**3GPP TSG RAN WG1 #107-e R1-2112763**

**e-Meeting, November 11th – 19th, 2021**

**Agenda item:** 8.1.1

**Source:** Moderator (Samsung)

**Title:** Moderator summary#4 for multi-beam enhancement: ROUND 3

**Document for:** Discussion and Decision

## CORESET framework

Summary:

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| **Proposal 1.I:** For Rel-17 unified TCI framework, on applying the indicated Rel-17 TCI state to PDCCH reception and the respective PDSCH reception, for intra-cell and inter-cell BM, support per CORESET determination as follows:   * For any PDCCH reception on a CORESET [other than CORESET#0] that is associated with ~~[~~at least ~~or] [~~USS ~~and/or CSS type 3]~~ set(s) and the respective PDSCH reception, UE always applies the indicated Rel-17 TCI state. * For any PDCCH reception on [CORESET#0 or] a CORESET [(other than CORESET#0)] that is not associated with any ~~[~~USS ~~and/or CSS type 3]~~ set and the respective PDSCH reception, whether or not UE to apply the indicated Rel-17 TCI state is determined per CORESET by RRC   + Note: It was agreed that a UE can receive non-UE dedicated signal/channel only from the serving cell   + ~~Above applies only for intra-cell beam indication~~ * ~~[~~For inter-cell beam management (i.e. when at least one of the RRC-configured TCI states is associated with a PCI different from that of the serving cell) ~~indication~~, a UE may expect that a CSS and a USS are not associated with a same CORESET~~]~~   The bracketed texts will be discussed and concluded during maintenance  **FL Note**: 3 open issues to finalize, companies’ views  **Working Assumption**  For Rel-17 unified TCI framework, on applying the indicated Rel-17 TCI state to PDCCH reception and the respective PDSCH reception, for intra-cell and inter-cell BM, support per CORESET determination as follows:   * For any PDCCH reception on a CORESET [other than CORESET#0] that is associated with [at least or only] [USS and/or CSS type 3] set(s) and the respective PDSCH reception, UE always applies the indicated Rel-17 TCI state. * For any PDCCH reception on [CORESET#0 or] a CORESET [(other than CORESET#0)] that is not associated with any [USS and/or CSS type 3] set and the respective PDSCH reception, whether or not UE to apply the indicated Rel-17 TCI state is determined per CORESET by RRC   + Note: It was agreed that a UE can receive non-UE dedicated signal/channel only from the serving cell   + Above applies only for intra-cell beam indication * [For inter-cell beam indication, a UE may expect that a CSS and a USS are not associated with a same CORESET]   **CORESET#0:**   * **Remove brackets (include):** ZTE, Ericsson, NTT Docomo, Intel, vivo, Nokia/NSB * **Remove text or keep brackets (FFS and address in maintenance):** QC, Apple, MTK, Samsung, CMCC, Xiaomi, LG, OPPO, CATT   FL assessment: No consensus on removing the brackets for now, continue discussion during maintenance  **USS and/or CSS Type 3:**   * **Only USS:** QC, Ericsson, CMCC, Samsung, Xiaomi, LG, CATT * **USS and CSS Type 3:** Apple, ZTE, NTT Docomo, Intel, vivo, OPPO, Nokia/NSB   FL assessment: ‘USS only’ is default, no consensus on treating CSS Type 3 (non UE dedicated) similarly to USS.  **Support CORESET association with both CSS and USS:**   * **For both intra- and inter-cell:** Ericsson, NTT Docomo, Intel, Samsung (1st pref, NW implementation), ZTE, Nokia/NSB, CATT * **Only for intra-cell:** Samsung (2nd pref), CMCC, NTT Docomo (2nd pref), LG, OPPO * **Not supported:** QC, ZTE (except for CORESET#0), MTK, Lenovo/MotM, Apple, Xiaomi, vivo   FL assessment: Almost equal support for intra+inter vs none. ‘Only intra’ is a good compromise |

