**3GPP TSG RAN WG1 #102-e R1- 200XXXX**

**e-Meeting, August 17th – August 28th, 2020**

**Agenda item: 7.2.2.2.1**

**Source: Moderator (Nokia)**

**Title: Summary of [102-e-NR-unlic-NRU-ChAcc-01] Email discussion/approval on XXXX**

**Document for: Discussion and Decision**

# 1 Introduction

This document captures the discussion in the following RAN1#102-e email thread:

[102-e-NR-unlic-NRU-ChAcc-02] Email discussion/approval of TPs to capture earlier agreements and align specifications on clarifications to UL to DL COT sharing (Issue#3 in R1-2006675) until 8/19 – Timo (Nokia)

This contribution summarizes the discussion and collects companies’ views.

# 2. Issue #3

**Issue #3** Clarifications to UL to DL COT sharing

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| Clarifications to UL to DL COT sharing | R1-2005600 (p2)  R1-2006020 (p1)  R1-2006301 (p4)  R1-2006881 (p1) |

Three contributions address the issue of ED threshold adaptation according to the agreement from RAN1#101e:

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| Agreement:  For at least PUSCH transmissions with configured grants, a UE is allowed to choose between the ED threshold given by ul-toDL-CO-SharingED-Threshold-r16 and the default one. Whether a spec change is required needs further discussion. Discuss and decide the possible TPs in the next meeting. |

**R1-2005600**

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| --------------------------------------------------------- Start of TP #1-----------------------------------------------------------------  4.2.3 Energy detection threshold adaptation procedure  A UE accessing a channel on which UL transmission(s) are performed, shall set the energy detection threshold () to be less than or equal to the maximum energy detection threshold .  is determined as follows:  - If the UE is configured with higher layer parameter *maxEnergyDetectionThreshold-r14* or *maxEnergyDetectionThreshold-r16*,  - is set equal to the value signalled by the higher layer parameter;  - otherwise  - the UE shall determine according to the procedure described in clause 4.2.3.1;  - if the UE is configured with higher layer parameter *energyDetectionThresholdOffset-r14* or *energyDetectionThresholdOffset-r16*  - is set by adjusting according to the offset value signalled by the higher layer parameter;  - otherwise  - the UE shall set .  If the higher layer parameter *absenceOfAnyOtherTechnology-r16* is not configured to a UE, and the higher layer parameter *ul-toDL-COT-SharingED-Threshold-r16* is configured to the UE, the gNB should use the gNB's transmit power in determining the resulting energy detection threshold *ul-toDL-COT-SharingED-Threshold-r16*.  For the case where a UE performs channel access procedures as described in clause 4.2.1.2.1 and shares its corresponding channel occupancy time with the gNB, for scheduling UL transmission, is set equal to the value provided by the higher layer parameter *ul-toDL-COT-SharingED-Threshold-r16*, if provided. Otherwise, is set by the above method in Section 4.2.3.1. For configured grant UL transmission, is determined by UE to choose the energy detection threshold given by the higher layer parameter *ul-toDL-COT-SharingED-Threshold-r16* if provided and the above method in Section 4.2.3.1  --------------------------------------------------------- End of TP #1----------------------------------------------------------------- |

**R1-2006020**

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| ----------------------------------- TP1: Start of TP 37.213 section 4.2.3 ---------------------------------------  4.2.3 Energy detection threshold adaptation procedure  <Unchanged parts are omitted>  If the higher layer parameter *absenceOfAnyOtherTechnology-r16* is not configured to a UE, and the higher layer parameter *ul-toDL-COT-SharingED-Threshold-r16* is configured to the UE, the gNB should use the gNB's transmit power in determining the resulting energy detection threshold *ul-toDL-COT-SharingED-Threshold-r16*.  ~~For the case where a~~ When a UE performs channel access procedures as described in clause 4.2.1.2.1 ~~and shares its corresponding channel occupancy time with the gNB~~,   * is set equal to the value provided by the higher layer parameter *ul-toDL-COT-SharingED-Threshold-r16*, if provided~~.~~ and if the UE shares its corresponding channel occupancy time with the gNB.  * Otherwise, may be determined according to the procedure described in clause 4.2.3.1 and the UE indicates no COT sharing in the corresponding CG-UCI according to Clause 6.3.2.1.3 [x, 38.212].   ----------------------------------------End of TP 37.213 section 4.2.3 ----------------------------------------- |

