**3GPP TSG-RAN WG1 Meeting #110bis-e R1-2210486**

**e-Meeting, Oct 10th - 19th, 2022**

**Agenda Item: 8.17**

**Source: Moderator (ZTE)**

**Title: Summary on remaining issues of SDT**

**Document for: Discussion**

# Introduction

This document contains the summary of remaining issues identified in RAN1#110bis-e meeting. The following email thread is used:

[110bis-e-R17-Others-04] Email discussion on maintenance issues for SDT by October 17 – Ziyang (ZTE)

         Issue#2 Redundancy versions of repetitions for CG-SDT

         Issue#3 Repetitions for CG-SDT

         Issue#4 Editorial correction on parameter name (for alignment CR)

         Issue#5 Power offset of feature combination

# Remaining issues

## Issue#2 Redundancy versions of repetitions for CG-SDT

### First round discussion

In RAN1#110, the impact of the following agreement made by RAN2 for RV version has been captured in TS 38.213.

RAN2#117e agreements

=> For autonomous re-tx, fix the RV to be 0 for both the initial and retransmission of initial CG-SDT transmission.

In R1-2208624, vivo proposes that when repK-RV is configured, the RV version should be determined according to clause 6.1.2.3 of 38.214.

According to RAN2’s discussion, the motivation to fix the RV to be 0 is that, soft combination is not useful for autonomous re-transmission of initial SDT transmission, since the re-transmission is autonomously operated by UE if initial transmission fails, gNB is even not aware of whether the re-transmission happens or not. In such case, even when repK-RV is configured, it’s not beneficial to apply different RV for repetitions of autonomous re-transmissions.

In addition, for autonomous re-transmission, if different RVs for repetitions are needed, it also requires spec impact in TS 38.214 since for legacy CG there is no such re-transmission.

From FL’s perspective, for initial transmission or autonomous retransmission of initial transmission,

* Option 1: The RV is always fixed to be 0 no matter whether repetition is configured or not
  + No spec impact for this option.
* Option 2: The RV is determined by repK-RV if repetition is configured
  + Draft CR in R1-2208624 and potential impact in TS 38.214

Any views on the above 2 options for RV determination?

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| Company | Comment |
| Intel | We support Option 1. We do not think we need to revert the RAN2 agreement at very late stage during maintenance phase. |
| Qualcomm | We think Option 1 should be supported. |
| New H3C | We support option 1 |
| ZTE | We support Option 1. RAN2’s motivation to fix RV to be 0 for autonomous re-transmission is that, gNB can not be aware of such re-transmission if the initial transmission failed, then soft combination is not useful in this case. Even if repetition is configured, it’s also possible that some of the repetitions are missed by gNB, then it’s safer to also fix the RV of these repetitions to be 0. |
| Samsung | We agree FL’s assessment, and support option 1. |
| Huawei | Option 1 |
| Ericsson | We support Option 1. |
| vivo | For the argument from FL, could you clarify how soft combining is not useful among repetitions of one single retransmission? I can understand soft combining among different retransmissions may be a problem if RV other than 0 could be used and not self-decodable, but for repetitions of one retransmission, I do not understand why different RV can not be applied while RV of first repetition would still be RV0.  *According to RAN2’s discussion, the motivation to fix the RV to be 0 is that, soft combination is not useful for autonomous re-transmission of initial SDT transmission, since the re-transmission is autonomously operated by UE if initial transmission fails, gNB is even not aware of whether the re-transmission happens or not. In such case, even when repK-RV is configured, it’s not beneficial to apply different RV for repetitions of autonomous re-transmissions.*  Technically we do not see why there would be issue for reusing legacy to determine RV for 2nd and latter repetitions. If we force all repetitions must be RV0, this is something new that requires RAN1/2 agreement in my understanding.  If all other companies would allow this RV to be just 0, we’re also fine. But this should be clarified in the spec. as the current text in 38.213 conflicts with 38.214, eg. Update as following   |  | | --- | | 19.1 Configured-grant based PUSCH transmission For initial transmission or autonomous retransmission, including repetitions, of an initial transport block provided for the PUSCH transmission as described in clause 18.0 in [19, TS 38.300], the UE encodes the transport block using redundancy version number 0. | |
| FL | To vivo,  The decision of fixing RV to be 0 is under the assumption that the SDT initial transmission and autonomous re-transmission may be missed by gNB, follow this logic, some of the repetitions of re-transmission may not be realized by gNB since it’s autonomous and purely triggered by UE, so gNB may only detect part of the repetitions, then it’s better to set all repetitions to be 0. |
| Huawei, HiSilicon2 | After further considerations, we think vivo’s point is valid and we’d like to support.  The repetitions have different purpose as autonomous reTx. Both initial transmission and autonomous reTx is for initial Tx of a TB, thus it may be failed and unknown by gNB. While repetitions are useful for coverage - if configured, gNB may want to utilize that instead of fixed RV. The RV determination for CG is already in the spec, same as SDT, there is no fundamental difference.  The RV determination for repetitions has nothing to do with RAN2 agreements – it’s for initial Tx of a TB.  RAN2#117e agreements  => For autonomous re-tx, fix the RV to be 0 for both the initial and retransmission of initial CG-SDT |
| Samsung | We understand the FL’s assessment that when gNB did not even know the detected PUSCH is first transmission or re-transmission, it can only assume it’s for RV 0, otherwise, multiple blind detection/decoding will be needed. That’s why different RV seems not work so well.  VIVO’s CR is acceptable to us. Though we think the “initial transmission” “retransmission” already imply the repetitions if any. |
| Huawei | According to the resource configuration for configured grant in Rel-15, the possible initial transmission occasion is pre-configured by gNB using an offset wrt SFN, so gNB certainly knows whether a potential UL transmission at certain resource is an initial transmission or not.  I’m not aware of other approaches for configured grant resource configurations w.r.t RV has been discussed for SDT. |

#### 2.1.1.1 Summary

All companies except vivo support Option 1, i.e. for initial transmission or autonomous retransmission of initial transmission, the RV is always fixed to be 0 no matter whether repetition is configured or not.

