

Agenda item:

Source: NTT DoCoMo and Nortel Networks

Title: Editorial modifications of channel coding section in 25.212 and 25.222

Document for: Decision

Introduction

This document includes CRs on editorial modifications of channel coding section to clarify exact functions and algorithms of channel coding, and these CRs includes the addition of Turbo code internal interleaver for smaller block size from 40-bit to 319-bit inclusive, which was agreed in R1 #10 meeting [1]. The proposed editorial modifications are identically made to both TS25.212 and TS25.222 specifications. In all these modifications, the functions and algorithms were not changed at all. The major modifications except the above addition of Turbo code internal interleaver are as follows:

Section 4.2.3, Channel coding

- Modify table 1 and the relevant words.
- Move the description of the concatenation of encoded blocks to the end of channel coding section.

Section 4.2.3.1, Convolutional coding

- Modify figure 3 (only in 25.222).

Section 4.2.3.2, Turbo coding

Section 4.2.3.2.1, Turbo coder

- Modify symbols to clarify the relations between different functional blocks in Turbo coder using the common symbols and add the explanations of the symbols.
- Modify figure 4.

Section 4.2.3.2.2, Trellis termination for Turbo coder

- Modify output description according to modifications of symbols.

Section 4.2.3.2.3, Turbo code internal interleaver

- Re-arrange subsections and add the list of the section specific symbols.
- Add detailed text for the part of bits-input from the previous functional block.
- Add detailed text for the part of bits-output with pruning to succeeding functional block.
- Modify detailed description of the algorithmic part to align with the general specification description.
- Modify several symbols to avoid duplicated using in the section and the subsection.

Section 4.2.3.3, Concatenation of encoded blocks (New subsection)

- Create a new subsection for concatenation of encoded blocks and explain its detailed function.

Reference

[1] NTT DoCoMo and Nortel Networks, "Modification of Turbo code internal interleaver", TSGR1#10(00)0160

3GPP RAN WG1 Meeting #11
San Diego, USA, 29 Feb - 3 Mar 2000

Document R1-00-0330

e.g. for 3GPP use the format TP-99xxx
 or for SMG, use the format P-99-xxx

<h2 style="margin: 0;">CHANGE REQUEST</h2>		<i>Please see embedded help file at the bottom of this page for instructions on how to fill in this form correctly.</i>
25.212	CR	060
<small>GSM (AA.BB) or 3G (AA.BBB) specification number ↑</small>		<small>↑ CR number as allocated by MCC support team</small>
For submission to: RAN #7 <small>list expected approval meeting # here ↑</small>		Current Version: 3.1.1
for approval <input checked="" type="checkbox"/>		strategic <input type="checkbox"/> <small>(for SMG use only)</small> non-strategic <input type="checkbox"/>
for information <input type="checkbox"/>		

Form: CR cover sheet, version 2 for 3GPP and SMG The latest version of this form is available from: <ftp://ftp.3gpp.org/Information/CR-Form-v2.doc>

Proposed change affects: (U)SIM ME UTRAN / Radio Core Network
(at least one should be marked with an X)

Source: **NTT DoCoMo and Nortel Networks** **Date:** **27-Feb-2000**

Subject: **Editorial changes of channel coding section**

Work item: _____

Category:	F Correction <input type="checkbox"/> A Corresponds to a correction in an earlier release <input type="checkbox"/> B Addition of feature <input type="checkbox"/> C Functional modification of feature <input type="checkbox"/> D Editorial modification <input checked="" type="checkbox"/>	Release:	Phase 2 <input type="checkbox"/> Release 96 <input type="checkbox"/> Release 97 <input type="checkbox"/> Release 98 <input type="checkbox"/> Release 99 <input checked="" type="checkbox"/> Release 00 <input type="checkbox"/>
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(only one category shall be marked with an X)

Reason for change: **To clarify exact functions of channel coding.**

Clauses affected: **4.2.3 of TS25.212**

Other specs affected:	Other 3G core specifications <input type="checkbox"/> → List of CRs: Other GSM core specifications <input type="checkbox"/> → List of CRs: MS test specifications <input type="checkbox"/> → List of CRs: BSS test specifications <input type="checkbox"/> → List of CRs: O&M specifications <input type="checkbox"/> → List of CRs:	
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Other comments: **This CR is including the content of approved CR 044 of TS25.212.**

4.2.3 Channel coding

Code blocks are delivered to the channel coding block. They are denoted by $O_{ir1}, O_{ir2}, O_{ir3}, \dots, O_{irK_i}$, where i is the TrCH number, r is the code block number, and K_i is the number of bits in each code block. The number of code blocks on TrCH i is denoted by C_i . After encoding the bits are denoted by $y_{ir1}, y_{ir2}, y_{ir3}, \dots, y_{irY_i}$, where Y_i is the number of encoded bits. ~~The encoded blocks are serially multiplexed so that the block with lowest index r is output first from the channel coding block. The bits output are denoted by $c_{i1}, c_{i2}, c_{i3}, \dots, c_{iE_i}$, where i is the TrCH number and $E_i = C_i Y_i$. The output bits are defined by the following relations:~~

~~$c_{ik} = y_{ik} \quad k = 1, 2, \dots, Y_i$~~

~~$c_{ik} = y_{i,2,(k-Y_i)} \quad k = Y_i + 1, Y_i + 2, \dots, 2Y_i$~~

~~$c_{ik} = y_{i,3,(k-2Y_i)} \quad k = 2Y_i + 1, 2Y_i + 2, \dots, 3Y_i$~~

~~-----~~

~~$c_{ik} = y_{i,C_i,(k-(C_i-1)Y_i)} \quad k = (C_i - 1)Y_i + 1, (C_i - 1)Y_i + 2, \dots, C_i Y_i$~~

~~The relation between O_{irk} and y_{irk} and between K_i and Y_i is dependent on the channel coding scheme.~~

The following channel coding schemes can be applied to TrCHs:

- Convolutional coding
- Turbo coding
- No ~~channel~~-coding

Usage of coding scheme and coding rate for the different types of TrCH is shown in table 1.

The values of Y_i in connection with each coding scheme:

- Convolutional coding, ~~1/2~~ with rate 1/2: $Y_i = 2 * K_i + 16$; ~~rate 1/3~~ rate: $Y_i = 3 * K_i + 24$
- Turbo coding, with rate 1/3 ~~rate~~: $Y_i = 3 * K_i + 12$
- No ~~channel~~-coding: $Y_i = K_i$

Table 1: Usage of channel coding scheme and coding rate ~~Error Correction Coding Parameters~~

<u>Type of TrCH</u>	<u>Coding scheme</u>	<u>Coding rate</u>
<u>BCH</u>	<u>Convolutional coding</u>	<u>1/2</u>
<u>PCH</u>		<u>1/3, 1/2</u>
<u>RACH</u>		
<u>CPCH, DCH, DSCH, FACH</u>	<u>Turbo coding</u>	<u>1/3</u>
	<u>No coding</u>	

Transport channel type	Coding scheme	Coding rate
BCH	Convolutional code	1/2
PCH		
RACH		
CPCH, DCH, DSCH, FACH	Turbo Code	1/3, 1/2
	No coding	1/3

If no code blocks are input to the channel coding ($C_i = 0$), no bits shall be output from the channel coding, i.e. $E_i = 0$.

4.2.3.1 Convolutional coding

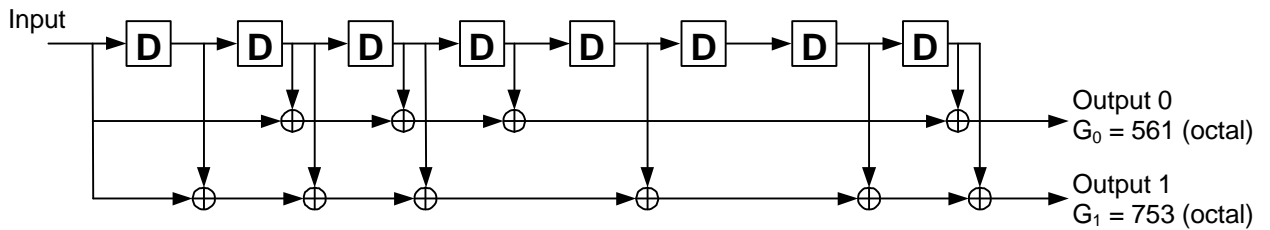
Convolutional codes with constraint length 9 and coding rates 1/3 and 1/2 are defined.

