**3GPP TSG-RAN WG2 Meeting #112-e *R2-20xxxxx***

**Electronic meeting, 2nd - 13th November, 2020**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.306** | **CR** | **0452** | **rev** | **1** | **Current version:** | **15.11.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
|  | | | | | | | | |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | | | | | | | | | | |
| ***Title:*** | Removing contradiction on number of FSpUCC and FSpDCC | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Ericsson, Nokia, Nokia Shanghai-Bell | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_newRAT-Core | | | | |  | ***Date:*** | | | 2020-10-13 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-15 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | RRC describes the following for FSpUCC and FSpDCC:  ***featureSetListPerDownlinkCC***  Indicates which features the UE supports on the individual DL carriers of the feature set (and hence of a band entry that refer to the feature set). The UE shall hence include at least as many *FeatureSetDownlinkPerCC-Id* in this list as the number of carriers it supports according to the *ca-BandwidthClassDL*, except if indicating additional functionality by reducing the number of *FeatureSetDownlinkPerCC-Id* in the feature set (see NOTE 1 in *FeatureSetCombination* IE description). The order of the elements in this list is not relevant, i.e., the network may configure any of the carriers in accordance with any of the *FeatureSetDownlinkPerCC-Id* in this list.  The above clarifies that the number of FSpUCC and FSpDCC may be more, or less than the number of carriers the UE supports. However, 306 says:  The UE shall hence include as many *FeatureSetDownlinkPerCC-Id* in this list as the number of carriers it supports according to the *ca-bandwidthClassDL.*  There is an contradiction between 38.306 and 38.331 which needs to be resolved.  The correct behaviour is that the UE is allowed to include fewer FSpDCC and FSpUCC than the number of carriers the UE supports, for the case when UE wants to indicate additional functionality when fewer number of CCs are used.  To resolve the contradiction we suggest to remove from 38.306 the description of the restrictions and rules for FSpUCC and FSpDCC and instead keep them only in 38.331.  **Impact analysis**  Impacted 5G architecture options: Standalone, EN-DC, NGEN-DC, NE-DC, NR-DC  Impacted functionality: Capability signalling  Inter-operability: There are no inter-operability issues. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Remove from 38.306 the description of the restrictions and rules for FSpUCC and FSpDCC and instead keep them only in 38.331. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | 38.306 and 38.331 are contradicting and UE behaviour unclear. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 4.2.7.5, 4.2.7.7 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | | **X** |  | Other core specifications | | | | TS 38.331 CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | | 1. This CR was revised to remove from 38.306 the parts which contradict 38.331. | | | | | | | | |

Omitted unchanged parts

#### 4.2.7.5 *FeatureSetDownlink* parameters

| Definitions for parameters | Per | M | FDD-TDD  DIFF | FR1-FR2  DIFF |
| --- | --- | --- | --- | --- |
| ***additionalDMRS-DL-Alt***  Indicates whether the UE supports the alternative additional DMRS position for co-existence with LTE CRS. It is applied to 15kHz SCS and one additional DMRS case only. | FS | No | N/A | FR1 only |
| ***crossCarrierScheduling-OtherSCS***  Indicates whether the UE supports cross carrier scheduling for the different numerologies with carrier indicator field (CIF) in DL carrier aggregation where numerologies for the scheduling cell and scheduled cell are different.  NOTE: Cross-carrier scheduling with different numerologies is not supported in this release of specification. | FS | No | N/A | N/A |
| ***csi-RS-MeasSCellWithoutSSB***  Defines whether the UE can perform CSI-RSRP and CSI-RSRQ measurement as specified in TS 38.215 [13], where CSI-RS resource is configured for a cell that does not transmit SS/PBCH block. A UE that supports this feature shall also support scellWithoutSSB. | FS | No | N/A | N/A |
