**3GPP TSG-RAN WG1 Meeting # 112bis-e *R1-2304229***

**e-Meeting, April 17th – April 26th, 2023**

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| *CR-Form-v12.2* |
| **DRAFT CHANGE REQUEST** |
|  |
|  | **38.214** | **CR** | **0422** | **rev** | - | **Current version:** | **17.5.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:***  | CR on TBS determination of a PUSCH retransmission with initial PUSCH scheduled by RAR UL grant  |
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| ***Source to WG:*** | Moderator (Ericsson), Ericsson, Samsung, CATT, Huawei, HiSilicon, vivo, ZTE |
| ***Source to TSG:*** |  |
|  |  |
| ***Work item code:*** | TEI16, NR\_newRAT-Core |  | ***Date:*** | 2023-04-25 |
|  |  |  |  |  |
| ***Category:*** | **A** |  | ***Release:*** | Rel-17 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)Rel-19 (Release 19)* |
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| ***Reason for change:*** | For CFRA (contention free random access) and CBRA (contention based random access) where the RAR UL grant is used to schedule an initial PUSCH transmission, it is not clear whether reserved MCS can be used for retransmission of that PUSCH.  |
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| ***Summary of change:*** | Add the retransmission of RAR UL grant as one of the senarios to use the reserved MCS. |
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| ***Consequences if not approved:*** | Poor CFRA and CBRA performance. NR has unnecessary scheduling restriction compared with LTE.  |
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| ***Clauses affected:*** | 6.1.4.2 |
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|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
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| ***Other comments:*** | This CR is early implementable by Rel-15 UEs. It is current RAN1 understanding that the chipset/gNB implementations may have already been aligned with this proposed CR. Isolated Impact analysis:This CR has isolated impact as it only affects the retransmissions using reserved MCS where RAR UL grant is used to schedule initial PUSCH transmission.If UE implements the CR and NW does not implement the CR, no impact as the network will not use reserved MCS values.If UE does not implement the CR and NW implements the CR, network schedules the retransmission using the reserved MCS values UE may consider the PDCCH as invalid. |
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| ***This CR's revision history:*** |  |

#### 6.1.4.2 Transport block size determination

For a PUSCH scheduled by RAR UL grant or

for a PUSCH scheduled by fallbackRAR UL grant or

for a PUSCH scheduled by a DCI format 0\_0 with CRC scrambled by C-RNTI, MCS-C-RNTI, TC-RNTI, CS-RNTI, or

for a PUSCH scheduled by a DCI format 0\_1 or DCI format 0\_2 with CRC scrambled by C-RNTI, MCS-C-RNTI, CS-RNTI, or

for a PUSCH transmission with configured grant, or

for a MsgA PUSCH transmission,

if

- and transform precoding is disabled and Table 5.1.3.1-2 is used, or

-  and transform precoding is disabled and a table other than Table 5.1.3.1-2 is used, or

-  and transform precoding is enabled, the UE shall first determine the TBS as specified below:

The UE shall first determine the number of REs (*NRE*) within the slot:

- A UE first determines the number of REs allocated for PUSCH within a PRB  by

- , where is the number of subcarriers in the frequency domain in a physical resource block,  is the number of symbols *L* of the PUSCH allocation according to Clause 6.1.2.1 for scheduled PUSCH or Clause 6.1.2.3 for configured PUSCH,  is the number of REs for DM-RS per PRB in the allocated duration including the overhead of the DM-RS CDM groups without data, as described for PUSCH with a configured grant in Clause 6.1.2.3 or as indicated by DCI format 0\_1 or DCI format 0\_2 or as described for DCI format 0\_0 in Clause 6.2.2, and  is the overhead configured by higher layer parameter *xOverhead* in*PUSCH-ServingCellConfig*. If the  is not configured (a value from 6, 12, or 18), the  is assumed to be 0. For Msg3 or MsgA PUSCH transmission the  is always set to 0. In case of PUSCH repetition Type B,  is determined assuming a nominal repetition with the duration of *L* symbols without segmentation.

- A UE determines the total number of REs allocated for PUSCH  as follows

- For TB processing over multiple slots, where  is the total number of allocated PRBs for the UE and *N* is the number of slots used for TBS determination indicated by *numberOfSlotsTBoMS*.

- Otherwise, .

- Next, proceed with steps 2-4 as defined in Clause 5.1.3.2

- For a PUSCH scheduled by fallbackRAR UL grant, UE assumes the TB size determined by the UL grant in the fallbackRAR shall be the same as the TB size used in the corresponding MsgA PUSCH transmission.

else if

-  and transform precoding is disabled and Table 5.1.3.1-2 is used, or

-  and transform precoding is enabled,

- the TBS is assumed to be as determined from the DCI transported in the latest PDCCH for the same transport block using . If there is no PDCCH for the same transport block using , and if the initial PUSCH for the same transport block is scheduled by a RAR UL grant, the TBS shall be determined from the RAR UL grant. If there is no PDCCH for the same transport block using , and if the initial PUSCH for the same transport block is transmitted with configured grant,

- the TBS shall be determined from *configuredGrantConfig* for a configured grant Type 1 PUSCH.

- the TBS shall be determined from the most recent PDCCH scheduling a configured grant Type 2 PUSCH.

else

- the TBS is assumed to be as determined from the DCI transported in the latest PDCCH for the same transport block using . If there is no PDCCH for the same transport block using , and if the initial PUSCH for the same transport block is scheduled by a RAR UL grant, the TBS shall be determined from the RAR UL grant. If there is no PDCCH for the same transport block using , and if the initial PUSCH for the same transport block is transmitted with configured grant,

- the TBS shall be determined from *configuredGrantConfig* for a configured grant Type 1 PUSCH.

- the TBS shall be determined from the most recent PDCCH scheduling a configured grant Type 2 PUSCH.