**3GPP TSG-CT WG1 Meeting #134-eC1-221153**

**E-Meeting, 17th – 25th February 2022**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  |  | **CR** |  | **rev** | **-** | **Current version:** |  |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network |  | Core Network | **X** |

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|  | | | | | | | | | | |
| ***Title:*** | Update to NAS security mode command during PC5 link establishment | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | vivo | | | | | | | | | |
| ***Source to TSG:*** | C1 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | 5G\_ProSe | | | | |  | ***Date:*** | | | 2022-02-07 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | B |  | | | | | ***Release:*** | | | *Rel-17* |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | When Remote UE establishes a PC5 link between a remote UE and a UE-to-network relay, the Remote UE needs to be authenticated by remote UE’s AUSF via relay UE and relay UE's AMF during 5G ProSe PC5 link establishment. As per TS 33.503 states that,  *6-7. The AUSF shall retrieve the Authentication Vectors from the UDM and trigger* *primary authentication of the remote UE using existing procedure as specified in TS 33.501 [3].* *This authentication* *is performed between the AUSF and the remote UE via the relay AMF and relay UE. AUSF shall not make the newly derived KAUSF as the latest KAUSF. At the remote UE, the newly derived KAUSF shall not be taken as latest KAUSF as NAS SMC procedure is not performed between remote UE and relay AMF.*  and  *10-11. The AUSF shall send the 5GPRUK ID, KNR\_ProSe, Nonce\_2 in Nausf\_UEAuthentication\_Authenticate Response message to the UE-to-Network relay via relay AMF.* *When receiving a KNR\_ProSe from AUSF, the AMF shall not attempt to trigger NAS SMC procedure with Remote UE. Relay UE derives PC5 session key Krelay-sess and confidentiality and integrity keys from KNR\_ProSe, using the KDF defined in clause 6.3.3.3.4 of this document. KNR\_ProSe ID and Krelay-sess ID are established in the same way as KNRP ID and KNRP-sess ID in TS 33.536 [6].*  When relay UE’s AMF (1) assists the remote UE do the primary authentication or (2) receives the derived KNR\_ProSe from AUSF, the relay UE’s AMF shall not trigger the NAS SMC for remote UE. This needs to be reflected in 24.501. | | | | | | | | |
| ***r*** | |  | | | | | | | | |
| ***Summary of change:*** | | Clarify that When relay UE’s AMF assists the remote UE do the primary authentication or receives the derived KNR\_ProSe from AUSF, the relay UE’s AMF shall not trigger the NAS SMC for remote UE. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | The network would wrongly initiate the NAS SMC procedure. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 2, 3.1, 5.4.1.3.1, 5.4.2.1, | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

**\*\*\*\*\*\*\***

\* \* \* First Change \* \* \* \*

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non‑specific.

- For a specific reference, subsequent revisions do not apply.

- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document in the same Release as the present document.

[1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[1A] 3GPP TS 22.011: "Service accessibility".

[2] 3GPP TS 22.101: "Service aspects; Service principles".

[3] 3GPP TS 22.261: "Service requirements for the 5G system; Stage 1".

[4] 3GPP TS 23.003: "Numbering, addressing and identification".

[4A] 3GPP TS 23.040: "Technical realization of Short Message Service (SMS)".

[5] 3GPP TS 23.122: "Non-Access-Stratum functions related to Mobile Station (MS) in idle mode".

[6] 3GPP TS 23.167: "IP Multimedia Subsystem (IMS) emergency sessions".

[6A] 3GPP TS 23.216: "Single Radio Voice Call Continuity (SRVCC); Stage 2".

[6AB] 3GPP TS 23.256: "Support of Uncrewed Aerial Systems (UAS) connectivity, identification and tracking; Stage 2".

[6B] 3GPP TS 23.273: "5G System (5GS) Location Services (LCS); Stage 2".

[6C] 3GPP TS 23.287: "Architecture enhancements for 5G System (5GS) to support Vehicle-to-Everything (V2X) services".

[6D] 3GPP TS 23.316: "Wireless and wireline convergence access support for the 5G System (5GS)".

[6E] 3GPP TS 23.304: "Proximity based Services (ProSe) in the 5G System (5GS)".

[7] 3GPP TS 23.401: "GPRS enhancements for E-UTRAN access".

[8] 3GPP TS 23.501: "System Architecture for the 5G System; Stage 2".

[9] 3GPP TS 23.502: "Procedures for the 5G System; Stage 2".

[10] 3GPP TS 23.503: "Policy and Charging Control Framework for the 5G System; Stage 2".

[10A] 3GPP TS 23.548: "5G System Enhancements for Edge Computing; Stage 2".

[11] 3GPP TS 24.007: "Mobile radio interface signalling layer 3; General aspects".

[12] 3GPP TS 24.008: "Mobile Radio Interface Layer 3 specification; Core Network Protocols; Stage 3".

[13] 3GPP TS 24.011: "Point-to-Point Short Message Service (SMS) support on mobile radio interface".

[13A] 3GPP TS 24.080: "Mobile radio interface layer 3 Supplementary services specification; Formats and coding".

[13B] 3GPP TS 24.193: "Access Traffic Steering, Switching and Splitting; Stage 3".

[13C] 3GPP TS 24.173: "IMS Multimedia telephony communication service and supplementary services; Stage 3".

[14] 3GPP TS 24.229: "IP multimedia call control protocol based on Session Initiation Protocol (SIP) and Session Description Protocol (SDP); Stage 3".

