TSG-RAN Working Group1 meeting #11 TSGR1#11(00)0418

San Diego, CA, U.S.A., February 29 – March 3, 2000

Agenda Item : **AH04 + AH08**

Source : **Nortel Networks**

Title : **Downlink Compressed Mode by Puncturing, CR 25.212-042 rev 5**

Document for : **Decision**

This CR is an updated version of CR 25.212-042. It reflects the output of AH8 and RAN1#11 decision on the introduction of compressed mode by puncturing method.

In the ad-hoc 8 meeting, it appeared that a complete solution for the fixed position case was available. However for the flexible positions case, no solution could be found for the rate matching parameters calculation. Though the processing part does not differ between the fixed and flexible position cases, no complete solution was therefore available. Hence, the prepared change request does not contain any description for the flexible position case. The solution for the fixed position is described using some of the notation in the Tdoc R1-00-0342 from Mitsubishi in order to introduce hooks for the later introduction of the flexible position case if we were to find a complete solution. However these general notation are used in such a way that this is equivalent to the originally proposal from Nortel Networks in R1-00-0357.

Hooks towards having different rate matching parameters from one TTI to the next one within the TTI max have been introduced for later evolution, in particular for introduction of the calculations in case of flexible positions. The modifications with respect to revision 4 are as follow :

- Introduction of the notion of a TTI number inside the largest TTI of a CCTrCh for compressed mode by puncturing. This is noted with a super script containing "TTI,cm,m", where m is the TTI number within the largest TTI.

- Introduction of notations $\Delta N_{i,\max}^{TTI,cm,m}$

  $\Delta N_{i,\max}^{TTI,cm,m}$ is the total amount of rate matching for compressed mode by puncturing over the TTI number m within the largest TTI, for TrCh i, when all TrChs have their maximal number of bits. It corresponds to the fixed positions case only.

- Introduction of notations $Np^{TTI,m}_{i,max}$:
  $Np^{TTI,m}_{i,max}$ is the amount of bits p to be introduced in TTI number *m* within the largest TTI, for TrCh *i*, in case of fixed positions only .

- Introduction of notations $Np^{n}_{i,max}$:
  $Np^{n}_{i,max}$ is the amount of bits p to be introduced in largest TTI-wise radio frame *n*, for TrCh *i*, in case of fixed positions only

- Update of the sections for first interleaver, and rate matching for fixed positions, to use these notations.

- Modification of the section on rate matching for flexible positions section. For normal mode, compressed mode by higher layer scheduling and compressed mode by spreading factor reduction, the section is updated to use the new notations.

3GPP/SMG Meeting #10
Bejging, China, 18 Jan-21 Jan 2000

***Document* R1-000418**
e.g. for 3GPP use the format TP-99xxx
or for SMG, use the format P-99-xxx

CHANGE REQUEST

Please see embedded help file at the bottom of this page for instructions on how to fill in this form correctly.

25.212 CR 042r5 Current Version: 3.1.0

GSM (AA.BB) or 3G (AA.BBB) specification number ↑ *↑ CR number as allocated by MCC support team*

For submission to: WG1 # 11	for approval	X	strategic		(for SMG use only)
list expected approval meeting # here ↑	for information		non-strategic		

Form: CR cover sheet, version 2 for 3GPP and SMG The latest version of this form is available from: ftp://ftp.3gpp.org/Information/CR-Form-v2.doc

Proposed change affects: (U)SIM [ ] ME [X] UTRAN / Radio [X] Core Network [ ]
(at least one should be marked with an X)

Source: Nortel Networks **Date:**

Subject: Downlink Compressed Mode by puncturing

Work item: TS 25.212

Category:				**Release:**	
	F	Correction		Phase 2	
	A	Corresponds to a correction in an earlier release		Release 96	
(only one category	B	Addition of feature		Release 97	
shall be marked	C	Functional modification of feature	X	Release 98	
with an X)	D	Editorial modification		Release 99	X
				Release 00	

Reason for change: Introduction of a method for compressed mode by puncturing, which requires minimal changes to the multiplexing chain.

Clauses affected: 4.2.5, 4.2.7, 4.2.9, 4.2.10

Other specs affected:			
	Other 3G core specifications		→ List of CRs:
	Other GSM core specifications		→ List of CRs:
	MS test specifications		→ List of CRs:
	BSS test specifications		→ List of CRs:
	O&M specifications		→ List of CRs:

Other comments:

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<--------- double-click here for help and instructions on how to create a CR.

4.2 Transport-channel coding/multiplexing

Data arrives to the coding/multiplexing unit in form of transport block sets once every transmission time interval. The transmission time interval is transport-channel specific from the set {10 ms, 20 ms, 40 ms, 80 ms}.

The following coding/multiplexing steps can be identified:

- Add CRC to each transport block (see section 4.2.1)
- Transport block concatenation and code block segmentation (see section 4.2.2)
- Channel coding (see section 4.2.3)
- Rate matching (see section 4.2.7)
- Insertion of discontinuous transmission (DTX) indication bits (see section 4.2.9)
- Interleaving (two steps, see sections 4.2.4 and 4.2.11)
- Radio frame segmentation (see section 4.2.6)
- Multiplexing of transport channels (see section 4.2.8)
- Physical channel segmentation (see section 4.2.10)
- Mapping to physical channels (see section 4.2.12)

The coding/multiplexing steps for uplink and downlink are shown in figure 1 and figure 2 respectively.

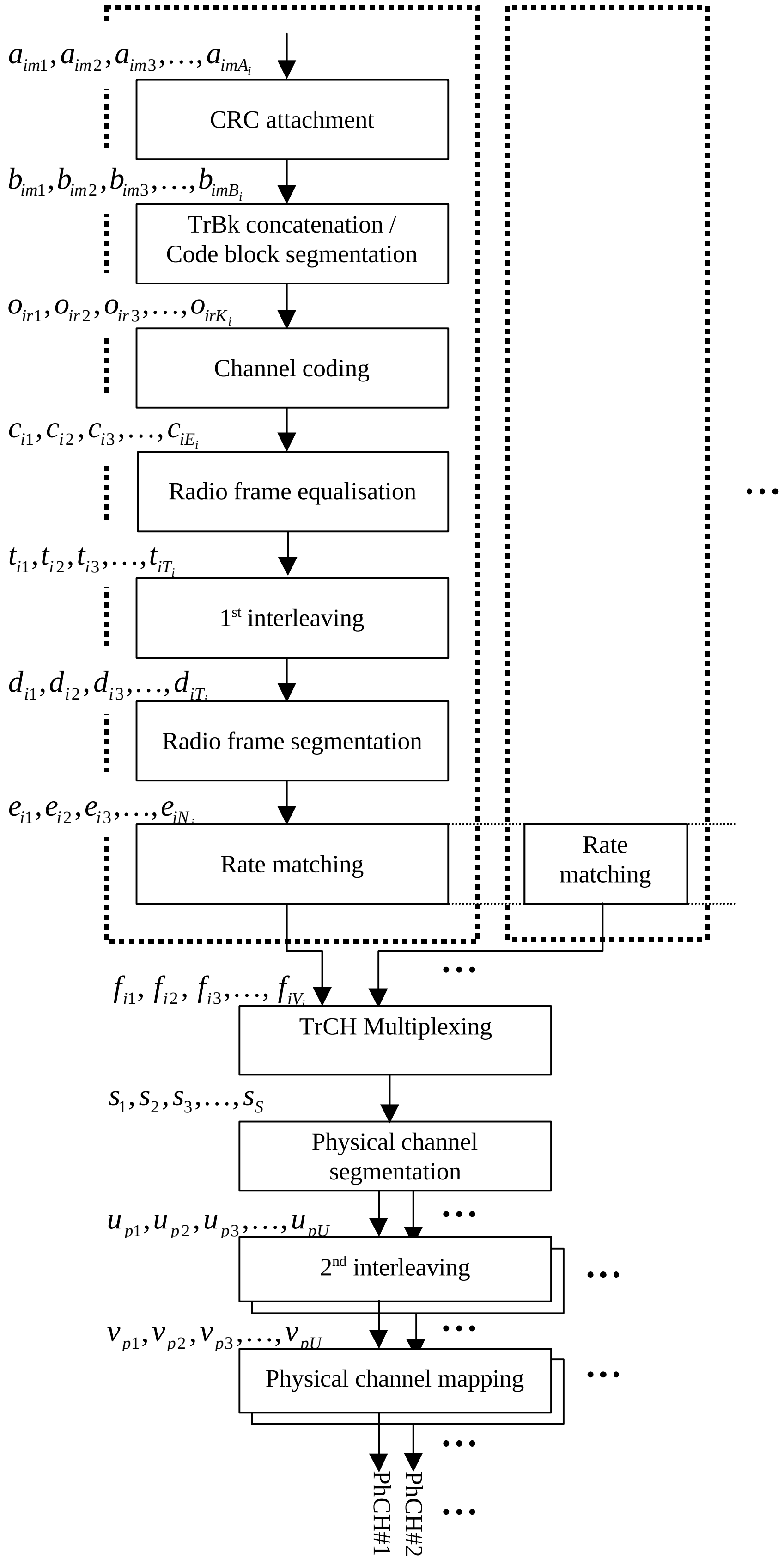
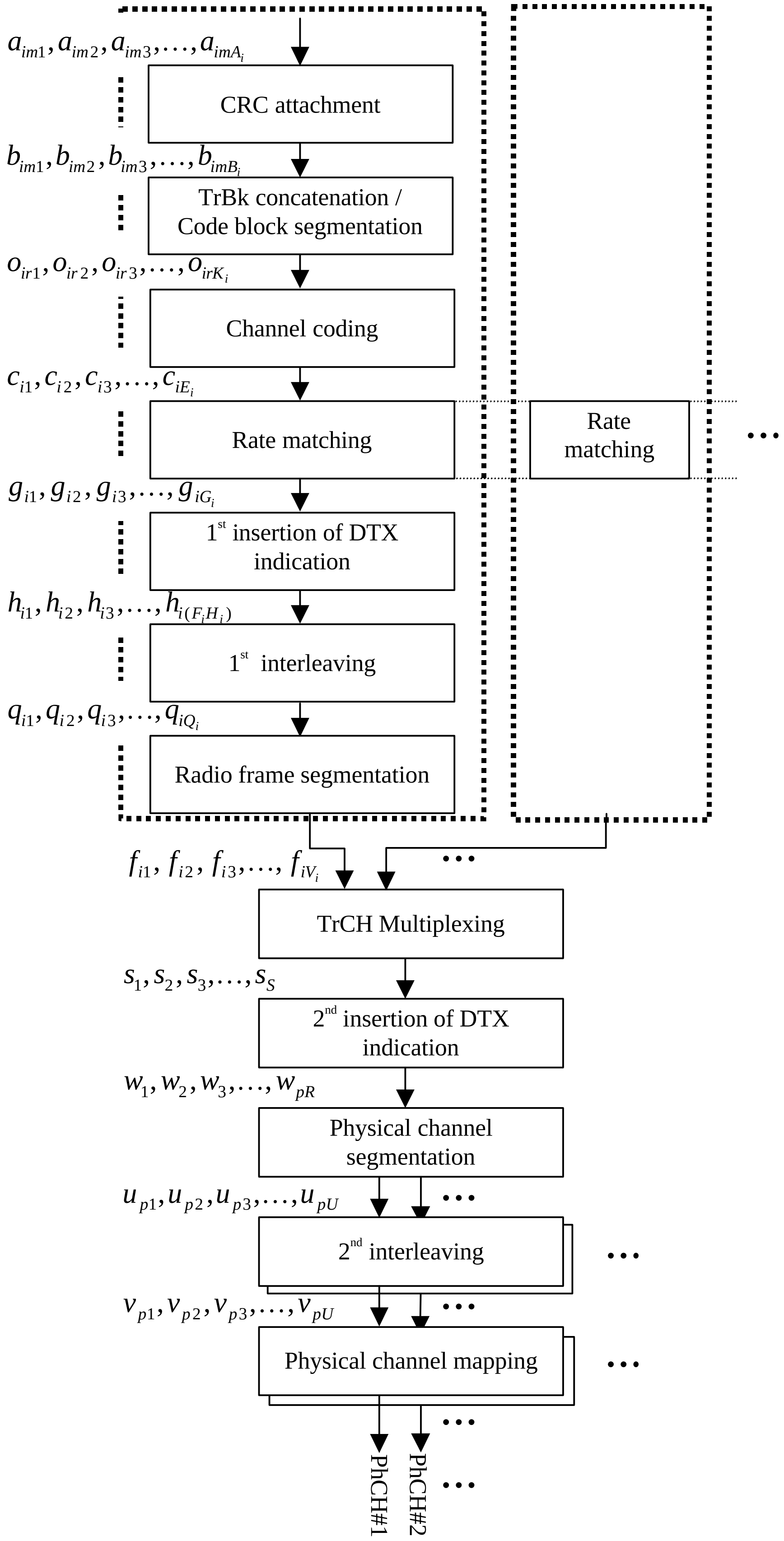