Vivo can accept Option 1 but they think it also requires spec change as follows:

TP from vivo

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| 19.1 Configured-grant based PUSCH transmission For initial transmission or autonomous retransmission, including repetitions, of an initial transport block provided for the PUSCH transmission as described in clause 18.0 in [19, TS 38.300], the UE encodes the transport block using redundancy version number 0. |

Given the clear majority, instead of triggering another round discussion, FL would like to check via email that if any other companies think the TP from vivo is necessary, if so, second round discussion will be triggered.

### 2.1.2Second round discussion

Given the replies from Samsung and Huawei, FL thinks it needs further discussion, and the comments from Samsung and Huawei are copied in this round as well for companies to further think about it.

TP#2.1 for TS 38.213

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| 19.1 Configured-grant based PUSCH transmission For initial transmission or autonomous retransmission, including repetitions, of an initial transport block provided for the PUSCH transmission as described in clause 18.0 in [19, TS 38.300], the UE encodes the transport block using redundancy version number 0. |

Is TP#2.1 acceptable? Any comments?

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| Company | Comment |
| Huawei, HiSilicon2 | After further considerations, we think vivo’s point is valid and we’d like to support.  The repetitions have different purpose as autonomous reTx. Both initial transmission and autonomous reTx is for initial Tx of a TB, thus it may be failed and unknown by gNB. While repetitions are useful for coverage - if configured, gNB may want to utilize that instead of fixed RV. The RV determination for CG is already in the spec, same as SDT, there is no fundamental difference.  The RV determination for repetitions has nothing to do with RAN2 agreements – it’s for initial Tx of a TB.  RAN2#117e agreements  => For autonomous re-tx, fix the RV to be 0 for both the initial and retransmission of initial CG-SDT |
| Samsung | We understand the FL’s assessment that when gNB did not even know the detected PUSCH is first transmission or re-transmission, it can only assume it’s for RV 0, otherwise, multiple blind detection/decoding will be needed. That’s why different RV seems not work so well.  VIVO’s CR is acceptable to us. Though we think the “initial transmission” “retransmission” already imply the repetitions if any. |
| Huawei | According to the resource configuration for configured grant specified in Rel-15, the possible initial transmission occasions are pre-determined/configured by RRC using offset to SFN, so gNB certainly knows whether a UL transmission can be initial transmission or not. |
| vivo | Thanks for FL’s clarification. However, as you can see in the following text in 38.214, the first repetition of either initial transmission or autonomous retransmission always has RV0, this is already aligned with RAN2 agreement. For 2nd and latter repetitions, it would be natural to follow legacy CG PUSCH repetition to achieve incremental redundancy gain and this also avoids a new method to fix RV0 for all repetitions. gNB have to combining all repetitions of a transmission first before combining between retransmissions.   |  | | --- | | The higher layer parameter *repK-RV* defines the redundancy version pattern to be applied to the repetitions. If *cg-RetransmissionTimer* is provided, the redundancy version for uplink transmission with a configured grant is determined by the UE. If the parameter *repK-RV* is not provided in the *configuredGrantConfig* and *cg-RetransmissionTimer* is not provided, the redundancy version for uplink transmissions with a configured grant shall be set to 0. If the parameter *repK-RV* is provided in the *configuredGrantConfig* and *cg-RetransmissionTimer* is not provided, for the *n*th transmission occasion among *K* repetitions, *n*=1, 2, …, *K*, it is associated with *(mod((n-mod(n, N))/N,4)+1)th* value in the configured RV sequence, where *N*=1. If a configured grant configuration is configured with *startingFromRV0* set to *'off'*, the initial transmission of a transport block may only start at the first transmission occasion of the *K* repetitions. Otherwise, the initial transmission of a transport block may start at  - the first transmission occasion of the *K* repetitions if the configured RV sequence is {0,2,3,1},  - any of the transmission occasions of the *K* repetitions that are associated with RV=0 if the configured RV sequence is {0,3,0,3},  - any of the transmission occasions of the *K* repetitions if the configured RV sequence is {0,0,0,0}, except the last transmission occasion when *K≥8*. |   Therefore, RV determination for repetitions based on existing spec. in 38.214 is our first choice given all related parameters (e.g. repK-RV) are already provided in RAN2 spec. for SDT.  If all companies think a new method, i.e. RV0 should be also assumed for the **repetition** of initial transmission or autonomous retransmission in CG SDT, we can compromise. But this seems not true according to the comments from Huawei. |
| Intel | We do not see the difference if we do not include repetition in the spec as proposed by vivo. Current specification is clear to us.  We do not want to reopen the discussion for RV determination for CG-PUSCH transmission during SDT for this very late stage. |
| vivo | Just to clarify to Intel that we mean not need to change the 38.214 spec. to support RV determination for repetitions as it is in legacy.  In 38.213, RV of repetition should be excluded as it will be treated in 38.214, i.e. following update is needed to 38.213 to make it clear as we proposed in the CR:   |  | | --- | | 19.1 Configured-grant based PUSCH transmission For initial transmission or autonomous retransmission of an initial transport block provided for the PUSCH transmission as described in clause 18.0 in [19, TS 38.300], the UE encodes the transport block using redundancy version number 0 except for the case when the PUSCH transmission is repeated where the redundancy version is determined according to section clause 6.1.2.3 in [6, TS 38.214]. | |
| Ericsson | Thanks for the clarifications from the FL and Vivo. We are fine with Vivo’s updates. |
| FL | @Huawei  In Rel-15 configured grant, there is no CG based re-transmission, only DG based re-transmission can happen, so the initial transmission can be identified by gNB. For CG-SDT, UE may autonomously re-transmit the initial TB if initial transmission failed, the possible occasions for initial transmission and autonomous re-transmission are the same to be derived by the reference point SFN0 and association between SSB and CG resource, that’s why gNB cannot tell the received TB is from initial transmission or re-transmission.  @vivo  I have a question on whether current spec in TS 38.214 can cover the case for initial transmission or autonomous retransmission of initial PUSCH transmission when repK-RV is configured(probably by red text below), if so, why the blue text cannot cover the case for initial transmission or autonomous retransmission of CG-SDT when repK-RV is not configured? If both can be covered by TS 38.214, perhaps we don’t need to mention anything about RV in 213.   |  | | --- | | 6.1.2.3.1 Transport Block repetition for uplink transmissions of PUSCH repetition Type A with a configured grant The higher layer parameter *repK-RV* defines the redundancy version pattern to be applied to the repetitions. If *cg-RetransmissionTimer* is provided, the redundancy version for uplink transmission with a configured grant is determined by the UE. If the parameter *repK-RV* is not provided in the *configuredGrantConfig* and *cg-RetransmissionTimer* is not provided, the redundancy version for uplink transmissions with a configured grant shall be set to 0. If the parameter *repK-RV* is provided in the *configuredGrantConfig* and *cg-RetransmissionTimer* is not provided, for the *n*th transmission occasion among *K* repetitions, *n*=1, 2, …, *K*, it is associated with *(mod((n-mod(n, N))/N,4)+1)th* value in the configured RV sequence, where *N*=1. | |
| vivo | @FL, regarding your question “I have a question on whether current spec in TS 38.214 can cover the case for initial transmission or autonomous retransmission of initial PUSCH transmission when repK-RV is configured(probably by red text below), if so, why the blue text cannot cover the case for initial transmission or autonomous retransmission of CG-SDT when repK-RV is not configured?”,  RV of autonomous retransmission of CG PUSCH triggered by UE in legacy is only possible in NR-U where the RV is determined by UE and it’s indicated to gNB in CG-UCI multiplexed on CG PUSCH, not by the blue text you mentioned. Please find related parameters in Table 6.3.2.1.3-1: “Mapping order of CG-UCI fields” in 38.212.  RV of autonomous retransmission of CG SDT is different from autonomous retransmission in NR-U, because UCI is not allowed to be multiplexed on CG PUSCH in SDT which is also why we fix the RV to be zero in Rel-17 for SDT.  This is why we describe that RV0 should be used for CG PUSCH in SDT in current 38.213 spec. according to RAN2 agreement. |
| Huawei | @FL  We are talking about repetitions, not the initial transmission. As there was some offline I just record our position here, without too much explanation.  Vivo’s version seems to be in line with our direction. |