The configuration of the convolutional coder is presented in figure 3.

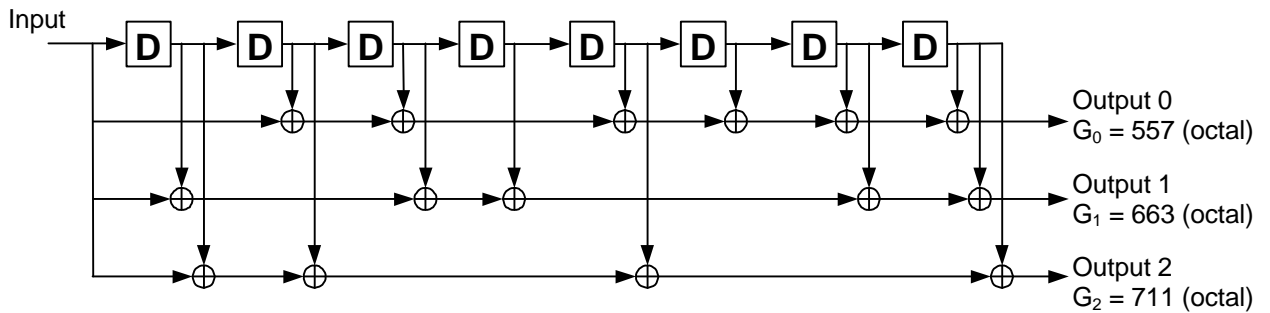
Output from the rate 1/3 convolutional coder shall be done in the order output0, output1, output2, output0, output1, output 2, output 0, ..., output2. Output from the rate 1/2 convolutional coder shall be done in the order output 0, output 1, output 0, output 1, output 0, ..., output 1.

8 tail bits with binary value 0 shall be added to the end of the code block before encoding.

The initial value of the shift register of the coder shall be "all 0" when starting to encode the input bits.



(a) Rate 1/2 convolutional coder



(b) Rate 1/3 convolutional coder

Figure 3: Rate 1/2 and rate 1/3 convolutional coders

4.2.3.2 Turbo coding

4.2.3.2.1 Turbo coder

The turbo coding scheme of Turbo coder is a parallel concatenated convolutional code (PCCC) with two 8-state constituent encoders and one Turbo code internal interleaver. The coding rate of Turbo coder is 1/3. The structure of Turbo coder is illustrated in figure 4.

The transfer function of the 8-state constituent code for PCCC is

$$G(D) = \left[\begin{matrix} n(D) \\ d(D) \end{matrix} \right] \left[\begin{matrix} g_1(D) \\ g_0(D) \end{matrix} \right]^{-1}$$

where,

$$d \cdot g_0(D) = 1 + D^2 + D^3$$

$$n \cdot g_1(D) = 1 + D + D^3$$

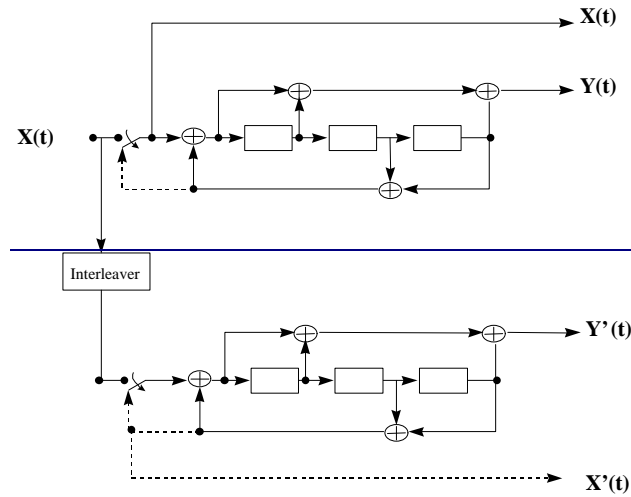


Figure 4: Structure of the 8 state PCCG encoder (dotted lines effective for trellis termination only)

The initial value of the shift registers of the PCCC-8-state constituent encoders shall be all zeros when starting to encode the input bits.

The output of the PCCC encoder is punctured to produce coded bits corresponding to the desired code rate. For rate 1/3, none of the systematic or parity bits are punctured, and the output sequence from the Turbo coder is X(0), Y(0), Y'(0), X(1), Y(1), Y'(1), etc.

$$x_1, z_1, z'_1, x_2, z_2, z'_2, \dots, x_K, z_K, z'_K$$

where x_1, x_2, \dots, x_K are the bits input to the Turbo coder i.e. both first 8-state constituent encoder and Turbo code internal interleaver, and K is the number of bits, and z_1, z_2, \dots, z_K and z'_1, z'_2, \dots, z'_K are the bits output from first and second 8-state constituent encoders, respectively.

The bits output from Turbo code internal interleaver are denoted by x'_1, x'_2, \dots, x'_K , and these bits are to be input to the second 8-state constituent encoder.

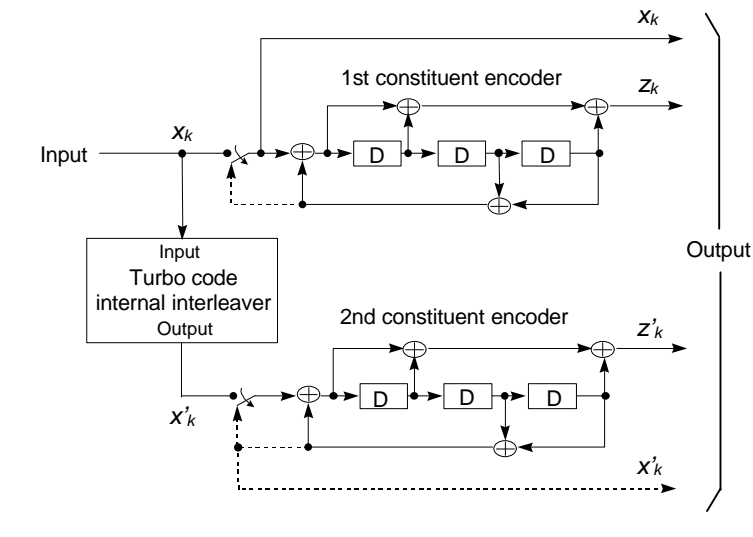


Figure 4: Structure of rate 1/3 Turbo coder (dotted lines apply for trellis termination only)

4.2.3.2.2 Trellis termination for Turbo coding

Trellis termination is performed by taking the tail bits from the shift register feedback after all information bits are encoded. Tail bits are padded after the encoding of information bits.

The first three tail bits shall be used to terminate the first constituent encoder (upper switch of figure 4 in lower position) while the second constituent encoder is disabled. The last three tail bits shall be used to terminate the second constituent encoder (lower switch of figure 4 in lower position) while the first constituent encoder is disabled.