Figure 1: Transport channel multiplexing structure for uplink


$a_{im1}, a_{im2}, a_{im3}, \ldots, a_{imA_i}$
CRC attachment
$b_{im1}, b_{im2}, b_{im3}, \ldots, b_{imB_i}$
TrBk concatenation / Code block segmentation
$o_{ir1}, o_{ir2}, o_{ir3}, \ldots, o_{irK_i}$
Channel coding
$c_{i1}, c_{i2}, c_{i3}, \ldots, c_{iE_i}$
Rate matching
Rate matching
$g_{i1}, g_{i2}, g_{i3}, \ldots, g_{iG_i}$
1st insertion of DTX indication
$h_{i1}, h_{i2}, h_{i3}, \ldots, h_{i(F_i H_i)}$
1st interleaving
$q_{i1}, q_{i2}, q_{i3}, \ldots, q_{iQ_i}$
Radio frame segmentation
$f_{i1}, f_{i2}, f_{i3}, \ldots, f_{iV_i}$
TrCH Multiplexing
$s_1, s_2, s_3, \ldots, s_S$
2nd insertion of DTX indication
$w_1, w_2, w_3, \ldots, w_{pR}$
Physical channel segmentation
$u_{p1}, u_{p2}, u_{p3}, \ldots, u_{pU}$
2nd interleaving
$v_{p1}, v_{p2}, v_{p3}, \ldots, v_{pU}$
Physical channel mapping
PhCH#1
PhCH#2

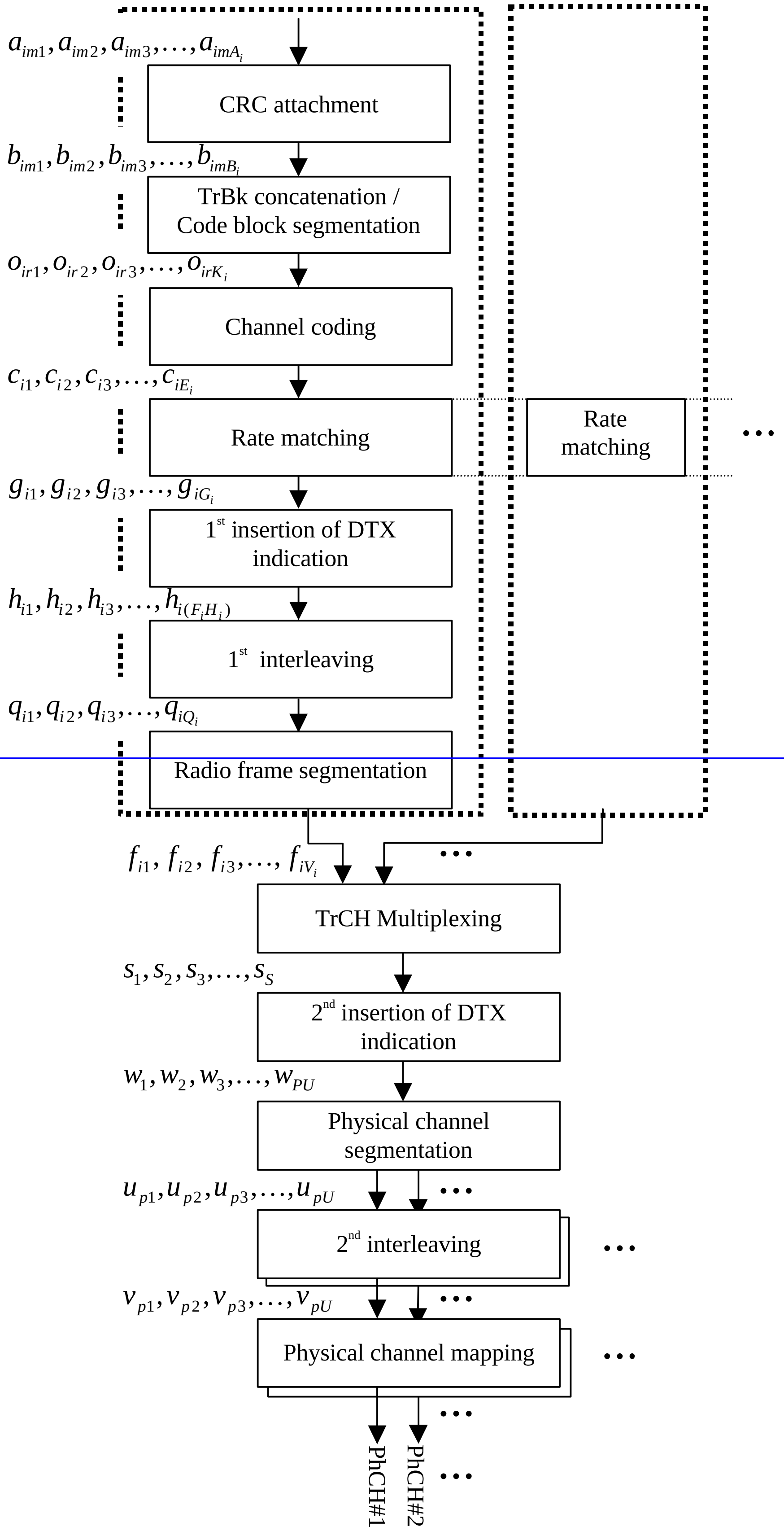


Figure 2: Transport channel multiplexing structure for downlink

The single output data stream from the TrCH multiplexing is denoted *Coded Composite Transport Channel (CCTrCH)*. A CCTrCH can be mapped to one or several physical channels.

4.2.5 1st interleaving

In Compressed Mode by puncturing, bits marked with a fourth value on top of {0, 1, δ} and noted p, are introduced in the radio frames to be compressed, in positions corresponding to the first bits of the radio frames. They will be removed in a later stage of the multiplexing chain to create the actual gap. Additional puncturing has been performed in the rate matching step, over the TTI containing the compressed radio frame, to create room for these p-bits. The following section describes this feature.

4.2.5.1 Insertion of marked bits in the sequence to be input in first interleaver

In normal mode, compressed mode by higher layer scheduling, and compressed mode by spreading factor reduction,

$x_{ik} = z_{ik}$ and $X_i = Z_i$.

In case of compressed mode by puncturing and fixed positions, sequence $x_{i,k}$ which will be input to first interleaver for TrCh i and TTI m within largest TTI, is built from bits $z_{i,k}$, $k=1, ..Z_i$, plus $Np^{TTI,m}_{i,max}$ bits marked p and $X_i = Z_i + Np^{TTI,m}_{i,max}$, as is described thereafter.

$Np^{TTI,m}_{i,max}$ is defined in the Rate Matching section 4.2.7

$P_{Fi}[x]$ defines the inter column permutation function for a TTI of length Fi *10ms, as defined in Table 3 above. $P_{Fi}[x]$ is the Bit Reversal function of x on $\log_2$(Fi) bits.

