**3GPP TSG RAN WG1 Meeting #102-e R1-200xxxx**

**e-meeting, August 17th – 28th, 2020**

**Agenda item:** 8.1.2.1

**Source:** Qualcomm Incorporated

**Title:** Discussion Summary for mTRP PDCCH Reliability Enhancements

**Document for:** Discussion/Decision

# **Introduction**

In the previous round of discussions, Proposals 2-4 were discussed summarizing different multiplexing schemes / alternatives to enable PDCCH with two TCI states / options for PDCCH transmission at high-level. The new proposals below (Proposals 5-8) get into the next level of details.

# **New Proposals**

Some companies provided comments about sub-alternatives (in proposal 3) as well as how different alternatives / sub-alternatives can be combined with different options of proposal 4.

## **Proposal 5**

For Alt 1 in Proposal 3, HW / LG / CATT suggested or supported to add two sub-alternatives:

o Alt 1-1: One candidate/search space set within one CORESET with two active TCI states

o Alt 1-2: Two candidates/search space sets within one CORESET with two active TCI states

In case of Alt 1-2, if two SS sets are used, then it is not clear why we need a CORESET with 2 TCI states. This would be like combining Alt1 and Alt3, and can complicate the discussions further. Hence, FL’s suggestion is focus on “PDCCH candidate” for Alt 1-1 and Alt 1-2. Furthermore, using “two PDCCH candidates” in Alt 1-2 does not mean to imply how the limit toward the BD limit is determined, which needs to be further studied. Hence, FFS is added.

***Proposal 5: For Alt 1 (one CORESET with two active TCI states), study the following***

* ***Alt 1-1: One PDCCH candidate (in a given SS set) is associated with both TCI states of the CORESET.***
* ***Alt 1-2: Two PDCCH candidates (in a given SS set) are associated with the two TCI states of the CORESET, respectively***
  + ***FFS: How the two PDCCH candidates should be counted toward the BD limit***

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| Company | Comments |
| DOCOMO | Support the proposal |
| MediaTek | If two SS sets are used associated with one CORESET with 2 TCI states, we can save the limited number of CORESETs. Each SS set can be assigned with different TCI state in this case. Also, it is easier to configure a TCI state for the SS set level instead of the PDCCH candidate level. Thus, it can be a viable option. We suggest to keep the original Alt 1-2 as follows. We also would like to separate original Alt1-1 to current FL’s suggestion because two schemes are actually different. We also suggest to revise “Two PDCCH candidates” to “Two sets of PDCCH candidates” in order not to preclude more than 2 repetitions.  ***For Alt 1 (one CORESET with two active TCI states), study the following***   * ***Alt 1-1: One PDCCH candidate (in a given SS set) is associated with both TCI states of the CORESET.*** * ***Alt 1-2: Two sets of PDCCH candidates (in a given SS set) are associated with the two TCI states of the CORESET, respectively***   + ***FFS: How the two PDCCH candidates should be counted toward the BD limit*** * ***Alt 1-3: Two sets of PDCCH candidates (Each set of PDCCH candidates in a corresponding SS set) within one CORESET with two active TCI states*** |
| vivo | MediaTek’s revisions looks good. Furthermore, the parameter ’nrofCandidates’ of the search space in 38.331 is limited into a monitoring occasion, and different candidates is distinguished by aggregation level and CCE start index.  In our view Alt1-2 includes following 2 cases:  Case1: Two PDCCH candidates with different AL  Case2: Two PDCCH candidates belonging to different monitoring occasions (in a given SS set) regardless of same or different start index of CCE. |
| Huawei, Hisilicon | Support the proposal in general.  In addition, we are not sure whether there is any impact to BD limit for alt 1-1, therefore, we suggest to move the FFS of BD limit to be a sub-bullet of the main bullet (i.e., in parallel with alt1-1 and 1-2). |

## **Proposal 6**

For Alt 3 in Proposal 3, two sub-alternatives were discussed in the previous round as:

* Alt3-1: Two candidates in different SS sets are explicitly linked together creating one PDCCH candidate (i.e. UE knows the linking before decoding)
* Alt3-2: Two candidates in different SS sets are not explicitly linked together (i.e. UE does not know the linking before decoding)

MediaTek asked “For Alt3-1, does this include both one joint encoding for one PDCCH candidate and mapped to each SS set and two separate encoding (including repetition) with linkage? For Alt3-2, does this mean selection decoding of two candidates because the UE doesn’t know the linkage of two candidates?”

From FL’s point of view, Alt3-2 means selection decoding without possibility of soft combining while Alt3-1 allows for soft combining. Alt3-1 does not necessarily mean joint encoding / rate matching (repetition is actually more natural for Alt3-1). Hence, the description of “creating one PDCCH candidate” is removed in Proposal 6 to avoid ambiguity. Similar to Proposal 5, whether the two PDCCH candidates in Alt3-1 are counted toward the BD limit needs further study. Note that proposal 6 does not talk about combinations with different options yet (that is the subject of Proposal 7).