**R1-2006301**

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| ================================ Start of TP#3 for TS 37.213 ===============================  4.2.3 Energy detection threshold adaptation procedure  ================================ Unchanged Texts Omitted =================================  For the case where a UE performs channel access procedures as described in clause 4.2.1.1 and shares its corresponding channel occupancy time with the gNB, is set equal to the value provided by the higher layer parameter *ul-toDL-CO-SharingED-Threshold-r16*, if provided. For the case where a UE configured with *ul-toDL-CO-SharingED-Threshold-r16* performs channel access procedure as described in clause 4.2.1.1 and does not share its corresponding channel occupancy time with the gNB, the UE shall set according to the procedure described in clause 4.2.3.  ================================ Unchanged Texts Omitted =================================  ================================= End of TP#3 for TS 37.213 =============================== |

Companies are asked to provide their views related to the above proposals with the table below, namely:

* is a spec change needed?
* which one of the three TPs is the preferred starting point for a TP and what modifications are needed, if any?

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| Company | Comment |
| OPPO | A spec change is needed.  We are fine for R1-2006020 or R1-2006301. |
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**R1-2006881** considers a bit different issue related to UL-DL Cot sharing:

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| ===========================Start of Text Proposal for TS37.213============================  4.1.3 DL channel access procedures in a shared channel occupancy  For the case where an eNB shares a channel occupancy initiated by a UE, the eNB may transmit a transmission that follows an autonomous PUSCH transmission by the UE as follows:  - If 'COT sharing indication' in AUL-UCI in subframe indicates '1', an eNB may transmit a transmission in subframe , where is subframeOffsetCOT-Sharing, including PDCCH but not including PDSCH on the same channel immediately after performing Type 2A DL channel access procedures in clause 4.1.2.1, if the duration of the PDCCH is less than or equal to duration of two OFDM symbols and it shall contain at least AUL-DFI or UL grant to the UE from which the PUSCH transmission indicating COT sharing was received.  If a gNB shares a channel occupancy initiated by a UE using the channel access procedures described in clause 4.2.1.1 on a channel, the gNB may transmit a transmission that follows a UL transmission on scheduled resources or a PUSCH transmission on configured resources by the UE after a gap as follows:  - The transmission shall contain transmission to the UE that initiated the channel occupancy and can include non-unicast and/or unicast transmissions where any unicast transmission ~~that includes user plane data~~ is only transmitted to the UE that initiated the channel occupancy.  - If the higher layer parameters *ul-toDL-COT-SharingED-Threshold-r16* is not provided, the transmission shall not include any unicast transmissions with user plane data and the transmission duration is not more than the duration of 2, 4 and 8 symbols for subcarrier spacing of 15, 30 and 60 kHz of the corresponding channel, respectively.  - If the gap is up to , the gNB can transmit the transmission on the channel after performing Type 2C DL channel access as described in clause 4.1.2.3.  - If the gap is or , the gNB can transmit the transmission on the channel after performing Type 2A or Type 2B DL channel access procedures as described in clause 4.1.2.1 and 4.1.2.2, respectively.  For the case where a gNB shares a channel occupancy initiated by a UE with configured grant PUSCH transmission, the gNB may transmit a transmission that follows the configured grant PUSCH transmission by the UE as follows:  - If the higher layer parameter *ul-toDL-COT-SharingED-Threshold-r16* is provided, the UE is configured by *cg-COT-SharingList-r16* where *cg-COT-SharingList-r16* provides a table configured by higher layer. Each row of the table provides a channel occupancy sharing information given by higher layer parameter *CG-COT-Sharing-r16*. One row of the table is configured for indicating that the channel occupancy sharing is not available.  - If the 'COT sharing information' in CG-UCI detected in slot *n* indicates a row index that corresponds to a *CG-COT-Sharing-r16* that provides channel occupancy sharing information, the gNB can share the UE channel occupancy assuming a channel access priority class *p= channelAccessPriority-r16*, starting from slot *n+O*, where *O=offset-r16* slots, for a duration of *D=duration-r16* slots where *duration-r16*, *offset-r16*, and *channelAccessPriority-r16* are higher layer parameters provided by *CG-COT-Sharing-r16*.  - If the higher layer parameter *ul-toDL-COT-SharingED-Threshold-r16* is not provided, and if 'COT sharing information' in CG-UCI indicates '1', the gNB can share the UE channel occupancy and start the DL transmission X= *cg-COT-SharingOffset-r16* symbols from the end of the slot where CG-UCI is detected, where *cg-COT-SharingOffset-r16* is provided by higher layer. The transmission shall not include any unicast transmissions with user plane data and the transmission duration is not more than the duration of 2, 4 and 8 symbols for subcarrier spacing of 15, 30 and 60 kHz of the corresponding channel, respectively.  =========================== End of Text Proposal for TS37.213============================ |