[14A] 3GPP TS 24.250: "Protocol for Reliable Data Service; Stage 3".

[15] 3GPP TS 24.301: "Non-Access-Stratum (NAS) protocol for Evolved Packet System (EPS); Stage 3".

[16] 3GPP TS 24.302: "Access to the 3GPP Evolved Packet Core (EPC) via non-3GPP access networks; Stage 3"

[17] 3GPP TS 24.368: "Non-Access Stratum (NAS) configuration Management Object (MO)".

[18] 3GPP TS 24.502: "Access to the 3GPP 5G System (5GS) via non-3GPP access networks; Stage 3".

[19] 3GPP TS 24.526: "UE policies for 5G System (5GS); Stage 3".

[19BA] 3GPP TS 24.539: "5G System (5GS); Network to TSN translator (TT) protocol aspects; Stage 3".

[19A] 3GPP TS 24.535: "Device-Side Time-Sensitive Networking (TSN) Translator (DS-TT) to Network-Side TSN Translator (NW-TT) protocol aspects; Stage 3".

[19B] 3GPP TS 24.587: "Vehicle-to-Everything (V2X) services in 5G System (5GS); Protocol aspects; Stage 3"

[19C] 3GPP TS 24.588: "Vehicle-to-Everything (V2X) services in 5G System (5GS); User Equipment (UE) policies; Stage 3"

[19D] Void.

[19E] 3GPP TS 24.554: "Proximity-service (ProSe) in 5G System (5GS) protocol aspects; Stage 3".[19F] 3GPP TS 24.555: "Proximity-services (ProSe) in 5G System (5GS); User Equipment (UE) policies; Stage 3".

[20] 3GPP TS 24.623: "Extensive Markup Language (XML) Configuration Access Protocol (XCAP) over the Ut interface for Manipulating Supplementary Services".

[20AA] 3GPP TS 29.500: "5G System; Technical Realization of Service Based Architecture; Stage 3".

[20A] 3GPP TS 29.502: "5G System; Session Management Services; Stage 3".

[20AB] 3GPP TS 29.503: "5G System; Unified Data Management Services; Stage 3".

[20B] 3GPP TS 29.518: "5G System; Access and Mobility Management Services; Stage 3".

[21] 3GPP TS 29.525: "5G System; UE Policy Control Service; Stage 3".

[21A] 3GPP TS 29.526: "5G System; Network Slice-Specific Authentication and Authorization (NSSAA) services; Stage 3".

[21B] 3GPP TS 29.256: "5G System; Uncrewed Aerial Systems Network Function (UAS-NF); Aerial Management Services; Stage 3.

[22] 3GPP TS 31.102: "Characteristics of the Universal Subscriber Identity Module (USIM) application".

[22A] 3GPP TS 31.111: "USIM Application Toolkit (USAT)".

[22B] 3GPP TS 31.115: "Secured packet structure for (Universal) Subscriber Identity Module (U)SIM Toolkit applications".

[23] 3GPP TS 33.102: "3G security; Security architecture".

[23A] 3GPP TS 33.401: "3GPP System Architecture Evolution; Security architecture".

[24] 3GPP TS 33.501: "Security architecture and procedures for 5G System".

[24A] 3GPP TS 33.535: "Authentication and Key Management for Applications (AKMA) based on 3GPP credentials in the 5G System (5GS)".

[25] 3GPP TS 36.323: "NR; Packet Data Convergence Protocol (PDCP) specification".

[25A] 3GPP TS 36.331: "Evolved Universal Terrestrial Radio Access (E-UTRA); Radio Resource Control (RRC) protocol specification".

[25B] 3GPP TS 36.300: "Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Universal Terrestrial Radio Access Network (E-UTRAN); Overall description".

[25C] 3GPP TS 36.304: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) procedures in idle mode".

[25D] 3GPP TS 36.306: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) radio access capabilities".

[25E] 3GPP TS 36.321: "Evolved Universal Terrestrial Radio Access (E-UTRA); Medium Access Control (MAC) protocol specification".

[26] 3GPP TS 37.355: "LTE Positioning Protocol (LPP)".

[27] 3GPP TS 38.300: "NR; NR and NG-RAN Overall Description; Stage 2".

[28] 3GPP TS 38.304: "New Generation Radio Access Network; User Equipment (UE) procedures in Idle mode".

[29] 3GPP TS 38.323: "Evolved Universal Terrestrial Radio Access (E-UTRA); Packet Data Convergence Protocol (PDCP) specification".

[30] 3GPP TS 38.331: "NR; Radio Resource Control (RRC); Protocol Specification".

[31] 3GPP TS 38.413: "NG Radio Access Network (NG-RAN); NG Application Protocol (NGAP)".

[31A] IEEE Std 802.3™-2018: "Ethernet".

[31AA] 3GPP TS 38.509: "Special conformance testing functions for User Equipment (UE)".

[32] IETF RFC 768: "User Datagram Protocol".

[33] IETF RFC 793: "Transmission Control Protocol."

[33A] IETF RFC 3095: "RObust Header Compression (ROHC): Framework and four profiles: RTP, UDP, ESP and uncompressed".

[33B] Void.

[33C] Void.

[33D] IETF RFC 8415: "Dynamic Host Configuration Protocol for IPv6 (DHCPv6)".

[34] IETF RFC 3748: "Extensible Authentication Protocol (EAP)".