***Proposal 6: For Alt 3 (two SS sets associated with corresponding CORESETs), study the following***

* ***Alt 3-1: Two PDCCH candidates in the two SS sets are explicitly linked together (UE knows the linking before decoding)***
  + ***FFS: How the two PDCCH candidates should be counted toward the BD limit***
* ***Alt 3-2: Two PDCCH candidates in the two SS sets are not explicitly linked together (UE does not know the linking before decoding)***

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| Company | Comments |
| DOCOMO | Support the proposal |
| MediaTek | Thanks for the clarification. With the same reason, we suggest to revise as follows.   * ***Alt 3-1: Two sets of PDCCH candidates in the two SS sets are explicitly linked together (UE knows the linking before decoding)***   + ***FFS: How the two PDCCH candidates should be counted toward the BD limit*** * ***Alt 3-2: Two sets of PDCCH candidates in the two SS sets are not explicitly linked together (UE does not know the linking before decoding)*** |
| vivo | MediaTek’s revision looks fine.  We also think Alt3 is more suitable for separate encoding scheme other than SFN and joint encoding. |
| Huawei, Hisilicon | Support the proposal in principle.  Similar to our comment to proposal 5, we are not sure whether there is any impact to BD limit for alt 3-2, therefore, we suggest to move the FFS of BD limit to be a sub-bullet of the main bullet (i.e., in parallel with alt 3-1 and 3-2). |

## **Proposal 7**

Some companies discussed aspects related to combinations of proposal 3 and 4 in the previous round. Given additional sub-alternatives discussed above in Proposals 5 and 6, it may make sense to start talking about how each of those can be combined with different options with respect to PDCCH transmission of proposal 4. Since proposal 4 is related to non-SFN based schemes, the discussions here can focus on TDM and FDM. SFN is discussed separately below (see Proposal 8).

Next proposal discusses combinations of Alts in proposals 3, 5, 6 (Alt 1-1 / 1-2 / 2 / 3-1 / 3-2) with options in proposal 4 (option 1 / 2 / 3). Without further restrictions, there are 5 (different Alts)\*3 (different options)=15 cases for each of TDM and FDM schemes, which may make it difficult to down-select in future meetings. Hence, from FL’s perspective, it is preferred if we can focus only on the combinations that make more sense or are more natural. Note that Alt2 in theory can be combined also with Option 1, but one/joint rate matching across different CORESETs may not be natural and has more spec impact, and hence, is not listed. It can be added if companies think it should be considered. For Alt 3-1, Option 2 is the natural choice, but CATT pointed out that explicit linking can be beneficial even for option 3. Hence both Options 2 and 3 are listed for Alt 3-1. The proposal below is based on initial thinking from FL side as well as some comments in the previous round, and requires further discussions. Hence, the proposal will be further refined based on companies inputs.

***Proposal 7: Consider the following combinations for non-SFN schemes:***

* ***Alt 1-1 + Option 1***
* ***Alt 1-2 + Options 2/3***
* ***Alt 2 + Options 2/3***
* ***Alt 3-1 + Options 2/3***
* ***Alt 3-2 + Option 3***

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| Company | Comments |
| DOCOMO | At this stage, as a high-level proposal, we think Alt.3-2 + Option 2 can also be included. |
| MediaTek | We agree with FL that it is better to associate each alternative with the decoding scheme to reduce the number of possible schemes. Basically we support FL’s proposal. Reasonable combinations can be further studied. We don’t think Alt 3-2 + Option 2 added by DOCOMO is a possible combination. |
| vivo | Compared to Alt3-1, we think the important aspect is that gNB can enable flexible configuration and implementation with Alt3-2, especially in the case of conceivable congestion of PDCCH resources in this serving cell. The gNB can cancel one of two SS sets to avoid the collision with other user’s PDCCH, which is transparent to UE, regardless of PDCCH transmission with option2 or option3.  So we also agree with to include Alt.3-2 + Option 2 |
| Huawei, Hisilicon | We think Alt 3-1 + option 1 can also be studied at this stage, as with the explicit association, the encoded bits can be mapped sequentially to the two candidates, which may be beneficial in some cases such as small to medium AL.  ***Proposal 7: Consider the following combinations for non-SFN schemes:***   * ***Alt 1-1 + Option 1*** * ***Alt 1-2 + Options 2/3*** * ***Alt 2 + Options 2/3*** * ***Alt 3-1 + Options 1/2/3*** * ***Alt 3-2 + Option 3*** |

## **Proposal 8**

For SFN scheme (in proposal 2), many companies mentioned that the most natural / viable alternative is Alt1 in proposal 3. Given further sub-alternatives 1-1 and 1-2, SFN is applicable to Alt 1-1. Hence, the following proposal is drafted to narrow the focus for SFN. For the input, the focus should not be on whether a company supports SFN or not. Instead, please comment if you agree with the proposal, and if not, please explain how other alternatives can be used for SFN.

***Proposal 8: For SFN scheme (PDCCH DMRS is associated with two TCI states in all REGs/CCEs of the PDCCH), Alt 1-1 is considered.***

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| Company | Comments |
| DOCOMO | Support the proposal |
| MediaTek | Support the proposal |
| Huawei, Hisilicon | For SFN transmission, we think not only 1-1, but also Alt3 can support it. SFN transmission could be a special case in result of configuration/indication. For example, when the two candidates occurs on the same resource, the UE can assume SFN transmission. So we suggest the following change:  ***Proposal 8: For SFN scheme (PDCCH DMRS is associated with two TCI states in all REGs/CCEs of the PDCCH), Alt 1-1 and Alt 3 are considered.*** |