#### 2.1.2.1 Summary

Given the input from second round, it seems that companies’ view on the RV determination is still divergent as follows:

For initial transmission or autonomous retransmission of initial transmission,

* Option 1: The RV is always fixed to be 0 no matter whether repetition is configured or not
  + Qualcomm, ZTE, New H3C, Samsung, Intel
* Option 2: The RV is determined by repK-RV if repetition is configured
  + Vivo, Huawei, Ericsson

### Third round discussion

TS 38.300

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| The initial PUSCH transmission during the SDT procedure includes at least the CCCH message. When using CG resources for initial SDT transmission, the UE can perform autonomous retransmission of the initial transmission if the UE does not receive confirmation from the network (dynamic UL grant or DL assignment) before a configured timer expires as specified in clause 5.4.1 of TS 38.321 [6]. After the initial PUSCH transmission, subsequent transmissions are handled differently depending on the type of resource used to initiate the SDT procedure |

RAN2 agreement

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| RAN2#117e agreements  For autonomous re-tx, fix the RV to be 0 for both the initial and retransmission of initial CG-SDT |

TS 38.213

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| For initial transmission or autonomous retransmission of an initial transport block provided for the PUSCH transmission as described in clause 18.0 in [19, TS 38.300], the UE encodes the transport block using redundancy version number 0 |

Based on companies’ input, it seems companies have different understanding on the RAN2 agreement, firstly, FL would like to share the FL’s understanding on RAN2 agreement and RAN1/RAN2 spec.

