**3GPP TSG-RAN WG2 Meeting #111 electronic R2-200xxxx**

**Elbonia, August 17th – 28th 2020**

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| *CR--Form--v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.331** | **CR** | **1755** | **rev** | **1** | **Current version:** | **16.1.0** |  |
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| *For* [***HELP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | Handling of CPC in fast MCG recovery | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Intel Corporation | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_Mob\_enh-Core, LTE\_NR\_DC\_CA\_enh-Core | | | | |  | ***Date:*** | | | 2020-08-06 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | F |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12) Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | 1> if the UE is configured with E-UTRA *nr-SecondaryCellGroupConfig* (UE in (NG)EN-DC):  2> if the *RRCReconfiguration* message was received via E-UTRA SRB1 as specified in TS 36.331 [10]; or  2> if the *RRCReconfiguration* message was received via SRB3 within *DLInformationTransferMRDC*;  3> if the *RRCReconfiguration* is applied due to a conditional reconfiguration execution:  4> submit the *RRCReconfigurationComplete* message via the E-UTRA MCG embedded in E-UTRA RRC message *ULInformationTransferMRDC* as specified in TS 36.331 [10], clause 5.6.2a.  3> else:  4> submit the *RRCReconfigurationComplete* via E-UTRA embedded in E-UTRA RRC message *RRCConnectionReconfigurationComplete* as specified in TS 36.331 [10], clause 5.3.5.3/5.3.5.4;  Above procedure implies:  Issue 1 CPC configuration can be contained in RRCConfiguration received via SRB3 within *DLInformationTransferMRDC* during fast MCG failure recovery;  **To our understanding, the SN should configure CPC via SRB3 directly if SRB3 is configured.**  Issue 2 Even if SRB3 is configured, the UE shall send CPC execution complete message to SN via SRB1 if CPC configuration is contained in RRCConfiguration received via SRB3 within *DLInformationTransferMRDC.*  **Not aligned with RAN2 agreements, RAN2 have agreed the UE only sends the complete message via SRB1 if SRB3 is not configured.** | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | 15.3.5.3 (to address issue 1 and 2): Decoupling the descriptions on fast MCG failure recovery and CPC execution complete via SRB1 and clarify in case of Fast MCG recovery, for (NG)-EN-DC, the UE could receive the RRCReconfiguration message within mrdc-SecondaryCellGroup in RRCConnectionReconfiguration message received via SRB3 within DLInformationTransferMRDC;  2 6.2.2 (to address issue 1): the CPC configuration cannot be contained in RRCConfiguration received via SRB3 within *DLInformationTransferMRDC*.  **Impact analysis**  Impacted 5G architecture options:  EN-DC, NGEN-DC, NR-DC  Impacted functionality:  CPC  Inter-operability:  If the UE implements the CR and the network does not, the UE behavior is unpredicatable if network configure CPC via fast MCG failure recovery procedure.  If the network implements the CR and the UE does not, there is no inter-operability issue. | | | | | | | | |
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| ***Consequences if not approved:*** | | The error may happen if network configure CPC via fast MCG failure recovery procedure and the UE behavior is unpredicatable. | | | | | | | | |
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| ***Clauses affected:*** | | 5.3.5.3, 6.2.2 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | | Revision of R2-2006934 | | | | | | | | |

#### 5.3.5.3 Reception of an *RRCReconfiguration* by the UE

The UE shall perform the following actions upon reception of the *RRCReconfiguration,* or upon execution of the conditional reconfiguration (CHO or CPC):

1> if the *RRCReconfiguration* is applied due to a conditional reconfiguration execution upon cell selection while timer T311 is running, as defined in 5.3.7.3:

2> remove all the entries within *VarConditionalReconfig*, if any;

1> if the *RRCReconfiguration* includes the *daps-SourceRelease*:

2> release source SpCell configuration;

2> reset the source MAC and release the source MAC configuration;

2> for each DAPS bearer:

3> release the RLC entity or entities as specified in TS 38.322 [4], clause 5.1.3, and the associated logical channel for the source SpCell;

3> reconfigure the PDCP entity to release DAPS as specified in TS 38.323 [5];

2> for each SRB:

3> release the PDCP entity for the source SpCell;

3> release the RLC entity as specified in TS 38.322 [4], clause 5.1.3, and the associated logical channel for the source SpCell;

2> release the physical channel configuration for the source SpCell;

2> discard the keys used in the source SpCell (the KgNB key, the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key), if any;

1> if the *RRCReconfiguration* is received via other RAT (i.e., inter-RAT handover to NR):

2> if the *RRCReconfiguration* does not include the *fullConfig* and the UE is connected to 5GC (i.e., delta signalling during intra 5GC handover):

3> re-use the source RAT SDAP and PDCP configurations if available (i.e., current SDAP/PDCP configurations for all RBs from source E-UTRA RAT prior to the reception of the inter-RAT HO *RRCReconfiguration* message);

1> else:

2> if the RRCReconfiguration includes the fullConfig:

3> perform the full configuration procedure as specified in 5.3.5.11;