| ***dl-MCS-TableAlt-DynamicIndication***  Indicates whether the UE supports dynamic indication of MCS table for PDSCH. | FS | No | N/A | N/A |
| ***featureSetListPerDownlinkCC***  Indicates which features the UE supports on the individual DL carriers of the feature set (and hence of a band entry that refer to the feature set) by *FeatureSetDownlinkPerCC-Id*. The order of the elements in this list is not relevant, i.e., the network may configure any of the carriers in accordance with any of the *FeatureSetDownlinkPerCC-Id* in this list. A fallback per CC feature set resulting from the reported feature set per DL CC is not signalled but the UE shall support it. | FS | N/A | N/A | N/A |
| ***intraBandFreqSeparationDL***  Indicates DL frequency separation class the UE supports, which indicates a maximum frequency separation between lower edge of lowest CC and upper edge of highest CC in a frequency band, for intra-band non-contiguous CA. The UE sets the same value in the FeatureSetDownlink of each band entry within a band. The values c1, c2 and c3 correspond to the values defined in TS 38.101-2 [3]. It is mandatory to report for UE which supports DL intra-band non-contiguous CA in FR2. | FS | CY | N/A | FR2 only |
| ***oneFL-DMRS-ThreeAdditionalDMRS-DL***  Defines whether the UE supports DM-RS pattern for DL transmission with 1 symbol front-loaded DM-RS with three additional DM-RS symbols. | FS | No | N/A | N/A |
| ***oneFL-DMRS-TwoAdditionalDMRS-DL***  Defines support of DM-RS pattern for DL transmission with 1 symbol front-loaded DM-RS with 2 additional DM-RS symbols and more than 1 antenna ports. | FS | Yes | N/A | N/A |
| ***pdcch-MonitoringAnyOccasions***  Defines the supported PDCCH search space monitoring occasions. withoutDCI-gap indicates whether the UE supports PDCCH search space monitoring occasions in any symbol of the slot for Type 1-PDCCH common search space configured by dedicated RRC signaling, for a Type 3-PDCCH common search space, or for a UE-specific search space with the capability of supporting at least 44, 36, 22, and 20 blind decodes in a slot for 15 kHz, 30 kHz, 60kHz, and 120 kHz subcarrier spacing values respectively. withDCI-gap indicates whether the UE supports PDCCH search space monitoring occasions in any symbol of the slot with minimum time separation of two OFDM symbols for 15 kHz, four OFDM symbols for 30 kHz, seven OFDM symbols for 60 kHz with NCP, and 14OFDM symbols for 120kHz between two consecutive transmissions of PDCCH scrambled with C-RNTI, MCS-C-RNTI, or CS-RNTI for Type 1-PDCCH common search space configured by dedicated RRC signaling, for a Type 3-PDCCH common search space, or for a UE-specific search space, with the capability of supporting at least 44, 36, 22, and 20 blind decodes in a slot for 15 kHz, 30 kHz, 60kHz, and 120 kHz subcarrier spacing values respectively. | FS | No | N/A | N/A |
| ***pdcch-MonitoringAnyOccasionsWithSpanGap***  Indicates whether the UE supports PDCCH search space monitoring occasions in any symbol of the slot with minimum time separation between two consecutive transmissions of PDCCH with span up to two OFDM symbols for two OFDM symbols or span up to three OFDM symbols for four and seven OFDM symbols. Value set1 indicates the supported value set (X,Y) is (7,3), value set2 indicates the supported value set (X,Y) is (4,3) and (7,3) and value set 3 indicates the supported value set (X,Y) is (2,2), (4,3) and (7,3). | FS | No | N/A | N/A |
| ***pdsch-ProcessingType1-DifferentTB-PerSlot***  Defines whether the UE capable of processing time capability 1 supports reception of up to two, four or seven unicast PDSCHs for several transport blocks with PDSCH scrambled using C-RNTI, TC-RNTI, or CS-RNTI in one serving cell within the same slot per CC that are multiplexed in time domain only.  Note PDSCH(s) for Msg.4 is included. | FS | No | N/A | N/A |