**FL’s understanding:**

1. When UE triggers a CG-SDT procedure, it includes initial PUSCH transmission (e.g. at least CCCH message) and subsequent transmission. The special handling of RV is only for the initial PUSCH transmission which is specified as “an initial transport block provided for the PUSCH transmission as described in clause 18.0 in [19, TS 38.300]”. The motivation of such special handling of RV is to avoid gNB’s miss detection on initial PUSCH transmission including at least CCCH, which applies on initial and autonomous re-transmission of initial PUSCH transmission, because gNB is not aware of the UE before successfully detecting such transmission.
2. If repetition is configured for initial transmission and autonomous re-transmission of initial PUSCH transmission, fixing the RV to be 0 increases the chance of gNB’s detection on the initial PUSCH transmission including at least CCCH, while allocating different RVs for different repetitions may require the gNB to first detect the first repetition with RV0 successfully, then combine different repetitions for further decoding to improve coverage.
3. For subsequent transmissions, the RV is always determined according to TS 38.214 no matter whether repetition is configured or not.
4. Current RAN1 spec TS 38.214 already specifies the RV determination method with or without repetitions for configured grant transmission, i.e. if repK-RV is not configured, RV should always be 0, and if repK-RV is configured, RV of repetitions is determined by repK-RV. Only special handling of RV different from legacy method needs spec change.

TS 38.214

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| 6.1.2.3.1 Transport Block repetition for uplink transmissions of PUSCH repetition Type A with a configured grant The higher layer parameter *repK-RV* defines the redundancy version pattern to be applied to the repetitions. If *cg-RetransmissionTimer* is provided, the redundancy version for uplink transmission with a configured grant is determined by the UE. If the parameter *repK-RV* is not provided in the *configuredGrantConfig* and *cg-RetransmissionTimer* is not provided, the redundancy version for uplink transmissions with a configured grant shall be set to 0. If the parameter *repK-RV* is provided in the *configuredGrantConfig* and *cg-RetransmissionTimer* is not provided, for the *n*th transmission occasion among *K* repetitions, *n*=1, 2, …, *K*, it is associated with *(mod((n-mod(n, N))/N,4)+1)th* value in the configured RV sequence, where *N*=1. |

Then based on the above FL understandings, the only pending issue that causes confusion is whether to fix RV to be 0 for all repetitions of initial PUSCH transmission, it’s true that RAN2’s agreement does not explicitly mention that whether the special handling of RV applies to repetition case, but if not, it doesn’t need to be specially handled in RAN1 spec, current 214 spec can already cover the case(blue color).

So FL suggests companies to check with their RAN2 colleagues on the motivation of that agreement and then gives preference on the 2 options.

As for the spec change for Option 1 and Option 2, the details of TP can be further discussed after the decision of selecting one of these 2 options.

Q1: Do you agree with FL’s understanding 1~4？ If not, please clarify the reasons.

Q2: After 2 rounds discussion, any companies want to change the opinion on these 2 options? If so, please directly correct the company’s name below with change marks.

For initial transmission or autonomous retransmission of initial PUSCH transmission,

* Option 1: The RV is always fixed to be 0 no matter whether repetition is configured or not
  + Qualcomm, ZTE, New H3C, Samsung, Intel
* Option 2: The RV is determined by repK-RV if repetition is configured
  + Vivo, Huawei, Ericsson

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| Company | Comment |
| vivo | Q1:  There’s another point that feature leader my have missed. That is related to the reason why we fix RV to be 0 for autonomous retransmission of CG SDT.  As is known, till Rel-17, there’re only 2 cases of supporting autonomous retransmission on CG PUSCH occasions.  One case is in NR-U, where the RV is determined based on the RV signaled in CG-UCI multiplexed in the PUSCH, see Table 6.3.2.1.3-1: “Mapping order of CG-UCI fields” in 38.212.  The other case is SDT autonomous which is different from that in NR-U, because UCI is not allowed to be multiplexed on PUSCH for CG SDT, there’s no way to indicate gNB which RV to use. This is why we have some text to capture the RV0 requirement for autonomous in CG SDT in current 38.213.  Q2:  For option 1, it would be strange that RAN2 assumes repetitions are transmitted always with RV0, while RAN2 still configures repK-RV for CG SDT and sends such parameter to RAN1 to consider in the LS. It’s obvious RAN2 didn’t consider repetition in the RAN2 agreement copied by feature leader.  For RV of repetitions of CG PUSCH, in legacy, it’s always based on 38.214 (first repetition has RV0, while latter repetitions based on the rules specified by current text), instead of being fixed to be 0.  We do not think we should introduce a new RV determination mechanism for CG PUSCH repetitions at this stage.  Therefore, Option 2 should be supported, same as legacy.  It would be good that proponents (I know some of them listed by feature leader may didn’t notice the actual background of this issue since the list is based on first round discussion as well) of option 1 could clarify the reason of selecting option 1 and introduce such new RV determination mechanism for CG PUSCH repetitions at this stage. |
| Huawei | We have the same understanding as vivo. |
| New H3C | We should follow RAN2 agreement so option 1 we should go |
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## Issue#3 Repetitions for CG-SDT

### First round discussion

In RAN1#108-e, after several meetings’ discussion, RAN1 still cannot reach consensus on whether to support repetitions or not, so in RAN1 LS R1-2202656, RAN1 asked RAN2 to make decision on repetitions for CG-SDT:

“For CG-SDT, RAN1 cannot reach consensus on whether to support repetition or not, it’s up to RAN2 to decide on it.”

In RAN1#110, in RAN2 reply LS R1-2205736, RAN2 has the following reply on repetitions:

“With regards to the above issue about repetition for CG-SDT, the signalling in TS 38.331 reuses the existing ConfiguredGrantConfig and hence the signalling allows configuration of parameters related to repetition (i.e. repK, repK-RV (including repK-r17), pusch-RepTypeIndicator-r16 and frequencyHoppingPUSCH-RepTypeB-r16) within this IE.”

