**3GPP TSG-RAN WG1 Meeting #104-e *R1-210xxxx***

**e-Meeting, January 25th – February 5th, 2021**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.213** | **CR** |  | **rev** |  | **Current version:** | **16.4.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | Corrections related to prioritization between uplink and sidelink | | | | | | | | | |
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| ***Source to WG:*** | Moderator (LG Electroincs), ZTE, Sanechips, NTT DOCOMO, Huawei, HiSilicon, Ericsson | | | | | | | | | |
| ***Source to TSG:*** | R1 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | 5G\_V2X\_NRSL | | | | |  | ***Date:*** | | | 2021-02-05 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | F |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
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| ***Reason for change:*** | | 1. Prioritization between PUCCH carrying SL HARQ-ACK reporting and PUCCH with Uu UCI is in the current specification is inconsistent as the prioritization in Clauses 9.2.5.0 is independent of the PUCCH priority index while the other parts of Cluase 9.2.5 defines the prioritization based on the PUCCH priority index. 2. Prioritization between sidelink and PUCCH associated with the random access is not specified in the current speicfication. 3. Prioritization between PUCCH carrying SL HARQ-ACK reporting and PSFCH/S-SS/PSBCH block reception is not specified in the current specification. | | | | | | | | |
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| ***Summary of change:*** | | 1. PUCCH is not used in the prioritizatino of PUCCH carrying SL HARQ-ACK reporting. 2. PUCCH transmission for the response of MsgB and Msg4 is prioritized over SL transmission(s). 3. Prioritization between PUCCH carrying SL HARQ-ACK reporting and PSFCH or S-SSB reception are specified by reusing the rule for PSFCH/S-SS/PSBCH block transmission. | | | | | | | | |
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| ***Consequences if not approved:*** | | 1. UE behavior in the prioritization between SL HARQ-ACK reporting and Uu UCI remains ambiguous. 2. The UE behavior remains incompete when PUCCH associated with random access overlaps with sidelink and the random access procedure may not be terminated properly. 3. The UE procedure remains incomplete in the collision between PUCCH carrying SL HARQ-ACK reporting and PSFCH/S-SS/PSBCH block reception. | | | | | | | | |
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| ***Clauses affected:*** | | 9, 16.2.4.3.1 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

\*\*\* Unchanged text is omitted \*\*\*

9 UE procedure for reporting control information

If a UE is configured with a SCG, the UE shall apply the procedures described in this clause for both MCG and SCG.

- When the procedures are applied for MCG, the terms 'secondary cell', 'secondary cells' , 'serving cell', 'serving cells' in this clause refer to secondary cell, secondary cells, serving cell, serving cells belonging to the MCG respectively.

- When the procedures are applied for SCG, the terms 'secondary cell', 'secondary cells', 'serving cell', 'serving cells' in this clause refer to secondary cell, secondary cells (not including PSCell), serving cell, serving cells belonging to the SCG respectively. The term 'primary cell' in this clause refers to the PSCell of the SCG.

\*\*\* Unchanged text is omitted \*\*\*

A PUSCH or a PUCCH transmission, including repetitions if any, can be of priority index 0 or of priority index 1. For a configured grant PUSCH transmission, a UE determines a priority index from *phy-PriorityIndex*, if provided. For a PUCCH transmission with HARQ-ACK information corresponding to a SPS PDSCH reception or a SPS PDSCH release, a UE determines a priority index from *harq-CodebookID*, if provided. For a PUCCH transmission with SR, a UE determines the corresponding priority as described in Clause 9.2.4. For a PUSCH transmission with semi-persistent CSI report, a UE determines a priority index from a priority indicator field, if provided, in a DCI format that activates the semi-persistent CSI report. If a priority index is not provided to a UE for a PUSCH or a PUCCH transmission, the priority index is 0.