| ***pdsch-ProcessingType2***  Indicates whether the UE supports PDSCH processing capability 2. The UE supports it only if all serving cells are self-scheduled and if all serving cells in one band on which the network configured processingType2 use the same subcarrier spacing. This capability signalling comprises the following parameters for each sub-carrier spacing supported by the UE.  - *fallback* indicates whether the UE supports PDSCH processing capability 2 when the number of configured carriers is larger than *numberOfCarriers* for a reported value of *differentTB-PerSlot*. If *fallback* = 'sc', UE supports capability 2 processing time on lowest cell index among the configured carriers in the band where the value is reported, if *fallback* = 'cap1-only', UE supports only capability 1, in the band where the value is reported;  - *differentTB-PerSlot* indicates whether the UE supports processing type 2 for 1, 2, 4 and/or 7 unicast PDSCHs for different transport blocks per slot per CC; and if so, it indicates up to which number of CA serving cells the UE supports that number of unicast PDSCHs for different TBs. The UE shall include at least one of *numberOfCarriers* for 1, 2, 4 or 7 transport blocks per slot in this field if *pdsch-ProcessingType2* is indicated. | FS | No | N/A | FR1 only |
| ***pdsch-ProcessingType2-Limited***  Indicates whether the UE supports PDSCH processing capability 2 with scheduling limitation for SCS 30kHz. This capability signalling comprises the following parameter.  - *differentTB-PerSlot-SCS-30kHz* indicates the number of different TBs per slot.  The UE supports this limited processing capability 2 only if:  1) One carrier is configured in the band, independent of the number of carriers configured in the other bands;  2) The maximum bandwidth of PDSCH is 136 PRBs;  3) N1 based on Table 5.3-2 of TS 38.214 [12] for SCS 30 kHz. | FS | No | N/A | FR1 only |
| ***pdsch-SeparationWithGap***  Indicates whether the UE supports separation of two unicast PDSCHs with a gap, applicable to Sub-carrier spacings of 30 kHz and 60 kHz only. For any two consecutive slots n and n+1, if there are more than 1 unicast PDSCH in either slot, the minimum time separation between starting time of any two unicast PDSCHs within the duration of these slots is 4 OFDM symbols for 30kHz and 7 OFDM symbols for 60kHz. | FS | No | N/A | N/A |
| ***scalingFactor***  Indicates the scaling factor to be applied to the band in the max data rate calculation as defined in 4.1.2. Value f0p4 indicates the scaling factor 0.4, f0p75 indicates 0.75, and so on. If absent, the scaling factor 1 is applied to the band in the max data rate calculation. | FS | No | N/A | N/A |
| ***scellWithoutSSB***  Defines whether the UE supports configuration of SCell that does not transmit SS/PBCH block. This is conditionally mandatory with capability signalling for intra-band CA but not supported for inter-band CA. | FS | CY | N/A | N/A |
| ***searchSpaceSharingCA-DL***  Defines whether the UE supports DL PDCCH search space sharing for carrier aggregation operation. | FS | No | N/A | N/A |
| ***supportedSRS-Resources***  Defines support of SRS resources for SRS carrier switching for a band without associated FeatureSetuplink. The capability signalling comprising indication of:  - *maxNumberAperiodicSRS-PerBWP* indicates supported maximum number of aperiodic SRS resources that can be configured for the UE per each BWP  - *maxNumberAperiodicSRS-PerBWP-PerSlot* indicates supported maximum number of aperiodic SRS resources per slot in the BWP  - *maxNumberPeriodicSRS-PerBWP* indicates supported maximum number of periodic SRS resources per BWP  - *maxNumberPeriodicSRS-PerBWP-PerSlot* indicates supported maximum number of periodic SRS resources per slot in the BWP  - *maxNumberSemiPersistentSRS-PerBWP* indicate supported maximum number of semi-persistent SRS resources that can be configured for the UE per each BWP  - *maxNumberSemiPersistentSRS-PerBWP-PerSlot* indicates supported maximum number of semi-persistent SRS resources per slot in the BWP  - *maxNumberSRS-Ports-PerResource* indicates supported maximum number of SRS antenna port per each SRS resource  If the UE indicates the support of srs-CarrierSwitch for this band and this field is absent, the UE suports one periodic, one aperiodic, no semi-persistent SRS resources per BWP per slot and one SRS antenna port per SRS resource. | FS | FD | N/A | N/A |
| ***timeDurationForQCL***  Defines minimum number of OFDM symbols required by the UE to perform PDCCH reception and applying spatial QCL information received in DCI for PDSCH processing as described in TS 38.214 [12] clause 5.1.5. UE shall indicate one value of the minimum number of OFDM symbols per each subcarrier spacing of 60kHz and 120kHz. | FS | Yes | N/A | FR2 only |