The transmitted bits for trellis termination shall then be

$$\begin{aligned} & \underline{X(t)} \ \underline{Y(t)} \ \underline{X(t+1)} \ \underline{Y(t+1)} \ \underline{X(t+2)} \ \underline{Y(t+2)} \ \underline{X'(t)} \ \underline{Y'(t)} \ \underline{X'(t+1)} \ \underline{Y'(t+1)} \ \underline{X'(t+2)} \ \underline{Y'(t+2)} \ \underline{X_{K+1}, Z_{K+1}, X_{K+2}, Z_{K+2}, X_{K+3}, Z_{K+3},} \\ & \underline{X'_{K+1}, Z'_{K+1}, X'_{K+2}, Z'_{K+2}, X'_{K+3}, Z'_{K+3}.} \end{aligned}$$

4.2.3.2.3 Turbo code internal interleaver

Figure 5 depicts the overall 8 state PCCC Turbo coding scheme including Turbo code internal interleaver. The Turbo code internal interleaver consists of bits-input to a rectangular matrix, intra-row and inter-row permutations of the rectangular matrix, and bits-output from the rectangular matrix with pruning. The bits input to the Turbo code internal interleaver are denoted by $x_1, x_2, x_3, \dots, x_K$, where K is the integer number of the bits and takes one value of $40 \leq K \leq 5114$. The relation between the bits input to the Turbo code internal interleaver and the bits input to the channel coding is defined by $x_k = o_{irk}$ and $K = K_i$ of mother interleaver generation and pruning. For arbitrary given block length K , one mother interleaver is selected from the 134 mother interleavers set. The generation scheme of mother interleaver is described in section 4.2.3.2.3.1. After the mother interleaver generation, l bits are pruned in order to adjust the mother interleaver to the block length K . Tail bits T_1 and T_2 are added for constituent encoders RSC1 and RSC2, respectively. The definition of l is shown in section 4.2.3.2.3.2.

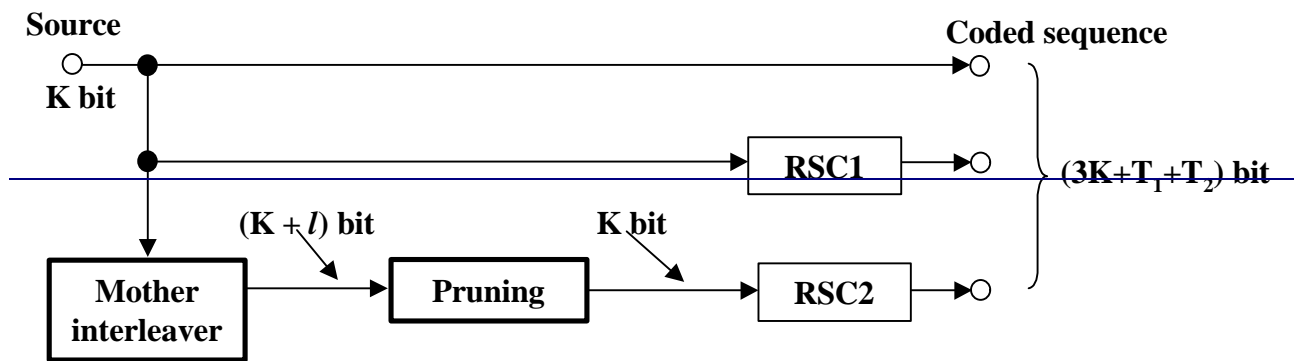


Figure 5: Overall 8 State PCCC Turbo Coding

The following section specific symbols are used in sections 4.2.3.2.3.1 – 4.2.3.4.3.3:

K	Number of bits input to Turbo code internal interleaver
R	Number of rows of rectangular matrix
C	Number of columns of rectangular matrix
p	Prime number
v	Primitive root
$s(i)$	Base sequence for intra-row permutation
q_j	Minimum prime integers
r_j	Permuted prime integers
$T(j)$	Inter-row permutation pattern
$U_j(i)$	Intra-row permutation pattern
i	Index of matrix

j Index of matrix
 k Index of bit sequence

4.2.3.2.3.1 Bits-input to rectangular matrix ~~Mother interleaver generation~~

~~The bit sequence input to the Turbo code internal interleaver x_k . The interleaving consists of three stages. In first stage, the input sequence is written into the rectangular matrix as follows: row by row. The second stage is intra row permutation. The third stage is inter row permutation. The three stage permutations are described as follows, the input block length is assumed to be K (320 to 5114 bits).~~

First Stage:

(1) Determine the number of rows R of the rectangular matrix such that

$$R = \begin{cases} 5, & \text{if } (40 \leq K \leq 159) \\ 10, & \text{if } ((160 \leq K \leq 200) \text{ and } (481 \leq K \leq 530)) \\ 20, & \text{if } (K = \text{any other block length}) \end{cases}$$

$R = 10$ ($K = 481$ to 530 bits; Case 1)

$R = 20$ ($K =$ any other block length except 481 to 530 bits; Case 2)

where the rows of rectangular matrix are numbered $0, 1, 2, \dots, R - 1$ from top to bottom.

(2) Determine the number of columns C of rectangular matrix such that

if $(481 \leq K \leq 530)$ then

$p = 53$ and Case 1; $C = p = 53$;

else Case 2;

(i) Find minimum prime p such that;

$\theta = \lceil (p + 1) - K/R \rceil \geq 0$,

and determine C such that;

(ii) if $(\theta = \lceil p - K/R \rceil \geq 0)$ then go to (iii);

if $(p - 1 - K/R \geq 0)$ then

$C = p - 1$.

else

$C = p$.

end if

else

$C = p + 1$.

end if

end if

where the columns of rectangular matrix are numbered $0, 1, 2, \dots, C - 1$ from left to right.

~~(iii) if $(0 = \lceil p - 1 - K/R \rceil)$ then $C = p - 1$;~~

~~else $C = p$.~~

(3) ~~Write~~ The input bit sequence x_k of the interleaver is written into the $R \times C$ rectangular matrix row by row starting with bit x_1 ~~from~~ in column 0 of row 0:

$$\begin{bmatrix} x_1 & x_2 & x_3 & \dots & x_C \\ x_{(C+1)} & x_{(C+2)} & x_{(C+3)} & \dots & x_{2C} \\ \vdots & \vdots & \vdots & \dots & \vdots \\ x_{((R-1)C+1)} & x_{((R-1)C+2)} & x_{((R-1)C+3)} & \dots & x_{RC} \end{bmatrix} =$$

Second Stage:

A. If $C = p$

4.2.3.2.3.2 Intra-row and inter-row permutations

After the bits-input to the $R \times C$ rectangular matrix, the intra-row and inter-row permutations are performed by using the following algorithm:

(1) ~~(A-1)~~ Select a primitive root g_{p-1} from table 2.

(2) ~~(A-2)~~ Construct the base sequence $e_{\underline{s}}(i)$ for intra-row permutation as:

$$e_{\underline{s}}(i) = [g_{p-1} \times e_{\underline{s}}(i-1)] \bmod p, \quad i = 1, 2, \dots, (p-2), \text{ and } e_{\underline{s}}(0) = 1.$$

(3) ~~(A-3)~~ Select the consecutive minimum prime integers set $\{q_j\}$ ($j = 0, 1, 2, \dots, R-1$), where $q_0 = 1$, q_1 is first selected, q_2 is second selected, ..., and q_{R-1} is last selected, such that

$$\text{g.c.d}\{q_j, p-1\} = 1,$$

$$q_j > 6, \text{ and}$$

$$q_j > q_{(j-1)},$$

where g.c.d. is greatest common divider. ~~And~~ $q_0 = 1$.

