211 [6]. It is optional for FR1 and mandatory with capability signalling for FR2. This capability is not applicable to IAB-MT. | UE | CY | No | Yes |
| ***pucch-F4-WithFH***Indicates whether the UE supports transmission of a PUCCH format 4 (4~14 OFDM symbols in total) with frequency hopping in a slot. | UE | Yes | No | Yes |
| ***pusch-RepetitionMultiSlots***Indicates whether the UE supports transmitting PUSCH scheduled by DCI format 0\_1 when configured with higher layer parameter *pusch-AggregationFactor* > 1, as defined in clause 6.1.2.1 of TS 38.214 [12]. | UE | Yes | No | No |
| ***pucch-Repetition-F1-3-4***Indicates whether the UE supports transmission of a PUCCH format 1 or 3 or 4 over multiple slots with the repetition factor 2, 4 or 8. | UE | Yes | No | No |
| ***pusch-HalfPi-BPSK***Indicates whether the UE supports pi/2-BPSK modulation scheme for PUSCH as defined in 6.3.1.2 of TS 38.211 [6]. It is optional for FR1 and mandatory with capability signalling for FR2. This capability is not applicable to IAB-MT. | UE | CY | No | Yes |
| ***pusch-LBRM***Indicates whether the UE supports limited buffer rate matching in UL as specified in TS 38.212 [10]. | UE | No | No | Yes |
| ***pusch-RepetitionTypeA-r16***Indicates whether the UE supports PUSCH transmission with or without slot aggregation. Support of this field is reported for shared spectrum channel access and non-shared spectrum channel access, respectively. | UE | No | No | No |
| ***ra-Type0-PUSCH***Indicates whether the UE supports resource allocation Type 0 for PUSCH as specified in TS 38.214 [12]. | UE | No | No | No |
| ***rateMatchingCtrlResrcSetDynamic***Indicates whether the UE supports dynamic rate matching for DL control resource set. | UE | Yes | No | No |
| ***rateMatchingResrcSetDynamic***Indicates whether the UE supports receiving PDSCH with resource mapping that excludes the REs corresponding to resource sets configured with RB-symbol level granularity indicated by *bitmaps* (see *patternType* in *RateMatchPattern* in TS 38.331[9]) based on dynamic indication in the scheduling DCI as specified in TS 38.214 [12]. | UE | No | No | No |
| ***rateMatchingResrcSetSemi-Static***Indicates whether the UE supports receiving PDSCH with resource mapping that excludes the REs corresponding to resource sets configured with RB-symbol level granularity indicated by *bitmaps* and *controlResourceSet* (see *patternType* in *RateMatchPattern* in TS 38.331[9]) following the semi-static configuration as specified in TS 38.214 [12]. | UE | Yes | No | No |
| ***scs-60kHz***Indicates whether the UE supports 60kHz subcarrier spacing for data channel in FR1 as defined in clause 4.2-1 of TS 38.211 [6]. | UE | No | No | FR1 only |
| ***semiOpenLoopCSI***Indicates whether UE supports CSI reporting with report quantity set to 'CRI/RI/i1/CQI ' as defined in clause 5.2.1.4 of TS 38.214 [12]. | UE | No | No | Yes |
| ***semiStaticHARQ-ACK-Codebook***Indicates whether the UE supports HARQ-ACK codebook constructed by semi-static configuration. | UE | Yes | No | No |
| ***simultaneousTCI-ActMultipleCC-r16***Indicates the UE support of simultaneous TCI state activation across multiple CCs. If the UE indicates support of this for a FR, the UE shall support this on the supported bands of the indicated FR where the UE reports the support of TCI-states for PDSCH using *tci-StatePDSCH.* | UE | No | No | Yes |
| ***simultaneousSpatialRelationMultipleCC-r16***Indicates the UE support of simultaneous spatial relation across multiple CCs for aperiodic and semi-persistent SRS. The UE indicating support of this also indicates the capabilities of maximum and active supported spatial relations for the supported FR2 bands using *maxNumberConfiguredSpatialRelations* and *maxNumberActiveSpatialRelations.* | UE | No | No | FR2 only |
| ***spatialBundlingHARQ-ACK***Indicates whether the UE supports spatial bundling of HARQ-ACK bits carried on PUCCH or PUSCH per PUCCH group. With spatial bundling, two HARQ-ACK bits for a DL MIMO data is bundled into a single bit by logical "AND" operation. | UE | Yes | No | No |
