**3GPP TSG-RAN WG1 #102-e *R1-*** ***200xxxx***

**e-Meeting, August 17th – 28th, 2020**

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| *CR-Form-v12.0* | | | | | | | | |
| **DRAFT CHANGE REQUEST** | | | | | | | | |
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|  | **38.213** | **CR** | **-** | **rev** | **-** | **Current version:** | **16.2.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | Correction on the definition for timeline condition | | | | | | | | | |
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| ***Source to WG:*** | CATT | | | | | | | | | |
| ***Source to TSG:*** |  | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_newRAT-Core | | | | |  | ***Date:*** | | | 2020-08-20 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | A |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
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| ***Reason for change:*** | | 1. In the second bullet of timeline condition definition, it is not clear what ‘the UE PDSCH processing capability of the i-th SPS PDSCH release’ is. Since there is no such definition in the specification and the definition of N for the i-th SPS PDSCH release is directly defined in clause 10.2 based on the configuration of PDSCH processing capability, it is sufficient to refer to section 10.2 only without defining ‘the UE PDSCH processing capability of the i-th SPS PDSCH release’. 2. In the third bullet of timeline condition definition, SCS of PDCCH providing the SPS PDSCH release should be also considered to determine the reference for calculation. 3. Since there is restriction in section 9 that ‘A UE does not expect a PUCCH resource that results from multiplexing overlapped PUCCH resources, if applicable, to overlap with more than one PUSCHs if each of the more than one PUSCHs includes aperiodic CSI reports.’, it means there will be only one PUSCH with A-CSI that overlaps with a PUCCH. To align with section 9, ‘PUSCHs’ in ‘one or more aperiodic CSI reports multiplexed on PUSCHs’ should be changed into ‘PUSCH’. 4. Some typo corrections. | | | | | | | | |
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| ***Summary of change:*** | | 1. Remove the descripiton ‘and is selected based on the UE PDSCH processing capability of the i-th SPS PDSCH release and SCS configuration ’ in the second bullet of timeline condition definition. 2. Add SPS PDSCH release in the third bullet of timeline condition definition. 3. Change ‘PUSCHs’ in ‘one or more aperiodic CSI reports multiplexed on PUSCHs’ into ‘PUSCH’. 4. Remove some unnecessary ‘if any’, and add ‘the’ for ‘i-th PDSCH’ and ‘i-th SPS PDSCH release’. | | | | | | | | |
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| ***Consequences if not approved:*** | | Unclear or wrong timeline condition definition. | | | | | | | | |
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| ***Clauses affected:*** | | 9.2.5 | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
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| ***Other comments:*** | | **Isolated impact analysis:**  This CR has isolated impact on timeline condition definition for overlpping of PUCCHs or overlapping of PUCCH and PUSCH.  If the network is implemented according to the CR and the UE is not, or the UE is implemented according to this CR but the network is not, there may be different understandings between gNB and UE for the timeline definition. However, we think the corrections in this CR are common understandings which may have no impact on both UE and gNB implementation. | | | | | | | | |
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| ***This CR's revision history:*** | |  | | | | | | | | |

### 9.2.5 UE procedure for reporting multiple UCI types

This Clause is applicable to the case that a UE has resources for PUCCH transmissions or for PUCCH and PUSCH transmissions that overlap in time and each PUCCH transmission is over a single slot without repetitions. Any case that a PUCCH transmission is with repetitions over multiple slots is described in Clause 9.2.6. If a UE is configured with multiple PUCCH resources in a slot to transmit CSI reports

- if the UE is not provided *multi-CSI-PUCCH-ResourceList* or if PUCCH resources for transmissions of CSI reports do not overlap in the slot, the UE determines a first resource corresponding to a CSI report with the highest priority [6, TS 38.214]

- if the first resource includes PUCCH format 2, and if there are remaining resources in the slot that do not overlap with the first resource, the UE determines a CSI report with the highest priority, among the CSI reports with corresponding resources from the remaining resources, and a corresponding second resource as an additional resource for CSI reporting

- if the first resource includes PUCCH format 3 or PUCCH format 4, and if there are remaining resources in the slot that include PUCCH format 2 and do not overlap with the first resource, the UE determines a CSI report with the highest priority, among the CSI reports with corresponding resources from the remaining resources, and a corresponding second resource as an additional resource for CSI reporting

- if the UE is provided *multi-CSI-PUCCH-ResourceList* and if any of the multiple PUCCH resources overlap, the UE multiplexes all CSI reports in a resource from the resources provided by *multi-CSI-PUCCH-ResourceList*, as described in Clause 9.2.5.2.