(4) ~~(A-4)~~ Permute The set $\{q_j\}$ is permuted to make a new set $\{p_{r_j}\}$ such that

$$P_{PT(j)} = q_j, \quad j = 0, 1, \dots, R-1,$$

where $PT(j)$ indicates the original row position of the j -th permuted row, and $T(j)$ is the inter-row permutation pattern defined as the one of the following four kind of patterns: Pat_1 , Pat_2 , Pat_3 and Pat_4 depending on the number of input bits K in the third stage.

$$T(j) = \begin{cases} Pat_4 & \text{if } (40 \leq K \leq 159) \\ Pat_3 & \text{if } (160 \leq K \leq 200) \\ Pat_1 & \text{if } (201 \leq K \leq 480) \\ Pat_3 & \text{if } (481 \leq K \leq 530) \\ Pat_1 & \text{if } (531 \leq K \leq 2280) \\ Pat_2 & \text{if } (2281 \leq K \leq 2480) \\ Pat_1 & \text{if } (2481 \leq K \leq 3160) \\ Pat_2 & \text{if } (3161 \leq K \leq 3210) \\ Pat_1 & \text{if } (3211 \leq K \leq 5114) \end{cases}$$

where Pat_1 , Pat_2 , Pat_3 and Pat_4 have the following patterns respectively.

$$Pat_1: \{19, 9, 14, 4, 0, 2, 5, 7, 12, 18, 10, 8, 13, 17, 3, 1, 16, 6, 15, 11\}$$

$$Pat_2: \{19, 9, 14, 4, 0, 2, 5, 7, 12, 18, 16, 13, 17, 15, 3, 1, 6, 11, 8, 10\}$$

$$Pat_3: \{9, 8, 7, 6, 5, 4, 3, 2, 1, 0\}$$

$$Pat_4: \{4, 3, 2, 1, 0\}$$

(5) ~~(A-5)~~ Perform the j -th ($j = 0, 1, 2, \dots, R-1$) intra-row permutation as:

if ($C = p$) then

$$e_{\underline{U}}(i) = e_{\underline{s}}([i \times p_{r_j}] \bmod (p-1)), \quad i = 0, 1, 2, \dots, (p-2), \text{ and } e_{\underline{U}}(p-1) = 0,$$

where $e_{\underline{U}}(i)$ is the input bit position of i -th output after the permutation of j -th row.

end if

~~B.~~ if ($C = p + 1$) then

~~(B-1)~~ Same as case A-1.

~~(B-2)~~ Same as case A-2.

~~(B-3)~~ Same as case A-3.

~~(B-4)~~ Same as case A-4.

~~(B 5) Perform the j -th ($j = 0, 1, 2, \dots, R - 1$) intra row permutation as:~~

$$\underline{eU_j(i)} = \underline{eU_j([i \times p_{R_j}] \bmod (p - 1))}, \quad i = 0, 1, 2, \dots, (p - 2), \quad \underline{eU_j(p - 1)} = 0, \text{ and } \underline{eU_j(p)} = p,$$

~~(B 6) If ($K = C \times R$) then exchange $e_{R-1}(p)$ with $e_{R-1}(0)$.~~

where $eU_j(i)$ is the input bit position of i -th output after the permutation of j -th row, and

~~if ($K = C \times R$) then~~

~~Exchange $U_{R-1}(p)$ with $U_{R-1}(0)$.~~

~~end if~~

~~end if~~

~~if ($C = p - 1$) then~~

~~(C 1) Same as case A 1.~~

~~(C 2) Same as case A 2.~~

~~(C 3) Same as case A 3.~~

~~(C 4) Same as case A 4.~~

~~(C 5) Perform the j -th ($j = 0, 1, 2, \dots, R - 1$) intra row permutation as:~~

$$\underline{eU_j(i)} = \underline{eU_j([i \times p_{R_j}] \bmod (p - 1)) - 1}, \quad i = 0, 1, 2, \dots, (p - 2),$$

where $eU_j(i)$ is the input bit position of i -th output after the permutation of j -th row.

~~end if~~

~~Third Stage:~~

~~(1) Perform the inter-row permutation based on the following $P(j)$ ($j = 0, 1, \dots, R - 1$) patterns, where $P(j)$ is the original row position of the j -th permuted row.~~

~~$P_A = \{19, 9, 14, 4, 0, 2, 5, 7, 12, 18, 10, 8, 13, 17, 3, 1, 16, 6, 15, 11\}$ for $R = 20$~~

~~$P_B = \{19, 9, 14, 4, 0, 2, 5, 7, 12, 18, 16, 13, 17, 15, 3, 1, 6, 11, 8, 10\}$ for $R = 20$~~

~~$P_C = \{9, 8, 7, 6, 5, 4, 3, 2, 1, 0\}$ for $R = 10$~~

~~The usage of these patterns is as follows:~~

~~Block length K : $P(j)$~~

~~320 to 480 bit: P_A~~

~~481 to 530 bit: P_C~~

~~531 to 2280 bit: P_A~~

~~2281 to 2480 bit: P_B~~

~~2481 to 3160 bit: P_A~~

~~3161 to 3210 bit: P_B~~

~~3211 to 5114 bit: P_A~~

~~(2) The output of the mother interleaver is the sequence read out column by column from the permuted $R \times C$ matrix starting from column 0.~~

Table 2: Table of prime p and associated primitive root v

p	v	p	v	p	v	p	v	p	v
7	3	47	5	101	2	157	5	223	3
11	2	53	2	103	5	163	2	227	2
13	2	59	2	107	2	167	5	229	6
17	3	61	2	109	6	173	2	233	3
19	2	67	2	113	3	179	2	239	7
23	5	71	7	127	3	181	2	241	7
29	2	73	5	131	2	191	19	251	6
31	3	79	3	137	3	193	5	257	3
37	2	83	2	139	2	197	2		
41	6	89	3	149	2	199	3		
43	3	97	5	151	6	211	2		

p	g_0	P	g_0	p	g_0	P	g_0	p	g_0
17	3	59	2	103	5	157	5	211	2
19	2	61	2	107	2	163	2	223	3
23	5	67	2	109	6	167	5	227	2
29	2	71	7	113	3	173	2	229	6
31	3	73	5	127	3	179	2	233	3
37	2	79	3	131	2	181	2	239	7
41	6	83	2	137	3	191	19	241	7
43	3	89	3	139	2	193	5	251	6
47	5	97	5	149	2	197	2	257	3
53	2	101	2	151	6	199	3		

4.2.3.2.3.32 Bits-output from rectangular matrix with Definition of number of pruning-bits

After intra-row and inter-row permutations, the bits of the permuted rectangular matrix are denoted by y'_k :

$$\begin{bmatrix} y'_1 & y'_{(R+1)} & y'_{(2R+1)} & \dots & y'_{((C-1)R+1)} \\ y'_2 & y'_{(R+2)} & y'_{(2R+2)} & \dots & y'_{((C-1)R+2)} \\ \vdots & \vdots & \vdots & \dots & \vdots \\ y'_R & y'_{2R} & y'_{3R} & \dots & y'_{CR} \end{bmatrix}$$

The output of the Turbo code internal interleaver is the bit sequence read out column by column from the intra-row and inter-row permuted $R \times C$ matrix starting with bit y'_1 in row 0 of column 0 and ending with bit y'_{CR} in row $R - 1$ of column $C - 1$. The output is pruned by deleting bits that were not present in the input bit sequence, i.e. bits y'_k that corresponds to bits x_k with $k > K$ are removed from the output. The bits output from Turbo code internal interleaver are denoted by x'_1, x'_2, \dots, x'_K , where x'_1 corresponds to the bit y'_k with smallest index k after pruning, x'_2 to the bit y'_k with second smallest index k after pruning, and so on. The output of the mother interleaver is pruned by deleting the l bits in order to adjust the mother interleaver to the block length K , where the deleted bits are non-existent bits in the input sequence. The number of bits output from Turbo code internal interleaver is K and the total number of pruning bits number l is defined as:

$$l = R \times C - K;$$

where R is the row number and C is the column number defined in section 4.2.3.2.3.1

4.2.3.3 Concatenation of encoded blocks

After the channel coding for each code block, if C_i is greater than 1, the encoded blocks are serially concatenated so that the block with lowest index r is output first from the channel coding block, otherwise the encoded block is output

from channel coding block as it is. The bits output are denoted by $c_{i1}, c_{i2}, c_{i3}, \dots, c_{iE_i}$, where i is the TrCH number and $E_i = C_i Y_i$. The output bits are defined by the following relations:

$$c_{ik} = y_{i1k} \quad k = 1, 2, \dots, Y_i$$

$$c_{ik} = y_{i,2,(k-Y_i)} \quad k = Y_i + 1, Y_i + 2, \dots, 2Y_i$$

$$c_{ik} = y_{i,3,(k-2Y_i)} \quad k = 2Y_i + 1, 2Y_i + 2, \dots, 3Y_i$$

...