[34A] IETF RFC 3843: "RObust Header Compression (ROHC): A Compression Profile for IP".

[35] Void.

[35A] IETF RFC 4122: "A Universally Unique IDentifier (UUID) URN Namespace".

[36] IETF RFC 4191: "Default Router Preferences and More-Specific Routes".

[37] IETF RFC 7542: "The Network Access Identifier".

[38] IETF RFC 4303: "IP Encapsulating Security Payload (ESP)".

[38A] IETF RFC 4815: "RObust Header Compression (ROHC): Corrections and Clarifications to RFC 3095".

[38B] IETF RFC 4861: "Neighbor Discovery for IP version 6 (IPv6)".

[39] IETF RFC 4862: "IPv6 Stateless Address Autoconfiguration".

[39A] IETF RFC 5225: "RObust Header Compression (ROHC) Version 2: Profiles for RTP, UDP, IP, ESP and UDP Lite".

[39B] IETF RFC 5795: "The RObust Header Compression (ROHC) Framework".

[40] IETF RFC 5448: "Improved Extensible Authentication Protocol Method for 3rd Generation Authentication and Key Agreement (EAP-AKA')".

[40A] IETF RFC 6603: "Prefix Exclude Option for DHCPv6-based Prefix Delegation".

[40B] IETF RFC 6846: "RObust Header Compression (ROHC): A Profile for TCP/IP (ROHC-TCP)".

[41] IETF RFC 7296: "Internet Key Exchange Protocol Version 2 (IKEv2)".

[42] ITU-T Recommendation E.212: "The international identification plan for public networks and subscriptions", 2016-09-23.

[43] IEEE Std 802-2014: "IEEE Standard for Local and Metropolitan Area Networks: Overview and Architecture" (30 June 2014).

[43A] IEEE Std 802.1AS-2020: "IEEE Standard for Local and metropolitan area networks--Timing and Synchronization for Time-Sensitive Applications".

[43B] IEEE Std 1588™-2019: "IEEE Standard for a Precision Clock Synchronization Protocol for Networked Measurement and Control Systems".

[43C] Void.

[43D] Void.

[43E] Void.

[44] Void.

[45] Void.

[46] Void.

[47] Void.

[48] IEEE "Guidelines for Use of Extended Unique Identifier (EUI), Organizationally Unique Identifier (OUI), and Company ID (CID)".

[49] BBF TR-069: "CPE WAN Management Protocol".

[50] BBF TR-369: "User Services Platform (USP)".

[51] 3GPP TS 37.340: "Evolved Universal Terrestrial Radio Access (E-UTRA) and NR; Multi-connectivity; Stage 2".

[52] IETF RFC 8106:"IPv6 Router Advertisement Options for DNS Configuration".

[53] 3GPP TS 23.247: "Architectural enhancements for 5G multicast-broadcast services; Stage 2".

[54] 3GPP TS 23.380: "IMS Restoration Procedures".

[55] IETF RFC 3948: "UDP Encapsulation of IPsec ESP Packets".

[X] 3GPP TS 33.503: "Security Aspects of Proximity based Services (ProSe) in the 5G System (5GS)".

\* \* \* Next Change \* \* \* \*

## 3.1 Definitions

For the purposes of the present document, the terms and definitions given in 3GPP TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in 3GPP TR 21.905 [1].

**5GMM-IDLE mode:** In this specification, if the term is used standalone, a UE in 5GMM-IDLE mode means the UE can be either in 5GMM-IDLE mode over 3GPP access or in 5GMM-IDLE mode over non-3GPP access.

**5GMM-CONNECTED mode:** In this specification, if the term is used standalone, a UE in 5GMM-CONNECTED mode means the UE can be either in 5GMM-CONNECTED mode over 3GPP access or in 5GMM-CONNECTED mode over non-3GPP access.

**5GMM-IDLE mode over 3GPP access:** A UE is in 5GMM-IDLE mode over 3GPP access when no N1 NAS signalling connection between the UE and network over 3GPP access exists. The term 5GMM-IDLE mode over 3GPP access used in the present document corresponds to the term CM-IDLE state for 3GPP access used in 3GPP TS 23.501 [8].

**5GMM-CONNECTED mode over 3GPP access:** A UE is in 5GMM-CONNECTED mode over 3GPP access when an N1 NAS signalling connection between the UE and network over 3GPP access exists. The term 5GMM-CONNECTED mode over 3GPP access used in the present document corresponds to the term CM-CONNECTED state for 3GPP access used in 3GPP TS 23.501 [8].

**5GMM-IDLE mode over non-3GPP access:** A UE is in 5GMM-IDLE mode over non-3GPP access when no N1 NAS signalling connection between the UE and network over non-3GPP access exists. The term 5GMM-IDLE mode over non-3GPP access used in the present document corresponds to the term CM-IDLE state for non-3GPP access used in 3GPP TS 23.501 [8].

**5GMM-CONNECTED mode over non-3GPP access:** A UE is in 5GMM-CONNECTED mode over non-3GPP access when an N1 NAS signalling connection between the UE and network over non-3GPP access exists. The term 5GMM-CONNECTED mode over non-3GPP access used in the present document corresponds to the term CM-CONNECTED state for non-3GPP access used in 3GPP TS 23.501 [8].

**5GS services:** Services provided by PS domain. Within the context of this specification, 5GS services is used as a synonym for EPS services.

