**3GPP TSG-RAN Meeting #93 Electronic *RP-21XXXX***

**Online, 13 – 17 September 2021**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.331** | **CR** | **2810** | **rev** | **2** | **Current version:** | **16.5.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

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|  | | | | | | | | | | |
| ***Title:*** | Distinguishing support of extended band n77 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** |  | | | | | | | | | |
| ***Source to TSG:*** | Ericsson, Nokia, Nokia Shanghai Bell, Verizon, Qualcomm Incorporated | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_RF\_FR1-Core | | | | |  | ***Date:*** | | | 2021-08-27 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **C** |  | | | | | ***Release:*** | | | 16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | RAN2 has been tasked to provide means to distinguish UEs that support only the current band n77 definition in the USA (i.e. only 3700 - 3980 MHz range) and those that would support the extended definition of band n77 in the USA as per latest FCC rulings (i.e. support of also 3450-3550 MHz). To ensure networks can distinguish the UEs, capability signalling is needed for indicating the support for the extended part. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | 1. Added a per-UE capability for support of NR band n77 extension in the USA.   Note that this optional capability has no impact to n77-capable UEs certified outside of USA and such UEs are not be required to indicate this bit. But n77-capable UEs that wish to use the 3450-3550 MHz part of n77 frequency range in the USA need to indicate this bit.  **Implementation of this CR by a Release 15 UE will not cause compatibility issues.**  **Impact analysis**  Impacted functionality: Band n77 capability signalling.  Inter-operability:   1. If the network is implemented according to the CR and the UE is not, there are no inter-operability issues since the network considers UE doesn't support the n77 extension in the USA and will not configure UE with 3450-3550 MHz frequency range. 2. If the UE is implemented according to the CR and the network is not, there are no inter-operability issues since the network will not comprehend the support for the n77 extension in the USA and will not configure UE with 3450-3550 MHz frequency range. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | It is not possible for network to distinguish which version of band n77 UE supports in the USA. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 6.3.3, Annex C | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | | **x** |  | Other core specifications | | | | TS 36.306 CR 1824r2  TS 36.331 CR 4723r2  TS 38.306 CR 0643r2  TS 38.101-1 CR 0926r2 | | |
| ***affected:*** | |  | **x** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **x** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | | Revision 1:  Revision number "r1" was used for the other CRs in the "Other specs affected"-field  Revision 2:  Changed "US" to "USA" on the cover page.  Updated the revision-number of the CRs listed in "Other specs affected"-field.  In addition to the magic sentence on the cover-page, this CR was added to Annex C to indicate that this CR is early implementable. | | | | | | | | |

*First Modified Subclause*

### 6.3.3 UE capability information elements

<UNNECESSARY PARTS OMITTED>

#### – *RF-Parameters*

The IE *RF-Parameters* is used to convey RF-related capabilities for NR operation.

*RF-Parameters* information element

-- ASN1START

-- TAG-RF-PARAMETERS-START

RF-Parameters ::= SEQUENCE {

supportedBandListNR SEQUENCE (SIZE (1..maxBands)) OF BandNR,

supportedBandCombinationList BandCombinationList OPTIONAL,

appliedFreqBandListFilter FreqBandList OPTIONAL,

...,

[[

supportedBandCombinationList-v1540 BandCombinationList-v1540 OPTIONAL,

srs-SwitchingTimeRequested ENUMERATED {true} OPTIONAL

]],

[[

supportedBandCombinationList-v1550 BandCombinationList-v1550 OPTIONAL

]],

[[

supportedBandCombinationList-v1560 BandCombinationList-v1560 OPTIONAL

]],

[[

supportedBandCombinationList-v1610 BandCombinationList-v1610 OPTIONAL,

supportedBandCombinationListSidelinkEUTRA-NR-r16 BandCombinationListSidelinkEUTRA-NR-r16 OPTIONAL,

supportedBandCombinationList-UplinkTxSwitch-r16 BandCombinationList-UplinkTxSwitch-r16 OPTIONAL

]],

[[

supportedBandCombinationList-v1630 BandCombinationList-v1630 OPTIONAL,

supportedBandCombinationListSidelinkEUTRA-NR-v1630 BandCombinationListSidelinkEUTRA-NR-v1630 OPTIONAL,

supportedBandCombinationList-UplinkTxSwitch-v1630 BandCombinationList-UplinkTxSwitch-v1630 OPTIONAL

]],

[[

supportedBandCombinationList-v1640 BandCombinationList-v1640 OPTIONAL,

supportedBandCombinationList-UplinkTxSwitch-v1640 BandCombinationList-UplinkTxSwitch-v1640 OPTIONAL