If a UE is provided two *PUCCH-Config*

- if the UE is provided *subslotLengthForPUCCH* in the first *PUCCH-Config*, the PUCCH resource for any SR configuration with priority index 0 or any CSI report configuration in any *PUCCH-Config* is within the *subslotLengthForPUCCH* symbols in the first *PUCCH-Config*

- if the UE is provided *subslotLengthForPUCCH* in the second *PUCCH-Config*, the PUCCH resource for any SR configuration with priority index 1 in any *PUCCH-Config* is within the *subslotLengthForPUCCH* symbols in the second *PUCCH-Config*

If in an active DL BWP a UE monitors PDCCH either for detection of DCI format 0\_1 and DCI format 1\_1 or for detection of DCI format 0\_2 and DCI format 1\_2, a priority index can be provided by a priority indicator field. If a UE indicates a capability to monitor, in an active DL BWP, PDCCH for detection of DCI format 0\_1 and DCI format 1\_1 and for detection of DCI format 0\_2 and DCI format 1\_2, a DCI format 0\_1 or a DCI format 0\_2 can schedule a PUSCH transmission of any priority and a DCI format 1\_1 or a DCI format 1\_2 can schedule a PDSCH reception and trigger a PUCCH transmission with corresponding HARQ-ACK information of any priority.

When a UE determines overlapping for PUCCH transmissions with SL HARQ-ACK reports and PUCCH of larger and/or smaller priority index, the UE resolves the overlapping for PUCCH transmissions with SL HARQ-ACK reports and PUCCH of each priority index as described in Clause 9.2.5 and 9.2.6. When a UE determines overlapping for PUCCH transmissions with SL HARQ-ACK reports and PUSCH transmissions, the UE resolves the overlapping as described in Clause 9.2.5 and 9.2.6.

When a UE determines overlapping for PUCCH and/or PUSCH transmissions of different priority indexes other than PUCCH transmissions with SL HARQ-ACK reports, including repetitions if any, the UE first resolves the overlapping for PUCCH and/or PUSCH transmissions of smaller priority index as described in Clauses 9.2.5 and 9.2.6. Then,

- if a transmission of a first PUCCH of larger priority index scheduled by a DCI format in a PDCCH reception would overlap in time with a repetition of a transmission of a second PUSCH or a second PUCCH of smaller priority index, the UE cancels the repetition of a transmission of the second PUSCH or the second PUCCH before the first symbol that would overlap with the first PUCCH transmission

- if a transmission of a first PUSCH of larger priority index scheduled by a DCI format in a PDCCH reception would overlap in time with a repetition of the transmission of a second PUCCH of smaller priority index, the UE cancels the repetition of the transmission of the second PUCCH before the first symbol that would overlap with the first PUSCH transmission

where

- the overlapping is applicable before or after resolving overlapping among channels of larger priority index, if any, as described in Clauses 9.2.5 and 9.2.6

- the UE expects that the transmission of the first PUCCH or the first PUSCH, respectively, would not start before after a last symbol of the corresponding PDCCH reception

- is the PUSCH preparation time for a corresponding UE processing capability assuming [6, TS 38.214], based on and as subsequently defined in this Clause, and is determined by a reported UE capability

If a UE is scheduled by a DCI format in a first PDCCH reception to transmit a first PUCCH or a first PUSCH of larger priority index that overlaps with a second PUCCH or a second PUSCH transmission of smaller priority index that, if any, is scheduled by a DCI format in a second PDCCH

- is based on a value of corresponding to the smallest SCS configuration of the first PDCCH, the second PDCCHs, the first PUCCH or the first PUSCH, and the second PUCCHs or the second PUSCHs

- if the overlapping group includes the first PUCCH

- if *processingType2Enabled* of *PDSCH-ServingCellConfig* is set to *enable* for the serving cell where the UE receives the first PDCCH and for all serving cells where the UE receives the PDSCHs corresponding to the second PUCCHs, and if *processingType2Enabled* of *PUSCH-ServingCellConfig* is set to *enable* for the serving cells with the second PUSCHs, is 5 for , 5.5 for  and 11 for

- else, is 10 for =0*,* 12 for , 23 for , and 36 for ;

