3GPP TSG-RAN WG1 Meeting #102-e R1-20xxxxx

e-Meeting, August 17th – 28th 2020

Agenda Item: 8.9.2

Source: Moderator (Ericsson)

Title: Feature Lead Summary: [102-e-LTE-Rel17\_NB\_IoT\_eMTC-02]

Document for: Discussion and Decision

# 1 Introduction

In the Work Item (WI) on “Additional enhancements for NB-IoT and LTE-MTC” [1], one of the objectives is to specify the following enhancement for LTE-MTC:

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| * Support additional PDSCH scheduling delay for introduction of 14-HARQ processes in DL, for HD-FDD Cat M1 UEs. [LTE-MTC] [RAN1] |

This feature lead summary (FLS) collects companies’ views as described in [2-8], classifies technical areas according with the contents in the contributions, and provides potential agreements.

# 2 FLS on 14 HARQ processes in DL in LTE-MTC

## 2.1 Indication of the support of 14 HARQ processes

Background: There is a common view in [4], and [8] that a new UE capability should be introduced to indicate to the network if the UE can support 14-HARQ processes.

The related proposals are shown below:

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| Company | View |
| Nokia, Nokia Shanghai Bell [4] | Proposal 1: A new UE-EUTRA-capability, ce-PDSCH-FourteenProcesses, is used by UEs to indicate to the network if they can support 14-HARQ processes. |
| Qualcomm Incorporated [8] | Proposal 1: Introduce support of 14 DL HARQ processes for HD-FDD eMTC UEs in CE mode A as follows:  • Introduce a new optional UE capability to indicate support of 14 HARQ processes. |

**Potential Working Assumption 1:**

**Introduce a new optional UE capability to indicate support of 14 HARQ processes**

**Note: The Working Assumption is to be confirmed once RAN1 has selected a 14 HARQ scheme and RAN2 has confirmed that there are no concerns on the working assumption.**

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| --- | --- | --- |
| **Company** | **Agree?** | **Comments** |
| Qualcomm | Yes (but see comments) | We dont see a need for RAN2 confirmation. I think we should also be able to make this an agreement, but if peopel feel more comfortable with WA we are OK. |
| ZTE | Yes | Fine with agreement |

## 2.2 Enabling of 14 HARQ processes

Background: There is a common view in [4], and [8] that RRC signalling should be used to enable the 14-HARQ processes.

The related proposals are shown below:

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| Company | View |
| Nokia, Nokia Shanghai Bell [4] | Proposal 2: The RRC based dedicated PDSCH-configuration procedure is used to configure the UE to use 14-HARQ processes. |
| Qualcomm Incorporated [8] | Proposal 1: Introduce support of 14 DL HARQ processes for HD-FDD eMTC UEs in CE mode A as follows:  • Introduce a new RRC configuration parameter to enable 14 HARQ processes. |

**Potential Working Assumption 2:**

**Introduce a new RRC configuration parameter to enable 14 HARQ processes.**

**Note: The Working Assumption is to be confirmed once RAN1 has selected a 14 HARQ scheme and RAN2 has confirmed that there are no concerns on the working assumption.**

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| --- | --- | --- |
| **Company** | **Agree?** | **Comments** |
| Qualcomm | Yes (but see comments) | We dont see a need for RAN2 confirmation. I think we should also be able to make this an agreement, but if peopel feel more comfortable with WA we are OK. |
| ZTE | Yes | Fine with agreement |

## 2.3 Design Targets for the support of 14 HARQ processes

Background: In [5], it was mentioned “In realistic network deployments the presence of invalid BL/CE DL subframes (i.e., non-BL/CE DL subframes), invalid BL/CE UL subframes (i.e., non-BL/CE UL subframes), and measurement gaps is no uncommon, therefore in our view the support of “14 HARQ processes in DL using HARQ-ACK bundling for a Cat M1 HD-FDD UE” should account for them”

On the other hand, in [8] it was mentioned “Rel-14 HARQ-ACK bundling, though, is also supported for the case of PUCCH repetition. RAN1 should decide whether the design is optimized for the case of PUCCH repetition or not.”