**5G-EA:** 5GS encryption algorithms. The term 5G-EA, 5G-EA0, 128-5G-EA1, 128-5G-EA2, 128-5G-EA3, 5G-EA4, 5G-EA5, 5G-EA6 and 5G-EA7 used in the present document corresponds to the term NEA, NEA0, 128-NEA1, 128-NEA2, 128-NEA3, NEA4, NEA5, NEA6 and NEA7 defined in 3GPP TS 33.501 [24].

**5G-IA:** 5GS integrity algorithms. The term 5G-IA, 5G-IA0, 128-5G-IA1, 128-5G-IA2, 128-5G-IA3, 5G-IA4, 5G-IA5, 5G-IA6 and 5G-IA7 used in the present document corresponds to the term NIA, NIA0, 128-NIA1, 128-NIA2, 128-NIA3, NIA4, NIA5, NIA6 and NIA7 defined in 3GPP TS 33.501 [24].

**Access stratum connection:** A peer to peer access stratum connection:

- between the UE and the NG-RAN for 3GPP access;

- between the UE and the N3IWF for untrusted non-3GPP access;

- between the UE and the TNGF for trusted non-3GPP access used by the UE;

- within the TWIF acting on behalf of the N5CW device for trusted non-3GPP access used by the N5CW device;

- between the 5G-RG and the W-AGF for wireline access used by the 5G-RG;

- within the W-AGF acting on behalf of the FN-RG for wireline access used by the FN-RG; or

- within the W-AGF acting on behalf of the N5GC device for wireline access used by the N5GC device.

The access stratum connection for 3GPP access corresponds to an RRC connection via the Uu reference point. The creation of the access stratum connection for untrusted non-3GPP access corresponds to the completion of the IKE\_SA\_INIT exchange (see IETF RFC 7296 [41]) via the NWu reference point. The creation of the access stratum connection for trusted non-3GPP access used by the UE corresponds to the UE reception of an EAP-request/5G-start via NWt reference point (see 3GPP TS 23.502 [9]). The creation of the access stratum connection for trusted non-3GPP access used by the N5CW device corresponds to the TWIF's start of acting on behalf of the N5CW device. The creation of the access stratum connection for wireline access used by the 5G-RG corresponds to the 5G-RG reception of an EAP-request/5G-packet over the W-CP EAP connection via the Y4 reference point (see 3GPP TS 23.316 [6D]). The creation of the access stratum connection for wireline access used by the FN-RG corresponds to the W-AGF's start of acting on behalf of the FN-RG. The creation of the access stratum connection for wireline access used by the N5GC device corresponds to the W-AGF's start of acting on behalf of the N5GC device.

**Access to SNPN services via a PLMN/To access SNPN services via a PLMN:** A UE is accessing SNPN services via a PLMN when the UE is connecting to the 5GCN of the SNPN using the 3GPP access of the PLMN.

**Aggregate maximum bit rate:** The maximum bit rate that limits the aggregate bit rate of a set of non-GBR bearers of a UE. Definition derived from 3GPP TS 23.501 [8].

**Always-on PDU session:** A PDU session for which user-plane resources have to be established during every transition from 5GMM-IDLE mode to 5GMM-CONNECTED mode. A UE requests a PDU session to be established as an always-on PDU session based on indication from upper layers and the network decides whether a PDU session is established as an always-on PDU session.

NOTE 1: How the upper layers in the UE are configured to provide an indication is outside the scope of the present document.

**Applicable UE radio capability ID for the current UE radio configuration in the selected network:** The UE has an applicable UE radio capability ID for the current UE radio configuration in the selected network if:

a) the UE supports RACS; and

b) the UE has:

1) a stored network-assigned UE radio capability ID which is associated with the PLMN ID or SNPN identity of the serving network and which maps to the set of radio capabilities currently enabled at the UE; or

2) a manufacturer-assigned UE radio capability ID which maps to the set of radio capabilities currently enabled at the UE.

**CAG cell:** A cell in which only members of the CAG can get normal service. Depending on local regulation, the CAG cell can provide emergency services also to subscribers who are not members of the CAG.

**CAG-ID:** A CAG-ID is a unique identifier within the scope of one PLMN defined in 3GPP TS 23.003 [4] which identifies a Closed Access Group (CAG) in the PLMN associated with a cell or group of cells to which access is restricted to members of the CAG.

**CAG restrictions:** Restrictions applied to a UE in accessing a PLMN's 5GCN via:

a) a non-CAG cell if the entry for the PLMN in the UE's "CAG information list" includes an "indication that the UE is only allowed to access 5GS via CAG cells"; or

b) a CAG cell if none of the CAG-ID(s) supported by the CAG cell is included in the "allowed CAG list" for the PLMN in the UE's "CAG information list".

The CAG restrictions are not applied in a PLMN when a UE accesses the PLMN due to emergency services.

**Cleartext IEs:** Information elements that can be sent without confidentiality protection in initial NAS messages as specified in subclause 4.4.6.

**Configuration of SNPN subscription parameters in PLMN via the user plane:** Configuration of a UE in a PLMN with one or more entries of the "list of subscriber data” via the user plane.

**Control plane CIoT 5GS optimization:** Signalling optimizations to enable efficient transport of user data (IP, Ethernet, Unstructured or SMS) over control plane via the AMF including optional header compression of IP data and Ethernet data.