Based on RAN2’s reply on repetitions, RAN1 should discuss how to capture repetitions in RAN1 spec.

In R1-2208711, ZTE proposes to capture the configuration of the basic parameter of repetition, i.e. repK, in TS 38.213.

In R1-2209710 and R1-2209711, Samsung proposes that if the repetition is configured, the PUSCH repetitions in one CG PUSCH configuration period are regarded as one PUSCH occasion. Such PUSCH occasion is invalid if any repetition within this occasion is invalid.

In R1-2209255, Xiaomi proposes to associate the first TO with the SSBs.

From FL’s understanding, it’s possible to consider a combination of these draft CRs, i.e. repK can be configured and the repetitions are considered as one PUSCH occasion, then there is no mapping issue. So the following TP is proposed:

**TP#2.2-1 for TS 38.213**

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| **Reason for change:**  In RAN1#110, in RAN2 reply LS R1-2205736, RAN2 has the following reply on repetitions:  “With regards to the above issue about repetition for CG-SDT, the signalling in TS 38.331 reuses the existing ConfiguredGrantConfig and hence the signalling allows configuration of parameters related to repetition (i.e. repK, repK-RV (including repK-r17), pusch-RepTypeIndicator-r16 and frequencyHoppingPUSCH-RepTypeB-r16) within this IE.”  So in RAN1 spec TS 38.213, the repetition related description should be captured.  **Summary of change:**  In section 19.1, the description of repK is added: “A UE can be provided by repK a number of repetitions for a PUSCH transmission. If the repetition is configured, all the PUSCH repetitions in one CG PUSCH configuration period are regarded as one PUSCH occasion. The PUSCH occasion is invalid if any repetition within this occasion is invalid. ”  **Consequences if not approved:**  Misalignment between RAN1 spec and RAN2 signaling on repetitions for CG-SDT  **< Unchanged text omitted >** 19.1 Configured-grant based PUSCH transmission A UE indicated to release a dedicated RRC connection can be provided one or more configurations by respective one or more *ConfiguredGrantConfig*, for configured grant Type 1 PUSCH transmissions on the initial UL BWP [12, TS 38.331]. For the remaining of this clause, PUSCH transmissions refer to configured grant Type-1 PUSCH transmissions for a configuration provided by *ConfiguredGrantConfig*.  A UE can be provided by *sdt-SSB-Subset* a number of SS/PBCH block indexes to map to a number of valid PUSCH occasions for PUSCH transmissions over an association period. If the UE is not provided *sdt-SSB-Subset*, the UE determines from the value of *ssb-PositionsInBurst* in *SIB1* or by *ServingCellConfigCommon*. A PUSCH occasion for a PUSCH transmission is defined by a time resource and a frequency resource and is associated with a DM-RS provided by *cg-DMRS-Configuration* for the configuration of PUSCH transmissions. A UE can be provided by *repK* a number of repetitions for a PUSCH transmission. If the repetition is configured, all the PUSCH repetitions in one CG PUSCH configuration period are regarded as one PUSCH occasion. The PUSCH occasion is invalid if any repetition within this occasion is invalid.  An association period, starting from frame with SFN 0, for mapping SS/PBCH block indexes, from the number of SS/PBCH block indexes, to valid PUSCH occasions and associated DM-RS resources is the smallest value in the set determined by the PUSCH configuration period provided by *periodicity* in *ConfiguredGrantConfig* according to Table 19.1-1 such that SS/PBCH block indexes are mapped at least once to valid PUSCH occasions and associated DM-RS resources within the association period. A UE is provided a number of SS/PBCH block indexes associated with a PUSCH occasion and a DM-RS resource by *sdt-SSB-perCG-PUSCH*. If after an integer number of SS/PBCH block indexes to PUSCH occasions and associated DMRS resources mapping cycles within the association period there is a set of PUSCH occasions and associated DMRS resources that are not mapped to SS/PBCH block indexes, no SS/PBCH block indexes are mapped to the set of PUSCH occasions and associated DMRS resources. An association pattern period includes one or more association periods and is determined so that a pattern between PUSCH occasions with associated DMRS resources and SS/PBCH block indexes repeats at most every 640 msec. PUSCH occasions and associated DMRS resources not associated with SS/PBCH block indexes after an integer number of association periods, if any, are not used for PUSCH transmissions. |

***Proposal 2.2:***

Adopt TP#2.2-1 for TS 38.213.

Any comments?

