**3GPP TSG-RAN WG2 Meeting #112-e *R2-2011129***

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.331** | **CR** | **2021** | **rev** | **1** | **Current version:** | **16.2.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

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| ***Title:*** | Clarification on *referenceTimePreferenceReporting* in RRC Reconfiguration Procedure | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | CATT, Ericsson | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_IIOT-Core | | | | |  | ***Date:*** | | | 2020-11-10 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | 16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
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| ***Reason for change:*** | | 1) The description of *referenceTimePreferenceReporting* in clause 5.3.5.9 “Other configuration” is missing. It makes the description of RRC reconfiguration procedure incomplete.  2) Fix a residual typo in clause 5.7.4.2: *referenceTimeInfoInterestPreference* | | | | | | | | |
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| ***Summary of change:*** | | 1) Add description of *referenceTimePreferenceReporting* in clause 5.3.5.9 including turn on and turn off the configuration of *referenceTimePreferenceReporting*. Accordingly, remove the RRC configuration parameter “*referenceTimePreferenceReporting*” at initiation in clause 5.7.4.2.  2) *referenceTimeInfo~~Interest~~Preference*  **Impact analysis**  Impacted 5G architecture options:  Standalone and Non-Standalone  Impacted functionality:  UE reports a preference in being provisioned with reference time information.  Inter-operability:  If the network is implemented according to the CR and the UE is not, UE will not report *referenceTimeInfoPreference* even if gNB configured *referenceTimePreferenceReporting* to the UE.  If the UE is implemented according to the CR and the network is not, there is no interoperability issue. | | | | | | | | |
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| ***Consequences if not approved:*** | | 1) UE won’t report *referenceTimeInfoPreference* even if gNB configured *referenceTimePreferenceReporting* to the UE.  2) *referenceTimeInfoInterestPreference* is an undefined parameter | | | | | | | | |
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| ***Clauses affected:*** | | 5.3.5.9, 5.7.4.2 | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | | Rev 0: 1st version only addressing issue #1  Rev 1: 2nd version addressing issue #1 differently after email discussion [AT112-e][040][IIOT] RRC and UE cap Corrections (CATT) and also addressing issue #2. | | | | | | | | |

*Start of the 1st change*

#### 5.3.5.9 Other configuration

The UE shall:

1> if the received *otherConfig* includes the *delayBudgetReportingConfig*:

2> if *delayBudgetReportingConfig* is set to *setup*:

3> consider itself to be configured to send delay budget reports in accordance with 5.7.4;

2> else:

3> consider itself not to be configured to send delay budget reports and stop timer T342, if running.

1> if the received *otherConfig* includes the *overheatingAssistanceConfig*:

2> if *overheatingAssistanceConfig* is set to *setup*:

3> consider itself to be configured to provide overheating assistance information in accordance with 5.7.4;

2> else:

3> consider itself not to be configured to provide overheating assistance information and stop timer T345, if running;

1> if the received *otherConfig* includes the *idc-AssistanceConfig*:

2> if *idc-AssistanceConfig* is set to *setup*:

3> consider itself to be configured to provide IDC assistance information in accordance with 5.7.4;

2> else:

3> consider itself not to be configured to provide IDC assistance information;

1> if the received *otherConfig* includes the *drx-PreferenceConfig*:

2> if *drx-PreferenceConfig* is set to *setup*:

3> consider itself to be configured to provide its preference on DRX parameters for power saving for the cell group in accordance with 5.7.4;

2> else:

3> consider itself not to be configured to provide its preference on DRX parameters for power saving for the cell group and stop timer T346a associated with the cell group, if running;

1> if the received *otherConfig* includes the *maxBW-PreferenceConfig*:

2> if *maxBW-PreferenceConfig* is set to *setup*:

3> consider itself to be configured to provide its preference on the maximum aggregated bandwidth for power saving for the cell group in accordance with 5.7.4;

2> else:

3> consider itself not to be configured to provide its preference on the maximum aggregated bandwidth for power saving for the cell group and stop timer T346b associated with the cell group, if running;

1> if the received *otherConfig* includes the *maxCC-PreferenceConfig*:

2> if *maxCC-PreferenceConfig* is set to *setup*:

3> consider itself to be configured to provide its preference on the maximum number of secondary component carriers for power saving for the cell group in accordance with 5.7.4;

2> else:

3> consider itself not to be configured to provide its preference on the maximum number of secondary component carriers for power saving for the cell group and stop timer T346c associated with the cell group, if running;

1> if the received *otherConfig* includes the *maxMIMO-LayerPreferenceConfig*:

2> if *maxMIMO-LayerPreferenceConfig* is set to *setup*:

3> consider itself to be configured to provide its preference on the maximum number of MIMO layers for power saving for the cell group in accordance with 5.7.4;

2> else:

3> consider itself not to be configured to provide its preference on the maximum number of MIMO layers for power saving for the cell group and stop timer T346d associated with the cell group, if running;

1> if the received *otherConfig* includes the *minSchedulingOffsetPreferenceConfig*:

2> if *minSchedulingOffsetPreferenceConfig* is set to *setup*:

3> consider itself to be configured to provide its preference on the minimum scheduling offset for cross-slot scheduling for power saving for the cell group in accordance with 5.7.4;

2> else:

3> consider itself not to be configured to provide its preference on the minimum scheduling offset for cross-slot scheduling for power saving for the cell group and stop timer T346e associated with the cell group, if running;

1> if the received *otherConfig* includes the *releasePreferenceConfig*:

2> if *releasePreferenceConfig* is set to *setup*:

3> consider itself to be configured to provide assistance information to transition out of RRC\_CONNECTED in accordance with 5.7.4;

2> else:

3> consider itself not to be configured to provide assistance information to transition out of RRC\_CONNECTED and stop timer T346f, if running.

1> if the received *otherConfig* includes the *obtainCommonLocation*:

2> include available detailed location information for any subsequent measurement report or any subsequent RLF report, CEF report and SCGFailureInformation;

NOTE 1: The UE is requested to attempt to have valid detailed location information available whenever sending a measurement report for which it is configured to include available detailed location information. The UE may not succeed e.g. because the user manually disabled the GPS hardware, due to no/poor satellite coverage. Further details, e.g. regarding when to activate GNSS, are up to UE implementation.

1> if the received *otherConfig* includes the *BT-NameList*:

2> if *BT-NameList* is set to *setup*, include available Bluetooth measurement results for any subsequent measurement report or any subsequent RLF report, CEF report and SCGFailureInformation;

1> if the received *otherConfig* includes the *WLAN-NameList*:

2> if *WLAN-NameList* is set to *setup*, include available WLAN measurement results for any subsequent measurement report or any subsequent RLF report, CEF report and SCGFailureInformation;

NOTE 2: The UE is requested to attempt to have valid Bluetooth measurements and WLAN measurements whenever sending a measurement report for which it is configured to include these measurements. The UE may not succeed e.g. because the user manually disabled the WLAN or Bluetooth hardware. Further details, e.g. regarding when to activate WLAN or Bluetooth, are up to UE implementation.

1> if the received *otherConfig* includes the *Sensor-NameList*:

2> if *Sensor-NameList* is set to *setup*, include available Sensor measurement results for any subsequent measurement report or any subsequent RLF report, CEF report and SCGFailureInformation;

1> if the received *otherConfig* includes the *sl-AssistanceConfigNR*:

2> if *sl-AssistanceConfigNR* is set to true:

3> consider itself to be configured to provide configured grant assistance information for NR sidelink communication in accordance with 5.7.4;

2> else:

3> consider itself not to be configured to provide configured grant assistance information for NR sidelink communication;

1> if the received *otherConfig* includes the *referenceTimePreferenceReporting*:

2> consider itself to be configured to provide UE reference time assistance information in accordance with 5.7.4;

1> else:

2> consider itself not to be configured to provide UE reference time assistance information;

*End of the 1st change*

*Start of the 2nd change*

#### 5.7.4.2 Initiation

A UE capable of providing delay budget report in RRC\_CONNECTED may initiate the procedure in several cases, including upon being configured to provide delay budget report and upon change of delay budget preference.

A UE capable of providing overheating assistance information in RRC\_CONNECTED may initiate the procedure if it was configured to do so, upon detecting internal overheating, or upon detecting that it is no longer experiencing an overheating condition.

A UE capable of providing IDC assistance information in RRC\_CONNECTED may initiate the procedure if it was configured to do so, upon detecting IDC problem if the UE did not transmit an IDC assistance information since it was configured to provide IDC indications, or upon change of IDC problem information.