1> if the *RRCReconfiguration* includes the *masterCellGroup*:

2> perform the cell group configuration for the received *masterCellGroup* according to 5.3.5.5;

1> if the *RRCReconfiguration* includes the *masterKeyUpdate*:

2> perform AS security key update procedure as specified in 5.3.5.7;

1> if the *RRCReconfiguration* includes the *sk-Counter*:

2> perform security key update procedure as specified in 5.3.5.7;

1> if the *RRCReconfiguration* includes the *secondaryCellGroup*:

2> perform the cell group configuration for the SCG according to 5.3.5.5;

1> if the *RRCReconfiguration* includes the *mrdc-SecondaryCellGroupConfig:*

2> if the *mrdc-SecondaryCellGroupConfig* is set to *setup*:

3> if the *mrdc-SecondaryCellGroupConfig* includes *mrdc-ReleaseAndAdd*:

4> perform MR-DC release as specified in clause 5.3.5.10;

3> if the received *mrdc-SecondaryCellGroup* is set to *nr-SCG*:

4> perform the RRC reconfiguration according to 5.3.5.3 for the *RRCReconfiguration* message included in *nr-SCG*;

3> if the received *mrdc-SecondaryCellGroup* is set to *eutra-SCG*:

4> perform the RRC connection reconfiguration as specified in TS 36.331 [10], clause 5.3.5.3 for the *RRCConnectionReconfiguration* message included in *eutra-SCG*;

2> else (*mrdc-SecondaryCellGroupConfig* is set to *release*):

3> perform MR-DC release as specified in clause 5.3.5.10;

1> if the *RRCReconfiguration* message includes the *radioBearerConfig*:

2> perform the radio bearer configuration according to 5.3.5.6;

1> if the *RRCReconfiguration* message includes the *radioBearerConfig2*:

2> perform the radio bearer configuration according to 5.3.5.6;

1> if the *RRCReconfiguration* message includes the *measConfig*:

2> perform the measurement configuration procedure as specified in 5.5.2;

1> if the *RRCReconfiguration* message includes the *dedicatedNAS-MessageList*:

2> forward each element of the *dedicatedNAS-MessageList* to upper layers in the same order as listed;

1> if the *RRCReconfiguration* message includes the *dedicatedSIB1-Delivery*:

2> perform the action upon reception of *SIB1* as specified in 5.2.2.4.2;

NOTE 0: If this *RRCReconfiguration* is associated to the MCG and includes *reconfigurationWithSync* in *spCellConfig* and *dedicatedSIB1-Delivery*, the UE initiates (if needed) the request to acquire required SIBs, according to clause 5.2.2.3.5, only after the random access procedure towards the target SpCell is completed.

1> if the *RRCReconfiguration* message includes the *dedicatedSystemInformationDelivery*:

2> perform the action upon reception of System Information as specified in 5.2.2.4;

1> if the *RRCReconfiguration* message includes the *dedicatedPosSysInfoDelivery*:

2> perform the action upon reception of the contained posSIB(s), as specified in sub-clause 5.2.2.4.16;

1> if the *RRCReconfiguration* message includes the *otherConfig*:

2> perform the other configuration procedure as specified in 5.3.5.9;

1> if the *RRCReconfiguration* message includes the *bap-Config*:

2> perform the BAP configuration procedure as specified in 5.3.5.12;

1> if the *RRCReconfiguration* message includes the *iab-IP-AddressConfigurationList*:

2> if iab-IP-AddressToReleaseList is included:

3> for each IAB-IP-AddressIndex received in the *iab-IP-AddressToReleaseList*

4> perform release of IP address as specified in 5.3.5.12a.1.1;

2> if iab-IP-AddressToAddModList is included:

3> for each *IAB-IP-AddressIndex* received in the *iab-IP-AddressToAddModList*

4> perform IAB IP address addition/update as specified in 5.3.5.12a.1.2;

1> if the *RRCReconfiguration* message includes the *conditionalReconfiguration*:

2> perform conditional reconfiguration as specified in 5.3.5.13;

1> if the *RRCReconfiguration* message includes the *needForGapsConfigNR*:

2> if *needForGapsConfigNR* is set to *setup*:

3> consider itself to be configured to provide the measurement gap requirement information of NR target bands;

2> else:

3> consider itself not to be configured to provide the measurement gap requirement information of NR target bands;

1> if the *RRCReconfiguration* message includes the *sl-ConfigDedicatedNR*:

2> perform the sidelink dedicated configuration procedure as specified in 5.3.5.14;

1> if the *RRCReconfiguration* message includes the *sl-ConfigDedicatedEUTRA*:

2> if *sl-V2X-ConfigDedicated* is included in *sl-ConfigDedicatedEUTRA*

3> perform the V2X sidelink communication dedicated configuration procedure as specified in 5.3.10.15a in TS 36.331 [10];

2> if *sl-V2X-SPS-Config* is included in *sl-ConfigDedicatedEUTRA*

3> perform V2X sidelink SPS reconfiguration as specified in 5.3.10.5 in TS 36.331 [10];