$$c_{ik} = y_{i,C_i,(k-(C_i-1)Y_i)} \quad k = (C_i - 1)Y_i + 1, (C_i - 1)Y_i + 2, \dots, C_i Y_i$$

If no code blocks are input to the channel coding ($C_i = 0$), no bits shall be output from the channel coding, i.e. $E_i = 0$.

$$o_{iC_i k} = x_{i(k+(C_i-1)K_i)} \quad k = 1, 2, \dots, K_i - Y_i$$

$$o_{iC_i k} = 0 \quad k = (K_i - Y_i) + 1, (K_i - Y_i) + 2, \dots, K_i$$

4.2.3 Channel coding

Code blocks are delivered to the channel coding block. They are denoted by $o_{ir1}, o_{ir2}, o_{ir3}, \dots, o_{irK_i}$, where i is the TrCH number, r is the code block number, and K_i is the number of bits in each code block. The number of code blocks on TrCH i is denoted by C_i . After encoding the bits are denoted by $y_{ir1}, y_{ir2}, y_{ir3}, \dots, y_{irY_i}$, where Y_i is the number of encoded bits. The encoded blocks are serially multiplexed so that the block with lowest index r is output first from the channel coding block. The bits output are denoted by $c_{i1}, c_{i2}, c_{i3}, \dots, c_{iE_i}$, where i is the TrCH number and $E_i = C_i Y_i$. The output bits are defined by the following relations:

$$c_{ik} = y_{i1k} \quad k = 1, 2, \dots, Y_i$$

$$c_{ik} = y_{i,2,(k-Y_i)} \quad k = Y_i + 1, Y_i + 2, \dots, 2Y_i$$

$$c_{ik} = y_{i,3,(k-2Y_i)} \quad k = 2Y_i + 1, 2Y_i + 2, \dots, 3Y_i$$

$$c_{ik} = y_{i,C_i,(k-(C_i-1)Y_i)} \quad k = (C_i - 1)Y_i + 1, (C_i - 1)Y_i + 2, \dots, C_i Y_i$$

The relation between o_{irk} and y_{irk} and between K_i and Y_i is dependent on the channel coding scheme.

The following channel coding schemes can be applied to transport channels:

- Convolutional coding
- Turbo coding
- No channel-coding

Usage of coding scheme and coding rate for the different types of TrCH is shown in table 4.2.3-1. The values of Y_i in connection with each coding scheme:

- Convolutional coding, with rate 1/2: $Y_i = 2 * K_i + 16$; rate 1/3: $Y_i = 3 * K_i + 24$
- Turbo coding, with rate 1/3: $Y_i = 3 * K_i + 12$
- No channel-coding: $Y_i = K_i$

Table 4.2.3-1: Usage of channel coding scheme and coding rate Error Correction Coding Parameters

Type of TrCH	Coding scheme	Coding rate
BCH	Convolutional coding	1/2
PCH		
RACH		
DCH, DSCH, FACH, USCH	Turbo coding	1/3, 1/2
	No coding	1/3

Transport channel type	Coding scheme	Coding rate
BCH	Convolutional code	1/2
PCH		
FACH		1/3, 1/2
RACH		
DCH, DSCH, USCH	Turbo code	1/3
	No coding	

4.2.3.1 Convolutional Coding

— Convolutional codes with C constraint length $K=9$ and C coding rates $1/3$ and $1/2$ are defined and $1/3$.

— The configuration of the convolutional coder is presented in figure 4-2.

— The ~~o~~Output from the rate $1/3$ convolutional coder shall be done in the order output0, output1, output2, output0, output1, output2, output0, ..., output2. ~~(When coding Output from the rate $1/2$ convolutional coder shall be done in the order: output0, output1, output0, output1, output0, ..., is done up to output 1).~~

8 tail bits with binary value 0 shall be added to the end of the code block before encoding.

— The initial value of the shift register of the coder shall be "all 0" when starting to encode the input bits.

— ~~K-1 tail bits (value 0) shall be added to the end of the code block before encoding.~~

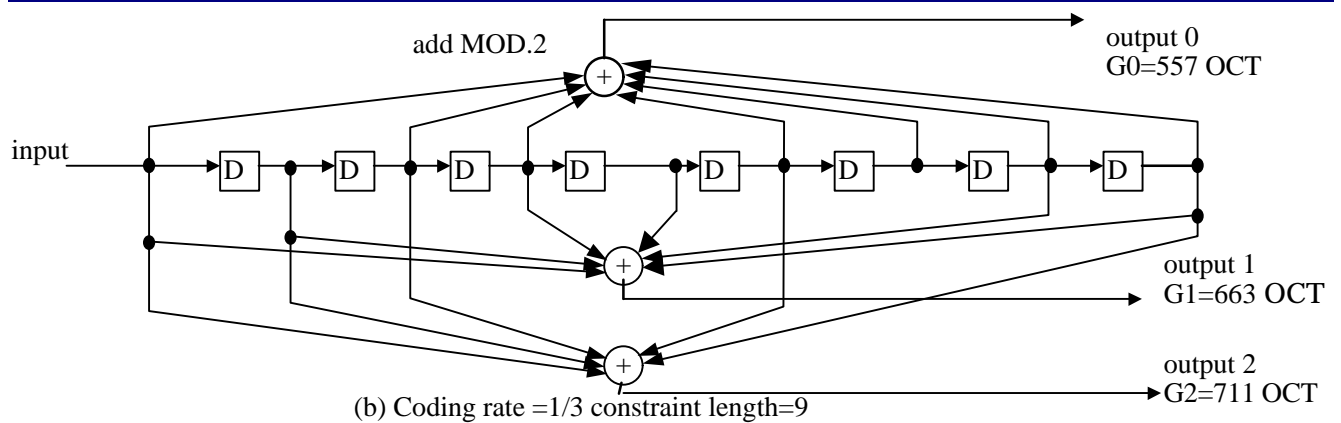
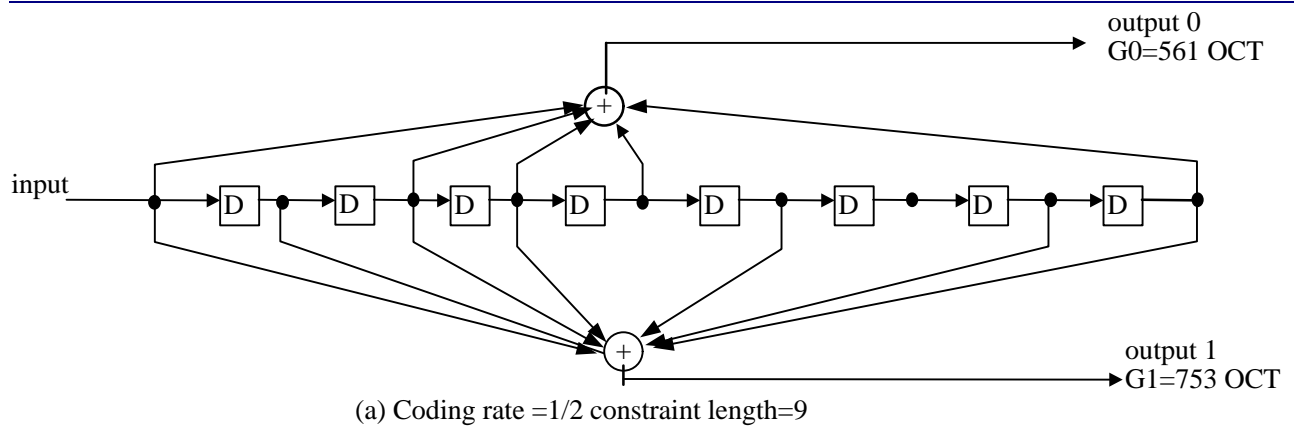
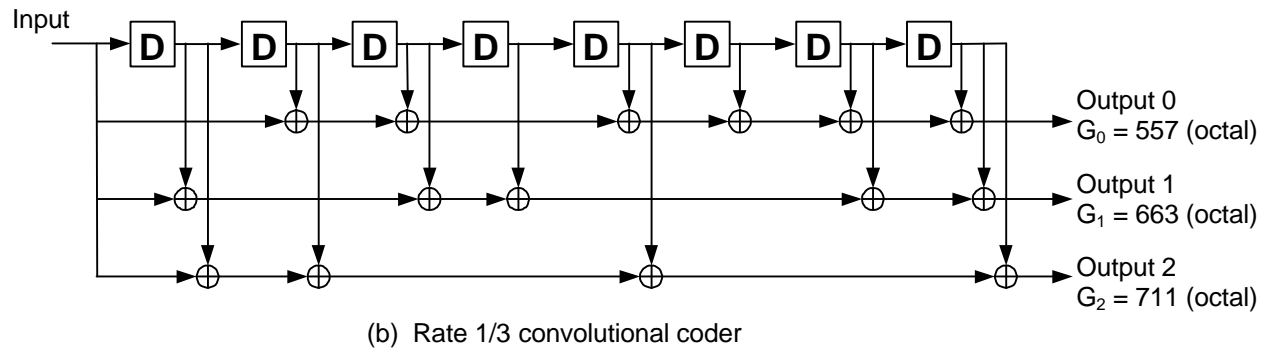
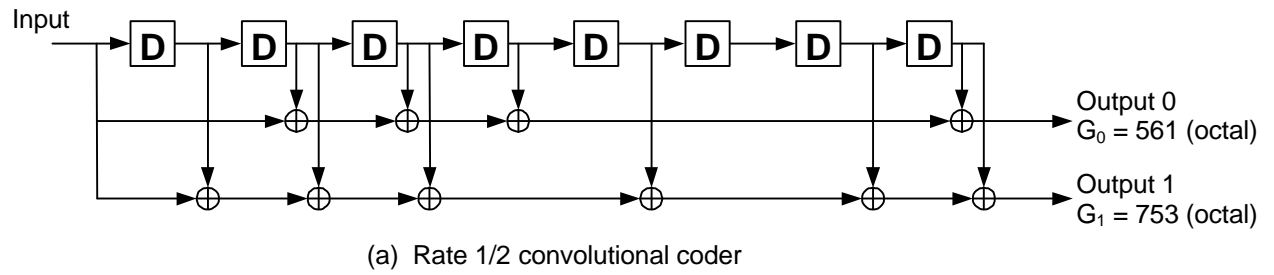