**DNN determined by the AMF:** If no DNN requested by the UE is provided, a DNN determined by the AMF based subscription information or local policy. Otherwise DNN determined by the AMF is the DNN requested by the UE.

**DNN requested by the UE:** A DNN explicitly requested by the UE and included in a NAS request message.

**DNN selected by the network:** If DNN replacement applies, a DNN selected and indicated to the AMF by PCF. Otherwise DNN selected by the network is the DNN determined by the AMF.

**Default S-NSSAI**: An S-NSSAI in the subscribed S-NSSAIs marked as default.

**Globally-unique SNPN identity:** An SNPN identity with an NID whose assignment mode is not set to 1 (see 3GPP TS 23.003 [4]).

**User plane CIoT 5GS optimization:** Signalling optimizations to enable efficient transport of user data (IP, Ethernet or Unstructured) over the user plane.

**UE supporting CIoT 5GS optimizations:** A UE that supports control plane CIoT 5GS optimization or user plane CIoT 5GS optimization and one or more other CIoT 5GS optimizations when the UE is in N1 mode.

**Registered for 5GS services with control plane CIoT 5GS optimization:** A UE supporting CIoT 5GS optimizations is registered for 5GS services, and control plane CIoT 5GS optimization along with one or more other CIoT 5GS optimizations have been accepted by the network.

**Registered** **for 5GS services with user plane CIoT 5GS optimization:** A UE supporting CIoT 5GS optimizations is registered for 5GS services, and user plane CIoT 5GS optimization along with one or more other CIoT 5GS optimizations have been accepted by the network.

**Registered** **for 5GS services with CIoT 5GS optimization:** A UE is registered for 5GS services with control plane CIoT 5GS optimization or registered for 5GS services with user plane CIoT 5GS optimization.

**DNN based congestion control:** Type of congestion control at session management level that is applied to reject session management requests from UEs or release PDU sessions when the associated DNN is congested. DNN based congestion control can be activated at the SMF over session management level and also activated at the AMF over mobility management level.

**Emergency PDU session:** A PDU session established with the request type "initial emergency request" or "existing emergency PDU session".

**General NAS level congestion control:** Type of congestion control at mobility management level that is applied at a general overload or congestion situation in the network, e.g. lack of processing resources.

**Initial NAS message:** A NAS message is considered as an initial NAS message, if this NAS message can trigger the establishment of an N1 NAS signalling connection. For instance, the REGISTRATION REQUEST message is an initial NAS message.

**Initial registration for emergency services:** A registration performed with 5GS registration type "emergency registration" in the REGISTRATION REQUEST message.

**Initial registration for onboarding services in SNPN:** A registration performed with 5GS registration type "SNPN onboarding registration" in the REGISTRATION REQUEST message.

**Initial registration for disaster roaming services:** A registration performed with 5GS registration type "disaster roaming initial registration" in the REGISTRATION REQUEST message.

**Last visited registered TAI:** A TAI which is contained in the registration area that the UE registered to the network and which identifies the tracking area last visited by the UE.

**Mapped S-NSSAI:** An S-NSSAI in the subscribed S-NSSAIs for the HPLMN, which is mapped to an S-NSSAI of the registered PLMN in case of a roaming scenario.

**Mobility registration for disaster roaming services:** A registration performed with 5GS registration type "disaster roaming mobility registration updating" in the REGISTRATION REQUEST message.

**Multi-USIM UE:** A UE with multiple valid USIMs, capable of initiating and maintaining simultaneous separate registration states over 3GPP access with PLMN(s) using identities and credentials associated with those USIMs and supporting one or more of the N1 NAS signalling connection release, the paging indication for voice services, the reject paging request, and the paging restriction.

**N1 mode:** A mode of a UE allowing access to the 5G core network via the 5G access network.

**Native 5G-GUTI:** A 5G-GUTI previously allocated by an AMF.

**Non 5G capable over WLAN (N5CW) device:** A device that is not capable to operate as a UE supporting NAS signalling with the 5GCN over a WLAN access network. However, this device may be capable to operate as a UE supporting NAS signalling with 5GCN using the N1 reference point as specified in this specification over 3GPP access. An N5CW device may be allowed to access the 5GCN via trusted WLAN access network (TWAN) that supports a trusted WLAN interworking function (TWIF) as specified in 3GPP TS 24.502 [18].

**Non-CAG Cell:** An NR cell which does not broadcast any Closed Access Group identity or an E-UTRA cell connected to 5GCN.

**Non-globally-unique SNPN identity:** An SNPN identity with an NID whose assignment mode is set to 1 (see 3GPP TS 23.003 [4]).

**In NB-N1 mode:** Indicates this paragraph applies only to a system which operates in NB-N1 mode. For a multi-access system this case applies if the current serving radio access network provides access to network services via E-UTRA connected to 5GCN by NB-IoT (see 3GPP TS 36.300 [25B], 3GPP TS 36.331 [25A], 3GPP TS 36.306 [25D]).

**In WB-N1 mode:** Indicates this paragraph applies only to a system which operates in WB-N1 mode. For a multi-access system this case applies if the system operates in N1 mode with E-UTRA connected to 5GCN, but not in NB-N1 mode.

**In WB-N1/CE mode:** Indicates this paragraph applies only when a UE, which is a CE mode B capable UE (see 3GPP TS 36.306 [25D]), is operating in CE mode A or B in WB-N1 mode.

