**P.S.3GPP TSG-RAN WG2 Meeting #112e *R2-201xxxx***

**Electronic Meeting, 2nd – 13th November, 2020**

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| *CR-Form-v12.1* |
| **CHANGE REQUEST** |
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|  | **38.331** | **CR** |  | **rev** | **2** | **Current version:** | **16.2.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:***  | UE behaviour when UL 7.5KHz shift is not supported |
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| ***Source to WG:*** | Ericsson |
| ***Source to TSG:*** | R2 |
|  |  |
| ***Work item code:*** | NR\_n48\_LTE\_48\_coex-Core |  | ***Date:*** | 2020-10-22 |
|  |  |  |  |  |
| ***Category:*** | **F** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)* |
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| ***Reason for change:*** | According to the LS from RAN4 in R4-2011746, the UL 7.5kHz shift is a mandatory UE feature for FDD and SUL bands. In addition to this, for enabling dynamic spectrum sharing in NR TDD bands (i.e. band n48, n38 and n40), it has been agreed that UL 7.5kHz shift is mandatory only for 15kHz SCS and is not supported for 30kHz SCS for NR TDD band n48, n38 and n40. Further, according to the RAN4 LS, if the network enables UL 7.5kHz shift, but the UE does not support it, the understanding is that a UE should avoid camping on this cell and consider this cell as barred. Regarding this aspect, according to current TS 38.331, if the network configures the UL 7.5KHz shift for a UE that does not support it, the UE will fail to perform random access and return to RRC\_IDLE or perform a cell reselection for selecting a different cell. the UL 7.5KHz shift. Even this it may look as a final solution, there may be some backward compatibilities issue in case new bands are introduced in later releases for supporting the UL 7.5KHz shift. For this reason, it would good to clarify in this specification what the UE behaviour should be when the network configures the UL 7.5KHz shift and the UE does not support it. |
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| ***Summary of change:*** | Section 5.3.3.4.2- Clarified that if the network does not indicate frequencyShift7p5khz or if the UE supports 7.5 kHz frequency shift on this band the UE should not conside the cell as barred.**Impact Analysis**Impacted 5G architecture options: NR SA, (NG)EN-DC, NE-DC, NR-DC Impacted functionality: UL BWP configurationInter-operability:1. If the network is implemented according to the CR and the UE is not, the UE behaviour when the network configures the UL 7.5KHz shift and the UE does not support it will remain unclear.2. If the UE is implemented according to the CR and the network is not, there is no inter-operability issue.Early implementation of this CR by Rel-15 UEs does not cause any inter-operability issues. |
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| ***Consequences if not approved:*** | If the CR is not approved, the UE behaviour when the network configures the UL 7.5KHz shift and the UE does not support it will remain unclear.  |
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| ***Clauses affected:*** | 5.2.2.4.2 |
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|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ... |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
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| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

*START OF CHANGE*

##### 5.2.2.4.2 Actions upon reception of the *SIB1*

Upon receiving the *SIB1* the UE shall:

1> store the acquired *SIB1*;

1> if the *cellAccessRelatedInfo* contains an entry with the *PLMN-Identity* of the selected PLMN:

2> in the remainder of the procedures use *plmn-IdentityList*, *trackingAreaCode*, and *cellIdentity* for the cell as received in the corresponding *PLMN-IdentityInfo* containing the selected PLMN;

1> if the *cellAccessRelatedInfo* contains an entry of *npn-IdentityInfoList* with the NPN identity of the selected PLMN or SNPN:

2> in the remainder of the procedures use *npn-IdentityList*, *trackingAreaCode*, and *cellIdentity* for the cell as received in the corresponding entry of *npn-IdentityInfoList* containing the selected PLMN or SNPN;

1> if in RRC\_CONNECTED while T311 is not running:

2> disregard the *frequencyBandList*, if received, while in RRC\_CONNECTED;

2> forward the *cellIdentity* to upper layers;

2> forward the *trackingAreaCode* to upper layers;

2> forward the received *posSIB-MappingInfo* to upper layers, if included;

2> apply the configuration included in the *servingCellConfigCommon*;

2> if the UE has a stored valid version of a SIB or posSIB, in accordance with sub-clause 5.2.2.2.1, that the UE requires to operate within the cell in accordance with sub-clause 5.2.2.1:

3> use the stored version of the required SIB or posSIB;

2> else:

3> acquire the required SIB or posSIB requested by upper layer as defined in sub-clause 5.2.2.3.5;

NOTE: Void.