Figure 4-2: Rate 1/2 and rate 1/3 Convolutional Coders

4.2.3.2 Turbo coding

4.2.3.2.1 Turbo coder

The scheme of Turbo coder is a For data services requiring quality of service between 10^{-3} and 10^{-6} BER inclusive, Parallel Concatenated Convolutional Code (PCCC) with two 8-state constituent encoders and one Turbo code

internal interleaver is used. The coding rate of Turbo coder is 1/3. The structure of Turbo coder is illustrated in figure 4-3.

The transfer function of the 8-state constituent code for PCCC is

$$G(D) = \begin{bmatrix} 1 & n(D) \\ 1 & d(D) \end{bmatrix} \begin{bmatrix} g_1(D) \\ g_0(D) \end{bmatrix}$$

where,

$$g_0(D) = 1 + D^2 + D^3$$

$$g_1(D) = 1 + D + D^3$$

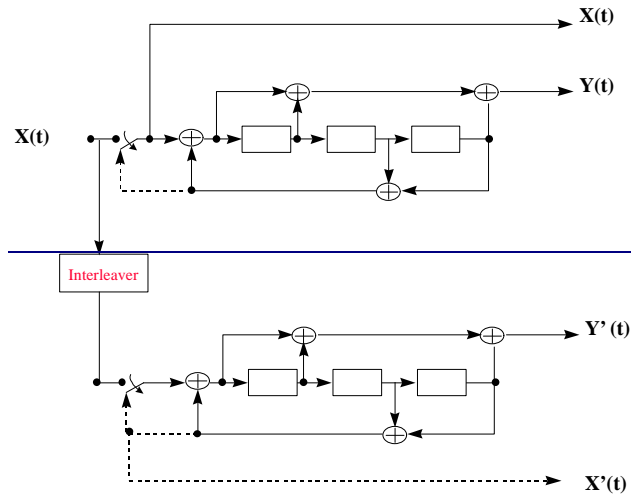


Figure 4-3: Structure of the 8-state PCCC encoder (dotted lines effective for trellis termination only)

The initial value of the shift registers of the PCCC-8-state constituent encoders shall be all zeros when starting to encode the input bits.

The output of the PCCC encoder is punctured to produce coded bits corresponding to the desired code rate. For rate 1/3, none of the systematic or parity bits are punctured, and the output sequence from the Turbo coder is X(0), Y(0), Y'(0), X(1), Y(1), Y'(1), etc.

$$x_1, z_1, z'_1, x_2, z_2, z'_2, \dots, x_K, z_K, z'_K$$

where x_1, x_2, \dots, x_K are the bits input to the Turbo coder i.e. both first 8-state constituent encoder and Turbo code internal interleaver, and K is the number of bits, and z_1, z_2, \dots, z_K and z'_1, z'_2, \dots, z'_K are the bits output from first and second 8-state constituent encoders, respectively.

The bits output from Turbo code internal interleaver are denoted by x'_1, x'_2, \dots, x'_K , and these bits are to be input to the second 8-state constituent encoder.

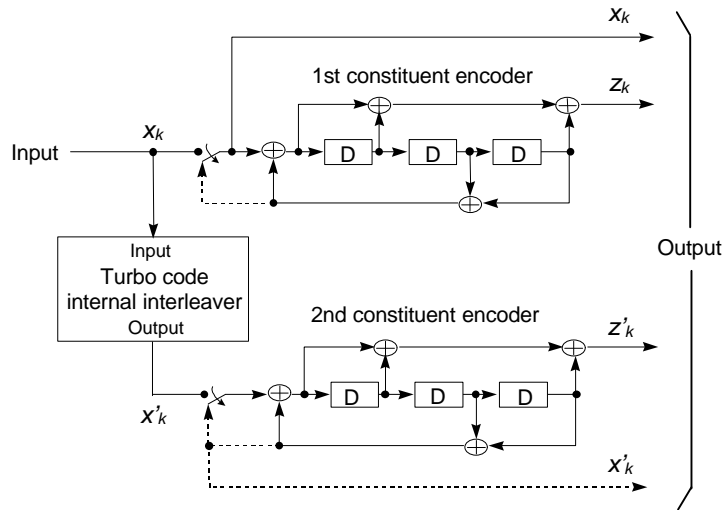


Figure 4-3: Structure of rate 1/3 Turbo coder (dotted lines apply for trellis termination only)

4.2.3.2.2 Trellis termination ~~infor t~~ Turbo coder

Trellis termination is performed by taking the tail bits from the shift register feedback after all information bits are encoded. Tail bits are padded after the encoding of information bits.

The first three tail bits shall be used to terminate the first constituent encoder (upper switch of figure 4-3 in lower position) while the second constituent encoder is disabled. The last three tail bits shall be used to terminate the second constituent encoder (lower switch of figure 4-3 in lower position) while the first constituent encoder is disabled.