Note:

- C[x], x= 0 to Fi – 1, the number of bits p which have to be inserted in each of the Fi segments of the TTI, i.e. in each column of the first interleaver. C[x] is equal to $Np^{x}_{i,max}$ for x equal 0 to Fi –1 for fixed positions . It is noted Np^{x}_{i} in the following initialisation step.
- cbi[x], x=0 to Fi – 1, the counter of the number of bits p inserted in each of the Fi segments of the TTI, i.e. in each column of the first interleaver.

```
col = 0
while col < F_i do
    C[col] = Np^col_i        -- initialisation of number of bits p  to be inserted in each of the Fi segments of the TTI
    cbi[col] = 0             -- initialisation of counter of number of bits p inserted in each of the Fi segments of the TTI
end do


n = 0, m = 0
while n < X_i do
    col = n mod F_i
    if cbi[col] < C[P_Fi (col)] do
        x_i,n = p                    -- insert one p bit
        cbi[col] = cbi[col]+1        -- update counter of number of bits p inserted
    else                             -- no more p bit to insert in this segment
        x_i,n  = z_i,m
        m = m+1
    endif
    n = n +1
end do
```

4.2.5.2 1st interleaver operation

The 1st interleaving is a block interleaver with inter-column permutations. The input bit sequence to the 1st interleaver is denoted by $x_{i1}, x_{i2}, x_{i3}, \ldots, x_{iX_i}$, where i is TrCH number and X_i the number of bits (at this stage X_i is assumed and guaranteed to be an integer multiple of TTI). The output bit sequence is derived as follows:

(1) Select the number of columns C_I from table 3.

(2) Determine the number of rows R_I defined as

$$R_I = X_i/C_I$$

(3) Write the input bit sequence into the $R_I \times C_I$ rectangular matrix row by row starting with bit $x_{i,1}$ in the first column of the first row and ending with bit $x_{i,(R_I C_I)}$ in column C_I of row R_I:

$$\begin{bmatrix} x_{i1} & x_{i2} & x_{i3} & \ldots & x_{iC_I} \\ x_{i,(C_I+1)} & x_{i,(C_I+2)} & x_{i,(C_I+3)} & \ldots & x_{i,(2C_I)} \\ \vdots & \vdots & \vdots & \ldots & \vdots \\ x_{i,((R_I-1)C_I+1)} & x_{i,((R_I-1)C_I+2)} & x_{i,((R_I-1)C_I+3)} & \ldots & x_{i,(R_I C_I)} \end{bmatrix}$$

(4) Perform the inter-column permutation based on the pattern $\{P_1(j)\}$ (j=0,1, ..., C-1) shown in table 3, where $P_1(j)$ is the original column position of the j-th permuted column. After permutation of the columns, the bits are denoted by y_{ik}:

$$\begin{bmatrix} y_{i1} & y_{i,(R_I+1)} & y_{i,(2R_I+1)} & \ldots & y_{i,((C_I-1)R_I+1)} \\ y_{i2} & y_{i,(R_I+2)} & y_{i,(2R_I+2)} & \ldots & y_{i,((C_I-1)R_I+2)} \\ \vdots & \vdots & \vdots & \ldots & \vdots \\ y_{iR_I} & y_{i,(2R_I)} & y_{i,(3R_I)} & \ldots & y_{i,(C_I R_I)} \end{bmatrix}$$

(5) Read the output bit sequence $y_{i1}, y_{i2}, y_{i3}, \ldots, y_{i,(C_I R_I)}$ of the 1st interleaving column by column from the inter-column permuted $R_I \times C_I$ matrix. Bit $y_{i,1}$ corresponds to the first row of the first column and bit $y_{i,(R_I C_I)}$ corresponds to row R_I of column C_I.

Table 3

TTI	Number of columns C_I	Inter-column permutation patterns
10 ms	1	{0}
20 ms	2	{0,1}
40 ms	4	{0,2,1,3}
80 ms	8	{0,4,2,6,1,5,3,7}

4.2.5.31 Relation between input and output of 1st interleaving in uplink

The bits input to the 1st interleaving are denoted by $t_{i1}, t_{i2}, t_{i3}, \ldots, t_{iT_i}$, where i is the TrCH number and T_i the number of bits. Hence, xz$_{ik}$ = t_{ik} and $X_i = T_i$.

The bits output from the 1st interleaving are denoted by $d_{i1}, d_{i2}, d_{i3}, \ldots, d_{iT_i}$, and $d_{ik} = y_{ik}$.

4.2.5.4~~2~~ Relation between input and output of 1st interleaving in downlink

If fixed positions of the TrCHs in a radio frame is used then the bits input to the 1st interleaving are denoted by $h_{i1}, h_{i2}, h_{i3}, \ldots, h_{i(F_i H_i)}$, where i is the TrCH number. Hence, ~~x~~$z_{ik} = h_{ik}$ and $Z_i = F_i * H_i - Np^{TTI,m}_{i,max}$ in compressed mode by puncturing, and ~~X~~$Z_i = F_i H_i$ otherwise.

If flexible positions of the TrCHs in a radio frame is used then the bits input to the 1st interleaving are denoted by $g_{i1}, g_{i2}, g_{i3}, \ldots, g_{iG_i}$, where i is the TrCH number. Hence, ~~x~~$z_{ik} =$ ~~h~~g_{ik} and ~~X~~$Z_i = G_i$.

The bits output from the 1st interleaving are denoted by $q_{i1}, q_{i2}, q_{i3}, \ldots, q_{iQ_i}$, where i is the TrCH number and Q_i is the number of bits. Hence, $q_{ik} = y_{ik}$, $Q_i = F_i H_i$ if fixed positions are used, and $Q_i = G_i$ if flexible positions are used.

4.2.7 Rate matching

Rate matching means that bits on a transport channel are repeated or punctured. Higher layers assign a rate-matching attribute for each transport channel. This attribute is semi-static and can only be changed through higher layer signalling. The rate-matching attribute is used when the number of bits to be repeated or punctured is calculated.

The number of bits on a transport channel can vary between different transmission time intervals. In the downlink the transmission is interrupted if the number of bits is lower than maximum. When the number of bits between different transmission time intervals in uplink is changed, bits are repeated or punctured to ensure that the total bit rate after TrCH multiplexing is identical to the total channel bit rate of the allocated dedicated physical channels.

If no bits are input to the rate matching for all TrCHs within a CCTrCH, the rate matching shall output no bits for all TrCHs within the CCTrCH and no uplink DPDCH will be selected in the case of uplink rate matching.

Notation used in section 4.2.7 and subsections:

N_{ij}: For uplink: Number of bits in a radio frame before rate matching on TrCH *i* with transport format combination *j* .

For downlink : An intermediate calculation variable (not an integer but a multiple of 1/8).

N_{il}^{TTI} : Number of bits in a transmission time interval before rate matching on TrCH *i* with transport format *l*. Used in downlink only.

ΔN_{ij} : For uplink: If positive - number of bits that should be repeated in each radio frame on TrCH *i* with transport format combination *j*.

If negative - number of bits that should be punctured in each radio frame on TrCH *i* with transport format combination *j*.

For downlink : An intermediate calculation variable (not an integer but a multiple of 1/8).

ΔN_{il}^{TTI} : If positive - number of bits to be repeated in each transmission time interval on TrCH *i* with transport format *j*.

If negative - number of bits to be punctured in each transmission time interval on TrCH *i* with transport format *j*.

Used in downlink only.

$Np_{i,l}^{TTI,m}$, $m=0$ to $F_{max}/F_i - 1$:Positive or null: number of bits to be removed in TTI number *m* within the largest TTI, to create the required gaps in the compressed radio frames of this TTI, in case of compressed mode by puncturing, for TrCh *i* with transport format *l*. In case of fixed positions and compressed mode by puncturing, this value is noted $Np_{i,max}^{TTI,m}$ since it is calculated for all TrCh with their maximum number of bits; thus it is the same for all TFCs

Used in downlink only.

$Np_{i,l}^{n}$ $n=0$ to $F_{max}-1$:Positive or null: number of bits, in radio frame number *n* within the largest TTI, corresponding to the gap for compressed mode in this radio frame, for TrCH *i* with transport format *l*. The value will be null for the un-compressed radio frames. In case of fixed positions and compressed mode by puncturing, this value is noted $Np_{i,max}^{n}$ since it is calculated for all TrCHs with their maximum number of bits; thus it is the same for all TFCs

Used in downlink only.

$N_{TGL}[k]$, $k=0$ to $F_i -1$: Positive or null: number of bits in each radio frame corresponding to the gap for compressed mode for the CCTrCh.

RM_i: Semi-static rate matching attribute for transport channel *i*. Signalled from higher layers.

PL: Puncturing limit for uplink. This value limits the amount of puncturing that can be applied in order to avoid multicode or to enable the use of a higher spreading factor. Signalled from higher layers.

$N_{data,j}$: Total number of bits that are available for the CCTrCH in a radio frame with transport format combination *j*.

I: Number of TrCHs in the CCTrCH.

Z_{ij}: Intermediate calculation variable.

F_i: Number of radio frames in the transmission time interval of TrCH *i*.

F_{max} Maximum number of radio frames in a transmission time interval used in the CCTrCH :

$$F_{\max} = \max_{1 \le i \le I} F_i$$

n_i: Radio frame number in the transmission time interval of TrCH *i* ($0 £ n_i < F_i$).

q: Average puncturing or repetition distance (normalised to only show the remaining rate matching on top of an integer number of repetitions). Used in uplink only.

$I_F(n_i)$: The inverse interleaving function of the 1st interleaver (note that the inverse interleaving function is identical to the interleaving function itself for the 1st interleaver). Used in uplink only.

$S(n_i)$: The shift of the puncturing or repetition pattern for radio frame n_i. Used in uplink only.

$TF_i(j)$: Transport format of TrCH *i* for the transport format combination *j*.

TFS(i) The set of transport format indexes *l* for TrCH *i*.

TFCS The set of transport format combination indexes *j*.

e_{ini} Initial value of variable *e* in the rate matching pattern determination algorithm of section 4.2.7.5.

e_{plus} Increment of variable *e* in the rate matching pattern determination algorithm of section 4.2.7.5.

e_{minus} Decrement of variable *e* in the rate matching pattern determination algorithm of section 4.2.7.5.

b: Indicates systematic and parity bits

b=1: Systematic bit. *X(t)* in section 4.2.3.2.1.

b=2: 1st parity bit (from the upper Turbo constituent encoder). *Y(t)* in section 4.2.3.2.1.

b=3: 2nd parity bit (from the lower Turbo constituent encoder). *Y'(t)* in section 4.2.3.2.1.