1> set the content of the *RRCReconfigurationComplete* message as follows:

2> if the *RRCReconfiguration* includes the *masterCellGroup* containing the *reportUplinkTxDirectCurrent*:

3> include the *uplinkTxDirectCurrentList* for each MCG serving cell with UL;

3> include *uplinkDirectCurrentBWP-SUL* for each MCG serving cell configured with SUL carrier, if any, within the *uplinkTxDirectCurrentList*;

2> if the *RRCReconfiguration* includes the *secondaryCellGroup* containing the *reportUplinkTxDirectCurrent*:

3> include the *uplinkTxDirectCurrentList* for each SCG serving cell with UL;

3> include *uplinkDirectCurrentBWP-SUL* for each SCG serving cell configured with SUL carrier, if any, within the *uplinkTxDirectCurrentList*;

2> if the *RRCReconfiguration* message includes the *mrdc-SecondaryCellGroupConfig* with *mrdc-SecondaryCellGroup* set to *eutra-SCG*:

3> include in the *eutra-SCG-Response* the E-UTRA *RRCConnectionReconfigurationComplete* message in accordance with TS 36.331 [10] clause 5.3.5.3;

2> if the *RRCReconfiguration* message includes the *mrdc-SecondaryCellGroupConfig* with *mrdc-SecondaryCellGroup* set to *nr-SCG*:

3> include in the *nr-SCG-Response* the *RRCReconfigurationComplete* message;

2> if the *RRCReconfiguration* message was included in an *RRCResume* message:

3> include the *RRCReconfigurationComplete* message in the *nr-SCG-Response* within the *scg-Response* in the *RRCResumeComplete* message;

2> if the *RRCReconfiguration* message was included in E-UTRA *RRCConnectionResume* message:

3> include the *RRCReconfigurationComplete* message in the E-UTRA MCG RRC message *RRCConnectionResumeComplete* in accordance with TS 36.331 [10], clause 5.3.3.4a;

2> if the UE has logged measurements available for NR and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> include the *logMeasAvailable* in the *RRCReconfigurationComplete* message;

2> if the UE has Bluetooth logged measurements available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> include the *logMeasAvailableBT* in the *RRCReconfigurationComplete* message;

2> if the UE has WLAN logged measurements available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> include the *logMeasAvailableWLAN* in the *RRCReconfigurationComplete* message;

2> if the UE has connection establishment failure or connection resume failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:

3> include *connEstFailInfoAvailable* in the *RRCReconfigurationComplete* message;

2> if the *RRCReconfiguration* message was received in response to the *MCGFailureInformation* message:

3> clear the information included in *VarRLF-Report,* if any;

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*; or

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* of TS 36.331 [10] and if the UE is capable of cross-RAT RLF reporting and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report* of TS 36.331 [10]:

3> include *rlf-InfoAvailable* in the *RRCReconfigurationComplete* message;

2> if the *RRCReconfiguration* message was received via SRB1, but not within *mrdc-SecondaryCellGroup* or E-UTRA *RRCConnectionReconfiguration*:

3> if the UE is configured to provide the measurement gap requirement information of NR target bands:

4> if the *RRCReconfiguration* message includes the *needForGapsConfigNR*; or

4> if the *NeedForGapsInfoNR* information is changed compared to last time the UE reported this information:

5> include the *NeedForGapsInfoNR* and set the contents as follows:

6> include *intraFreq-needForGap* and set the gap requirement informantion of intra-frequency measurement for each NR serving cell;

6> if *requestedTargetBandFilterNR* is configured, for each supported NR band that is also included in *requestedTargetBandFilterNR*, include an entry in *interFreq-needForGap* and set the gap requirement information for that band; otherwise, include an entry in *interFreq-needForGap* and set the corresponding gap requirement information for each supported NR band;

1> if the UE is configured with E-UTRA *nr-SecondaryCellGroupConfig* (UE in (NG)EN-DC):

2> if the *RRCReconfiguration* message was received via E-UTRA SRB1 as specified in TS 36.331 [10];

3> if the *RRCReconfiguration* is applied due to a conditional reconfiguration execution:

4> submit the *RRCReconfigurationComplete* message via the E-UTRA MCG embedded in E-UTRA RRC message *ULInformationTransferMRDC* as specified in TS 36.331 [10], clause 5.6.2a.

3> else:

4> submit the *RRCReconfigurationComplete* via E-UTRA embedded in E-UTRA RRC message *RRCConnectionReconfigurationComplete* as specified in TS 36.331 [10], clause 5.3.5.3/5.3.5.4;

3> if *reconfigurationWithSync* was included in *spCellConfig* of an SCG:

4> initiate the Random Access procedure on the SpCell, as specified in TS 38.321 [3];

3> else:

4> the procedure ends;

2> if the *RRCReconfiguration* message was received within *mrdc-SecondaryCellGroup* in *RRCConnectionReconfiguration* message received via SRB3 within *DLInformationTransferMRDC*:

3> submit the *RRCReconfigurationComplete* via E-UTRA embedded in E-UTRA RRC message *RRCConnectionReconfigurationComplete* as specified in TS 36.331 [10], clause 5.3.5.3/5.3.5.4;

3> if *reconfigurationWithSync* was included in *spCellConfig* of an SCG:

4> initiate the Random Access procedure on the SpCell, as specified in TS 38.321 [3];

3> else:

4> the procedure ends;

NOTE 1: The order the UE sends the *RRCConnectionReconfigurationComplete* message and performs the Random Access procedure towards the SCG is left to UE implementation.