| ***twoFL-DMRS-TwoAdditionalDMRS-DL***  Defines whether the UE supports DM-RS pattern for DL transmission with 2 symbols front-loaded DM-RS with one additional 2 symbols DM-RS. | FS | No | N/A | N/A |
| ***type1-3-CSS***  Defines whether the UE is able to receive PDCCH in FR2 in a Type1-PDCCH common search space configured by dedicated RRC signaling, in a Type3-PDCCH common search space or a UE-specific search space if those are associated with a CORESET with a duration of 3 symbols. | FS | Yes | N/A | FR2 only |
| ***ue-SpecificUL-DL-Assignment***  Indicates whether the UE supports dynamic determination of UL and DL link direction and slot format based on Layer 1 scheduling DCI and higher layer configured parameter UL-DL-configuration-dedicated as specified in TS 38.213 [11]. | FS | No | N/A | N/A |

Omitted unchanged parts

#### 4.2.7.7 *FeatureSetUplink* parameters

| Definitions for parameters | Per | M | FDD-TDD  DIFF | FR1-FR2  DIFF |
| --- | --- | --- | --- | --- |
| ***scalingFactor***  Indicates the scaling factor to be applied to the band in the max data rate calculation as defined in 4.1.2. Value f0p4 indicates the scaling factor 0.4, f0p75 indicates 0.75, and so on. If absent, the scaling factor 1 is applied to the band in the max data rate calculation. | FS | No | N/A | N/A |
| ***crossCarrierScheduling-OtherSCS***  Indicates whether the UE supports cross carrier scheduling for the different numerologies with carrier indicator field (CIF) in UL carrier aggregation where numerologies for the scheduling cell and scheduled cell are different. The UE shall set this field to the same value as *crossCarrierScheduling-OtherSCS* in the associated *FeatureSetDownlink* (if present).  NOTE: Cross-carrier scheduling with different numerologies is not supported in this release of specification. | FS | No | N/A | N/A |
| ***dynamicSwitchSUL***  Indicates whether the UE supports supplemental uplink with dynamic switch (DCI based selection of PUSCH carrier). The UE supports this among a carrier on a band X and a band Y if it sets this capability parameter for both band X and band Y. | FS | No | N/A | N/A |
| ***featureSetListPerUplinkCC***  Indicates which features the UE supports on the individual UL carriers of the feature set (and hence of a band entry that refer to the feature set) by *FeatureSetUplinkPerCC-Id*. The order of the elements in this list is not relevant, i.e., the network may configure any of the carriers in accordance with any of the *FeatureSetUplinkPerCC-Id* in this list. A fallback per CC feature set resulting from the reported feature set per UL CC is not signalled but the UE shall support it. | FS | N/A | N/A | N/A |
| ***intraBandFreqSeparationUL***  Indicates UL frequency separation class the UE supports, which indicates a maximum frequency separation between lower edge of lowest CC and upper edge of highest CC in a frequency band, for intra-band non-contiguous CA. The UE sets the same value in the FeatureSetUplink of each band entry within a band. The values c1, c2 and c3 corresponds to the values defined in TS 38.101-2 [3]. It is mandatory to report for UE which supports UL non-contiguous CA in FR2. | FS | CY | N/A | FR2 only |
| ***pa-PhaseDiscontinuityImpacts***  Indicates incapability motivated by impacts of PA phase discontinuity with overlapping transmissions with non-aligned starting or ending times or hop boundaries across carriers for intra-band (NG)EN-DC/NE-DC, intra-band CA and FDM based ULSUP. | FS | No | N/A | N/A |
| ***pusch-ProcessingType1-DifferentTB-PerSlot***  Indicates whether the UE capable of processing time capability 1 supports transmission of up to two, four or seven unicast PUSCHs for several transport blocks in one serving cell within the same slot per CC that are multiplexed in time domain only. | FS | No | N/A | N/A |