The * (star) notation is used to replace an index *x* when the indexed variable X_x does not depend on the index *x*. In the left wing of an assignment the meaning is that "$X_* = Y$" is equivalent to "**for all** *x* **do** $X_x = Y$ ". In the right wing of an assignment, the meaning is that "$Y = X_*$ " is equivalent to "**take any** *x* **and do** $Y = X_x$ "

The following relations, defined for all TFC *j*, are used when calculating the rate matching parameters:

$$Z_{0,j} = 0$$

$$Z_{ij} = \left\lfloor \frac{\sum_{m=1}^{i} RM_m \cdot N_{mj}}{\sum_{m=1}^{I} RM_m \cdot N_{mj}} \cdot N_{data,j} \right\rfloor \quad \text{for all } i = 1 \,..\, I \qquad (1)$$

$$\Delta N_{ij} = Z_{ij} - Z_{i-1,j} - N_{ij} \qquad \text{for all } i = 1 \,..\, I$$

4.2.7.1 Determination of rate matching parameters in uplink

4.2.7.1.1 Determination of SF and number of PhCHs needed

In uplink, puncturing can be applied to match the CCTrCH bit rate to the PhCH bit rate. The bit rate of the PhCH(s) is limited by the UE capability and restrictions imposed by UTRAN, through limitations on the PhCH spreading factor. The maximum amount of puncturing that can be applied is signalled from higher layers and denoted by *PL*. The number of available bits in the radio frames for all possible spreading factors is given in [2]. Denote these values by N_{256}, N_{128}, N_{64}, N_{32}, N_{16}, N_8, and N_4, where the index refers to the spreading factor. The possible values of N_{data} then are $\{ N_{256}, N_{128}, N_{64}, N_{32}, N_{16}, N_8, N_4, 2N_4, 3N_4, 4N_4, 5N_4, 6N_4\}$.Depending on the UE capability and the restrictions from UTRAN, the allowed set of N_{data} , denoted SET0, can be a subset of $\{ N_{256}, N_{128}, N_{64}, N_{32}, N_{16}, N_8, N_4, 2N_4, 3N_4, 4N_4, 5N_4, 6N_4\}$. $N_{data,j}$ for the transport format combination j is determined by executing the following algorithm:

SET1 = { N_{data} in SET0 such that $N_{data} - \sum_{x=1}^{I} \frac{RM_{x,}}{\min_{1\le y\le I}\{RM_y\}} \cdot N_{x,j}$ is non negative }

If SET1 is not empty and the smallest element of SET1 requires just one PhCH then

$N_{data,j}$ = min SET1

else

SET2 = { N_{data} in SET0 such that $N_{data} - PL \cdot \sum_{x=1}^{I} \frac{RM_{x}}{\min_{1\le y\le I}\{RM_y\}} \cdot N_{x,j}$ is non negative }

Sort SET2 in ascending order

N_{data} = min SET2

While N_{data} is not the max of SET2 and the follower of N_{data} requires no additional PhCH do

N_{data} = follower of N_{data} in SET2

End while

$N_{data,j} = N_{data}$

End if

4.2.7.1.2 Determination of parameters needed for calculating the rate matching pattern

The number of bits to be repeated or punctured, DN_{ij}, within one radio frame for each TrCH *i* is calculated with equation 1 for all possible transport format combinations *j* and selected every radio frame. $N_{data,j}$ is given from section 4.2.7.1.1. In compressed mode $N_{data,j}$ is replaced by $N^{cm}_{data,j}$ in Equation 1. $N^{cm}_{data,j}$ is given from the following relation:

$N^{cm}_{data,j} = 2N_{data,j} - 2N_{TGL}$, for compressed mode by spreading factor reduction

$N^{cm}_{data,j} = N_{data,j} - N_{TGL}$, for compressed mode by higher layer scheduling

$$N_{TGL} = \begin{cases} \frac{TGL}{15} N_{data,j}, & \text{if } N_{first} + TGL \le 15 \\ \frac{15 - N_{first}}{15} N_{data,j}, & \text{in first frame if } N_{first} + TGL > 15 \\ \frac{TGL - (15 - N_{first})}{15} N_{data,j}, & \text{in second frame if } N_{first} + TGL > 15 \end{cases}$$

N_{first} and *TGL* are defined in section 4.4.

If DN_{ij} = 0 then the output data of the rate matching is the same as the input data and the rate matching algorithm of section 4.2.7.5 does not need to be executed.

If $DN_{ij} \neq 0$ the parameters listed in sections 4.2.7.1.2.1 and 4.2.7.1.2.2 shall be used for determining e_{ini}, e_{plus}, and e_{minus} (regardless if the radio frame is compressed or not).

4.2.7.1.2.1 Uncoded and convolutionally encoded TrCHs

$R = DN_{ij} \bmod N_{ij}$ -- note: in this context $DN_{ij} \bmod N_{ij}$ is in the range of 0 to N_{ij}-1 i.e. -1 mod 10 = 9.

if $R \neq 0$ and $2R \leq N_{ij}$

then $q = \lceil N_{ij} / R \rceil$

else

$q = \lceil N_{ij} / (R - N_{ij}) \rceil$

endif

-- note: *q* is a signed quantity.

if q is even

then $q' = q + \gcd(|q|, F_i)/F_i$ -- where $\gcd(|q|, F_i)$ means greatest common divisor of $|q|$ and F_i

-- note that *q'* is not an integer, but a multiple of 1/8

else

q' = q

endif

for x = 0 to F_i-1

$S(I_F(|\lfloor x*q' \rfloor| \bmod F_i)) = (|\lfloor x*q' \rfloor| \operatorname{div} F_i)$

end for

$\Delta N_i = \Delta N_{i,j}$

a = 2

For each radio frame, the rate-matching pattern is calculated with the algorithm in section 4.2.7.5, where :

$X_i = N_{i,j}$., and

$e_{ini} = (a \cdot S(n_i) \cdot |\Delta N_i| + 1) \bmod (a \cdot N_{ij})$.

$e_{plus} = a \cdot N_{ij}$

$e_{minus} = a \cdot |\Delta N_i|$

puncturing for *DN*<0, repetition otherwise.

4.2.7.1.2.2 Turbo encoded TrCHs

If repetition is to be performed on turbo encoded TrCHs, i.e. $DN_{i,j} > 0$, the parameters in section 4.2.7.1.2.1 are used.

If puncturing is to be performed, the parameters below shall be used. Index *b* is used to indicate systematic (*b*=1), 1st parity (*b*=2), and 2nd parity bit (*b*=3).

a=2 when *b*=2

a=1 when *b*=3

$$\Delta N_i = \begin{cases} \lfloor \Delta N_{i,j} / 2 \rfloor, & b = 2 \\ \lceil \Delta N_{i,j} / 2 \rceil, & b = 3 \end{cases}$$

$X_i = \lfloor N_{i,j} / 3 \rfloor$,

$q = \lfloor X_i / |\Delta N_i| \rfloor$

if($q \leq 2$)

for x=0 to F_i-1

S[I_F[(3x+b-1) mod F_i]] = x mod 2;

end for

else

if q is even

then $q' = q - gcd(q, F_i)/ F_i$ -- where $gcd(q, F_i)$ means greatest common divisor of q and F_i
-- note that q' is not an integer, but a multiple of 1/8

else q' = q

endif

for x=0 to F_i -1

r = $\lceil x*q' \rceil$ mod F_i;

S[I_F[(3r+b-1) mod F_i]] = $\lceil x*q' \rceil$ div F_i;

endfor

endif

For each radio frame, the rate-matching pattern is calculated with the algorithm in section 4.2.7.5, where:

X_i is as above,

$e_{ini} = (a \cdot S(n_i) \cdot |\Delta N_i| + X_i) \bmod (a \cdot X_i)$, if e_{ini} =0 then $e_{ini} = a \cdot X_i$.

$e_{plus} = a \cdot X_i$

$e_{minus} = a \cdot |\Delta N_i|$

4.2.7.2 Determination of rate matching parameters in downlink

For downlink $N_{data,j}$ does not depend on the transport format combination j. $N_{data,*}$ is given by the channelization code(s) assigned by higher layers.

In the following, the total amount of puncturing or repetition for the TTI is calculated.

Additional calculations for compressed mode by puncturing in case of fixed positions are performed to determine this total amount of rate matching needed:

For compressed mode by puncturing, in TTIs where some compressed radio frames occur, the puncturing is increased or the repetition is decreased compared to what is calculated according to the rate matching parameters provided by higher layers. This allows to create room for later insertion of marked bits, noted p-bits, which will identify the positions of the gaps in the compressed radio frames.

The amount of additional puncturing corresponds to the number of bits to create the gap in the TTI for TrCh $_i$. In case of fixed positions, it is calculated in addition to the amount of rate matching indicated by higher layers. It is noted $Np^{TTI,m}_{i,max}$.

In fixed positions case, to obtain the total rate matching $\Delta N_{i,\max}^{TTI,cm,m}$ to be performed on the TTI *m*, $Np^{TTI,m}_{i,max}$ is substracted from $DN^{TTI,m}_{i,max}$ (calculated based on higher layers RM parameters as for normal rate matching). This allows to create room for the $Np^{TTI,m}_{i,max}$ bits p to be inserted later. If the result is null, i.e. the amount of repetition matches exactly the amount of additional puncturing needed, then no rate matching is necessary.

In case of compressed mode by puncturing and fixed positions, for some calculations, $N'_{data,*}$ is used for radio frames with gap instead of $N_{data,*}$, where $N'_{data,*} = P(15N'_{data1} + 15N'_{data2})$. N'_{data1} and N'_{data2} are the number of bits in the data fields of the slot format used for the current compressed mode, i.e. slot format A or B as defined in [2] corresponding to the Spreading Factor and the number of transmitted slots in use.

The number of bits corresponding to the gap for TrCh i, in each radio frame of its TTI is calculated using the number of bits to remove on each Physical Channel $N_{TGL}[k]$, where k is the radio frame number in the TTI.

For each radio frame k of the TTI, $N_{TGL}[k]$ is given by the relation:

$$N_{TGL} = \begin{cases} \frac{TGL}{15} N'_{data,*}, & \text{if } N_{first} + TGL \le 15 \\ \frac{15 - N_{first}}{15} N'_{data,*}, & \text{in first radio frame of the gap if } N_{first} + TGL > 15 \\ \frac{TGL - (15 - N_{first})}{15} N'_{data,*}, & \text{in second radio frame of the gap if } N_{first} + TGL > 15 \end{cases}$$

N_{first} and *TGL* are defined in section 4.4.