2> else (*RRCReconfiguration* was received via SRB3) but not within *DLInformationTransferMRDC*:

3> submit the *RRCReconfigurationComplete* message via SRB3 to lower layers for transmission using the new configuration;

NOTE 2: In (NG)EN-DC and NR-DC, in the case *RRCReconfiguration* is received via SRB1 or within *DLInformationTransferMRDC* via SRB3, the random access is triggered by RRC layer itself as there is not necessarily other UL transmission. In the case *RRCReconfiguration* is received via SRB3 but not within *DLInformationTransferMRDC*, the random access is triggered by the MAC layer due to arrival of *RRCReconfigurationComplete*.

1> else if the *RRCReconfiguration* message was received via SRB1 within the *nr-SCG* within *mrdc-SecondaryCellGroup* (UE in NR-DC, *mrdc-SecondaryCellGroup* was received in *RRCReconfiguration* via SRB1):

2> if the *RRCReconfiguration* is applied due to a conditional reconfiguration execution:

3> submit the *RRCReconfigurationComplete* message via the NR MCG embedded in NR RRC message *ULInformationTransferMRDC* as specified in clause 5.7.2a.3.

2> if *reconfigurationWithSync* was included in *spCellConfig* in *nr-SCG*:

3> initiate the Random Access procedure on the PSCell, as specified in TS 38.321 [3];

2> else

3> the procedure ends;

NOTE 2a: The order in which the UE sends the *RRCReconfigurationComplete* message and performs the Random Access procedure towards the SCG is left to UE implementation.

1> else if the *RRCReconfiguration* message was received via SRB3 (UE in NR-DC):

2> if the *RRCReconfiguration* message was received within *DLInformationTransferMRDC*:

3> if the *RRCReconfiguration* message was received within the *nr-SCG* within *mrdc-SecondaryCellGroup* (NR SCG RRC Reconfiguration):

4> if *reconfigurationWithSync* was included in *spCellConfig* in *nr-SCG*:

5> initiate the Random Access procedure on the PSCell, as specified in TS 38.321 [3];

4> else:

5> the procedure ends;

3> else:

4> submit the *RRCReconfigurationComplete* message via SRB1 to lower layers for transmission using the new configuration;

2> else:

3> submit the *RRCReconfigurationComplete* message via SRB3 to lower layers for transmission using the new configuration;

1> else(*RRCReconfiguration* was received via SRB1):

2> submit the *RRCReconfigurationComplete* message via SRB1 to lower layers for transmission using the new configuration;

2> if this is the first *RRCReconfiguration* message after successful completion of the RRC re-establishment procedure:

3> resume SRB2 and DRBs that are suspended;

1> if *reconfigurationWithSync* was included in *spCellConfig* of an MCG or SCG, and when MAC of an NR cell group successfully completes a Random Access procedure triggered above:

2> stop timer T304 for that cell group;

2> stop timer T310 for source SpCell if running;

2> apply the parts of the CSI reporting configuration, the scheduling request configuration and the sounding RS configuration that do not require the UE to know the SFN of the respective target SpCell, if any;

2> apply the parts of the measurement and the radio resource configuration that require the UE to know the SFN of the respective target SpCell (e.g. measurement gaps, periodic CQI reporting, scheduling request configuration, sounding RS configuration), if any, upon acquiring the SFN of that target SpCell;

2> for each DRB configured as DAPS bearer, request uplink data switching to the PDCP entity, as specified in TS 38.323 [5];

2> if the *reconfigurationWithSync* was included in *spCellConfig* of an MCG:

3> if T390 is running:

4> stop timer T390 for all access categories;

4> perform the actions as specified in 5.3.14.4.

3> if T350 is running:

4> stop timer T350;

3> if *RRCReconfiguration* does not include *dedicatedSIB1-Delivery* and

3> if the active downlink BWP, which is indicated by the *firstActiveDownlinkBWP-Id* for the target SpCell of the MCG, has a common search space configured by *searchSpaceSIB1*:

4> acquire the *SIB1*, which is scheduled as specified in TS 38.213 [13], of the target SpCell of the MCG;

4> upon acquiring *SIB1*, perform the actions specified in clause 5.2.2.4.2;

2> if the *reconfigurationWithSync* was included in *spCellConfig* of an MCG; or:

2> if the *reconfigurationWithSync* was included in *spCellConfig* of an SCG and the CPC was configured

3> remove all the entries within *VarConditionalReconfig*, if any;