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| Company | Comment |
| Intel | We can support the proposal in principle. The wording in the TP can be improved.  A UE can be provided by *repK* a number of repetitions for a PUSCH transmission. If *repK* is provided and *repK>1* ~~the repetition is configured~~, ~~all the PUSCH~~*repK* repetitions for the PUSCH transmission ~~in one CG PUSCH configuration period~~ are regarded as ~~one~~ a PUSCH occasion. The PUSCH occasion is invalid if any repetition within ~~this~~ the occasion is invalid. |
| Qualcomm | We can live with this TP, if it is the majority view of companies. |
| New H3C | We are fine with this TP with intel’s modification. |
| ZTE | Fine with Intel’s modification. |
| Samsung | For the TP, we have different view, since both repetition type A and type B are supported, the “repK” is the direct repetition number for repetition type A, but for repetition type B , there could be the parameter in the configuration table,  TS38.213  “For PUSCH transmissions with a Type 1 or Type 2 configured grant, the number of (nominal) repetitions *K* to be applied to the transmitted transport block is provided by the indexed row in the time domain resource allocation table if *numberOfRepetitions* is present in the table; otherwise *K* is provided by the higher layer configured parameters *repK.*”  So suggested change as following (taken care of Intel’s revision):  A UE can be provided by *~~repK~~*a number of repetitions for a PUSCH transmission by *repK* or *numberOfRepetitions*. If the number of repetition is configured and larger than 1, ~~all~~ the PUSCH repetitions for the PUSCH transmission in ~~one~~ a CG PUSCH configuration period are regarded as ~~one~~ a PUSCH occasion. The PUSCH occasion is invalid if any repetition within ~~this~~ the occasion is invalid. |
| Huawei | Agree with Samsung. |
| Ericsson | What would be the reason to invalidate the entire PUSCH occasion if any repetition within this occasion is invalid? Wouldn’t that unnecessarily complicate the scheduling? |
| vivo | We’re do not support “The PUSCH occasion is invalid if any repetition within this occasion is invalid.”, validation should follow legacy, there’s no need to introduce such new UE behavior at this stage. |

#### 2.2.1.1 Summary

After modification by Intel and Samsung, it seems the TP is very close to agreeable, let’s further finalize the TP in next round.

To Ericsson and vivo,

The reason to introduce this validity from Samsung is that, if some of the repetitions are invalid in one CG period, then it may cause that the number of repetitions mapped to the same SSB would be different in different CG periods, which is consistently objected by Samsung. Actually this invalidity is not the most preferred option by many companies, but considering that it has been discussed for several RAN1 meetings and compromised solution probably may be the only we can have, could Ericsson and vivo accept this TP?

### Second round discussion

Based on the modification from Intel and Samsung, the following TP is used for discussion in second round.

**TP#2.2-2 for TS 38.213**

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| **Reason for change:**  In RAN1#110, in RAN2 reply LS R1-2205736, RAN2 has the following reply on repetitions:  “With regards to the above issue about repetition for CG-SDT, the signalling in TS 38.331 reuses the existing ConfiguredGrantConfig and hence the signalling allows configuration of parameters related to repetition (i.e. repK, repK-RV (including repK-r17), pusch-RepTypeIndicator-r16 and frequencyHoppingPUSCH-RepTypeB-r16) within this IE.”  So in RAN1 spec TS 38.213, the repetition related description should be captured.  **Summary of change:**  In section 19.1, the description of repK is added: “A UE can be provided by a number of repetitions for a PUSCH transmission by *repK* or *numberOfRepetitions*. If the number of repetition is configured and larger than 1, the PUSCH repetitions for the PUSCH transmission in a CG PUSCH configuration period are regarded as a PUSCH occasion. The PUSCH occasion is invalid if any repetition within the occasion is invalid. ”  **Consequences if not approved:**  Misalignment between RAN1 spec and RAN2 signaling on repetitions for CG-SDT  **< Unchanged text omitted >** 19.1 Configured-grant based PUSCH transmission A UE indicated to release a dedicated RRC connection can be provided one or more configurations by respective one or more *ConfiguredGrantConfig*, for configured grant Type 1 PUSCH transmissions on the initial UL BWP [12, TS 38.331]. For the remaining of this clause, PUSCH transmissions refer to configured grant Type-1 PUSCH transmissions for a configuration provided by *ConfiguredGrantConfig*.  A UE can be provided by *sdt-SSB-Subset* a number of SS/PBCH block indexes to map to a number of valid PUSCH occasions for PUSCH transmissions over an association period. If the UE is not provided *sdt-SSB-Subset*, the UE determines from the value of *ssb-PositionsInBurst* in *SIB1* or by *ServingCellConfigCommon*. A PUSCH occasion for a PUSCH transmission is defined by a time resource and a frequency resource and is associated with a DM-RS provided by *cg-DMRS-Configuration* for the configuration of PUSCH transmissions. A UE can be provided by a number of repetitions for a PUSCH transmission by *repK* or *numberOfRepetitions*. If the number of repetition is configured and larger than 1, the PUSCH repetitions for the PUSCH transmission in a CG PUSCH configuration period are regarded as a PUSCH occasion. The PUSCH occasion is invalid if any repetition within the occasion is invalid.  An association period, starting from frame with SFN 0, for mapping SS/PBCH block indexes, from the number of SS/PBCH block indexes, to valid PUSCH occasions and associated DM-RS resources is the smallest value in the set determined by the PUSCH configuration period provided by *periodicity* in *ConfiguredGrantConfig* according to Table 19.1-1 such that SS/PBCH block indexes are mapped at least once to valid PUSCH occasions and associated DM-RS resources within the association period. A UE is provided a number of SS/PBCH block indexes associated with a PUSCH occasion and a DM-RS resource by *sdt-SSB-perCG-PUSCH*. If after an integer number of SS/PBCH block indexes to PUSCH occasions and associated DMRS resources mapping cycles within the association period there is a set of PUSCH occasions and associated DMRS resources that are not mapped to SS/PBCH block indexes, no SS/PBCH block indexes are mapped to the set of PUSCH occasions and associated DMRS resources. An association pattern period includes one or more association periods and is determined so that a pattern between PUSCH occasions with associated DMRS resources and SS/PBCH block indexes repeats at most every 640 msec. PUSCH occasions and associated DMRS resources not associated with SS/PBCH block indexes after an integer number of association periods, if any, are not used for PUSCH transmissions. |

Any comments?