1> else:

2> if the UE supports one or more of the frequency bands indicated in the *frequencyBandList* for downlink for TDD, or one or more of the frequency bands indicated in the *frequencyBandList* for uplink for FDD, and they are not downlink only bands, and

2> if the UE supports at least one *additionalSpectrumEmission* in the *NR-NS-PmaxList* for a supported band in the downlink for TDD, or a supported band in uplink for FDD, and

2> if the UE supports an uplink channel bandwidth with a maximum transmission bandwidth configuration (see TS 38.101-1 [15] and TS 38.101-2 [39]) which

- is smaller than or equal to the *carrierBandwidth* (indicated in *uplinkConfigCommon* for the SCS of the initial uplink BWP), and which

- is wider than or equal to the bandwidth of the initial uplink BWP, and

2> if the UE supports a downlink channel bandwidth with a maximum transmission bandwidth configuration (see TS 38.101-1 [15] and TS 38.101-2 [39]) which

- is smaller than or equal to the *carrierBandwidth* (indicated in *downlinkConfigCommon* for the SCS of the initial downlink BWP), and which

- is wider than or equal to the bandwidth of the initial downlink BWP, and

2> if the network does not indicate *frequencyShift7dot5khz* or if the UE supports 7.5 kHz frequency shift on this band:

3> if *trackingAreaCode* is not provided for the selected PLMN nor the registered PLMN nor PLMN of the equivalent PLMN list:

4> consider the cell as barred in accordance with TS 38.304 [20];

4> if *intraFreqReselection* is set to notAllowed:

5> consider cell re-selection to other cells on the same frequency as the barred cell as not allowed, as specified in TS 38.304 [20];

4> else:

5> consider cell re-selection to other cells on the same frequency as the barred cell as allowed, as specified in TS 38.304 [20];

3> else if UE is IAB-MT and if *iab-Support* is not provided for the selected PLMN nor the registered PLMN nor PLMN of the equivalent PLMN list nor the selected SNPN nor the registered SNPN:

4> consider the cell as barred for IAB-MT in accordance with TS 38.304 [20];

3> else:

4> apply a supported uplink channel bandwidth with a maximum transmission bandwidth which

- is contained within the *carrierBandwidth* indicated in *uplinkConfigCommon* for the SCS of the initial uplink BWP, and which

- is wider than or equal to the bandwidth of the initial BWP for the uplink;

4> apply a supported downlink channel bandwidth with a maximum transmission bandwidth which

- is contained within the *carrierBandwidth* indicated in *downlinkConfigCommon* for the SCS of the initial downlink BWP, and which

- is wider than or equal to the bandwidth of the initial BWP for the downlink;

4> select the first frequency band in the *frequencyBandList*, for FDD from *frequencyBandList* for uplink, or for TDD from *frequencyBandList* for downlink,which the UE supports and for which the UE supports at least one of the *additionalSpectrumEmission* values in *nr-NS-PmaxList*, if present;

4> forward the *cellIdentity* to upper layers;

4> forward the *trackingAreaCode* to upper layers;

4> forward the received *posSIB-MappingInfo* to upper layers, if included;

4> forward the PLMN identity or SNPN identity or PNI-NPN identity to upper layers;

4> if in RRC\_INACTIVE and the forwarded information does not trigger message transmission by upper layers:

5> if the serving cell does not belong to the configured *ran-NotificationAreaInfo*:

6> initiate an RNA update as specified in 5.3.13.8;

4> forward the *ims-EmergencySupport* to upper layers, if present;

4> forward the *eCallOverIMS-Support* to upper layers, if present;