]],

[[

supportedBandCombinationList-v1650 BandCombinationList-v1650 OPTIONAL,

supportedBandCombinationList-UplinkTxSwitch-v1650 BandCombinationList-UplinkTxSwitch-v1650 OPTIONAL

]],

[[

extendedBand-n77-r16 ENUMERATED {supported} OPTIONAL

]]

}

BandNR ::= SEQUENCE {

bandNR FreqBandIndicatorNR,

modifiedMPR-Behaviour BIT STRING (SIZE (8)) OPTIONAL,

mimo-ParametersPerBand MIMO-ParametersPerBand OPTIONAL,

extendedCP ENUMERATED {supported} OPTIONAL,

multipleTCI ENUMERATED {supported} OPTIONAL,

bwp-WithoutRestriction ENUMERATED {supported} OPTIONAL,

bwp-SameNumerology ENUMERATED {upto2, upto4} OPTIONAL,

bwp-DiffNumerology ENUMERATED {upto4} OPTIONAL,

crossCarrierScheduling-SameSCS ENUMERATED {supported} OPTIONAL,

pdsch-256QAM-FR2 ENUMERATED {supported} OPTIONAL,

pusch-256QAM ENUMERATED {supported} OPTIONAL,

ue-PowerClass ENUMERATED {pc1, pc2, pc3, pc4} OPTIONAL,

rateMatchingLTE-CRS ENUMERATED {supported} OPTIONAL,

channelBWs-DL CHOICE {

fr1 SEQUENCE {

scs-15kHz BIT STRING (SIZE (10)) OPTIONAL,

scs-30kHz BIT STRING (SIZE (10)) OPTIONAL,

scs-60kHz BIT STRING (SIZE (10)) OPTIONAL

},

fr2 SEQUENCE {

scs-60kHz BIT STRING (SIZE (3)) OPTIONAL,

scs-120kHz BIT STRING (SIZE (3)) OPTIONAL

}

} OPTIONAL,

channelBWs-UL CHOICE {

fr1 SEQUENCE {

scs-15kHz BIT STRING (SIZE (10)) OPTIONAL,

scs-30kHz BIT STRING (SIZE (10)) OPTIONAL,

scs-60kHz BIT STRING (SIZE (10)) OPTIONAL

},

fr2 SEQUENCE {

scs-60kHz BIT STRING (SIZE (3)) OPTIONAL,

scs-120kHz BIT STRING (SIZE (3)) OPTIONAL

}

} OPTIONAL,

...,

[[

maxUplinkDutyCycle-PC2-FR1 ENUMERATED {n60, n70, n80, n90, n100} OPTIONAL

]],

[[

pucch-SpatialRelInfoMAC-CE ENUMERATED {supported} OPTIONAL,

powerBoosting-pi2BPSK ENUMERATED {supported} OPTIONAL

]],

[[

maxUplinkDutyCycle-FR2 ENUMERATED {n15, n20, n25, n30, n40, n50, n60, n70, n80, n90, n100} OPTIONAL

]],

[[

channelBWs-DL-v1590 CHOICE {

fr1 SEQUENCE {

scs-15kHz BIT STRING (SIZE (16)) OPTIONAL,

scs-30kHz BIT STRING (SIZE (16)) OPTIONAL,

scs-60kHz BIT STRING (SIZE (16)) OPTIONAL

},

fr2 SEQUENCE {

scs-60kHz BIT STRING (SIZE (8)) OPTIONAL,

scs-120kHz BIT STRING (SIZE (8)) OPTIONAL

}

} OPTIONAL,

channelBWs-UL-v1590 CHOICE {

fr1 SEQUENCE {

scs-15kHz BIT STRING (SIZE (16)) OPTIONAL,

scs-30kHz BIT STRING (SIZE (16)) OPTIONAL,

scs-60kHz BIT STRING (SIZE (16)) OPTIONAL

},

fr2 SEQUENCE {

scs-60kHz BIT STRING (SIZE (8)) OPTIONAL,

scs-120kHz BIT STRING (SIZE (8)) OPTIONAL

}

} OPTIONAL

]],

[[

asymmetricBandwidthCombinationSet BIT STRING (SIZE (1..32)) OPTIONAL

]],

[[

-- R1 10: NR-unlicensed

sharedSpectrumChAccessParamsPerBand-r16 SharedSpectrumChAccessParamsPerBand-r16 OPTIONAL,

-- R1 11-7b: Independent cancellation of the overlapping PUSCHs in an intra-band UL CA

cancelOverlappingPUSCH-r16 ENUMERATED {supported} OPTIONAL,

-- R1 14-1: Multiple LTE-CRS rate matching patterns

multipleRateMatchingEUTRA-CRS-r16 SEQUENCE {

maxNumberPatterns-r16 INTEGER (2..6),

maxNumberNon-OverlapPatterns-r16 INTEGER (1..3)