Note that $N_{TGL}[k] = 0$ if radio frame k is not compressed.

4.2.7.2.1 Determination of rate matching parameters for fixed positions of TrCHs

4.2.7.2.1.1 Calculation of DN_{max} for normal mode and compressed mode by higher layer scheduling and spreading factor reduction

First an intermediate calculation variable $N_{i,*}$ is calculated for all transport channels *i* by the following formula:

$$N_{i,*} = \frac{1}{F_i} \cdot \max_{l \in TFS(i)} N_{i,l}^{TTI}$$

The computation of the $\Delta N_{i,l}^{TTI}$ parameters is then performed in for all TrCH *i* and all TF *l* by the following formula, where $\Delta N_{i,*}$ is derived from $N_{i,*}$ by the formula given at section 4.2.7:

$$\Delta N_{max} = F_i \cdot \Delta N_{i,*}$$

If $\Delta N_{max} = 0$ then, for TrCH *i*, the output data of the rate matching is the same as the input data and the rate matching algorithm of section 4.2.7.5 does not need to be executed. In this case we have :

$$\forall l \in TFS(i)\ \Delta N_{i,l}^{TTI} = 0$$

If $\Delta N_{max} \ne 0$ the parameters listed in sections 4.2.7.2.1.1 and 4.2.7.2.1.2 shall be used for determining e_{ini}, e_{plus}, and e_{minus}.

4.2.7.2.1.2 Calculations for compressed mode by puncturing:

Calculations of $DN^{TTI,m}_{i,max}$ for all TTI *m* within largest TTI, for all TrCh *i*

First an intermediate calculation variable $N^{n}_{i,*}$ is calculated for all transport channels *i* and all frames *n* in TTI *m* within the largest TTI, using the same formula as for normal mode above by replacing $N^{TTI}_{i,l}$ by $N^{TTI,m}_{i,l}$, the number of bits in TTI *m.*

The computation of the $\Delta N^{TTI,m}_{i,max}$ parameters is then performed for all TrCH *i* by the following formula,

$$DN^{TTI,m}_{i,max} = S_{n=0}^{n=Fi} DN^{n}_{i,*}$$

where all $\Delta N^{n}_{i,*}$ are derived from $N^{n}_{i,*}$ for all TrCh i and all frames *n* in TTI *m*, from the formula given at section 4.2.7 using $N_{data,*}$ for the non compressed frames of TTI *m* and using $N'_{data,*}$ instead of $N_{data,*}$, for the compressed frames of TTI *m.*

Calculations of $Np^{n}_{i,max}$ and $Np^{TTI,m}_{i,max}$

Let $Np^{n}_{i,max}$ be the number of bits to eliminate on TrCh *i* to create the gap for compressed mode, in each radio frame k of the TTI, calculated for the Transport Format Combination of TrCh *i*, in which the number of bits of TrCh *i* is at its maximum.

$Np^{n}_{i,max}$ is calculated for each radio frame k of the TTI in the following way.

Intermediate variables Z_i *for* $i = 1$ *to* I are calculated using the formula (1) in 4.2.7, by replacing $N_{data,j}$ by $N_{TGL}[k]$.

Then $Np^{n}_{i,max} = (Z_i - Z_{i-1})$ *for* $i = 1$ *to* I

The total number of bits $Np^{TTI,m}_{i,max}$ corresponding to the gaps for compressed mode for TrCh i in the TTI is calculated as:

$$Np^{TTI,m}_{i,max} = S_{n=0}^{Fi-1} Np^{n}_{i,max}$$

If $DN_{max} = Np^{TTI,m}_{i,max}$, then, for TrCH *i*, the output data of the rate matching is the same as the input data and the rate matching algorithm of section 4.2.7.5 does not need to be executed. If $DN_{max} {}^{1} Np^{TTI,m}_{i,max}$, then, for TrCH *i*, the rate matching algorithm of section 4.2.7.5 needs to be executed.

$$\Delta N^{TTI,cm,m}_{i,\max} = DN^{TTI,m}_{i,max} - Np^{TTI,m}_{i,max}$$

4.2.7.2.1.31 Determination of rate matching parameters for Uuncoded and convolutionally encoded TrCHs

$$\Delta N_i = \Delta N_{max}$$

For compressed mode by puncturing, DN_i is defined as: $DN_i = \Delta N^{TTI,cm,m}_{i,\max}$, instead of the previous relation.

a=2

$$N_{max} = \max_{l \in TFS(i)} N^{TTI}_{il}$$

For each transmission time interval of TrCH *i* with TF *l*, the rate-matching pattern is calculated with the algorithm in section 4.2.7.5. The following parameters are used as input:

$$X_i = N^{TTI}_{il}$$

$$e_{ini} = 1$$

$$e_{plus} = a \cdot N_{max}$$

$$e_{\min us} = a \cdot |\Delta N_i|$$

Puncturing if $\Delta N_i < 0$, repetition otherwise. The values of $\Delta N_{i,l}^{TTI}$ may be computed by counting repetitions or puncturing when the algorithm of section 4.2.7.5 is run.

4.2.7.2.1.42 Determination of rate matching parameters for Turbo encoded TrCHs

If repetition is to be performed on turbo encoded TrCHs, i.e. $\Delta N_{max} > 0$, the parameters in section 4.2.7.2.1.1 are used.

If puncturing is to be performed, the parameters below shall be used. Index *b* is used to indicate systematic (*b*=1), 1st parity (*b*=2), and 2nd parity bit (*b*=3).

a=2 when *b*=2

a=1 when *b*=3

The bits indicated by *b*=1 shall not be punctured.

$$\Delta N_i = \begin{cases} \lfloor \Delta N_{max}/2 \rfloor, & b=2 \\ \lceil \Delta N_{max}/2 \rceil, & b=3 \end{cases}$$

In Compressed Mode by puncturing, the following relations are used instead of the previous ones:

$DN_i = ë\ \Delta N_{i,\max}^{TTI,cm,m} /2\ û\ ,\ b=2$

$DN_i = é\ \Delta N_{i,\max}^{TTI,cm,m} /2\ ù\ ,\ b=3$

$$N_{max} = \max_{l \in TFS(i)} (N_{il}^{TTI}/3)$$

For each transmission time interval of TrCH *i* with TF *l*, the rate-matching pattern is calculated with the algorithm in section 4.2.7.5. The following parameters are used as input:

$$X_i = N_{il}^{TTI}/3$$

$$e_{ini} = N_{max}$$

$$e_{plus} = a \cdot N_{max}$$

$$e_{\min us} = a \cdot |\Delta N_i|$$

The values of $\Delta N_{i,l}^{TTI}$ may be computed by counting repetitions or puncturing when the algorithm of section 4.2.7.5 is run.

4.2.7.2.2 Determination of rate matching parameters for flexible positions of TrCHs

4.2.7.2.2.1 Calculations for normal mode, compressed mode by higher layer scheduling, and compressed mode by spreading factor reduction

First an intermediate calculation variable N_{ij} is calculated for all transport channels *i* and all transport format combinations *j* by the following formula:

$$N_{i,j} = \frac{1}{F_i} \cdot N_{i,TF_i(j)}^{TTI}$$

Then rate matching ratios RF_i are calculated for each the transport channel i in order to minimise the number of DTX bits when the bit rate of the CCTrCH is maximum. The RF_i ratios are defined by the following formula:

$$RF_i = \frac{N_{data,*}}{\max_{j \in TFCS} \sum_{i=1}^{i=I} \left(RM_i \cdot N_{i,j} \right)} \cdot RM_i$$

The computation of $\Delta N_{i,l}^{TTI}$ parameters is then performed in two phases. In a first phase, tentative temporary values of $\Delta N_{i,l}^{TTI}$ are computed, and in the second phase they are checked and corrected. The first phase, by use of the RF_i ratios, ensures that the number of DTX indication bits inserted is minimum when the CCTrCH bit rate is maximum, but it does not ensure that the maximum CCTrCH bit rate is not greater than $N_{data,*}$. per 10ms. The latter condition is ensured through the checking and possible corrections carried out in the second phase.

At the end of the second phase, the latest value of $\Delta N_{i,l}^{TTI}$ is the definitive value.

The first phase defines the tentative temporary $\Delta N_{i,l}^{TTI}$ for all transport channel i and any of its transport format l by use of the following formula:

$$\Delta N_{i,l}^{TTI} = F_i \cdot \left\lceil \frac{RF_i \cdot N_{i,l}^{TTI}}{F_i} \right\rceil - N_{i,l}^{TTI}$$

The second phase is defined by the following algorithm:

for all j in *TFCS* do -- for all TFC

$$D = \sum_{i=1}^{i=I} \frac{N_{i,TF_i(j)}^{TTI} + \Delta N_{i,TF_i(j)}^{TTI}}{F_i}$$ -- CCTrCH bit rate (bits per 10ms) for TFC l

if $D > N_{data,*}$ then

for i = 1 to I do -- for all TrCH

$\Delta N = F_i \cdot \Delta N_{i,j}$ -- $\Delta N_{i,j}$ is derived from $N_{i,j}$ by the formula given at section 4.2.7.

if $\Delta N_{i,TF_i(j)}^{TTI} > \Delta N$ then

$\Delta N_{i,TF_i(j)}^{TTI} = \Delta N$

end-if

end-for

end-if

end-for

NOTE: The order in which the transport format combinations are checked does not change the final result.

If $\Delta N_{i,l}^{TTI} = 0$ then, for TrCH i at TF l, the output data of the rate matching is the same as the input data and the rate matching algorithm of section 4.2.7.5 does not need to be executed.

If $\Delta N_{i,l}^{TTI} \neq 0$ the parameters listed in sections 4.2.7.2.2.1 and 4.2.7.2.2.2 shall be used for determining e_{ini}, e_{plus}, and e_{minus}.