**Initial small data rate control parameters:** Parameters that, if received by the UE during the establishment of a PDU session, are used as initial parameters to limit the allowed data for the PDU session according to small data rate control after establishment of a PDU session as described in subclause 6.2.13. At expiry of the associated validity period, the initial small data rate control parameters are no longer valid and the small data rate control parameters apply.

**Initial small data rate control parameters for exception data:** Parameters corresponding to initial small data rate control parameters for small data rate control of exception data.

**N1 NAS signalling connection:** A peer to peer N1 mode connection between UE and AMF. An N1 NAS signalling connection is either the concatenation of an RRC connection via the Uu reference point and an NG connection via the N2 reference point for 3GPP access, or the concatenation of an IPsec tunnel via the NWu reference point and an NG connection via the N2 reference point for non-3GPP access.

**N5CW device supporting 3GPP access:** An N5CW device which supports acting as a UE in 3GPP access (i.e. which supports NAS over 3GPP access).

**N6 PDU session:** A PDU session established between the UE and the User Plane Function (UPF) for transmitting the UE's IP data, Ethernet data or Unstructured data related to a specific application.

**NEF PDU session:** A PDU session established between the UE and the Network Exposure Function (NEF) for transmitting the UE's Unstructured data related to a specific application.

**Network slicing information:** information stored at the UE consisting of one or more of the following:

a) default configured NSSAI for PLMN or SNPN;

b) configured NSSAI for a PLMN or an SNPN;

c) mapped S-NSSAI(s) for the configured NSSAI for a PLMN;

d) pending NSSAI for a PLMN or an SNPN;

e) mapped S-NSSAI(s) for the pending NSSAI for a PLMN;

f) rejected NSSAI for the current PLMN or SNPN;

g) mapped S-NSSAI(s) for the rejected NSSAI for the current PLMN;

h) rejected NSSAI for the failed or revoked NSSAA;

and

i) for each access type:

1) allowed NSSAI for a PLMN or an SNPN;

2) mapped S-NSSAI(s) for the allowed NSSAI for a PLMN;

3) rejected NSSAI for the current registration area;

4) mapped S-NSSAI(s) for the rejected NSSAI for the current registration area;

5) rejected NSSAI for the maximum number of UEs reached; and

6) mapped S-NSSAI(s) for the rejected NSSAI for the maximum number of UEs reached.

**Non-cleartext IEs:** Information elements that are not cleartext IEs.

**Non-emergency PDU session:** Any PDU session which is not an emergency PDU session.

**Onboarding SUCI:** SUCI derived from onboarding SUPI.

**Onboarding SUPI:** SUPI derived by a UE in SNPN access mode, from default UE credentials and used to identify the UE during initial registration for onboarding services in SNPN and while registered for onboarding services in SNPN.

**PDU address:** An IP address assigned to the UE by the packet data network.

**PDU session for LADN:** A PDU session with a DNN associated with a LADN.

**PDU session with suspended user-plane resources:** A PDU session for which user-plane resources were established or re-established, and for which data radio bearers were suspended when transition to 5GMM-CONNECTED mode with RRC inactive indication.

**Persistent PDU session:** either a non-emergency PDU session contains a GBR QoS flow with QoS equivalent to QoS of teleservice 11 and where there is a radio bearer associated with that PDU session over 3GPP access, or an emergency PDU session where there is a radio bearer associated with that PDU session over 3GPP access.

NOTE 2: An example of a persistent PDU session is a non-emergency PDU session with 5QI = 1 where there is a radio bearer associated with that context.

**Procedure transaction identity:** An identity which is dynamically allocated by the UE for the UE-requested 5GSM procedures or allocated by the UE or the PCF for the UE policy delivery procedures. The procedure transaction identity is released when the procedure is completed but it should not be released immediately.

**RAT frequency selection priority index:** A parameter provided by the AMF to the NG-RAN via the N2 reference point. The AMF selects an RFSP index for a particular UE based on the subscribed RFSP index, the locally configured operator's policies, the allowed NSSAI and the UE context information, including the UE's usage setting, if received during the registration procedure. Definition derived from 3GPP TS 23.501 [8].

**Registered for disaster roaming services:** A UE is considered as "registered for disaster roaming services" when it has successfully completed initial registration or mobility registration for disaster roaming services.

**Registered for emergency services:** A UE is considered as "registered for emergency services" when it has successfully completed initial registration for emergency services.

**Registered for onboarding services in SNPN:** A UE is considered as "registered for onboarding services in SNPN" when it has successfully completed initial registration for onboarding services in SNPN. While registered for onboarding services in SNPN, services other than the onboarding services are not available.

**Registered PLMN**: The PLMN on which the UE performed the last successful registration. The identity of the registered PLMN (MCC and MNC) is provided to the UE within the GUAMI field of the 5G-GUTI.

**Rejected NSSAI:** Rejected NSSAI for the current PLMN or SNPN, rejected NSSAI for the current registration area, rejected NSSAI for the failed or revoked NSSAA or rejected NSSAI for the maximum number of UEs reached.

NOTE 3: Rejected NSSAI for the current PLMN or SNPN, rejected NSSAI for the current registration area or rejected NSSAI for the maximum number of UEs reached contains a set of S-NSSAI(s) associated with a PLMN identity or SNPN identity for the current PLMN or SNPN and in roaming scenarios also contains a set of mapped HPLMN S-NSSAI(s) if available. Rejected NSSAI for the failed or revoked NSSAA only contains a set of S-NSSAI(s) associated with a PLMN identity or SNPN identity for the HPLMN or RSNPN.