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| --- | --- |
| Company | Comment |
| Samsung | Thx FL for the further discussion and also pointing out our concern.  We have indeed presented our strong concern this uneven repetition number for each SSB for same UE or different UE in all past RAN1 meeting for SDT discussion. Only given the fact RAN2 leaves the parameter to be kept, RAN1 needs to in turn find the usage of them, if we cannot reach consensus on how to use them. We should inform them to remove all these related parameters.  Focusing on how to use the repetition parameters, we have discussed either regard them as repetition like normal or regard them as number of PUSCH occasion independently. Thus, we compromise to regard the repetitions as one PUSCH occasion. If we still keep the repetition validation individually, there is no point to agree the repetitions as one occasion and also there is no point for us to compromise to have repetition at all. |
| vivo | We can not live with this new proposal at this stage.  To make progress, we’re open to make a proposal to further discuss validation issue separately in next meeting and only agree on the first part to align with RAN2 RRC specification.   |  | | --- | | A UE can be provided by a number of repetitions for a PUSCH transmission by *repK* or *numberOfRepetitions*. | |
| Intel | We think it is a good compromise to support repetition vs. performance benefit.  We support the TP in principle. We do not see the need to capture “in a CG PUSCH configuration period”. Suggest the following update  If the number of repetition is ~~configured~~ provided and larger than 1, the PUSCH repetitions for the PUSCH transmission ~~in a CG PUSCH configuration period~~ are regarded as a PUSCH occasion. |
| New H3C | We are fine with Intel’s modification. Regarding validity issue, we share the similar with VIVO and we can defer the discussion to the next meeting. |
| Ericsson | We support the way-forward from Vivo. |

#### 2.2.2.1 Summary

In this round, vivo, New H3C and Ericsson suggest to only agree on the first part to align with RAN2 RRC spec, however, Samsung still emphasizes that they will not compromise if the invalidity is removed.

The situation remains the same since several meetings ago, FL cannot figure out any other compromised solution than current TP. Therefore, FL would like to encourage companies to strive for agreeing on something in this meeting rather than nothing.

The TP is also updated according to the suggestion from Intel:

**TP#2.2-3 for TS 38.213**

|  |
| --- |
| **Reason for change:**  In RAN1#110, in RAN2 reply LS R1-2205736, RAN2 has the following reply on repetitions:  “With regards to the above issue about repetition for CG-SDT, the signalling in TS 38.331 reuses the existing ConfiguredGrantConfig and hence the signalling allows configuration of parameters related to repetition (i.e. repK, repK-RV (including repK-r17), pusch-RepTypeIndicator-r16 and frequencyHoppingPUSCH-RepTypeB-r16) within this IE.”  So in RAN1 spec TS 38.213, the repetition related description should be captured.  **Summary of change:**  In section 19.1, the description of repK is added: “A UE can be provided by a number of repetitions for a PUSCH transmission by repK or numberOfRepetitions. If the number of repetitions is provided and larger than 1, the PUSCH repetitions for the PUSCH transmission are regarded as a PUSCH occasion. The PUSCH occasion is invalid if any repetition within the occasion is invalid. ”  **Consequences if not approved:**  Misalignment between RAN1 spec and RAN2 signaling on repetitions for CG-SDT  **< Unchanged text omitted >** 19.1 Configured-grant based PUSCH transmission A UE indicated to release a dedicated RRC connection can be provided one or more configurations by respective one or more *ConfiguredGrantConfig*, for configured grant Type 1 PUSCH transmissions on the initial UL BWP [12, TS 38.331]. For the remaining of this clause, PUSCH transmissions refer to configured grant Type-1 PUSCH transmissions for a configuration provided by *ConfiguredGrantConfig*.  A UE can be provided by *sdt-SSB-Subset* a number of SS/PBCH block indexes to map to a number of valid PUSCH occasions for PUSCH transmissions over an association period. If the UE is not provided *sdt-SSB-Subset*, the UE determines from the value of *ssb-PositionsInBurst* in *SIB1* or by *ServingCellConfigCommon*. A PUSCH occasion for a PUSCH transmission is defined by a time resource and a frequency resource and is associated with a DM-RS provided by *cg-DMRS-Configuration* for the configuration of PUSCH transmissions. A UE can be provided by a number of repetitions for a PUSCH transmission by *repK* or *numberOfRepetitions*. If the number of repetitions is provided and larger than 1, the PUSCH repetitions for the PUSCH transmission are regarded as a PUSCH occasion. The PUSCH occasion is invalid if any repetition within the occasion is invalid.  An association period, starting from frame with SFN 0, for mapping SS/PBCH block indexes, from the number of SS/PBCH block indexes, to valid PUSCH occasions and associated DM-RS resources is the smallest value in the set determined by the PUSCH configuration period provided by *periodicity* in *ConfiguredGrantConfig* according to Table 19.1-1 such that SS/PBCH block indexes are mapped at least once to valid PUSCH occasions and associated DM-RS resources within the association period. A UE is provided a number of SS/PBCH block indexes associated with a PUSCH occasion and a DM-RS resource by *sdt-SSB-perCG-PUSCH*. If after an integer number of SS/PBCH block indexes to PUSCH occasions and associated DMRS resources mapping cycles within the association period there is a set of PUSCH occasions and associated DMRS resources that are not mapped to SS/PBCH block indexes, no SS/PBCH block indexes are mapped to the set of PUSCH occasions and associated DMRS resources. An association pattern period includes one or more association periods and is determined so that a pattern between PUSCH occasions with associated DMRS resources and SS/PBCH block indexes repeats at most every 640 msec. PUSCH occasions and associated DMRS resources not associated with SS/PBCH block indexes after an integer number of association periods, if any, are not used for PUSCH transmissions. |

FL would like to check through email whether companies still cannot accept the TP, if so, no need for further discussions.