4.2.7.2.2.21 Determination of rate matching parameters for Uuncoded and convolutionally encoded TrCHs

$$\Delta N_i = \Delta N_{il}^{TTI}$$

$a=2$

For each transmission time interval of TrCH *i* with TF *l*, the rate-matching pattern is calculated with the algorithm in section 4.2.7.5. The following parameters are used as input:

$$X_i = N_{il}^{TTI}$$

$$e_{ini} = 1$$

$$e_{plus} = a \cdot N_{il}^{TTI}$$

$$e_{\min us} = a \cdot |\Delta N_i|$$

puncturing for $\Delta N_i < 0$, repetition otherwise.

4.2.7.2.2.23 Determination of rate matching parameters for Turbo encoded TrCHs

If repetition is to be performed on turbo encoded TrCHs, i.e. $\Delta N_{il}^{TTI} > 0$, the parameters in section 4.2.7.2.2.1 are used.

If puncturing is to be performed, the parameters below shall be used. Index *b* is used to indicate systematic (*b*=1), 1st parity (*b*=2), and 2nd parity bit (*b*=3).

$a=2$ when $b=2$

$a=1$ when $b=3$

The bits indicated by *b*=1 shall not be punctured.

$$\Delta N_i = \begin{cases} \lfloor \Delta N_{il}^{TTI} / 2 \rfloor, & b=2 \\ \lceil \Delta N_{il}^{TTI} / 2 \rceil, & b=3 \end{cases}$$

For each transmission time interval of TrCH *i* with TF *l*, the rate-matching pattern is calculated with the algorithm in section 4.2.7.5. The following parameters are used as input:

$$X_i = N_{il}^{TTI} / 3\text{N},$$

$$e_{ini} = X_i,$$

$$e_{plus} = a \cdot X_i$$

$$e_{\min us} = a \cdot |\Delta N_i|$$

4.2.7.3 Bit separation and collection in uplink

The systematic bits (excluding bits for trellis termination) of turbo encoded TrCHs shall not be punctured. The systematic bit, first parity bit, and second parity bit in the bit sequence input to the rate matching block are therefore separated from each other. Puncturing is only applied to the parity bits and systematic bits used for trellis termination.

The bit separation function is transparent for uncoded TrCHs, convolutionally encoded TrCHs, and for turbo encoded TrCHs with repetition. The bit separation and bit collection are illustrated in figures 6 and 7.

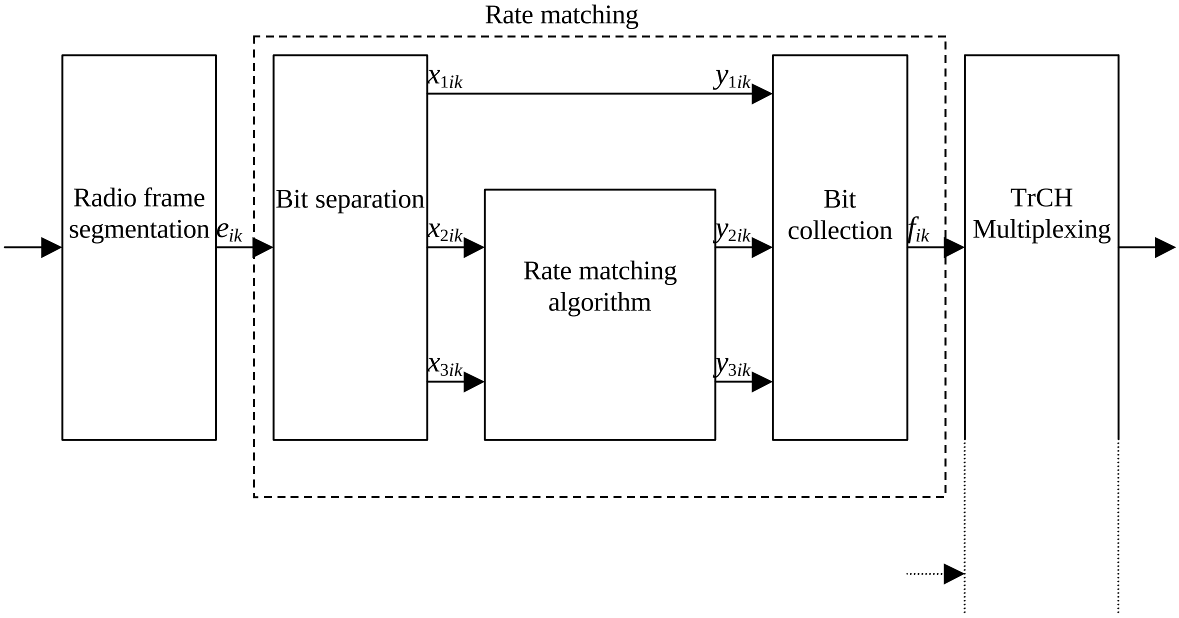


Figure 6: Puncturing of turbo encoded TrCHs

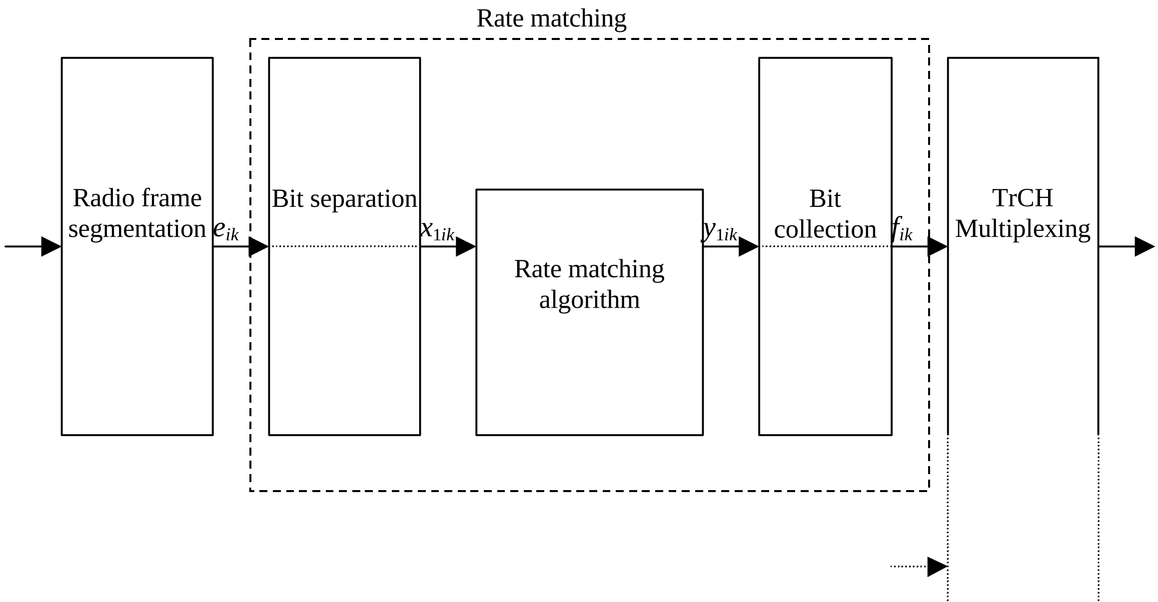


Figure 7: Rate matching for uncoded TrCHs, convolutionally encoded TrCHs, and for turbo encoded TrCHs with repetition.

The bit separation is dependent on the 1st interleaving and offsets are used to define the separation for different TTIs. The offsets a_b for the systematic (b=1) and parity bits ($b \in \{2, 3\}$) are listed in table 4.

Table 4: TTI dependent offset needed for bit separation

TTI (ms)	a_1	a_2	a_3
10, 40	0	1	2
20, 80	0	2	1

The bit separation is different for different radio frames in the TTI. A second offset is therefore needed. The radio frame number for TrCH i is denoted by n_i. and the offset by b_{n_i} .

Table 5: Radio frame dependent offset needed for bit separation

TTI (ms)	b_0	b_1	b_2	b_3	b_4	b_5	b_6	b_7
10	0	NA	NA	NA	NA	NA	NA	NA
20	0	1	NA	NA	NA	NA	NA	NA
40	0	1	2	0	NA	NA	NA	NA
80	0	1	2	0	1	2	0	1

4.2.7.3.1 Bit separation

The bits input to the rate matching are denoted by $e_{i1}, e_{i2}, e_{i3}, \ldots, e_{iN_i}$, where i is the TrCH number and N_i is the number of bits input to the rate matching block. Note that the transport format combination number j for simplicity has been left out in the bit numbering, i.e. $N_i=N_{ij}$. The bits after separation are denoted by $x_{bi1}, x_{bi2}, x_{bi3}, \ldots, x_{biX_i}$. For turbo encoded TrCHs with puncturing, b indicates systematic, first parity, or second parity bit. For all other cases b is defined to be 1. X_i is the number of bits in each separated bit sequence. The relation between e_{ik} and x_{bik} is given below.

For turbo encoded TrCHs with puncturing:

$x_{1,i,k} = e_{i,3(k-1)+1+(a_1+b_{n_i}) \bmod 3}$ k = 1, 2, 3, …, X_i $X_i = \lfloor N_i/3 \rfloor$

$x_{1,i,\lfloor N_i/3 \rfloor+k} = e_{i,3\lfloor N_i/3 \rfloor+k}$ k = 1, …, N_i mod 3 Note: When (N_i mod 3) = 0 this row is not needed.

$x_{2,i,k} = e_{i,3(k-1)+1+(a_2+b_{n_i}) \bmod 3}$ k = 1, 2, 3, …, X_i $X_i = \lfloor N_i/3 \rfloor$

$x_{3,i,k} = e_{i,3(k-1)+1+(a_3+b_{n_i}) \bmod 3}$ k = 1, 2, 3, …, X_i $X_i = \lfloor N_i/3 \rfloor$

For uncoded TrCHs, convolutionally encoded TrCHs, and turbo encoded TrCHs with repetition:

$x_{1,i,k} = e_{i,k}$ k = 1, 2, 3, …, X_i $X_i = N_i$

4.2.7.3.2 Bit collection

The bits x_{bik} are input to the rate matching algorithm described in section 4.2.7.5. The bits output from the rate matching algorithm are denoted $y_{bi1}, y_{bi2}, y_{bi3}, \ldots, y_{biY_i}$.