| ***spatialRelationUpdateAP-SRS-r16***Indicates the UE support of spatial relation update for AP-SRS using MAC CE. The UE indicating support of this also indicates the capabilities of supported SRS resources and maximum supported spatial relations for the supported FR2 bands using *supportedSRS-Resources* and *maxNumberConfiguredSpatialRelations.* | UE | No | No | FR2 only |
| ***spCellPlacement***Indicates whether the UE supports a SpCell on FR1-FDD, FR1-TDD and/or FR2-TDD depending on which additional SCells of other frequency range(s) / duplex mode(s) are configured. It is applicable to NR SA and NR-DC (both MCG and SCG), where UL is configured on more than one of FR1-FDD, FR1-TDD and FR2-TDD in a cell group. If not included, the UE supports SpCell on any serving cell with UL in supported band combinations. | UE | No | No | No |
| ***sp-CSI-IM***Indicates whether the UE supports semi-persistent CSI-IM. | UE | No | No | Yes |
| ***sp-CSI-ReportPUCCH***Indicates whether UE supports semi-persistent CSI reporting using PUCCH formats 2, 3 and 4. | UE | No | No | No |
| ***sp-CSI-ReportPUSCH***Indicates whether UE supports semi-persistent CSI reporting using PUSCH. | UE | No | No | No |
| ***sp-CSI-RS***Indicates whether the UE supports semi-persistent CSI-RS. | UE | Yes | No | Yes |
| ***sps-ReleaseDCI-1-1-r16***Indicates whether the UE supports SPS release by DCI format 1\_1. If the UE supports this feature, the UE needs to report *downlinkSPS*. | UE | No | No | No |
| ***sps-ReleaseDCI-1-2-r16***Indicates whether the UE supports SPS release by DCI format 1\_2. If the UE supports this feature, the UE needs to report *downlinkSPS* and *dci-Format1-2And0-2-r16*. | UE | No | No | No |
| ***supportedDMRS-TypeDL***Defines supported DM-RS configuration types at the UE for DL reception. Type 1 is mandatory with capability signaling. Type 2 is optional. If this field is not included, Type 1 is supported. | UE | FD | No | Yes |
| ***supportedDMRS-TypeUL***Defines supported DM-RS configuration types at the UE for UL transmission. Support of both type 1 and type 2 is mandatory with capability signalling. If this field is not included, Type 1 is supported. | UE | FD | No | Yes |
| ***tdd-MultiDL-UL-SwitchPerSlot***Indicates whether the UE supports more than one switch points in a slot for actual DL/UL transmission(s). | UE | No | TDD only | Yes |
| ***tdd-PCellUL-TX-AllUL-Subframe-r16***Indicates whether the UE configured with *tdm-patternConfig-r16* can be semi-statically configured with LTE UL transmissions in all UL subframes not limited to the reference tdm-pattern (only for type 1 UE) in case of TDD PCell. UE indicating support can configure LTE TDD PCell with this feature on the band combination which indicates support of *tdm-restrictionTDD-endc-r16*. | UE | No | TDD only | FR1 only |
| ***tpc-PUCCH-RNTI***Indicates whether the UE supports group DCI message based on TPC-PUCCH-RNTI for TPC commands for PUCCH. | UE | No | No | Yes |
| ***tpc-PUSCH-RNTI***Indicates whether the UE supports group DCI message based on TPC-PUSCH-RNTI for TPC commands for PUSCH. | UE | No | No | Yes |
| ***tpc-SRS-RNTI***Indicates whether the UE supports group DCI message based on TPC-SRS-RNTI for TPC commands for SRS. | UE | No | No | Yes |
| ***twoDifferentTPC-Loop-PUCCH***Indicates whether the UE supports two different TPC loops for PUCCH closed loop power control. | UE | Yes | Yes | Yes |
| ***twoDifferentTPC-Loop-PUSCH***Indicates whether the UE supports two different TPC loops for PUSCH closed loop power control. | UE | Yes | Yes | Yes |
| ***twoFL-DMRS***Defines whether the UE supports DM-RS pattern for DL reception and/or UL transmission with 2 symbols front-loaded DM-RS without additional DM-RS symbols.The left most in the bitmap corresponds to DL reception and the right most bit in the bitmap corresponds to UL transmission. | UE | Yes | No | Yes |
| ***twoFL-DMRS-TwoAdditionalDMRS-UL***Defines whether the UE supports DM-RS pattern for UL transmission with 2 symbols front-loaded DM-RS with one additional 2 symbols DM-RS. | UE | Yes | No | Yes |