- if the overlapping group includes the first PUSCH

- if *processingType2Enabled* of *PUSCH-ServingCellConfig* is set to *enable* for the serving cells with the first PUSCH and the second PUSCHs and if *processingType2Enabled* of *PDSCH-ServingCellConfig* is set to *enable* for all serving cells where the UE receives the PDSCHs corresponding to the second PUCCHs, is 5 for , 5.5 for  and 11 for

- else, is 10 for =0*,* 12 for , 23 for , and 36 for ;

If a UE would transmit the following channels, including repetitions if any, that would overlap in time

- a first PUCCH of larger priority index with SR and a second PUCCH or PUSCH of smaller priority index, or

- a configured grant PUSCH of larger priority index and a PUCCH of smaller priority index, or

- a first PUCCH of larger priority index with HARQ-ACK information only in response to a PDSCH reception without a corresponding PDCCH and a second PUCCH of smaller priority index with SR and/or CSI, or a configured grant PUSCH with smaller priority index, or a PUSCH of smaller priority index with SP-CSI report(s) without a corresponding PDCCH, or

- a PUSCH of larger priority index with SP-CSI reports(s) without a corresponding PDCCH and a PUCCH of smaller priority index with SR, or CSI, or HARQ-ACK information only in response to a PDSCH reception without a corresponding PDCCH, or

- a configured grant PUSCH of larger priority index and a configured PUSCH of lower priority index on a same serving cell

the UE is expected to cancel a repetition of the PUCCH/PUSCH transmissions of smaller priority index before the first symbol overlapping with the PUCCH/PUSCH transmission of larger priority index if the repetition of the PUCCH/PUSCH transmissions of smaller priority index overlaps in time with the PUCCH/PUSCH transmissions of larger priority index.

A UE does not expect to be scheduled to transmit a PUCCH or a PUSCH with smaller priority index that would overlap in time with a PUCCH of larger priority index with HARQ-ACK information only in response to a PDSCH reception without a corresponding PDCCH. A UE does not expect to be scheduled to transmit a PUCCH of smaller priority index that would overlap in time with a PUSCH of larger priority index with SP-CSI report(s) without a corresponding PDCCH.

In the remaining of this Clause, a UE multiplexes UCIs with same priority index in a PUCCH or a PUSCH. A PUCCH or a PUSCH is assumed to have a same priority index as a priority index of UCIs a UE multiplexes in the PUCCH or the PUSCH.

In the remaining of this Clause, the multiplexing or prioritization for overlapping channels are for overlapping channels with same priority index or for overlapping channels with a PUCCH carrying SL HARQ-ACK information.

\*\*\* Unchanged text is omitted \*\*\*

16.2.4.3.1 Prioritizations for sidelink and uplink transmissions/receptions

A UE performs prioritization between SL transmissions/receptions and UL transmissions after performing the procedures described in Clause 9.2.5 and in Clause 6.1 of [6, TS 38.214].

\*\*\* Unchanged text is omitted \*\*\*

A PRACH transmission, or a PUSCH scheduled by an UL grant in a RAR and its retransmission, or a PUSCH for Type-2 random access procedure and its retransmission, or a PUCCH with HARQ-ACK information in response to successRAR, or a PUCCH indicated by a DCI format 1\_0 with CRC scrambled by a corresponding TC-RNTI has higher priority than a SL transmission or reception.

A PUCCH transmission with a sidelink HARQ-ACK information report has higher priority than a SL transmission if a priority value of the PUCCH is smaller than a priority value of the SL transmission. The priority value of the PUCCH transmission is as described in Clause 16.5. If the priority value of the PUCCH transmission is larger than the priority value of the SL transmission, the SL transmission has higher priority.

A PUCCH transmission with a sidelink HARQ-ACK information report has higher priority than a PSFCH/S-SS/PSBCH block reception if a priority value of the PUCCH is smaller than a priority value of the SL reception. If the priority value of the PUCCH transmission is larger than the priority value of the PSFCH/S-SS/PSBCH block reception, the SL reception has higher priority.

\*\*\* Unchanged text is omitted \*\*\*