Bit collection is the inverse function of the separation. The bits after collection are denoted by $z_{bi1}, z_{bi2}, z_{bi3}, \ldots, z_{biY_i}$. After bit collection, the bits indicated as punctured are removed and the bits are then denoted by $f_{i1}, f_{i2}, f_{i3}, \ldots, f_{iV_i}$, where i is the TrCH number and $V_i = N_{ij}+DN_{ij}$. The relations between y_{bik}, z_{bik}, and f_{ik} are given below.

For turbo encoded TrCHs with puncturing ($Y_i=X_i$):

$z_{i,3(k-1)+1+(a_1+b_{n_i}) \bmod 3} = y_{1,i,k}$ k = 1, 2, 3, …, Y_i

$z_{i,3\lfloor N_i/3 \rfloor+k} = y_{1,i,\lfloor N_i/3 \rfloor+k}$ k = 1, …, N_i mod 3 Note: When (N_i mod 3) = 0 this row is not needed.

$z_{i,3(k-1)+1+(a_2+b_{n_i}) \bmod 3} = y_{2,i,k}$ k = 1, 2, 3, …, Y_i

$z_{i,3(k-1)+1+(a_3+b_{n_i}) \bmod 3} = y_{3,i,k}$ k = 1, 2, 3, …, Y_i

After the bit collection, bits $z_{i,k}$ with value d, where dÏ{0, 1}, are removed from the bit sequence. Bit $f_{i,1}$ corresponds to the bit $z_{i,k}$ with smallest index k after puncturing, bit $f_{i,2}$ corresponds to the bit $z_{i,k}$ with second smallest index k after puncturing, and so on.

For uncoded TrCHs, convolutionally encoded TrCHs, and turbo encoded TrCHs with repetition:

$$z_{i,k} = y_{1,i,k} \qquad k = 1, 2, 3, \ldots, Y_i$$

When repetition is used, $f_{i,k}=z_{i,k}$ and $Y_i=V_i$.

When puncturing is used, $Y_i=X_i$ and bits $z_{i,k}$ with value δ, where $\delta \notin \{0, 1\}$, are removed from the bit sequence. Bit $f_{i,1}$ corresponds to the bit $z_{i,k}$ with smallest index k after puncturing, bit $f_{i,2}$ corresponds to the bit $z_{i,k}$ with second smallest index k after puncturing, and so on.

4.2.7.4 Bit separation and collection in downlink

The systematic bits (excluding bits for trellis termination) of turbo encoded TrCHs shall not be punctured. The systematic bit, first parity bit, and second parity bit in the bit sequence input to the rate matching block are therefore separated from each other. Puncturing is only applied to the parity bits and systematic bits used for trellis termination.

The bit separation function is transparent for uncoded TrCHs, convolutionally encoded TrCHs, and for turbo encoded TrCHs with repetition. The bit separation and bit collection are illustrated in figures 8 and 9.

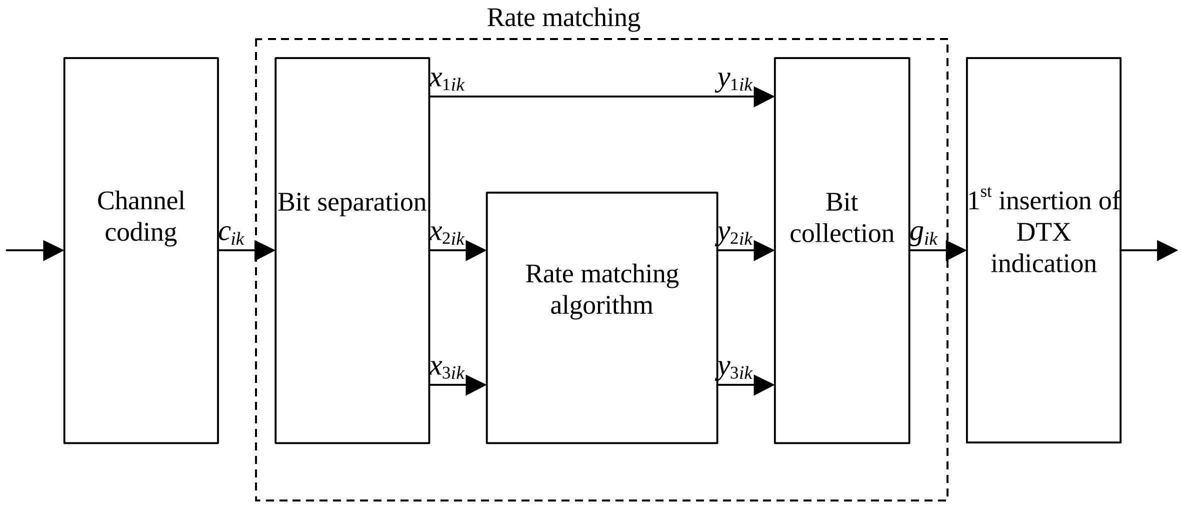


Figure 8: Puncturing of turbo encoded TrCHs

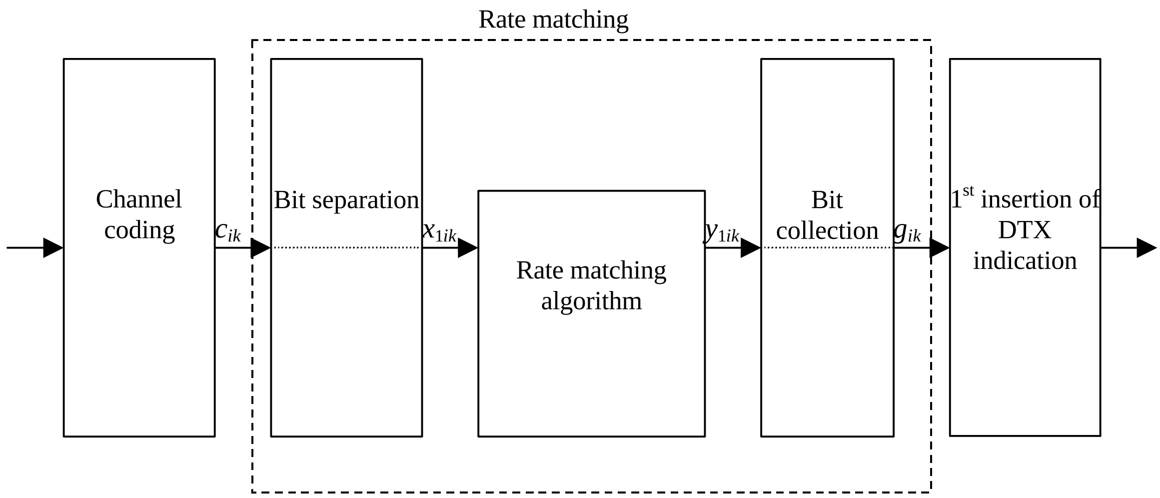


Figure 9: Rate matching for uncoded TrCHs, convolutionally encoded TrCHs, and for turbo encoded TrCHs with repetition.

4.2.7.4.1 Bit separation

The bits input to the rate matching are denoted by $c_{i1}, c_{i2}, c_{i3}, \ldots, c_{iE_i}$, where i is the TrCH number and E_i is the number of bits input to the rate matching block. Note that E_i is a multiple of 3 for turbo encoded TrCHs and that the transport format combination number j for simplicity has been left out in the bit numbering, i.e. E_i=N_{ij}. The bits after separation are denoted by $x_{bi1}, x_{bi2}, x_{bi3}, \ldots, x_{biX_i}$. For turbo encoded TrCHs with puncturing, b indicates systematic, first parity, or second parity bit. For all other cases b is defined to be 1. X_i is the number of bits in each separated bit sequence. The relation between c_{ik} and x_{bik} is given below.

For turbo encoded TrCHs with puncturing:

$x_{1,i,k} = c_{i,3(k-1)+1}$ k = 1, 2, 3, …, X_i X_i = E_i /3

$x_{2,i,k} = c_{i,3(k-1)+2}$ k = 1, 2, 3, …, X_i X_i = E_i /3

$x_{3,i,k} = c_{i,3(k-1)+3}$ k = 1, 2, 3, …, X_i X_i = E_i /3

For uncoded TrCHs, convolutionally encoded TrCHs, and turbo encoded TrCHs with repetition:

$x_{1,i,k} = c_{i,k}$ k = 1, 2, 3, …, X_i X_i = E_i

4.2.7.4.2 Bit collection

The bits x_{bik} are input to the rate matching algorithm described in section 4.2.7.5. The bits output from the rate matching algorithm are denoted $y_{bi1}, y_{bi2}, y_{bi3}, \ldots, y_{biY_i}$.

Bit collection is the inverse function of the separation. The bits after collection are denoted by $z_{bi1}, z_{bi2}, z_{bi3}, \ldots, z_{biY_i}$. After bit collection, the bits indicated as punctured are removed and the bits are then denoted by $g_{i1}, g_{i2}, g_{i3}, \ldots, g_{iG_i}$, where i is the TrCH number and G_i= N_{ij}+DN_{ij}. The relations between y_{bik}, z_{bik}, and g_{ik} are given below.

For turbo encoded TrCHs with puncturing (Y_i=X_i):

$z_{i,3(k-1)+1} = y_{1,i,k}$ k = 1, 2, 3, …, Y_i

$z_{i,3(k-1)+2} = y_{2,i,k}$ k = 1, 2, 3, …, Y_i

$z_{i,3(k-1)+3} = y_{3,i,k}$ k = 1, 2, 3, …, Y_i

After the bit collection, bits $z_{i,k}$ with value d, where d Ï {0, 1}, are removed from the bit sequence. Bit $g_{i,1}$ corresponds to the bit $z_{i,k}$ with smallest index k after puncturing, bit $g_{i,2}$ corresponds to the bit $z_{i,k}$ with second smallest index k after puncturing, and so on.

For uncoded TrCHs, convolutionally encoded TrCHs, and turbo encoded TrCHs with repetition:

$z_{i,k} = y_{1,i,k}$ k = 1, 2, 3, …, Y_i

When repetition is used, $g_{i,k}$=$z_{i,k}$ and Y_i=G_i.

When puncturing is used, Y_i=X_i and bits $z_{i,k}$ with value d, where d Ï {0, 1}, are removed from the bit sequence. Bit $g_{i,1}$ corresponds to the bit $z_{i,k}$ with smallest index k after puncturing, bit $g_{i,2}$ corresponds to the bit $z_{i,k}$ with second smallest index k after puncturing, and so on.

