**3GPP TSG-RAN WG1 Meeting #104-eR1-210xxxx**

**E-meeting, January 25th – February 5th, 2021**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.214** | **CR** | **DRAFT** | **rev** | **-** | **Current version:** | **15.11.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | 38.214 CR on CSI request constraint per slot | | | | | | | | | |
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| ***Source to WG:*** | MediaTek Inc. | | | | | | | | | |
| ***Source to TSG:*** | RAN1 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_newRAT-Core | | | | |  | ***Date:*** | | | 2021-02-02 |
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| ***Category:*** | **F** |  | | | | | ***Release:*** | | |  |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
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| ***Reason for change:*** | | A UE is not expected to receive more than one DCI with non-zero CSI request per slot; a UE is not expected to receive more than one aperiodic CSI report request for transmission in a given slot. However, the definition of a ‘slot’ is not clear, especially for DC/CA cases with different numerology. | | | | | | | | |
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| ***Summary of change:*** | | Clarify that the CSI request constraint and CSI reporting constraint are across CC in a cell group. Within a cell group, a UE is not expected to receive DCI for CSI triggering in a slot overlapping with any slot receiving DCI for CSI triggering. In addition, a UE is also not expected to receive an aperiodic CSI report request for transmission in a slot overlapping with any slot having an aperiodic CSI report transmission in the same cell group. | | | | | | | | |
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| ***Consequences if not approved:*** | | gNB and UE may have different understanding on the constraints. | | | | | | | | |
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| ***Clauses affected:*** | | 5.2.1.5.1 | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | | **Isolated impact analysis:**  The CR has isolated impact to only ingnoring CSI request and CSI feedback. And it is expected that the CR has no impact on existing networks or UEs with no support of DL or UL CA with different numerology.   1. If the UE is implemented according to this CR while the gNB is not, the UE may ignore the DCI with non-zero *CSI request* field and not transmit the CSI report. 2. If the gNB is implemented according to this CR while the UE is not, the UE may ignore the DCI with non-zero *CSI request* field and not transmit the CSI report. | | | | | | | | |
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| ***This CR's revision history:*** | |  | | | | | | | | |

#### 5.2.1.5 Triggering/activation of CSI Reports and CSI-RS

##### 5.2.1.5.1 Aperiodic CSI Reporting/Aperiodic CSI-RS

For CSI-RS resource sets associated with Resource Settings configured with the higher layer parameter *resourceType* set to 'aperiodic', 'periodic', or 'semi-persistent', trigger states for Reporting Setting(s) (configured with the higher layer parameter *reportConfigType* set to 'aperiodic') and/or Resource Setting for channel and/or interference measurement on one or more component carriers are configured using the higher layer parameter *CSI-AperiodicTriggerStateList*. For aperiodic CSI report triggering, a single set of CSI triggering states are higher layer configured, wherein the CSI triggering states can be associated with any candidate DL BWP. A UE is not expected to receive more than one DCI with non-zero *CSI request* field per slot per cell. A UE is not expected to receive DCI with non-zero *CSI request* field within a cell group in a slot overlapping with any slot receiving DCI with non-zero *CSI request* field in the same cell group. A UE is not expected to be configured with different *TCI-StateId*'s for the same aperiodic CSI-RS resource ID configured in multiple aperiodic CSI-RS resource sets with the same triggering offset in the same aperiodic trigger state. A UE is not expected to receive more than one aperiodic CSI report request for transmission in a given slot per cell. A UE is not expected to receive an aperiodic CSI report request for transmission in a slot overlapping with any slot having an aperiodic CSI report transmission in the same cell group. A UE is not expected to be triggered with a CSI report for a non-active DL BWP. A trigger state is initiated using the *CSI request* field in DCI.

< Unchanged parts are omitted >