| ***twoPUCCH-AnyOthersInSlot***Indicates whether the UE supports transmission of two PUCCH formats in TDM in the same slot, which are not covered by *twoPUCCH-F0-2-ConsecSymbols* and *onePUCCH-LongAndShortFormat*. | UE | No | No | Yes |
| ***twoPUCCH-F0-2-ConsecSymbols***Indicates whether the UE supports transmission of two PUCCHs of format 0 or 2 in consecutive symbols in a slot. | UE | No | Yes | Yes |
| ***twoStepRACH-r16***Indicates whether the UE supports the following basic structure and procedure of 2-step RACH:- Fallback procedures from 2-step RA type to 4-step RA type;- MSGA PRACH resource and format determination;- MSGA PUSCH configuration;- Validation and transmission of MSGA PRACH and PUSCH;- Mapping between preamble of MSGA PRACH and PUSCH occasion with DMRS resource of MSGA PUSCH;- MSGB monitoring and decoding;- PUCCH transmission for HARQ-ACK feedback to a MSGB;- Power control for MSGA PRACH, MSGA PUSCH and PUCCH carrying HARQ-ACK feedback to MSGB.- Reconfiguration with sync using a contention free random access with 2-step RA type on MSGA PRACH and PUSCH resources that are associated with SSB resources of the target cell. | UE | No | No | No |
| ***type1-HARQ-ACK-Codebook-r16***Indicates whether the UE supports Type 1 HARQ-ACK codebook for TDRA using the starting symbol of the PDCCH monitoring occasion in which the DL assignment is detected as the reference of the SLIV. If the UE supports this feature, the UE needs to report *dci-Format1-2And0-2-r16*. Support for FR1/FR2 is differentiated from the viewpoint of the scheduled carrier. | UE | No | No | Yes |
| ***type1-PUSCH-RepetitionMultiSlots***Indicates whether the UE supports Type 1 PUSCH transmissions with configured grant as specified in TS 38.214 [12] with UL-TWG-repK value equal to 2, 4, or 8 with a single repetition of the transport block within each slot, and redundancy version pattern as indicated by UL-TWG-RV-rep. A UE supporting this feature shall also support Type 1 PUSCH transmissions with configured grant as specified in TS 38.214 [12] with UL-TWG-repK value of one. | UE | No | No | No |
| ***type2-CG-ReleaseDCI-0-1-r16***Indicates whether the UE supports type 2 configured grant release by DCI format 0\_1. If the UE supports this feature, the UE needs to report *configuredUL-GrantType2*. | UE | No | No | No |
| ***type2-CG-ReleaseDCI-0-2-r16***Indicates whether the UE supports type 2 configured grant release by DCI format 0\_2. If the UE supports this feature, the UE needs to report *configuredUL-GrantType2* and *dci-Format1-2And0-2-r16*. | UE | No | No | No |
| ***type2-HARQ-ACK-Codebook-r16***Indicates whether the UE supports Type 2 HARQ-ACK codebook when HARQ-ACK feedback in a codebook corresponds to more than one unicast DL DCI for same scheduled cell in a monitoring occasion of a scheduling cell using the PDSCH starting time in addition to the existing monitoring occasion and Cell index to order the HARQ-ACK feedback. | UE | No | No | No |
| ***type2-PUSCH-RepetitionMultiSlots***Indicates whether the UE supports Type 2 PUSCH transmissions with configured grant as specified in TS 38.214 [12] with UL-TWG-repK value equal to 2, 4, or 8 with a single repetition of the transport block within each slot, and redundancy version pattern as indicated by UL-TWG-RV-rep. A UE supporting this feature shall also support Type 2 PUSCH transmissions with configured grant as specified in TS 38.214 [12] with UL-TWG-repK value of one. | UE | No | No | No |
| ***type2-SP-CSI-Feedback-LongPUCCH***Indicates whether UE supports Type II CSI semi-persistent CSI reporting over PUCCH Formats 3 and 4 as defined in clause 5.2.4 of TS 38.214 [12]. | UE | No | No | No |
| ***uci-CodeBlockSegmentation***Indicates whether the UE supports segmenting UCI into multiple code blocks depending on the payload size. | UE | Yes | No | Yes |
| ***ul-64QAM-MCS-TableAlt***Indicates whether the UE supports the alternative 64QAM MCS table for PUSCH with and without transform precoding respectively. | UE | No | No | Yes |
| ***ul-SchedulingOffset***Indicates whether the UE supports UL scheduling slot offset (K2) greater than 12. | UE | Yes | Yes | Yes |