The transmitted bits for trellis termination shall then be

$$X(t) \ Y(t) \ X(t+1) \ Y(t+1) \ X(t+2) \ Y(t+2) \ X'(t) \ Y'(t) \ X'(t+1) \ Y'(t+1) \ X'(t+2) \ Y'(t+2) \ X_{K+1}, Z_{K+1}, X_{K+2}, Z_{K+2}, X_{K+3}, Z_{K+3}, X'_{K+1}, Z'_{K+1}, X'_{K+2}, Z'_{K+2}, X'_{K+3}, Z'_{K+3}.$$

4.2.3.2.3 Turbo code internal interleaver

Figure 4-4 depicts the overall 8 State PCCC Turbo coding scheme including Turbo code internal interleaver. The Turbo code internal interleaver consists of bits-input to a rectangular matrix, intra-row and inter-row permutations of the rectangular matrix, and bits-output from the rectangular matrix with pruning, mother interleaver generation and pruning. The bits input to the Turbo code internal interleaver are denoted by $x_1, x_2, x_3, \dots, x_K$, where K is the integer number of the bits and takes one value of $40 \leq K \leq 5114$. The relation between the bits input to the Turbo code internal interleaver and the bits input to the channel coding is defined by $x_k = O_{irk}$ and $K = K_i$. For arbitrary given block length K , one mother interleaver is selected from the 134 mother interleavers set. The generation scheme of mother interleaver is described in section 4.2.3.2.3.1. After the mother interleaver generation, l bits are pruned in order to adjust the mother interleaver to the block length K . Tail bits T_1 and T_2 are added for constituent encoders RSC1 and RSC2, respectively. The definition of l is shown in section 4.2.3.2.3.2.

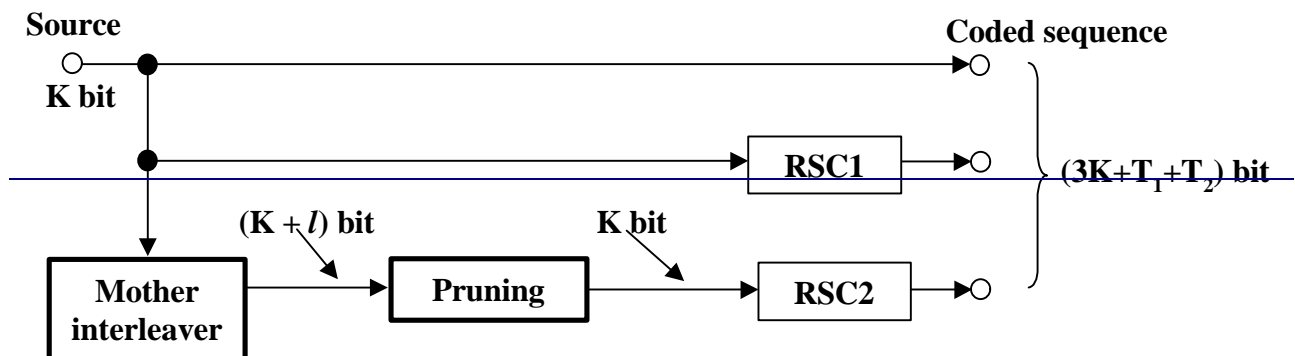


Figure 4-4: Overall 8 State PCCC Turbo Coding

The following section specific symbols are used in sections 4.2.3.2.3.1 – 4.2.3.4.3.3:

K	Number of bits input to Turbo code internal interleaver
R	Number of rows of rectangular matrix
C	Number of columns of rectangular matrix
p	Prime number
v	Primitive root
$s(i)$	Base sequence for intra-row permutation
q_j	Minimum prime integers
r_j	Permuted prime integers
$T(j)$	Inter-row permutation pattern
$U_j(i)$	Intra-row permutation pattern
i	Index of matrix
j	Index of matrix
k	Index of bit sequence

4.2.3.2.3.1 Bits-input to rectangular matrixMother interleaver generation

The bit sequence input to the Turbo code internal interleaver x_k is written into the rectangular matrix as follows: row by row. The interweaving consists of three stages. In first stage, the input sequence is written into the rectangular matrix as follows: row by row. The second stage is intra-row permutation. The third stage is inter-row permutation. The three-stage permutations are described as follows, the input block length is assumed to be K (320 to 5114 bits).

First Stage:

(1) Determine the number of rows R of the rectangular matrix such that

$$R = \begin{cases} 5, & \text{if } (40 \leq K \leq 159) \\ 10, & \text{if } ((160 \leq K \leq 200) \text{ and } (481 \leq K \leq 530)) \text{ } R=10 \text{ (} K=481 \text{ to } 530 \text{ bits; Case 1)} \\ 20, & \text{if } (K = \text{any other bolck length}) \end{cases}$$

$R=20$ ($K = \text{any other block length except } 481 \text{ to } 530 \text{ bits; Case 2}$)

where the rows of rectangular matrix are numbered 0, 1, 2, ..., $R - 1$ from top to bottom.

(2) Determine the number of columns C of rectangular matrix such that

if $(481 \leq K \leq 530)$ then

$$p = 53 \text{ and } C = p.$$

else

Case 1; $C = p = 53$

Case 2;

(i) find minimum prime p such that,

$$\theta = \lfloor (p+1) \cdot K/R \rfloor \geq 0,$$

and determine C such that

(ii) if $-(\theta \leq p - K/R \geq 0)$ then go to (iii)

if $(p - 1 - K/R \geq 0)$ then

$$C = p - 1.$$

else

$$C = p.$$

end if

else

$$C = p + 1.$$

end if

end if

where the columns of rectangular matrix are numbered 0, 1, 2, ..., $C - 1$ from left to right.

~~(iii) if $(0 \leq p-1-K/R)$ then $C=p-1$.~~

Else $C=p$.

(3) ~~Write~~ The input bit sequence x_k ~~of the interleaver is written~~ into the $R \times C$ rectangular matrix row by row starting with bit x_1 ~~from~~ in column 0 of row 0:

$$\begin{bmatrix} x_1 & x_2 & x_3 & \dots & x_C \\ x_{(C+1)} & x_{(C+2)} & x_{(C+3)} & \dots & x_{2C} \\ \vdots & \vdots & \vdots & \dots & \vdots \\ x_{((R-1)C+1)} & x_{((R-1)C+2)} & x_{((R-1)C+3)} & \dots & x_{RC} \end{bmatrix}$$

Second Stage:

A. If $C=p$

4.2.3.2.3.2 Intra-row and inter-row permutations

After the bits-input to the $R \times C$ rectangular matrix, the intra-row and inter-row permutations are performed by using the following algorithm:

(1) ~~(A-1)~~ Select a primitive root g_0 ~~v~~ from table 4.2.23-2.

(2) ~~(A-2)~~ Construct the base sequence $e_s(i)$ for intra-row permutation as:

$$e(i) = [g_0 \times e(i-1)] \bmod p, \quad s(i) = [v \times s(i-1)] \bmod p, \quad i = 1, 2, \dots, (p-2), \text{ and } e_s(0) = 1.$$

(3) ~~(A-3)~~ Select the consecutive minimum prime integers set $\{q_j\}$ ($j = 0, 1, 2, \dots, R-1$), where $q_0 = 1$, q_1 is first selected, q_2 is second selected, ..., and q_{R-1} is last selected, such that

$$\text{g.c.d}\{q_j, p-1\} = 1,$$

$$q_j > 6, \text{ and}$$

$$q_j > q_{(j-1)},$$

where g.c.d. is greatest common divider. ~~And $q_0 = 1$.~~

(4) ~~(A-4)~~ ~~Permute~~ The set $\{q_j\}$ ~~is permuted~~ to make a new set $\{p_{Tj}\}$ such that

$$p_{PT(j)} = q_j, \quad j = 0, 1, \dots, R-1,$$

where $PT(j)$ indicates the original row position of the j -th permuted row, and $T(j)$ is the inter-row permutation pattern defined as the one of the following four kind of patterns: Pat_1 , Pat_2 , Pat_3 and Pat_4 depending on the number of input bits K , in the third stage.

$$T(j) = \begin{cases} Pat_4 & \text{if } (40 \leq K \leq 159) \\ Pat_3 & \text{if } (160 \leq K \leq 200) \\ Pat_1 & \text{if } (201 \leq K \leq 480) \\ Pat_3 & \text{if } (481 \leq K \leq 530) \\ Pat_1 & \text{if } (531 \leq K \leq 2280) \\ Pat_2 & \text{if } (2281 \leq K \leq 2480) \\ Pat_1 & \text{if } (2481 \leq K \leq 3160) \\ Pat_2 & \text{if } (3161 \leq K \leq 3210) \\ Pat_1 & \text{if } (3211 \leq K \leq 5114) \end{cases}$$

where Pat_1 , Pat_2 , Pat_3 and Pat_4 have the following patterns respectively.

$$Pat_1: \{19, 9, 14, 4, 0, 2, 5, 7, 12, 18, 10, 8, 13, 17, 3, 1, 16, 6, 15, 11\}$$

$$Pat_2: \{19, 9, 14, 4, 0, 2, 5, 7, 12, 18, 16, 13, 17, 15, 3, 1, 6, 11, 8, 10\}$$

$$Pat_3: \{9, 8, 7, 6, 5, 4, 3, 2, 1, 0\}$$

$$Pat_4: \{4, 3, 2, 1, 0\}$$

~~(5) (A-5)~~ Perform the j -th ($j = 0, 1, 2, \dots, \underline{CR} - 1$) intra-row permutation as:

~~if ($C = p$) then~~

~~$$e_j(i) = c([i \times p_j] \bmod (p-1)) \underline{U}_j(i) = s([i \times r_j] \bmod (p-1)), \quad -i = 0, 1, 2, \dots, (p-2), \text{ and } e_j(p-1) = 0,$$~~

where $e_j(i)$ is the input bit position of i -th output after the permutation of j -th row.