A UE multiplexes DL HARQ-ACK information, with or without SR, and CSI report(s) in a same PUCCH if the UE is provided *simultaneousHARQ-ACK-CSI*; otherwise, the UE drops the CSI report(s) and includes only DL HARQ-ACK information, with or without SR, in the PUCCH. If the UE would transmit multiple PUCCHs in a slot that include DL HARQ-ACK information and CSI report(s), the UE expects to be provided a same configuration for *simultaneousHARQ-ACK-CSI* each of PUCCH formats 2, 3, and 4.

If a UE would multiplex CSI reports that include Part 2 CSI reports in a PUCCH resource, the UE determines the PUCCH resource and a number of PRBs for the PUCCH resource or a number of Part 2 CSI reports assuming that each of the CSI reports indicates rank 1.

If a UE would transmit multiple overlapping PUCCHs in a slot or overlapping PUCCH(s) and PUSCH(s) in a slot and, when applicable as described in Clauses 9.2.5.1 and 9.2.5.2, the UE is configured to multiplex different UCI types in one PUCCH, and at least one of the multiple overlapping PUCCHs or PUSCHs is in response to a DCI format detection by the UE, the UE multiplexes all corresponding UCI types if the following conditions are met. If one of the PUCCH transmissions or PUSCH transmissions is in response to a DCI format detection by the UE, the UE expects that the first symbol  of the earliest PUCCH or PUSCH, among a group overlapping PUCCHs and PUSCHs in the slot, satisfies the following timeline conditions

-  is not before a symbol with CP starting after after a last symbol of any corresponding PDSCH, is given by maximum of where for the i-th PDSCH with corresponding HARQ-ACK transmission on a PUCCH which is in the group of overlapping PUCCHs and PUSCHs, , is selected for the i-th PDSCH following [6, TS 38.214], is selected based on the UE PDSCH processing capability of the i-th PDSCH and SCS configuration , where corresponds to the smallest SCS configuration among the SCS configurations used for the PDCCH scheduling the i-th PDSCH, the i-th PDSCH, the PUCCH with corresponding HARQ-ACK transmission for the i-th PDSCH, and all PUSCHs in the group of overlapping PUCCHs and PUSCHs.

-  is not before a symbol with CP starting after after a last symbol of any corresponding SPS PDSCH release or of a DCI format 1\_1 indicating SCell dormancy as described in Clause 10.3. is given by maximum of where for the i-th PDCCH providing the SPS PDSCH release or the DCI format 1\_1 with corresponding HARQ-ACK transmission on a PUCCH which is in the group of overlapping PUCCHs and PUSCHs, , is described in Clause 10.2, where corresponds to the smallest SCS configuration among the SCS configurations used for the PDCCH providing the i-th SPS PDSCH release, the PUCCH with corresponding HARQ-ACK transmission for the i-th SPS PDSCH release or the DCI format 1\_1, and all PUSCHs in the group of overlapping PUCCHs and PUSCHs.

- if there is no aperiodic CSI report multiplexed in a PUSCH in the group of overlapping PUCCHs and PUSCHs,  is not before a symbol with CP starting after after a last symbol of

- any PDCCH with the DCI format scheduling an overlapping PUSCH, and

- any PDCCH scheduling a PDSCH or SPS PDSCH release with corresponding HARQ-ACK information in an overlapping PUCCH in the slot

If there is at least one PUSCH in the group of overlapping PUCCHs and PUSCHs, is given by maximum of where for the i-th PUSCH which is in the group of overlapping PUCCHs and PUSCHs, , , and are selected for the i-th PUSCH following [6, TS 38.214], is selected based on the UE PUSCH processing capability of the i-th PUSCH and SCS configuration , where corresponds to the smallest SCS configuration among the SCS configurations used for the PDCCH scheduling the i-th PUSCH, the PDCCHs scheduling the PDSCHs or providing the SPS PDSCH releases with corresponding HARQ-ACK transmission on a PUCCH which is in the group of overlapping PUCCHs/PUSCHs, and all PUSCHs in the group of overlapping PUCCHs and PUSCHs.