The related proposals are shown below:

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| Company | View |
| Ericsson [5] | Proposal 1: The design to support “14 HARQ processes in DL using HARQ-ACK bundling for a Cat M1 HD-FDD UE” includes the possibility of operating in presence of invalid subframes (i.e., non-BL/CE DL subframes and non-BL/CE UL subframes), and measurement gaps. |
| Qualcomm Incorporated [8] | Proposal 5: RAN1 to discuss whether to optimize the design of 14 HARQ processes + scheduling delay for the case of PUCCH repetitions. |

**Potential Agreement 1:**

**The 14 HARQ processes design allows for operating with:**

* **Alt 1: PUCCH repetitions.**
* **Alt 2: PUCCH repetitions and non-BL/CE subframes.**
* **Alt 3: PUCCH repetitions, non-BL/CE subframes, and measurement gaps.**

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| **Company** | **Agree with Alt?** | **Comments** |
| Qualcomm | - | I think this is the first meeting, and this issue was brought up by a limited number of companies. Probably companies need more time to think and evaluate whether it is possible to cover all the cases. Thus, we would propose to agree on some guidance for the next meeting, something like:  **RAN1 to further discuss how/whether to take into account the following when designing the 14 HARQ feature:**  **- presence of PUCCH repetition**  **- presence of non-BL/CE subframes.**  At the same time, I think we should be able to agree that the case where none of this is present is also optimized for (see 2.4) |
| ZTE | - | We don’t think 14 HARQ processes design needs to consider the proposed alternatives.  From our understanding, the intention to introduce 14 HARQ processes is to improve the peak data rate. This feature is for the UE with high SNR . There is no need to consider the scenario with PUCCH repetitions.  For mesaurement gaps and non-BL/CE subframes, 14 HARQ processes design can follow the rule of existing 10 HARQ processes design. There is no need to consider new design rule. |

## 2.4 Current 14 HARQ designs

Background: Although this is the first meeting for this Rel-17 Work Item objective, many companies submitted their own scheme (in some cases even more than one) for supporting 14 HARQ processes [2-4], [6-8].

The related proposals are shown below:

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| Company | View |
| Huawei, HiSilicon [2] | Proposal 1: The scheduling delay of the additional HARQ processes (10-13) is fixed as 7 subframes. |
| ZTE [3] | Proposal 1: Introduce an additional bit in DCI when 14 HARQ processes are configured.  ­ The additional bit and HARQ-ACK delay field are jointly coded to indicate the PDSCH scheduling delay and HARQ-ACK delay. |
| Nokia, Nokia Shanghai Bell [4] | Proposal 3: RAN1 support the use of joint encoded DCI fields for the 14-HARQ process support, to expand the range of delay and offset options.  FFS: Details of joint encoding. |
| Sierra Wireless S. A. [6] | Proposal 2: When the 14 HARQ process feature is enabled and the DL grant schedules 1 TB, there is an additional bit in DL grant that indicates a PDSCH scheduling delay of 2 or 7.  • FSS: support for DL multi-TB Grant case |
| Beijing Xiaomi Software Tech [7] | Proposal 2: Consider to determine the scheduling delay based on pre-defined rule instead of indication via DCI |
| Qualcomm Incorporated [8] | Proposal 3: For the indication of PDSCH scheduling delay, downselect among the following options:  • Option 1: Do not introduce a new DCI field, the PDSCH scheduling delay is implicitly determined based on the reinterpretation of some existing field(s) (e.g. HARQ process ID, HARQ-ACK delay).  • Option 2: Introduce a new DCI field explicitly indicating the PDSCH scheduling delay |

**Possible Conclusion:**

**Revise the 14 HARQ schemes as to fulfil the design targets in the potential agreement 1 and discuss in the next RAN1 meeting.**