4> forward the *uac-AccessCategory1-SelectionAssistanceInfo* to upper layers, if present;

4> apply the configuration included in the *servingCellConfigCommon*;

4> apply the specified PCCH configuration defined in 9.1.1.3;

4> if the UE has a stored valid version of a SIB, in accordance with sub-clause 5.2.2.2.1, that the UE requires to operate within the cell in accordance with sub-clause 5.2.2.1:

5> use the stored version of the required SIB;

4> if the UE has not stored a valid version of a SIB, in accordance with sub-clause 5.2.2.2.1, of one or several required SIB(s), in accordance with sub-clause 5.2.2.1:

5> for the SI message(s) that, according to the *si-SchedulingInfo*, contain at least one required SIB and for which *si-BroadcastStatus* is set to broadcasting:

6> acquire the SI message(s) as defined in sub-clause 5.2.2.3.2;

5> for the SI message(s) that, according to the *si-SchedulingInfo*, contain at least one required SIB and for which *si-BroadcastStatus* is set to *notBroadcasting*:

6> trigger a request to acquire the SI message(s) as defined in sub-clause 5.2.2.3.3;

4> if the UE has received request from upper layers:

5> for the SI message(s) that, according to the *posSI-SchedulingInfo*, contain at least one requested posSIB and for which *posSI-BroadcastStatus* is set to *broadcasting*:

6> acquire the SI message(s) as defined in sub-clause 5.2.2.3.2;

5> for the SI message(s) that, according to the *posSI-SchedulingInfo*, contain at least one requested posSIB for which *posSI-BroadcastStatus* is set to *notBroadcasting*:

6> trigger a request to acquire the SI message(s) as defined in sub-clause 5.2.2.3.3a;

4> apply the first listed *additionalSpectrumEmission* which it supports among the values included in *NR-NS-PmaxList* within *frequencyBandList* in *uplinkConfigCommon* for FDD or in *downlinkConfigCommon* for TDD;

4> if the *additionalPmax* is present in the same entry of the selected *additionalSpectrumEmission* within *NR-NS-PmaxList*:

5> apply the *additionalPmax* for UL;

4> else:

5> apply the *p-Max* in *uplinkConfigCommon* for UL;

4> if supplementaryUplink is present in servingCellConfigCommon; and

4> if the UE supports one or more of the frequency bands indicated in the *frequencyBandList* of supplementary uplink; and

4> if the UE supports at least one *additionalSpectrumEmission* in the *NR-NS-PmaxList* for a supported supplementary uplink band; and

4> if the UE supports an uplink channel bandwidth with a maximum transmission bandwith configuration (see TS 38.101-1 [15] and TS 38.101-2 [39]) which

- is smaller than or equal to the carrierBandwidth (indicated in supplementaryUplink for the SCS of the initial uplink BWP), and which

- is wider than or equal to the bandwidth of the initial uplink BWP of the SUL:

5> consider supplementary uplink as configured in the serving cell;

5> select the first frequency band in the *frequencyBandList* of supplementary uplink which the UE supports and for which the UE supports at least one of the *additionalSpectrumEmission* values in *nr-NS-PmaxList*, if present;

5> apply a supported supplementary uplink channel bandwidth with a maximum transmission bandwidth which

- is contained withn the carrierBandwidth (indicated in supplementaryUplink for the SCS of the initial uplink BWP), and which

- is wider than or equal to the bandwidth of the initial BWP of the SUL;

5> apply the first listed *additionalSpectrumEmission* which it supports among the values included in *NR-NS-PmaxList* within *frequencyBandList* for the *supplementaryUplink*;

5> if the *additionalPmax* is present in the same entry of the selected *additionalSpectrumEmission* within *NR-NS-PmaxList* for the *supplementaryUplink*:

6> apply the additionalPmax in supplementaryUplink for SUL;

5> else:

6> apply the *p-Max* in *supplementaryUplink* for SUL;

2> else:

3> consider the cell as barred in accordance with TS 38.304 [20]; and

3> perform barring as if *intraFreqReselection* is set to *notAllowed*;

*END OF CHANGE*