## Issue#4 Editorial correction on parameter name

### 2.3.1 First round discussion

In R1-2208712, ZTE proposes that parameter name sdt-SSB-perCG-PUSCH in TS 38.213 in section 19.1 is not aligned with TS 38.331.

FL thinks such editorial correction is quite straightforward, so the following proposal is suggested

***Proposal 2.3:***

Draft CR in R1-2208712 can be recommended to editor’s alignment CR.

Any comments?

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| --- | --- |
| Company | Comment |
| Intel | Agree. |
| Qualcomm | Support the proposal of FL |
| New H3C | Support |
| ZTE | Support |
| Samsung | Fine. |
| Huawei | Support |
| Ericsson | Support |
| Vivo | Fine. |

#### Summary

All companies support this proposal, it can be used for email approval.

## Issue#5 Power offset of feature combination

### First round discussion

In R1-2208713, ZTE proposes that in the feature combination, power offset between Msg3 or MsgA-PUSCH and RACH preamble transmission namely deltaPreamble is introduced. If configured, this parameter overrides msg3-DeltaPreamble or msgA-DeltaPreamble. But in the current spec, this parameter is missing in TS 38.213, then the power determination of Msg3 and MsgA PUSCH in feature combination is incorrect. So parameter deltaPreamble should be captured in section 7.1.1.

From FL’s perspective, this draft CR is to capture the RAN1 spec impact based on the newly introduced feature combination by RAN2, since

***Proposal 2.4:***

Draft CR in R1-2208713 can be agreed as individual CR.

Any comments?

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| --- | --- |
| Company | Comment |
| Intel | We think this can be also treated as alignment CR. |
| Qualcomm | Support the proposal of FL |
| New H3C | Support |
| ZTE | Support.  Slightly prefer to capture it as individual CR, since the power offset determination of feature combination can be regarded as a new feature introduced in RAN2 and has never been captured in RAN1 spec. In last meeting, the draft CR for preamble allocation of feature combination is approved as individual CR, then following the same logic, this issue can be individual CR as well although the spec change of this CR is simpler. |
| Samsung | Support and we can be ok it as individual CR. |
| Huawei | Ok with individual CR |
| Ericsson | Support |
| Vivo | Fine. |

#### Summary

All companies support this draft CR, while one company suggest to treat it as alignment CR. No need for next round discussion, Moderator suggest to agree on it as individual CR if Intel can accept it, otherwise, it will be recommended to editor’s alignment CR.

# Summary

**For the editors:**

The following text proposals are provided to improve the clarity of the RAN1 specifications. Please include them in the alignment CRs.

* For TS 38.213, TP in [R1-2208712](C:\\Users\\youns\\OneDrive\\Documents\\3GPP\\RAN1 tdocs\\TSGR1_110b-e\\Docs\\R1-2208712.zip)

**Agreement**

* TP for TS 38.213 in [R1-2208713](C:\\Users\\youns\\OneDrive\\Documents\\3GPP\\RAN1 tdocs\\TSGR1_110b-e\\Docs\\R1-2208713.zip) is endorsed. Final CR in R1-2210596.

****FL recommendation:****

For initial transmission or autonomous retransmission of initial PUSCH transmission for CG-SDT, ****down-select from the following options in RAN1#111****

-    Option 1: The RV is always fixed to be 0 no matter whether repetition is configured or not

-    Option 2: The RV is determined by repK-RV if repetition is configured

# References

1. [R1-2208624](file:///D:\\zhoulei\\3GPP\\RAN1\\Docs\\R1-2208624.zip) Corrections of reduncancy version of repeated CG PUSCH for SDT vivo
2. [R1-2208711](file:///D:\\zhoulei\\3GPP\\RAN1\\Docs\\R1-2208711.zip) Correction on repetitions for CG-SDT ZTE, Sanechips
3. [R1-2208712](file:///D:\\zhoulei\\3GPP\\RAN1\\Docs\\R1-2208712.zip) Correction on SSB subset for CG-SDT ZTE, Sanechips
4. [R1-2208](file:///D:\\zhoulei\\3GPP\\RAN1\\Docs\\R1-2208713.zip)[713](file:///D:\\zhoulei\\3GPP\\RAN1\\Docs\\R1-2208713.zip) Correction on deltapreamble of feature combination ZTE, Sanechips
5. [R1-2209710](file:///D:\\zhoulei\\3GPP\\RAN1\\Docs\\R1-2209710.zip) Discussion on the repetition aspect for SDT in active state Samsung
6. [R1-2209711](file:///D:\\zhoulei\\3GPP\\RAN1\\Docs\\R1-2209711.zip) Draft CR for the repetition aspect for SDT in active state Samsung
7. [R1-2209255](file:///D:\\zhoulei\\3GPP\\RAN1\\Docs\\R1-2209255.zip) Discussion on physical layer aspects of small data transmission xiaomi