3> for each *measId* of the source SpCell configuration, if the associated *reportConfig* has a *reportType* set to *condTriggerConfig*:

4> for the associated *reportConfigId*:

5> remove the entry with the matching *reportConfigId* from the *reportConfigList* within the *VarMeasConfig*;

4> if the associated *measObjectId* is only associated to a *reportConfig* with *reportType* set to *cho-TriggerConfig*:

5> remove the entry with the matching *measObjectId* from the *measObjectList* within the *VarMeasConfig*;

4> remove the entry with the matching *measId* from the *measIdList* within the *VarMeasConfig*;

2> if *reconfigurationWithSync* was included in *masterCellGroup* or *secondaryCellGroup*; and

2> if the UE transmitted a *UEAssistanceInformation* message for the corresponding cell group during the last 1 second, and the UE is still configured to provide UE assistance information for the corresponding cell group:

3> initiate transmission of a *UEAssistanceInformation* message for the corresponding cell group in accordance with section 5.7.4.3;

2> if *SIB12* is provided by the target PCell; and the UE transmitted a *SidelinkUEInformationNR* message indicating a change of NR sidelink communication related parameters relevant in target PCell (i.e. change of *sl-RxInterestedFreqList* or *sl-TxResourceReqList*) during the last 1 second preceding reception of the *RRCReconfiguration* message including *reconfigurationWithSync* in *spCellConfig* of an MCG:

3> initiate transmission of the *SidelinkUEInformationNR* message in accordance with 5.8.3.3;

2> the procedure ends.

NOTE 3: The UE is only required to acquire broadcasted *SIB1* if the UE can acquire it without disrupting unicast data reception, i.e. the broadcast and unicast beams are quasi co-located.

/\*\*\* Next change\*\*\*/

#### – *RRCReconfiguration*

The *RRCReconfiguration* message is the command to modify an RRC connection. It may convey information for measurement configuration, mobility control, radio resource configuration (including RBs, MAC main configuration and physical channel configuration) and AS security configuration.

Signalling radio bearer: SRB1 or SRB3

RLC-SAP: AM

Logical channel: DCCH

Direction: Network to UE

*RRCReconfiguration message*

-- ASN1START

-- TAG-RRCRECONFIGURATION-START

RRCReconfiguration ::= SEQUENCE {

rrc-TransactionIdentifier RRC-TransactionIdentifier,

criticalExtensions CHOICE {

rrcReconfiguration RRCReconfiguration-IEs,

criticalExtensionsFuture SEQUENCE {}

}

}

RRCReconfiguration-IEs ::= SEQUENCE {

radioBearerConfig RadioBearerConfig OPTIONAL, -- Need M

secondaryCellGroup OCTET STRING (CONTAINING CellGroupConfig) OPTIONAL, -- Cond SCG

measConfig MeasConfig OPTIONAL, -- Need M

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension RRCReconfiguration-v1530-IEs OPTIONAL

}

RRCReconfiguration-v1530-IEs ::= SEQUENCE {

masterCellGroup OCTET STRING (CONTAINING CellGroupConfig) OPTIONAL, -- Need M

fullConfig ENUMERATED {true} OPTIONAL, -- Cond FullConfig

dedicatedNAS-MessageList SEQUENCE (SIZE(1..maxDRB)) OF DedicatedNAS-Message OPTIONAL, -- Cond nonHO

masterKeyUpdate MasterKeyUpdate OPTIONAL, -- Cond MasterKeyChange

dedicatedSIB1-Delivery OCTET STRING (CONTAINING SIB1) OPTIONAL, -- Need N

dedicatedSystemInformationDelivery OCTET STRING (CONTAINING SystemInformation) OPTIONAL, -- Need N

otherConfig OtherConfig OPTIONAL, -- Need M

nonCriticalExtension RRCReconfiguration-v1540-IEs OPTIONAL

}

RRCReconfiguration-v1540-IEs ::= SEQUENCE {

otherConfig-v1540 OtherConfig-v1540 OPTIONAL, -- Need M

nonCriticalExtension RRCReconfiguration-v1560-IEs OPTIONAL

}

RRCReconfiguration-v1560-IEs ::= SEQUENCE {

mrdc-SecondaryCellGroupConfig SetupRelease { MRDC-SecondaryCellGroupConfig } OPTIONAL, -- Need M

radioBearerConfig2 OCTET STRING (CONTAINING RadioBearerConfig) OPTIONAL, -- Need M

sk-Counter SK-Counter OPTIONAL, -- Need N

nonCriticalExtension RRCReconfiguration-v16xy-IEs OPTIONAL

}

RRCReconfiguration-v16xy-IEs ::= SEQUENCE {

otherConfig-v16xy OtherConfig-v16xy OPTIONAL, -- Need M

bap-Config-r16 SetupRelease { BAP-Config-r16 } OPTIONAL, -- Need M

iab-IP-AddressConfigurationList-r16 IAB-IP-AddressConfigurationList-r16 OPTIONAL, -- Need M