**Table 1 Restructured CORESET-based framework proposal**

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| **Proposal 1.I’:** For Rel-17 unified TCI framework, on applying the indicated Rel-17 TCI state to PDCCH reception and the respective PDSCH reception:    For discussion purposes, define ‘CORESET A’ and ‘CORESET B’ as follows:   * ‘CORESET A’: CORESETs associated with UE-dedicated reception on PDCCH in a CC, comprising CORESETs in association with:   + USS   + [CSS Type 3] * ‘CORESET B’: CORESETs associated with non-UE-dedicated (not associated with UE-dedicated) reception on PDCCH in a CC, comprising CORESETs in association with:   + CSS [other than Type 3]   + [CORESET#0]   For intra-cell BM (when all of the RRC-configured TCI states are associated with the serving cell), support per CORESET determination as follows:   * For any PDCCH reception on at least a ‘CORESET A’ and the respective PDSCH reception, UE always applies the indicated Rel-17 TCI state. * For any PDCCH reception on only a ‘CORESET B’ and the respective PDSCH reception, whether or not UE to apply the indicated Rel-17 TCI state is determined per CORESET by RRC * For intra-cell BM, a supported CORESET can be either ‘CORESET A’, ‘CORESET B’, or both   For inter-cell BM (when at least one of the RRC-configured TCI states is associated with a PCI different from that of the serving cell), support per CORESET determination as follows:   * For any PDCCH reception on only a CORESET A and the respective PDSCH reception, UE always applies the indicated Rel-17 TCI state. * For inter-cell BM, a supported CORESET can be either CORESET A or CORESET B (but not both) |

Table 2 Additional inputs: proposal 1.I’

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| **Company** | **Input** |
| Mod V0 | 1. **Share your inputs on proposal 1.I’** |
| Qualcomm | Do not support Proposal 1.1’. The fundamental issue is still there: for intra-cell BM, if a CORESET is both CORESET A (USS) and CORESET B (CSS), it should always apply the indicated TCI. AGAIN, this is against the previous agreement. As a compromise, we can only accept a CORESET to be either USS or CSS. Otherwise, we prefer per SS based classification, which has no such “CORESET for both USS and CSS” issue.  For intra-cell and inter-cell BM (when all of the RRC-configured TCI states are associated with the serving cell), support per CORESET determination as follows:   * For any PDCCH reception on ~~at least~~ a ‘CORESET A’ and the respective PDSCH reception, UE always applies the indicated Rel-17 TCI state. * For any PDCCH reception on ~~only~~ a ‘CORESET B’ and the respective PDSCH reception, whether or not UE to apply the indicated Rel-17 TCI state is determined per CORESET by RRC * For intra-cell and inter-cell BM, a supported CORESET can be either ‘CORESET A’, ‘CORESET B’~~, or both~~ |
| Huawei, HiSilicon | The separating condition of “when all of the RRC-configured TCI states are associated with the serving cell” and “when at least one of the RRC-configured TCI states is associated with a PCI different from that of the serving cell” may imply RRC reconfiguration when roaming across TRPs with different PCIs, which goes against the motivation of inter-cell BM. We suggest changing them as “when the indicated TCI state is associated with SSB from the serving cell” and “when the indicated TCI state is associated with SSB with PCI different from the serving cell”, respectively. |
| Futurewei | The meaning of the following terms is not clear and needs some clarifications:   * at least a ‘CORESET A’: We believe it intends to say “a CORESET which is either a ‘CORESET A’ or both a ‘CORESET A’ and a ‘CORESET B’” * only a ‘CORESET B’: We believe it intends to say “a CORESET which is only a ‘CORESET B’” * only a CORESET A: We believe it intends to say “a CORESET which is only a ‘CORESET A’”   Also, for the inter-cell BM case, there is only description for the case of “a CORESET which is only a ‘CORESET A’”. Another bullet for the case of “a CORESET which is only a ‘CORESET B’” is needed.  In summary, we would like to suggest the modifications as follows:  **Proposal 1.I’:** For Rel-17 unified TCI framework, on applying the indicated Rel-17 TCI state to PDCCH reception and the respective PDSCH reception:    For discussion purposes, define ‘CORESET A’ and ‘CORESET B’ as follows:   * ‘CORESET A’: CORESETs associated with UE-dedicated reception on PDCCH in a CC, comprising CORESETs in association with:   + USS   + [CSS Type 3] * ‘CORESET B’: CORESETs associated with non-UE-dedicated (not associated with UE-dedicated) reception on PDCCH in a CC, comprising CORESETs in association with:   + CSS [other than Type 3]   + [CORESET#0]   For intra-cell BM (when all of the RRC-configured TCI states are associated with the serving cell), support per CORESET determination as follows:   * For any PDCCH reception on a CORESET which is either a ‘CORESET A’ or both a ‘CORESET A’ and a ‘CORESET B’ and the respective PDSCH reception, UE always applies the indicated Rel-17 TCI state. * For any PDCCH reception on a CORESET which is only a ‘CORESET B’ and the respective PDSCH reception, whether or not UE to apply the indicated Rel-17 TCI state is determined per CORESET by RRC * For intra-cell BM, a supported CORESET can be either ‘CORESET A’, ‘CORESET B’, or both   For inter-cell BM (when at least one of the RRC-configured TCI states is associated with a PCI different from that of the serving cell), support per CORESET determination as follows:   * For any PDCCH reception on a CORESET which is only a ‘CORESET A’ and the respective PDSCH reception, UE always applies the indicated Rel-17 TCI state. * For any PDCCH reception on a CORESET which is only a ‘CORESET B’ and is from the serving cell and the respective PDSCH reception, whether or not UE to apply the indicated Rel-17 TCI state is determined per CORESET by RRC. * For inter-cell BM, a supported CORESET can be either CORESET A or CORESET B (but not both) |