~~end if~~

~~If ($C = p+1$) then~~

~~(B-1) Same as case A-1.~~

~~(B-2) Same as case A-2.~~

~~(B-3) Same as case A-3.~~

~~(B-4) Same as case A-4.~~

~~(B-5) Perform the j th ($j = 0, 1, 2, \dots, R-1$) intra row permutation as:~~

~~$$e_j(i) = c([i \times p_j] \bmod (p-1)) \underline{U}_j(i) = s([i \times r_j] \bmod (p-1)), \quad -i = 0, 1, 2, \dots, (p-2), \text{ and } e_j(p-1) = 0, \text{ and } e_j(p) = p,$$~~

where $e_j(i)$ is the input bit position of i -th output after the permutation of j -th row, and

~~(B-6) If ($K = C \times R$) then~~

~~Exchange $e_{R-1}(p)$ with $e_{R-1}(0)$.~~

~~end if~~

~~end if~~

~~If ($C = p-1$) then~~

~~(C-1) Same as case A-1.~~

~~(C-2) Same as case A-2.~~

~~(C-3) Same as case A-3.~~

~~(C-4) Same as case A-4.~~

~~(C-5) Perform the j th ($j = 0, 1, 2, \dots, R-1$) intra row permutation as:~~

~~$$e_j(i) = c([i \times p_j] \bmod (p-1)) \underline{U}_j(i) = s([i \times r_j] \bmod (p-1)) - 1, \quad -i = 0, 1, 2, \dots, (p-2),$$~~

where $e_j(i)$ is the input bit position of i -th output after the permutation of j -th row.

~~end if~~

Third Stage:

~~— Perform the inter row permutation based on the following $P(j)$ ($j=0, 1, \dots, R-1$) patterns, where $P(j)$ is the original row position of the j -th permuted row.~~

~~$P_A: \{19, 9, 14, 4, 0, 2, 5, 7, 12, 18, 10, 8, 13, 17, 3, 1, 16, 6, 15, 11\}$ for $R=20$~~

~~$P_B: \{19, 9, 14, 4, 0, 2, 5, 7, 12, 18, 16, 13, 17, 15, 3, 1, 6, 11, 8, 10\}$ for $R=20$~~

~~$P_C: \{9, 8, 7, 6, 5, 4, 3, 2, 1, 0\}$ for $R=10$~~

The usage of these patterns is as follows:

Block length K : ~~$P(j)$~~

320 to 480 bit: ~~P_A~~

481 to 530 bit: ~~P_C~~

531 to 2280 bit: ~~P_A~~

2281 to 2480 bit: — P_B

2481 to 3160 bit: — P_A

3161 to 3210 bit: — P_B

3211 to 5114 bit: — P_A

(2) The output of the mother interleaver is the sequence read out column by column from the permuted $R \times C$ matrix starting from column 0.

Table 4.2.3-2: Table of prime p and associated primitive root v

p	v	p	v	p	v	p	v	p	v
7	3	47	5	101	2	157	5	223	3
11	2	53	2	103	5	163	2	227	2
13	2	59	2	107	2	167	5	229	6
17	3	61	2	109	6	173	2	233	3
19	2	67	2	113	3	179	2	239	7
23	5	71	7	127	3	181	2	241	7
29	2	73	5	131	2	191	19	251	6
31	3	79	3	137	3	193	5	257	3
37	2	83	2	139	2	197	2		
41	6	89	3	149	2	199	3		
43	3	97	5	151	6	211	2		

p	g_0	p	g_0	p	g_0	p	g_0	p	g_0
17	3	59	2	103	5	157	5	211	2
19	2	61	2	107	2	163	2	223	3
23	5	67	2	109	6	167	5	227	2
29	2	71	7	113	3	173	2	229	6
31	3	73	5	127	3	179	2	233	3
37	2	79	3	131	2	181	2	239	7
41	6	83	2	137	3	191	19	241	7
43	3	89	3	139	2	193	5	251	6
47	5	97	5	149	2	197	2	257	3
53	2	101	2	151	6	199	3		

4.2.3.2.3.32 Bits-output from rectangular matrix with Definition of the number of pruning-bits

After intra-row and inter-row permutations, the bits of the permuted rectangular matrix are denoted by y'_k :

$$\begin{bmatrix} y'_1 & y'_{(R+1)} & y'_{(2R+1)} & \dots & y'_{((C-1)R+1)} \\ y'_2 & y'_{(R+2)} & y'_{(2R+2)} & \dots & y'_{((C-1)R+2)} \\ \vdots & \vdots & \vdots & \dots & \vdots \\ y'_R & y'_{2R} & y'_{3R} & \dots & y'_{CR} \end{bmatrix}$$

The output of the Turbo code internal interleaver is the bit sequence read out column by column from the intra-row and inter-row permuted $R \times C$ matrix starting with bit y'_1 in row 0 of column 0 and ending with bit y'_{CR} in row $R - 1$ of column $C - 1$. The output is pruned by deleting bits that were not present in the input bit sequence, i.e. bits y'_k that corresponds to bits x_k with $k > K$ are removed from the output. The bits output from Turbo code internal interleaver are denoted by x'_1, x'_2, \dots, x'_K , where x'_1 corresponds to the bit y'_k with smallest index k after pruning, x'_2 to the bit y'_k with second smallest index k after pruning, and so on. The output of the mother interleaver is pruned by deleting the l bits in order to adjust the mother interleaver to the block length K , where the deleted bits are non-existent bits in the input sequence. The number of bits output from Turbo code internal interleaver is K and the total number of pruning bits number l is defined as:

— $l = R \times C - K$

where R is the row number and C is the column number defined in section 4.2.3.2.3.1.

4.2.3.3 Concatenation of encoded blocks

After the channel coding for each code block, if C_i is greater than 1, the encoded blocks are serially concatenated so that the block with lowest index r is output first from the channel coding block, otherwise the encoded block is output from channel coding block as it is. The bits output are denoted by $c_{i1}, c_{i2}, c_{i3}, \dots, c_{iE_i}$, where i is the TrCH number and $E_i = C_i Y_i$. The output bits are defined by the following relations:

$$c_{ik} = y_{i1k} \quad k = 1, 2, \dots, Y_i$$

$$c_{ik} = y_{i,2,(k-Y_i)} \quad k = Y_i + 1, Y_i + 2, \dots, 2Y_i$$

$$c_{ik} = y_{i,3,(k-2Y_i)} \quad k = 2Y_i + 1, 2Y_i + 2, \dots, 3Y_i$$

...

$$c_{ik} = y_{i,C_i,(k-(C_i-1)Y_i)} \quad k = (C_i - 1)Y_i + 1, (C_i - 1)Y_i + 2, \dots, C_i Y_i$$

If no code blocks are input to the channel coding ($C_i = 0$), no bits shall be output from the channel coding, i.e. $E_i = 0$.