} OPTIONAL,

-- R1 14-1a: Two LTE-CRS overlapping rate matching patterns within a part of NR carrier using 15 kHz overlapping with a LTE carrier

overlapRateMatchingEUTRA-CRS-r16 ENUMERATED {supported} OPTIONAL,

-- R1 14-2: PDSCH Type B mapping of length 9 and 10 OFDM symbols

pdsch-MappingTypeB-Alt-r16 ENUMERATED {supported} OPTIONAL,

-- R1 14-3: One slot periodic TRS configuration for FR1

oneSlotPeriodicTRS-r16 ENUMERATED {supported} OPTIONAL,

olpc-SRS-Pos-r16 OLPC-SRS-Pos-r16 OPTIONAL,

spatialRelationsSRS-Pos-r16 SpatialRelationsSRS-Pos-r16 OPTIONAL,

simulSRS-MIMO-TransWithinBand-r16 ENUMERATED {n2} OPTIONAL,

channelBW-DL-IAB-r16 CHOICE {

fr1-100mhz SEQUENCE {

scs-15kHz ENUMERATED {supported} OPTIONAL,

scs-30kHz ENUMERATED {supported} OPTIONAL,

scs-60kHz ENUMERATED {supported} OPTIONAL

},

fr2-200mhz SEQUENCE {

scs-60kHz ENUMERATED {supported} OPTIONAL,

scs-120kHz ENUMERATED {supported} OPTIONAL

}

} OPTIONAL,

channelBW-UL-IAB-r16 CHOICE {

fr1-100mhz SEQUENCE {

scs-15kHz ENUMERATED {supported} OPTIONAL,

scs-30kHz ENUMERATED {supported} OPTIONAL,

scs-60kHz ENUMERATED {supported} OPTIONAL

},

fr2-200mhz SEQUENCE {

scs-60kHz ENUMERATED {supported} OPTIONAL,

scs-120kHz ENUMERATED {supported} OPTIONAL

}

} OPTIONAL,

rasterShift7dot5-IAB-r16 ENUMERATED {supported} OPTIONAL,

ue-PowerClass-v1610 ENUMERATED {pc1dot5} OPTIONAL,

condHandover-r16 ENUMERATED {supported} OPTIONAL,

condHandoverFailure-r16 ENUMERATED {supported} OPTIONAL,

condHandoverTwoTriggerEvents-r16 ENUMERATED {supported} OPTIONAL,

condPSCellChange-r16 ENUMERATED {supported} OPTIONAL,

condPSCellChangeTwoTriggerEvents-r16 ENUMERATED {supported} OPTIONAL,

mpr-PowerBoost-FR2-r16 ENUMERATED {supported} OPTIONAL,

-- R1 11-9: Multiple active configured grant configurations for a BWP of a serving cell

activeConfiguredGrant-r16 SEQUENCE {

maxNumberConfigsPerBWP-r16 ENUMERATED {n1, n2, n4, n8, n12},

maxNumberConfigsAllCC-r16 INTEGER (2..32)

} OPTIONAL,

-- R1 11-9a: Joint release in a DCI for two or more configured grant Type 2 configurations for a given BWP of a serving cell

jointReleaseConfiguredGrantType2-r16 ENUMERATED {supported} OPTIONAL,

-- R1 12-2: Multiple SPS configurations

sps-r16 SEQUENCE {

maxNumberConfigsPerBWP-r16 INTEGER (1..8),

maxNumberConfigsAllCC-r16 INTEGER (2..32)

} OPTIONAL,

-- R1 12-2a: Joint release in a DCI for two or more SPS configurations for a given BWP of a serving cell

jointReleaseSPS-r16 ENUMERATED {supported} OPTIONAL,

-- R1 13-19: Simultaneous positioning SRS and MIMO SRS transmission within a band across multiple CCs

simulSRS-TransWithinBand-r16 ENUMERATED {n2} OPTIONAL,

trs-AdditionalBandwidth-r16 ENUMERATED {trs-AddBW-Set1, trs-AddBW-Set2} OPTIONAL,

handoverIntraF-IAB-r16 ENUMERATED {supported} OPTIONAL

]],

[[

-- R1 22-5a: Simultaneous transmission of SRS for antenna switching and SRS for CB/NCB /BM for intra-band UL CA

-- R1 22-5c: Simultaneous transmission of SRS for antenna switching and SRS for antenna switching for intra-band UL CA

simulTX-SRS-AntSwitchingIntraBandUL-CA-r16 SimulSRS-ForAntennaSwitching-r16 OPTIONAL,