Companies are asked to provide their views related to the above proposals with the table below:

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| Company | Comment |
| OPPO | The TP seems inline with RAN1 agreement  Agreement:  Sharing of a UE-initiated channel occupancy (either CG-PUSCH or scheduled UL) with gNB is supported, such that the gNB is allowed to transmit control/broadcast signals/channels for any UEs as long as the transmission contains transmissions for the UE that initiated the channel occupancy and/or DL signals/channels (PDSCH, PDCCH, reference signals) meant for the UE that initiated the channel occupancy. |
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# 3. Conclusions

TBA

# References

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| 1 | [**R1-2005333**](http://www.3gpp.org/ftp/TSG_RAN/WG1_RL1/TSGR1_102-e/Docs/R1-2005333.zip) | Remaining issues on the channel access procedures | vivo |
| 2 | [**R1-2005600**](http://www.3gpp.org/ftp/TSG_RAN/WG1_RL1/TSGR1_102-e/Docs/R1-2005600.zip) | Remaining issues on the channel access procedure for NR-U | ZTE, Sanechips |
| 3 | [**R1-2005809**](http://www.3gpp.org/ftp/TSG_RAN/WG1_RL1/TSGR1_102-e/Docs/R1-2005809.zip) | Maintenance on channel access procedures | Huawei, HiSilicon |
| 4 | [**R1-2005914**](http://www.3gpp.org/ftp/TSG_RAN/WG1_RL1/TSGR1_102-e/Docs/R1-2005914.zip) | Channel access procedures | Ericsson |
| 5 | [**R1-2006020**](http://www.3gpp.org/ftp/TSG_RAN/WG1_RL1/TSGR1_102-e/Docs/R1-2006020.zip) | Discussion on the remaining issues of channel access procedure | OPPO |
| 6 | [**R1-2006095**](http://www.3gpp.org/ftp/TSG_RAN/WG1_RL1/TSGR1_102-e/Docs/R1-2006095.zip) | Channel access procedures for NR-U | Samsung |
| 7 | [**R1-2006301**](http://www.3gpp.org/ftp/TSG_RAN/WG1_RL1/TSGR1_102-e/Docs/R1-2006301.zip) | Remaining issues of channel access procedure for NR-U | LG Electronics |
| 8 | [**R1-2006351**](http://www.3gpp.org/ftp/TSG_RAN/WG1_RL1/TSGR1_102-e/Docs/R1-2006351.zip) | Remaining issues on channel access procedures for NR-U | ETRI |
| 9 | **[R1-2006370](http://www.3gpp.org/ftp/TSG_RAN/WG1_RL1/TSGR1_102-e/Docs/R1-2006370.zip)** | Remaining Issues on Channel Access Procedures for NR-U | Nokia, Nokia Shanghai Bell |
| 10 | [**R1-2006763**](http://www.3gpp.org/ftp/TSG_RAN/WG1_RL1/TSGR1_102-e/Docs/R1-2006763.zip) | TP for Channel access procedures for NR unlicensed | Qualcomm Incorporated |
| 11 | [**R1-2006881**](http://www.3gpp.org/ftp/TSG_RAN/WG1_RL1/TSGR1_102-e/Docs/R1-2006881.zip) | Remaining issues on channel access procedure for NR-U | WILUS Inc. |