If there is no PUSCH in the group of overlapping PUCCHs and PUSCHs, is given by maximum of where for the i-th PDSCH or the i-th SPS PDSCH release with corresponding HARQ-ACK transmission on a PUCCH which is in the group of overlapping PUCCHs, , is selected based on the UE PUSCH processing capability of the PUCCH serving cell if configured.   is selected based on the UE PUSCH processing capability 1, if PUSCH processing capability is not configured for the PUCCH serving cell. is selected based on the smallest SCS configuration between the SCS configuration used for the PDCCH scheduling the i-th PDSCH or providing the i-th SPS PDSCH release with corresponding HARQ-ACK transmission on a PUCCH which is in the group of overlapping PUCCHs, and the SCS configuration for the PUCCH serving cell.

- if there is an aperiodic CSI report multiplexed in a PUSCH in the group of overlapping PUCCHs and PUSCHs,  is not before a symbol with CP starting after after a last symbol of

- any PDCCH with the DCI format scheduling an overlapping PUSCH, and

- any PDCCH scheduling a PDSCH, or SPS PDSCH release, or providing a DCI format 1\_1 indicating SCell dormancy with corresponding HARQ-ACK information in an overlapping PUCCH in the slot

where corresponds to the smallest SCS configuration among the SCS configuration of the PDCCHs, the smallest SCS configuration for the group of the overlapping PUSCHs, and the smallest SCS configuration of CSI-RS associated with the DCI format scheduling the PUSCH with the multiplexed aperiodic CSI report, and for , for and for

- , , , , , and are defined in [6, TS 38.214], and and are defined in [4, TS 38.211].

If a UE would transmit multiple overlapping PUCCHs in a slot or overlapping PUCCH(s) and PUSCH(s) in a slot, one of the PUCCHs includes HARQ-ACK information in response to an SPS PDSCH reception, and any PUSCH is not in response to a DCI format detection, the UE expects that the first symbol  of the earliest PUCCH or PUSCH satisfies the first of the previous timeline conditions with the exception that components associated to a SCS configuration for a PDCCH scheduling a PDSCH or a PUSCH are absent from the timeline conditions.

A UE does not expect a PUCCH or a PUSCH that is in response to a DCI format detection to overlap with any other PUCCH or PUSCH that does not satisfy the above timing conditions.

A UE that

- is not provided *CORESETPoolIndex* or is provided *CORESETPoolIndex* with a value of 0 for first CORESETs on active DL BWPs of serving cells, and

- is provided *CORESETPoolIndex* with a value of 1 for second CORESETs on active DL BWPs of the serving cells, and

- is provided *ACKNACKFeedbackMode* = *SeparateFeedback*

does not expect a PUCCH or a PUSCH transmission triggered by a detection of a DCI format in a PDCCH received in a CORESET from the first CORESETs to overlap with a PUCCH or a PUSCH transmission triggered by a detection of a DCI format in a PDCCH received in a CORESET from the second CORESETs.

If there is one or more aperiodic CSI reports multiplexed on a PUSCH in the group of overlapping PUCCHs and PUSCHs and if symbol  is before symbol  that is a next uplink symbol with CP starting after  after the end of the last symbol of

- the last symbol of aperiodic CSI-RS resource for channel measurements, and

- the last symbol of aperiodic CSI-IM used for interference measurements, and

- the last symbol of aperiodic NZP CSI-RS for interference measurements, when aperiodic CSI-RS is used for channel measurement for triggered CSI report 

the UE is not required to update the CSI report for the triggered CSI report *.* is defined in [6, TS 38.214] and corresponds to the smallest SCS configuration among the SCS configurations of the PDCCHs scheduling the PUSCHs, the smallest SCS configuration of aperiodic CSI-RSs associated with DCI formats provided by the PDCCHs triggering the aperiodic CSI reports, and the smallest SCS configuration of the overlapping PUCCHs and PUSCHs and  for ,  for  and  for .

< Unchanged parts are omitted >