4.2.7.5 Rate matching pattern determination

Denote the bits before rate matching by:

$x_{i1}, x_{i2}, x_{i3}, \ldots, x_{iX_i}$, where *i* is the TrCH number and X_i is the parameter given in sections 4.2.7.1 and 4.2.7.2.

The rate matching rule is as follows:

```
if puncturing is to be performed
    e = e_ini          -- initial error between current and desired puncturing ratio
    m = 1              -- index of current bit
    do while m <= X_i
        e = e – e_minus          -- update error
        if e <= 0 then           -- check if bit number m should be punctured
            set bit x_i,m to d where d Ï {0, 1}
            e = e + e_plus    -- update error
        end if
        m = m + 1                -- next bit
    end do
else
    e = e_ini              -- initial error between current and desired puncturing ratio
    m = 1                  -- index of current bit
    do while m <= X_i
        e = e – e_minus          -- update error
        do while e <= 0          -- check if bit number m should be repeated
            repeat bit x_i,m
            e = e +  e_plus   -- update error
        end do
        m = m + 1                    --  next bit
    end do
end if
```

A repeated bit is placed directly after the original one.

4.2.9 Insertion of discontinuous transmission (DTX) indication bits

In the downlink, DTX is used to fill up the radio frame with bits. The insertion point of DTX indication bits depends on whether fixed or flexible positions of the TrCHs in the radio frame are used. It is up to the UTRAN to decide for each CCTrCH whether fixed or flexible positions are used during the connection. DTX indication bits only indicate when the transmission should be turned off, they are not transmitted.

4.2.9.1 1st insertion of DTX indication bits

This step of inserting DTX indication bits is used only if the positions of the TrCHs in the radio frame are fixed. With fixed position scheme a fixed number of bits is reserved for each TrCH in the radio frame.

The bits from rate matching are denoted by $g_{i1}, g_{i2}, g_{i3}, \ldots, g_{iG_i}$, where G_i is the number of bits in one TTI of TrCH i. Denote the number of bits in one radio frame of TrCH i by H_i. Denote D_i the number of bits output of the first DTX insertion block.

In normal or compressed mode by spreading factor reduction, H_i is constant and corresponds to the maximum number of bits from TrCH i in one radio frame for any transport format of TrCH i, and $D_i = F_i * H_i$.

In compressed mode by puncturing, additional puncturing is performed in the rate matching block. The empty positions resulting from the additional puncturing are used to insert p-bits in the first interleaving block, the DTX insertion is therefore limited to allow for later insertion of p-bits. Thus DTX bits are inserted until the total number of bits is Di where $D_i = F_i * H_{i,*} + DN^{TTI}_{cm,i,max}$, and $H_i = N_{i,*} + DN_{i,*}$.

In compressed mode by higher layer scheduling, only a subset of the TFC Set is allowed. From this subset it is possible to derive which TFs on each TrCH that are allowed. The maximum number of bits belonging to one TTI of TrCH i for the allowed TFs is denoted by X_i. H_i is then calculated as $H_i = \left\lceil \frac{X_i}{F_i} \right\rceil$, where F_i is the number of radio frames in a TTI of TrCH i, and $D_i = F_i * H_i$.

The bits output from the DTX insertion are denoted by $h_{i1}, h_{i2}, h_{i3}, \ldots, h_{iD_i}$ ~~$h_{i1}, h_{i2}, h_{i3}, \ldots, h_{i(F_iH_i)}$~~. Note that these bits are three valued. They are defined by the following relations:

$$h_{ik} = g_{ik} \quad k = 1, 2, 3, \ldots, G_i$$

$$h_{ik} = d \quad k = G_i+1, G_i+2, G_i+3, \ldots, ~~F_iH_i~~\ D_i$$

where DTX indication bits are denoted by d. Here $g_{ik} \in \{0, 1\}$ and $d \notin \{0, 1\}$.

4.2.9.2 2nd insertion of DTX indication bits

The DTX indication bits inserted in this step shall be placed at the end of the radio frame. Note that the DTX will be distributed over all slots after 2nd interleaving.

The bits input to the DTX insertion block are denoted by $s_1, s_2, s_3, \ldots, s_S$, where S is the number of bits from TrCH multiplexing. The number of PhCHs is denoted by P and the number of bits in one radio frame, including DTX indication bits, for each PhCH by ~~U~~R. ~~The number of available bits on the PhCH is denoted by N_{data} and $N_{data}=15N_{data1}+15N_{data2}$, where N_{data1} and N_{data2} are defined in [25.211]. In normal mode $U=N_{data}$.~~

In normal mode $R = \frac{N_{data,*}}{P} = 15N_{data1} + 15N_{data2}$, where N_{data1} and N_{data2} are defined in [2].

For compressed mode, $N'_{data,*}$ is defined as $N'_{data,*} = P(15N'_{data1} + 15N'_{data2})$. N'_{data1} and N'_{data2} are the number of bits in the data fields of the slot format used for the current compressed mode, i.e. slot format A or B as defined in [2] corresponding to the Spreading Factor and the number of transmitted slots in use.

In case of compressed mode by puncturing and fixed positions, DTX shall be inserted until $N'_{data,*}$ bits, since the exact room for the gap is already reserved thanks to the earlier insertion of the p-bits. Therefore R is defined as $R = N'_{data,*} / P$.

In compressed mode by SF reduction and by higher layer scheduling, additional DTX shall be inserted if the transmission time reduction method does not exactly create a transmission gap of the desired TGL. The number of bits available to the CCTrCH in one radio frame in compressed mode by SF reduction and by higher layer scheduling is denoted by $N^{cm}_{data,*}$ and $R = \frac{N^{cm}_{data,*}}{P}$ .mode N_{data} is changed from the value in normal node. The exact value of $N^{cm}_{data,*}$ N_{data} is dependent on the TGL and the transmission time reduction method, which are signalled from higher layers. It can be calculated as $N^{cm}_{data,*} = N'_{data,*} - N_{TGL}$. N_{TGL} is Tthe number of bits that are located within the transmission gap is denoted N_{TGL} and defined as:

$$N_{TGL} = \begin{cases} \frac{TGL}{15} N'_{data,*} \quad \frac{TGL}{15} N_{data}, & \text{if } N_{first} + TGL \le 15 \\ \frac{15 - N_{first}}{15} N'_{data,*} \quad \frac{15 - N_{first}}{15} N_{data}, & \text{in first frame if } N_{first} + TGL > 15 \\ \frac{TGL - (15 - N_{first})}{15} N'_{data,*} \quad \frac{TGL - (15 - N_{first})}{15} N_{data}, & \text{in second frame if } N_{first} + TGL > 15 \end{cases}$$

N_{first} and TGL are defined in Section 4.4.

In compressed mode $U=N_{data}-N_{TGL}$.

The bits output from the DTX insertion block are denoted by $w_1, w_2, w_3, \ldots, w_{(PR)}$ $w_1, w_2, w_3, \ldots, w_{(PU)}$. Note that these bits are four valued in case of compressed mode by puncturing, and three valued otherwise. They are defined by the following relations:

$w_k = s_k$ k = 1, 2, 3, …, S

$w_k = d$ k = S+1, S+2, S+3, …, PUR

where DTX indication bits are denoted by d. Here $s_k \in \{0,1, p\}$ and $d \notin \{0,1\}$.

4.2.10 Physical channel segmentation

When more than one PhCH is used, physical channel segmentation divides the bits among the different PhCHs. The bits input to the physical channel segmentation are denoted by $x_1, x_2, x_3, \ldots, x_Y$, where Y is the number of bits input to the physical channel segmentation block. The number of PhCHs is denoted by P.

The bits after physical channel segmentation are denoted $u_{p1}, u_{p2}, u_{p3}, \ldots, u_{pU}$, where p is PhCH number and U is the number of bits in one radio frame for each PhCH, i.e. $U = (Y - N_{TGL}) / P$ for compressed mode by puncturing, and $U = \frac{Y}{P}$ otherwise. The relation between x_k and u_{pk} is given below.

For all modes, some bits of the input flow are mapped to each code until the number of bits on the code is V. For modes other than compressed mode by puncturing, all bits of the input flow are taken to be mapped to the codes. For compressed mode by puncturing, only the bits of the input flow not corresponding to bits p are taken to be mapped to the codes, each bit p is removed to ensure creation the gap required by the compressed mode, as described below.

Bits on first PhCH after physical channel segmentation:

$$u_{1k} = x_k \quad u_{1,k} = x_{i,f(k)} \quad k = 1, 2, \ldots, U$$

Bits on second PhCH after physical channel segmentation:

$$u_{2k} = x_{(k+U)} \quad u_{2,k} = x_{i,f(k+U)} \quad k = 1, 2, \ldots, U$$

…

Bits on the P^{th} PhCH after physical channel segmentation:

$$u_{Pk} = x_{(k+(P-1)U)} \quad u_{P,k} = x_{i,f(k+(P-1)U)} \quad k = 1, 2, \ldots, U$$

where f is such that :

- for modes other than compressed mode by puncturing, $x_{i,f(k)} = x_{i,k}$, i.e. $f(k) = k$, for all k.
- for compressed mode by puncturing, bit $u_{1,1}$ corresponds to the bit $x_{i,k}$ with smallest index k when the bits p are not counted, bit $u_{1,2}$ corresponds to the bit $x_{i,k}$ with second smallest index k when the bits p are not counted, and so on for bits $u_{1,3}, \ldots u_{1,V}, u_{2,1}, u_{2,2}, \ldots u_{2,V}, \ldots u_{P,1}, u_{P,2}, \ldots u_{P,V}$.

4.2.10.1 Relation between input and output of the physical segmentation block in uplink

The bits input to the physical segmentation are denoted by $s_1, s_2, s_3, \ldots, s_S$. Hence, $x_k = s_k$ and $Y = S$.

4.2.10.2 Relation between input and output of the physical segmentation block in downlink

The bits input to the physical segmentation are denoted by $w_1, w_2, w_3, \ldots, w_{(PU)}$. Hence, $x_k = w_k$ and $Y = PU$.