## Other issues

### Issue 1 (Rel.17 unified TCI framework – note: for intra-cell beam management unless otherwise noted)

Table 3 Summary: issue 1

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| **#** | **Issue** | **Companies’ views** |
| 1.1 | **Proposal 1.A.2**: On Rel-17 unified TCI framework, for any SRS resource or resource set that does not share the same indicated Rel-17 TCI state(s) as dynamic-grant/configured-grant based PUSCH and all of dedicated PUCCH resources, but can be configured as a target signal of a Rel-17 UL or, if applicable, joint TCI (hence the Rel-17 UL or, if applicable, joint TCI state pool), Rel-17 mechanism(s) which reuse mechanisms similar to the Rel-15/16 spatial relation info update signaling/configuration design(s) are used to update/configure such SRS (s) with Rel-17 UL or, if applicable, joint TCI state(s).   * Applies for both intra-cell and inter-cell beam indication * [In such a case, UE ignores the UL PC parameters associated with the UL or, if applicable, joint TCI state for SRS, and legacy Rel-15/16 UL PC parameter configuration/activation signaling is reused; otherwise, if SRS resource or resource set shares the same indicated Rel-17 TCI state(s) as dynamic-grant/configured-grant based PUSCH and all of dedicated PUCCH resources, UE does not expect legacy Rel-15/16 UL PC parameter configuration for SRS.] * The MAC-CE signaling for the Rel-17 mechanism(s) shall fully reuse, to the fullest possible extent, the MAC-CE for the Rel-15/16 spatial relation info update   + Note: Strive, to the fullest possible extent, not to introduce any No new MAC-CE is introduced. The exact details are up to RAN2. * Note: A Rel-17 UE is not required to support both this feature and Rel-16 AP SRS SpatialRelationInfo update within the same band.   **FL Note**: Discussed offline [1] | **Support/fine (27)**: Sony, Nokia/NSB, Ericsson, Samsung, MTK, Fraunhofer IIS/HHI, CMCC, Futurewei, Intel, vivo, NEC, AT&T, NTT Docomo, QC, CATT, Xiaomi, TCL, Lenovo/MotM, Convida, Huawei, HiSi, ZTE, Apple, OPPO  **Concern**: LG, [Qualcomm] |
| 1.2 | **Proposal 1.E:** On Rel.17 unified TCI framework, for Rel-17 unified TCI, for DL channels/signals that share the same indicated Rel-17 TCI state as UE-dedicated reception on PDSCH/PDCCH (via Rel-17 MAC-CE/DCI TCI state update), the following option on source RSs and QCL-Types is also supported:   * Option 3: CSI-RS for CSI is configured for QCL-TypeA and QCL-TypeD source RS   **FL Note**: It was explained that the so-called “circular” issue is avoided in practice via NW implementation, i.e. NW will not configure the same CSI-RS for CSI both as source and target RSs. | **Support/fine (22)**: Huawei/HiSi, Ericsson, CMCC, Samsung, Sony, Qualcomm, Fraunhofer IIS/HHI, Futurewei, MTK, NTT Docomo, AT&T, Lenovo/MotM, Intel, Xiaomi, CATT, TCL, ZTE, Nokia/NSB  **Concern**: Apple (object), OPPO |

Table 4 Additional inputs: issue 1

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| **Company** | **Input** |
| Mod V0 | 1. **Check and update your view in Table 3** 2. **Share more inputs here if needed** |
| Qualcomm | For 1.A.2,   * Do not support the red text, which unnecessarily require both legacy and R17 PC configurations. R17 config is sufficient. We are fine for restriction rule on top of R17 config. * Also suggest wording update for the note below   + Note: Strive, to the fullest possible extent, not to introduce any ~~No~~new MAC-CE ~~is introduced~~. The exact details are up to RAN2. |
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### Issue 4 (MP-UE)

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# References

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| 1 | R1-2111716 | Summary of offline discussion on unified TCI, inter-cell beam management, and MPUE | Moderator (Samsung) |