conditionalReconfiguration-r16 ConditionalReconfiguration-r16 OPTIONAL, -- Need M

daps-SourceRelease-r16 ENUMERATED{true} OPTIONAL, -- Need N

t316-r16 SetupRelease {T316-r16} OPTIONAL, -- Need M

needForGapsConfigNR-r16 SetupRelease {NeedForGapsConfigNR-r16} OPTIONAL, -- Need M

onDemandSIB-Request-r16 SetupRelease { OnDemandSIB-Request-r16 } OPTIONAL, -- Need M

dedicatedPosSysInfoDelivery-r16 OCTET STRING (CONTAINING PosSystemInformation-r16-IEs) OPTIONAL, -- Need N

sl-ConfigDedicatedNR-r16 SetupRelease {SL-ConfigDedicatedNR-r16} OPTIONAL, -- Need M

sl-ConfigDedicatedEUTRA-Info-r16 SetupRelease {SL-ConfigDedicatedEUTRA-Info-r16} OPTIONAL, -- Need M

nonCriticalExtension SEQUENCE {} OPTIONAL

}

MRDC-SecondaryCellGroupConfig ::= SEQUENCE {

mrdc-ReleaseAndAdd ENUMERATED {true} OPTIONAL, -- Need N

mrdc-SecondaryCellGroup CHOICE {

nr-SCG OCTET STRING (CONTAINING RRCReconfiguration),

eutra-SCG OCTET STRING

}

}

BAP-Config-r16 ::= SEQUENCE {

bap-Address-r16 BIT STRING (SIZE (10)) OPTIONAL, -- Need M

defaultUL-BAProutingID-r16 BAP-Routing-ID-r16 OPTIONAL, -- Need M

defaultUL-BH-RLC-Channel-r16 BH-RLC-ChannelID-r16 OPTIONAL, -- Need M

flowControlFeedbackType-r16 ENUMERATED {perBH-RLC-Channel, perRoutingID, both} OPTIONAL, -- Need R

...

}

MasterKeyUpdate ::= SEQUENCE {

keySetChangeIndicator BOOLEAN,

nextHopChainingCount NextHopChainingCount,

nas-Container OCTET STRING OPTIONAL, -- Cond securityNASC

...

}

OnDemandSIB-Request-r16 ::= SEQUENCE {

onDemandSIB-RequestProhibitTimer-r16 ENUMERATED {s0, s0dot5, s1, s2, s5, s10, s20, s30}

}

T316-r16 ::= ENUMERATED {ms50, ms100, ms200, ms300, ms400, ms500, ms600, ms1000, ms1500, ms2000}

IAB-IP-AddressConfigurationList-r16 ::= SEQUENCE {

iab-IP-AddressToAddModList-r16 SEQUENCE (SIZE(1..maxIAB-IP-Address-r16)) OF IAB-IP-AddressConfiguration-r16 OPTIONAL, -- Need N

iab-IP-AddressToReleaseList-r16 SEQUENCE (SIZE(1..maxIAB-IP-Address-r16)) OF IAB-IP-AddressIndex-r16 OPTIONAL, -- Need N

...

}

IAB-IP-AddressConfiguration-r16 ::= SEQUENCE {

iab-IP-AddressIndex-r16 IAB-IP-AddressIndex-r16,

iab-IP-Address-r16 IAB-IP-Address-r16 OPTIONAL, -- Need M

iab-IP-Usage-r16 IAB-IP-Usage-r16 OPTIONAL, -- Need M

iab-donor-DU-BAP-Address-r16 BIT STRING (SIZE(10)) OPTIONAL, -- Need M

...

}

SL-ConfigDedicatedEUTRA-Info-r16 ::= SEQUENCE {

sl-ConfigDedicatedEUTRA-r16 OCTET STRING OPTIONAL, -- Need M

sl-TimeOffsetEUTRA-List-r16 SEQUENCE (SIZE (8)) OF SL-TimeOffsetEUTRA-r16 OPTIONAL -- Need M

}

SL-TimeOffsetEUTRA-r16 ::= ENUMERATED {ms0, ms0dot25, ms0dot5, ms0dot625, ms0dot75, ms1, ms1dot25, ms1dot5, ms1dot75,