A UE capable of providing its preference on DRX parameters of a cell group for power saving in RRC\_CONNECTED may initiate the procedure in several cases, if it was configured to do so, including upon having a preference on DRX parameters and upon change of its preference on DRX parameters.

A UE capable of providing its preference on the maximum aggregated bandwidth of a cell group for power saving in RRC\_CONNECTED may initiate the procedure in several cases, if it was configured to do so, including upon having a maximum aggregated bandwidth preference and upon change of its maximum aggregated bandwidth preference.

A UE capable of providing its preference on the maximum number of secondary component carriers of a cell group for power saving in RRC\_CONNECTED may initiate the procedure in several cases, if it was configured to do so, including upon having a maximum number of secondary component carriers preference and upon change of its maximum number of secondary component carriers preference.

A UE capable of providing its preference on the maximum number of MIMO layers of a cell group for power saving in RRC\_CONNECTED may initiate the procedure in several cases, if it was configured to do so, including upon having a maximum number of MIMO layers preference and upon change of its maximum number of MIMO layers preference.

A UE capable of providing its preference on the minimum scheduling offset for cross-slot scheduling of a cell group for power saving in RRC\_CONNECTED may initiate the procedure in several cases, if it was configured to do so, including upon having a minimum scheduling offset preference and upon change of its minimum scheduling offset preference.

A UE capable of providing assistance information to transition out of RRC\_CONNECTED state may initiate the procedure if it was configured to do so, upon determining that it prefers to transition out of RRC\_CONNECTED state, or upon change of its preferred RRC state.

A UE capable of providing configured grant assistance information for NR sidelink communication in RRC\_CONNECTED may initiate the procedure in several cases, including upon being configured to provide traffic pattern information and upon change of traffic patterns.

A UE capable of providing an indication of its preference in being provisioned with reference time information may initiate the procedure upon being configured to provide this indication, or if it was configured to provide this indication and upon change of its preference.

Upon initiating the procedure, the UE shall:

1> if configured to provide delay budget report:

2> if the UE did not transmit a *UEAssistanceInformation* message with *delayBudgetReport* since it was configured to provide delay budget report; or

2> if the current delay budget is different from the one indicated in the last transmission of the *UEAssistanceInformation* message including *delayBudgetReport* and timer T342 is not running:

3> start or restart timer T342 with the timer value set to the *delayBudgetReportingProhibitTimer*;

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide a delay budget report;

1> if configured to provide overheating assistance information:

2> if the overheating condition has been detected and T345 is not running; or

2> if the current overheating assistance information is different from the one indicated in the last transmission of the *UEAssistanceInformation* message including *overheatingAssistance* and timer T345 is not running:

3> start timer T345 with the timer value set to the *overheatingIndicationProhibitTimer*;

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide overheating assistance information;

1> if configured to provide IDC assistance information:

2> if the UE did not transmit a *UEAssistanceInformation* message with *idc-Assistance* since it was configured to provide IDC assistance information:

3> if on one or more frequencies included in *candidateServingFreqListNR*, the UE is experiencing IDC problems that it cannot solve by itself; or

3> if on one or more supported UL CA combination comprising of carrier frequencies included in *candidateServingFreqListNR*, the UE is experiencing IDC problems that it cannot solve by itself:

4> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide IDC assistance information;

2> else if the current IDC assistance information is different from the one indicated in the last transmission of the *UEAssistanceInformation* message:

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide IDC assistance information;

NOTE 1: The term "IDC problems" refers to interference issues applicable across several subframes/slots where not necessarily all the subframes/slots are affected.

NOTE 2: For the frequencies on which a serving cell or serving cells is configured that is activated, IDC problems consist of interference issues that the UE cannot solve by itself, during either active data exchange or upcoming data activity which is expected in up to a few hundred milliseconds.  
For frequencies on which a SCell or SCells is configured that is deactivated, reporting IDC problems indicates an anticipation that the activation of the SCell or SCells would result in interference issues that the UE would not be able to solve by itself.  
For a non-serving frequency, reporting IDC problems indicates an anticipation that if the non-serving frequency or frequencies became a serving frequency or serving frequencies then this would result in interference issues that the UE would not be able to solve by itself.