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| **Company** | **Ok?** | **Comments** |
| Qualcomm |  | We agree with further looking into 2.3, but I think all companies agree that at least a scheduling delay of 7 is introduced for PDSCH. The details of this (singaling / applicability to a subset of the HARQ process) can be decided in a later meeting. So, maybe we can do something as follows:  **Proposal: At least a scheduling delay of 7 subframes is introduced for PDSCH (i.e., MPDCCH in subframe *N* schedules PDSCH in subframe *N+7*)**   * **FFS: Details, including signaling in DCI.** * **FFS: Other values.** |
| ZTE | No | Detailed 14 HARQ processes design can be decided in a later meeting.  Besides existing scheduling delay of 2, we are fine to introduce additional scheduling delay of 7.  To support flexible bundling pattern, we think at least all HARQ-ACK delay values in current spec should be supported for 14 HARQ processes design. |

## 2.5 Others

Background: In [6], it has been proposed to have an FFS on the “support for DL multi-TB Grant case”.

The related proposals are shown below:

|  |  |
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| Company | View |
| Sierra Wireless S. A. [6] | Proposal 2: When the 14 HARQ process feature is enabled and the DL grant schedules 1 TB, there is an additional bit in DL grant that indicates a PDSCH scheduling delay of 2 or 7.  • FSS: support for DL multi-TB Grant case |

**Potential Agreement 2:**

**FFS: Whether the 14 HARQ scheme will support multi-TB Grant.**

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| **Company** | **Agree?** | **Comments** |
| Qualcomm | Too early | Maybe add as FFS for next meeting. |
| ZTE | Too early | Need further study on the benefit for peak data rate |

# 5 References

1. [RP-201306](http://www.3gpp.org/ftp/TSG_RAN/TSG_RAN/TSGR_88e/Docs/RP-201306.zip), WID: Additional enhancements for NB-IoT and LTE-MTC, RAN #88e, Electronic Meeting, June 29th-3rd, 2020.
2. [R1-2005305](http://www.3gpp.org/ftp/TSG_RAN/WG1_RL1/TSGR1_102-e/Docs/R1-2005305.zip), “Support of 14-HARQ processes in DL for HD-FDD MTC UEs,” Huawei, HiSilicon, RAN1 #102-e, Electronic Meeting, August 17-28, 2020.
3. [R1-2005480](http://www.3gpp.org/ftp/TSG_RAN/WG1_RL1/TSGR1_102-e/Docs/R1-2005480.zip), “Support additional PDSCH scheduling delay for introduction of 14-HARQ processes in DL for eMTC,” ZTE, RAN1 #102-e, Electronic Meeting, August 17-28, 2020.
4. [R1-2005530](http://www.3gpp.org/ftp/TSG_RAN/WG1_RL1/TSGR1_102-e/Docs/R1-2005530.zip), “Support of 14-HARQ processes in DL for eMTC,” Nokia, Nokia Shanghai Bell, RAN1 #102-e, Electronic Meeting, August 17-28, 2020.
5. [R1-2005558](http://www.3gpp.org/ftp/TSG_RAN/WG1_RL1/TSGR1_102-e/Docs/R1-2005558.zip), “Support of 14 HARQ processes in DL in LTE-MTC,” Ericsson, RAN1 #102-e, Electronic Meeting, August 17-28, 2020.
6. [R1-2005940](http://www.3gpp.org/ftp/TSG_RAN/WG1_RL1/TSGR1_102-e/Docs/R1-2005940.zip), “Design consideration to support 14-HARQ for LTE-M,” Sierra Wireless, S.A. RAN1 #102-e, Electronic Meeting, August 17-28, 2020.
7. [R1-2005973](http://www.3gpp.org/ftp/TSG_RAN/WG1_RL1/TSGR1_102-e/Docs/R1-2005973.zip), “Initial discussion on support of additional PDSCH scheduling delay for introduction of 14 HARQ processes in DL for eMTC,” Beijing Xiaomi Software Tech, RAN1 #102-e, Electronic Meeting, August 17-28, 2020.
8. [R1-2006193](http://www.3gpp.org/ftp/TSG_RAN/WG1_RL1/TSGR1_102-e/Docs/R1-2006193.zip), “Support of 14 HARQ processes and scheduling delay,” Qualcomm Incorporated, RAN1 #102-e, Electronic Meeting, August 17-28, 2020.