ms2, ms2dot5, ms3, ms4, ms5, ms6, ms8, ms10, ms20}

-- TAG-RRCRECONFIGURATION-STOP

-- ASN1STOP

|  |
| --- |
| *RRCReconfiguration-IEs* field descriptions |
| ***bap-Config***  This field is used to configure the BAP entity for IAB nodes. |
| ***bap-Address***  Indicates the BAP address of an IAB-node. |
| ***conditionalReconfiguration***  Configuration of candidate target SpCell(s) and execution condition(s) for conditional handover or conditional PSCell change. For conditional PSCell change, this field may only be present in an *RRCReconfiguration* message for intra-SN PSCell change. The network does not configure a UE with both conditional PCell change and conditional PSCell change simultaneously. The field is absent if any DAPS bearer is configured or if the *masterCellGroup* includes *ReconfigurationWithSync*. For conditional PSCell change, the field is absent if the *secondaryCellGroup* includes *ReconfigurationWithSync*. The *RRCReconfiguration* message contained in *DLInformationTransferMRDC* cannot contain the field *conditionalReconfiguration* for conditional PSCell change. |
| ***daps-SourceRelease***  Indicates to UE that the source cell part of DAPS operation is to be stopped and the source cell part of DAPS configuration is to be released. |
| ***dedicatedNAS-MessageList***  This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for each PDU in the list. |
| ***dedicatedPosSysInfoDelivery***  This field is used to transfer *SIBPos* to the UE in RRC\_CONNECTED. |
| ***dedicatedSIB1-Delivery***  This field is used to transfer *SIB1* to the UE. The field has the same values as the corresponding configuration in *servingCellConfigCommon*. |
| ***dedicatedSystemInformationDelivery***  This field is used to transfer *SIB6*, *SIB7*, *SIB8* to the UE with an active BWP with no common serach space configured. For UEs in RRC\_CONNECTED, this field is used to transfer the SIBs requested on-demand. |
| ***defaultUL-BAP-routingID***  This field is used for IAB-node to configure the default uplink Routing ID, which is used by IAB-node during IAB-node bootstrapping*,* migration, IAB-MT RRC resume and IAB-MT RRC re-establishment for *F1-C* and *non-F1* traffic. The *defaultUL-BAP-routinID* can be (re-)configured when IAB-node IP address for *F1-C* traffic changes. This field is mandatory only for IAB-node bootstrapping and change of IP address for IAB-node cases. |
| ***defaultUL-BH-RLC-Channel***  This field is used for IAB-nodes to configure the default uplink *bh-RLC-Channel,* which is used by IAB-nodeduring IAB-node bootstrapping*,* migration, IAB-MT RRC resume and IAB-MT RRC re-establishment *for F1-C and non-F1 traffic*. The *defaultUL-BH-RLC-Channel* can be (re-)configured when IAB-node IP address for *F1-C* traffic changes, and the new IP address is anchored at a different IAB-donor-DU. This field is mandatory only for IAB-node bootstrapping and change of IP address for IAB-node cases. |
| ***donor-DU-BAP-Address***  This field is used to indicate the BAP address of the IAB-donor-DU which anchors the IP address/prefix. |
| ***flowControlFeedbackType***  This field is only used for IAB-node that support hop-by-hop flow control to configure the type of flow control feedback. Value *perBH-RLC-Channel* indicates that the IAB-node shall provide flow control feedback per BH RLC channel, value *perRoutingID* indicates that the IAB-node shall provide flow control feedback per routing ID, and value *both* indicates that the IAB-node shall provide flow control feedback both per BH RLC channel and per routing ID. |
| ***fullConfig***  Indicates that the full configuration option is applicable for the *RRCReconfiguration* message for intra-system intra-RAT HO. For inter-RAT HO from E-UTRA to NR, *fullConfig* indicates whether or not delta signalling of SDAP/PDCP from source RAT is applicable. This field is absent if any DAPS bearer is configured or when the *RRCReconfiguration* message is transmitted on SRB3, and in an *RRCReconfiguration* message contained in another *RRCReconfiguration* message (or *RRCConnectionReconfiguration* message, see TS 36.331 [10]) transmitted on SRB1. |
| ***iab-IP-Address***  This field is used to provide the IP address information for IAB-node. |
| ***iab-IP-AddressToAddModList***  List of IP addresses allocated for IAB-node to be added and modified. |
| ***iab-IP-AddressToReleaseList***  List of IP address allocated for IAB-node to be released. |
| ***iab-IP-Usage***  This field is used to indicate the usage of the assigned IP address. |
| ***iab-donor-DU-BAP-Address***  This field is used to indicate the BAP address of the IAB-donor-DU where the IP address is anchored. |
| ***keySetChangeIndicator***  Indicates whether UE shall derive a new KgNB. If *reconfigurationWithSync* is included, value *true* indicates that a KgNB key is derived from a KAMF key taken into use through the latest successful NAS SMC procedure, or N2 handover procedure with KAMF change, as described in TS 33.501 [11] for KgNB re-keying. Value *false* indicates that the new KgNB key is obtained from the current KgNB key or from the NH as described in TS 33.501 [11]. |
| ***masterCellGroup***  Configuration of master cell group. |
| ***mrdc-ReleaseAndAdd***  This field indicates that the current SCG configuration is released and a new SCG is added at the same time. |