| ***pusch-ProcessingType2***  Indicates whether the UE supports PUSCH processing capability 2. The UE supports it only if all serving cells are self-scheduled and if all serving cells in one band on which the network configured processingType2 use the same subcarrier spacing. This capability signalling comprises the following parameters for each sub-carrier spacing supported by the UE.  - *fallback* indicates whether the UE supports PUSCH processing capability 2 when the number of configured carriers is larger than *numberOfCarriers* for a reported value of *differentTB-PerSlot*. If *fallback* = 'sc', UE supports capability 2 processing time on lowest cell index among the configured carriers in the band where the value is reported, if *fallback* = 'cap1-only', UE supports only capability 1, in the band where the value is reported;  - *differentTB-PerSlot* indicates whether the UE supports processing type 2 for 1, 2, 4 and/or 7 unicast PUSCHs for different transport blocks per slot per CC; and if so, it indicates up to which number of CA serving cells the UE supports that number of unicast PUSCHs for different TBs. The UE shall include at least one of *numberOfCarriers* for 1, 2, 4 or 7 transport blocks per slot in this field if *pusch-ProcessingType2* is indicated. | FS | No | N/A | FR1 only |
| ***pusch-SeparationWithGap***  Indicates whether the UE supports separation of two unicast PUSCHs with a gap, applicable to Sub-carrier spacings of 15 kHz, 30 kHz and 60 kHz only. For any two consecutive slots n and n+1, if there are more than 1 unicast PUSCH in either slot, the minimum time separation between starting time of any two unicast PUSCHs within the duration of these slots is 2 OFDM symbols for 15kHz, 4 OFDM symbols for 30kHz and 7 OFDM symbols for 60kHz. | FS | No | N/A | N/A |
| ***searchSpaceSharingCA-UL***  Defines whether the UE supports UL PDCCH search space sharing for carrier aggregation operation. | FS | No | N/A | N/A |
| ***simultaneousTxSUL-NonSUL***  Indicates whether the UE supports simultaneous transmission of SRS on an SUL/non-SUL carrier and PUSCH/PUCCH/SRS on the other UL carrier in the same cell. The UE supports simultaneous transmission on an SUL band X and a Non-SUL band Y if it sets this capability parameter for both band X and band Y. | FS | No | N/A | N/A |
| ***supportedSRS-Resources***  Defines support of SRS resources. The capability signalling comprising indication of:  - *maxNumberAperiodicSRS-PerBWP* indicates supported maximum number of aperiodic SRS resources that can be configured for the UE per each BWP  - *maxNumberAperiodicSRS-PerBWP-PerSlot* indicates supported maximum number of aperiodic SRS resources per slot in the BWP  - *maxNumberPeriodicSRS-PerBWP* indicates supported maximum number of periodic SRS resources per BWP  - *maxNumberPeriodicSRS-PerBWP-PerSlot* indicates supported maximum number of periodic SRS resources per slot in the BWP  - *maxNumberSemiPersistentSRS-PerBWP* indicate supported maximum number of semi-persistent SRS resources that can be configured for the UE per each BWP  - *maxNumberSemiPersistentSRS-PerBWP-PerSlot* indicates supported maximum number of semi-persistent SRS resources per slot in the BWP  - *maxNumberSRS-Ports-PerResource* indicates supported maximum number of SRS antenna port per each SRS resource  If this field is not included, the UE suports one periodic, one aperiodic, no semi-persistent SRS resources per BWP and one periodic, one aperiodic, no semi-persistent SRS resources per BWP per slot and one SRS antenna port per SRS resource. | FS | FD | N/A | N/A |
| ***twoPUCCH-Group***  Indicates whether two PUCCH group in CA with a same numerology across CCs for data and control channel [at a given time] is supported by the UE. For NR CA, two PUCCH group is supported with the same numerology across NR carriers for data and control channel at a given time. For (NG)EN-DC/NE-DC, two PUCCH group is supported with the same numerology across NR carriers for data and control channel at a given time, wherein an NR PUCCH group is configured in FR1 and another NR PUCCH group is configured in FR2. The UE supports two PUCCH groups with PUCCH on a band X and a band Y if it sets this capability parameter for both band X and band Y. | FS | No | N/A | N/A |
| ***ul-MCS-TableAlt-DynamicIndication***  Indicates whether the UE supports dynamic indication of MCS table using MCS-C-RNTI for PUSCH. | FS | No | N/A | N/A |
| ***zeroSlotOffsetAperiodicSRS***  Indicates whether the UE supports 0 slot offset between aperiodic SRS triggering and transmission, for SRS for CB PUSCH and antenna switching on FR1. | FS | No | N/A | N/A |