1> if configured to provide its preference on DRX parameters of a cell group for power saving:

2> if the UE has a preference on DRX parameters of the cell group and the UE did not transmit a *UEAssistanceInformation* message with *drx-Preference* for the cell group since it was configured to provide its preference on DRX parameters of the cell group for power saving; or

2> if the current *drx-Preference* information for the cell group is different from the one indicated in the last transmission of the *UEAssistanceInformation* message including *drx-Preference* for the cell group and timer T346a associated with the cell group is not running:

3> start the timer T346a with the timer value set to the *drx-PreferenceProhibitTimer* of the cell group;

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide the current *drx-Preference*;

1> if configured to provide its preference on the maximum aggregated bandwidth of a cell group for power saving:

2> if the UE has a preference on the maximum aggregated bandwidth of the cell group and the UE did not transmit a *UEAssistanceInformation* message with *maxBW-Preference* for the cell group since it was configured to provide its preference on the maximum aggregated bandwidth of the cell group for power saving; or

2> if the current *maxBW-Preference* information for the cell group is different from the one indicated in the last transmission of the *UEAssistanceInformation* message including *maxBW-Preference* for the cell group and timer T346b associated with the cell group is not running:

3> start the timer T346b with the timer value set to the *maxBW-PreferenceProhibitTimer* of the cell group;

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide the current *maxBW-Preference*;

1> if configured to provide its preference on the maximum number of secondary component carriers of a cell group for power saving:

2> if the UE has a preference on the maximum number of secondary component carriers of the cell group and the UE did not transmit a *UEAssistanceInformation* message with *maxCC-Preference* for the cell group since it was configured to provide its preference on the maximum number of secondary component carriers of the cell group for power saving; or

2> if the current *maxCC-Preference* information for the cell group is different from the one indicated in the last transmission of the *UEAssistanceInformation* message including *maxCC-Preference* for the cell group and timer T346c associated with the cell group is not running:

3> start the timer T346c with the timer value set to the *maxCC-PreferenceProhibitTimer* of the cell group;

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide the current *maxCC-Preference*;

1> if configured to provide its preference on the maximum number of MIMO layers of a cell group for power saving:

2> if the UE has a preference on the maximum number of MIMO layers of the cell group and the UE did not transmit a *UEAssistanceInformation* message with *maxMIMO-LayerPreference* for the cell group since it was configured to provide its preference on the maximum number of MIMO layers of the cell group for power saving; or

2> if the current *maxMIMO-LayerPreference* information for the cell group is different from the one indicated in the last transmission of the *UEAssistanceInformation* message including *maxMIMO-LayerPreference* for the cell group and timer T346d associated with the cell group is not running:

3> start the timer T346d with the timer value set to the *maxMIMO-LayerPreferenceProhibitTimer* of the cell group;

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide the current *maxMIMO-LayerPreference*;

1> if configured to provide its preference on the minimum scheduling offset for cross-slot scheduling of a cell group for power saving:

2> if the UE has a preference on the minimum scheduling offset for cross-slot scheduling of the cell group and the UE did not transmit a *UEAssistanceInformation* message with *minSchedulingOffsetPreference* for the cell group since it was configured to provide its preference on the minimum scheduling offset for cross-slot scheduling of the cell group for power saving; or

2> if the current *minSchedulingOffsetPreference* information for the cell group is different from the one indicated in the last transmission of the *UEAssistanceInformation* message including *minSchedulingOffsetPreference* for the cell group and timer T346e associated with the cell group is not running:

3> start the timer T346e with the timer value set to the *minSchedulingOffsetPreferenceProhibitTimer* of the cell group;

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide the current *minSchedulingOffsetPreference*;

1> if configured to provide its release preference and timer T346f is not running:

2> if the UE determines that it would prefer to transition out of RRC\_CONNECTED state; or

2> if the UE is configured with *connectedReporting* and the UE determines that it would prefer to revert an earlier indication to transition out of RRC\_CONNECTED state:

3> start timer T346f with the timer value set to the *releasePreferenceProhibitTimer*;

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide the release preference;

1> if configured to provide configured grant assistance information for NR sidelink communication:

2> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide configured grant assistance information for NR sidelink communication;

1> if configured to provide preference in being provisioned with reference time information:

2> if the UE did not transmit a *UEAssistanceInformation* message with *referenceTimeInfoPreference* since it was configured to provide preference; or

2> if the UE's preference changed from the last time UE initiated transmission of the *UEAssistanceInformation* message including *referenceTimeInfoPreference*:

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide preference in being provisioned with reference time information.

*End of the 2nd change*