-- R1 10: NR-unlicensed

sharedSpectrumChAccessParamsPerBand-v1630 SharedSpectrumChAccessParamsPerBand-v1630 OPTIONAL

]],

[[

handoverUTRA-FDD-r16 ENUMERATED {supported} OPTIONAL,

-- R4 7-4: Report the shorter transient capability supported by the UE: 2, 4 or 7us

enhancedUL-TransientPeriod-r16 ENUMERATED {us2, us4, us7} OPTIONAL,

sharedSpectrumChAccessParamsPerBand-v1640 SharedSpectrumChAccessParamsPerBand-v1640 OPTIONAL

]],

[[

type1-PUSCH-RepetitionMultiSlots-v1650 ENUMERATED {supported} OPTIONAL,

type2-PUSCH-RepetitionMultiSlots-v1650 ENUMERATED {supported} OPTIONAL,

pusch-RepetitionMultiSlots-v1650 ENUMERATED {supported} OPTIONAL,

configuredUL-GrantType1-v1650 ENUMERATED {supported} OPTIONAL,

configuredUL-GrantType2-v1650 ENUMERATED {supported} OPTIONAL,

sharedSpectrumChAccessParamsPerBand-v1650 SharedSpectrumChAccessParamsPerBand-v1650 OPTIONAL

]]

}

-- TAG-RF-PARAMETERS-STOP

-- ASN1STOP

|  |
| --- |
| *RF-Parameters* field descriptions |
| ***appliedFreqBandListFilter***  In this field the UE mirrors the *FreqBandList* that the NW provided in the capability enquiry, if any. The UE filtered the band combinations in the *supportedBandCombinationList* in accordance with this *appliedFreqBandListFilter*. The UE does not include this field if the UE capability is requested by E-UTRAN and the network request includes the field *eutra-nr-only* [10]. |
| ***supportedBandCombinationList***  A list of band combinations that the UE supports for NR (and NR-DC, if requested). The *FeatureSetCombinationId*:s in this list refer to the *FeatureSetCombination* entries in the *featureSetCombinations* list in the *UE-NR-Capability* IE. The UE does not include this field if the UE capability is requested by E-UTRAN and the network request includes the field *eutra-nr-only* [10]. |
| ***supportedBandCombinationListSidelinkEUTRA-NR***  A list of band combinations that the UE supports for NR sidelink communication only, for joint NR sidelink communication and V2X sidelink communication, or for V2X sidelink communication only. The UE does not include this field if the UE capability is requested by E-UTRAN (see TS 36.331[10]) and the network request includes the field *eutra-nr-only*. |
| ***supportedBandCombinationList-UplinkTxSwitch***  A list of band combinations that the UE supports dynamic uplink Tx switching for NR UL CA and SUL. The *FeatureSetCombinationId*:s in this list refer to the *FeatureSetCombination* entries in the *featureSetCombinations* list in the *UE-NR-Capability* IE. The UE does not include this field if the UE capability is requested by E-UTRAN and the network request includes the field *eutra-nr-only* [10]. |

*Next Change*

Annex C (normative): List of CRs Containing Early Implementable Features and Corrections

This annex lists the Change Requests (CRs) whose changes may be implemented by a UE of an earlier release than which the CR was approved in (i.e. CRs that contain on their coversheets the sentence "Implementation of this CR from Rel-N will not cause interoperability issues").

Table C-1: List of CRs Containing Early Implementable Features and Corrections

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| TDoc Number (RP-xxxxxx): CR Title | CR Number(s) | CR Revision Number(s) | Earliest Implementable Release | Additional Information |
| RP-200335: Correction on usage of access category 2 for UAC for RNA update | 1141 | 2 | Release 15 |  |
| RP-201185: Introduction of signalling for high-speed train scenarios | 1464 | 5 | Release 15 |  |
| RP-201216: Release-16 UE capabilities based on RAN1, RAN4 feature lists and RAN2 | 1665 | 2 | Release 15 | Early implementation part is referring to the aspect covered by R2-2006203: Extension of CSI-RS capabilities per codebook type |
| RP-202768: UE behaviour when UL 7.5KHz shift is not supported | 2107 | 2 | Release 15 |  |
| RP-202790: Correction on uac-AccessCategory1-SelectionAssistanceInfo | 2130 | 1 | Release 15 |  |
| RP-211483: Clarification on the initiation of RNA update | 2581 | 1 | Release 15 |  |
| R2-21XXXX: Distinguishing support of extended band n77 | 2810 | 2 | Release 15 |  |

*End of Changes*