| ***mrdc-SecondaryCellGroup***  Includes an RRC message for SCG configuration in NR-DC or NE-DC. For NR-DC (nr-SCG), *mrdc-SecondaryCellGroup* contains the *RRCReconfiguration* message as generated (entirely) by SN gNB. In this version of the specification, the RRC message can only include fields *secondaryCellGroup, otherConfig* and *measConfig*.  For NE-DC (eutra-SCG), *mrdc-SecondaryCellGroup* includes the E-UTRA *RRCConnectionReconfiguration* message as specified in TS 36.331 [10]. In this version of the specification, the E-UTRA RRC message can only include the field *scg-Configuration*. |
| ***nas-Container***  This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for this field, although it affects activation of AS security after inter-system handover to NR. The content is defined in TS 24.501 [23]. |
| ***needForGapsConfigNR***  Configuration for the UE to report measurement gap requirement information of NR target bands in the *RRCReconfigurationComplete* and *RRCResumeComplete* message. |
| ***nextHopChainingCount***  Parameter NCC: See TS 33.501 [11] |
| ***onDemandSIB-Request***  If the field is present, the UE is allowed to request SIB(s) on-demand while in RRC\_CONNECTED according to clause 5.2.2.3.5. |
| ***onDemandSIB-RequestProhibitTimer***  Prohibit timer for requesting SIB(s) on-demand while in RRC\_CONNECTED according to clause 5.2.2.3.5. Value in seconds. Value s0 means prohibit timer is set to 0 seconds, value s0dot5 means prohibit timer is set to 0.5 seconds, value s1 means prohibit timer is set to 1 second and so on. |
| ***otherConfig***  Contains configuration related to other configurations. When configured for the SCG, only fields *drx-PreferenceConfig, maxBW-PreferenceConfig, maxCC-PreferenceConfig, maxMIMO-LayerPreferenceConfig* and *minSchedulingOffsetPreferenceConfig* can be included. |
| ***radioBearerConfig***  Configuration of Radio Bearers (DRBs, SRBs) including SDAP/PDCP. In EN-DC this field may only be present if the *RRCReconfiguration* is transmitted over SRB3. |
| ***radioBearerConfig2***  Configuration of Radio Bearers (DRBs, SRBs) including SDAP/PDCP. This field can only be used if the UE supports NR-DC or NE-DC. |
| ***secondaryCellGroup***  Configuration of secondary cell group ((NG)EN-DC or NR-DC). |
| ***sk-Counter***  A counter used upon initial configuration of S-KgNB or S-KeNB, as well as upon refresh of S-KgNB or S-KeNB. This field is always included either upon initial configuration of an NR SCG or upon configuration of the first RB with *keyToUse* set to *secondary*, whichever happens first. This field is absent if there is neither any NR SCG nor any RB with *keyToUse* set to *secondary*. |
| ***sl-ConfigDedicatedNR***  This field is used to provide the dedicated configurations for NR sidelink communication. |
| ***sl-ConfigDedicatedEUTRA***  This field includes the E-UTRA *RRCConnectionReconfiguration* as specified in TS 36.331 [10]. In this version of the specification, the E-UTRA *RRCConnectionReconfiguration* can only includes sidelink related fields for V2X sidelink communication. |
| ***sl-TimeOffsetEUTRA***  This field indicates the possible time offset to (de)activation of V2X sidelink transmission after receiving DCI format 3\_1 used for scheduling V2X sidelink communication. Value *ms0dpt75* corresponds to 0.75ms, *ms1* corresponds to 1ms and so on. The network may configures this field only when *sl-ConfigDedicatedEUTRA* is present. |
| ***t316***  Indicates the value for timer T316 as described in clause 7.1. Value *ms50* corresponds to 50 ms, value *ms100* corresponds to 100 ms and so on. This field can be present only if the UE is configured with split SRB1 or SRB3. |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *nonHO* | The field is absent in case of reconfiguration with sync within NR or to NR; otherwise it is optionally present, need N. |
| *securityNASC* | This field is mandatory present in case of inter system handover. Otherwise the field is optionally present, need N. |
| *MasterKeyChange* | This field is mandatory present in case *masterCellGroup* includes *ReconfigurationWithSync* and *RadioBearerConfig* includes *SecurityConfig* with *SecurityAlgorithmConfig*, indicating a change of the AS security algorithms associated to the master key. If *ReconfigurationWithSync* is included for other cases, this field is optionally present, need N. Otherwise the field is absent. |
| *FullConfig* | The field is mandatory present in case of inter-system handover from E-UTRA/EPC to NR. It is optionally present, Need N, during reconfiguration with sync and also in first reconfiguration after reestablishment; or for intra-system handover from E-UTRA/5GC to NR. It is absent otherwise. |
| *SCG* | The field is optional present, Need M, in:   * an *RRCReconfiguration* message transmitted on SRB3, * an *RRCReconfiguration* message contained in another *RRCReconfiguration* message (or in an *RRCConnectionReconfiguration* message, see TS 36.331 [10]) transmitted on SRB1 * an *RRCReconfiguration* message contained in another *RRCReconfiguration* message (or in an *RRCConnectionReconfiguration* message, see TS 36.331 [10]) which is contained in *DLInformationTransferMRDC* transmitted on SRB3 (as a response to *ULInformationTransferMRDC* including an *MCGFailureInformation*) * in an *RRCReconfiguration* message contained in an *RRCResume* message (or in an *RRCConnectionResume* message, see TS 36.331 [10]).   Otherwise, the field is absent |