**Rejected NSSAI for the current PLMN or SNPN:** A set of S-NSSAI(s) which was included in the requested NSSAI by the UE and is sent by the AMF with the rejection cause "S-NSSAI not available in the current PLMN or SNPN".

**Rejected NSSAI for the current registration area:** A set of S-NSSAI(s) which was included in the requested NSSAI by the UE and is sent by the AMF with the rejection cause "S-NSSAI not available in the current registration area".

**Rejected NSSAI for the failed or revoked NSSAA**: A set of S-NSSAI(s) which is sent by the AMF with the rejection cause "S-NSSAI not available due to the failed or revoked network slice-specific authentication and authorization".

**Rejected NSSAI for the maximum number of UEs reached**: A set of S-NSSAI(s) which was included in the requested NSSAI by the UE and is sent by the AMF with the rejection cause "S-NSSAI not available due to maximum number of UEs reached".

**Local release:** Release of a PDU session without peer-to-peer signalling between the network and the UE.

NOTE 4: Local release can include communication among network entities.

**Removal of eCall only mode restriction:** All the limitations as described in 3GPP TS 22.101 [2] for the eCall only mode do not apply any more.

**SNPN access operation mode**: SNPN access mode or access to SNPN over non-3GPP access.

NOTE 5: The term "non-3GPP access" in an SNPN refers to the case where the UE is accessing SNPN services via a PLMN.

**S-NSSAI** **based congestion control:** Type of congestion control at session management level that is applied to reject session management requests from UEs or release PDU sessions when the associated S-NSSAI and optionally the associated DNN are congested. S-NSSAI based congestion control can be activated at the SMF over session management level and also activated at the AMF over mobility management level.

**Selected core network type information:** A type of core network (EPC or 5GCN) selected by the UE NAS layer in case of an E-UTRA cell connected to both EPC and 5GCN.

**UE supporting UAS services:** A UE which supports an aerial vehicle, such as a drone, with an onboard or built-in USIM and is able to perform UE NAS functionalities specified in this specification. Upper layers of the UE supporting UAS services are responsible for UAS related procedures such as UUAA, C2 authorization, flight authorization, for which the NAS layer of the UE supporting UAS services performs the necessary NAS procedures.

**UE configured for high priority access in selected PLMN:** A UE configured with one or more access identities equal to 1, 2, or 11-15 applicable in the selected PLMN as specified in subclause 4.5.2. Definition derived from 3GPP TS 22.261 [3].

**UE operating in single-registration mode in a network supporting N26 interface:** A UE, supporting both N1 mode and S1 mode. During the last attach, tracking area update (see 3GPP TS 24.301 [15]) or registration procedures, the UE has received either a 5GS network feature support IE with IWK N26 bit set to "interworking without N26 interface not supported" or an EPS network feature support IE with IWK N26 bit set to "interworking without N26 interface not supported".

**UE using 5GS services with control plane CIoT 5GS optimization:** AUE that is registered for 5GS services with the control plane CIOT 5GS optimization accepted by the network.

**User-plane resources:** Resources established between the UE and the UPF. The user-plane resources consist of one of the following:

- user plane radio bearers via the Uu reference point, a tunnel via the N3 reference point and a tunnel via the N9 reference point (if any) for 3GPP access;

- IPsec tunnels via the NWu reference point, a tunnel via the N3 reference point and a tunnel via the N9 reference point (if any) for untrusted non-3GPP access;

- IPsec tunnels via the NWt reference point, a tunnel via the N3 reference point and a tunnel via the N9 reference point (if any) for trusted non-3GPP access used by the UE;

- a layer-2 connection via the Yt reference point, a layer-2 or layer-3 connection via the Yw reference point, a tunnel via the N3 reference point and a tunnel via the N9 reference point (if any) for trusted non-3GPP access used by the N5CW device;

- W-UP resources via Y4 reference point, a tunnel via the N3 reference point and a tunnel via the N9 reference point (if any) for wireline access used by the 5G-RG; and

- L-W-UP resources via Y5 reference point, a tunnel via the N3 reference point and a tunnel via the N9 reference point (if any) for wireline access used by the FN-RG.

**W-AGF acting on behalf of the N5GC device:** A W-AGF that enables an N5GC device behind a 5G-CRG or an FN-CRG to connect to the 5G Core.

For the purposes of the present document, the following terms and definitions given in 3GPP TS 22.261 [3] apply:

**Non-public network**

**Disaster Roaming**

**satellite NG-RAN**

For the purposes of the present document, the following terms and definitions given in 3GPP TS 23.003 [4] apply:

**5G-GUTI**

**5G-S-TMSI**

**5G-TMSI**

**Global Line Identifier (GLI)**

**Global Cable Identifier (GCI)**

**GUAMI**

**IMEI**

**IMEISV**

**IMSI**

**PEI**

**SUPI**

**SUCI**

For the purposes of the present document, the following terms and definitions given in 3GPP TS 23.122 [5] apply:

**CAG selection**

**Country**

**EHPLMN**

**HPLMN**

**Onboarding services in SNPN**

**Registered SNPN**

**Selected PLMN**

**Selected SNPN**

**Shared network**

**SNPN identity**

**Steering of Roaming (SOR)**

**Steering of roaming connected mode control information (SOR-CMCI)**

**Steering of Roaming information**

**Subscribed SNPN**

**Suitable cell**

**VPLMN**

For the purposes of the present document, the following terms and definitions given in 3GPP TS 23.167 [6] apply:

**eCall over IMS**

For the purposes of the present document, the following terms and definitions given in 3GPP TS 23.216 [6A] apply:

**SRVCC**

For the purposes of the present document, the following terms and definitions given in 3GPP TS 23.401 [7] apply:

**eCall only mode**

For the purposes of the present document, the following terms and definitions given in 3GPP TS 23.501 [8] apply:

**5G access network**

**5G core network**

**5G QoS flow**

**5G QoS identifier**

**5G-RG**

**5G-BRG**

**5G-CRG**

**5G System**

**Allowed area**

**Allowed NSSAI**

**AMF region**

**AMF set**

**Closed access group**

**Configured NSSAI**

**Credentials Holder (CH)**

**Default UE credentials**

**IAB-node**

**Local area data network**

**Network identifier (NID)**

**Network slice**

**NG-RAN**

**Non-allowed area**

**Onboarding Standalone Non-Public Network**

**PDU session**

**PDU session type**

**Pending NSSAI**

**Requested NSSAI**

**Routing Indicator**

**Service data flow**

**Service Gap Control**

**Serving PLMN rate control**

**Small data rate control status**

**SNPN access mode**

**SNPN enabled UE**

**Stand-alone Non-Public Network**

**Time Sensitive Communication**

**Time Sensitive Communication and Time Synchronization Function**

**UE-DS-TT residence time**

**UE presence in LADN service area**

For the purposes of the present document, the following terms and definitions given in 3GPP TS 23.503 [10] apply:

**UE local configuration**

For the purposes of the present document, the following terms and definitions given in 3GPP TS 24.008 [12] apply:

**GMM**

**MM**

**A/Gb mode**

**Iu mode**

**GPRS**

**Non-GPRS**

For the purposes of the present document, the following terms and definitions given in 3GPP TS 24.301 [15] apply:

**CIoT EPS optimization**

**Control plane CIoT EPS optimization**

**EENLV**

**EMM**

**EMM-DEREGISTERED**

**EMM-DEREGISTERED-INITIATED**

**EMM-IDLE mode**

**EMM-NULL**

**EMM-REGISTERED**

**EMM-REGISTERED-INITIATED**

**EMM-SERVICE-REQUEST-INITIATED**

**EMM-TRACKING-AREA-UPDATING-INITIATED**

**EPS**

**EPS security context**

**EPS services**

**Lower layer failure**

**Megabit**

**Message header**

**NAS signalling connection recovery**

**NB-S1 mode**

**Non-EPS services**

**S1 mode**

**User plane CIoT EPS optimization**

**WB-S1 mode**

For the purposes of the present document, the following terms and definitions given in 3GPP TS 33.501 [24] apply:

**5G security context**

**5G NAS security context**

**ABBA**

**Current 5G NAS security context**

**Full native 5G NAS security context**

**K'**AME

**K**AMF

**K**ASME

**Mapped 5G NAS security context**

**Mapped security context**

**Native 5G NAS security context**

**NCC**

**Non-current 5G NAS security context**

**Partial native 5G NAS security context**

**RES\***

For the purposes of the present document, the following terms and definitions given in 3GPP TS 38.413 [31] apply:

**NG connection**

**User Location Information**

For the purposes of the present document, the following terms and definitions given in 3GPP TS 24.587 [19B] apply:

**E-UTRA-PC5**

**NR-PC5**

**V2X**

For the purposes of the present document, the following terms and its definitions given in 3GPP TS 23.256 [6AB] apply:

**3GPP UAV ID**

**CAA (Civil Aviation Administration)-Level UAV Identity**

**Command and Control (C2) Communication**

**UAV controller (UAV-C)**

**UAS Services**

**UAS Service Supplier (USS)**

**Uncrewed Aerial System (UAS)**

**USS communication**

**UUAA**

**UUAA-MM**

**UUAA-SM**

For the purposes of the present document, the following terms and definitions given in 3GPP TS 24.554 [19E] apply:

**ProSe**

For the purposes of the present document, the following terms and definitions given in 3GPP TS 23.548 [10A] apply:

**Edge Application Server**

For the purposes of the present document, the following terms and definitions given in 3GPP TS 33.503 [X] apply:

**KNR\_ProSe**

\* \* \* Next Change \* \* \* \*

##### 5.4.1.3.1 General

The purpose of the 5G AKA based primary authentication and key agreement procedure is to provide mutual authentication between the UE and the network and to agree on the keys KAUSF, KSEAF and KAMF (see 3GPP TS 33.501 [24]). The cases when the 5G AKA based primary authentication and key agreement procedure is used are defined in 3GPP TS 33.501 [24].

The network initiates the 5G AKA based primary authentication and key agreement procedure by sending an AUTHENTICATION REQUEST message to the UE without the EAP message IE. The network shall include the ngKSI and the ABBA in AUTHENTICATION REQUEST message.

The 5G AKA based primary authentication and key agreement procedure is always initiated and controlled by the network. However, the UE can reject the 5G authentication challenge sent by the network.

The UE shall proceed with a 5G authentication challenge only if a USIM is present.

A partial native 5G NAS security context is established in the UE and the network when a 5G authentication is successfully performed. During a successful 5G AKA based primary authentication and key agreement procedure, the CK and IK are computed by the USIM. CK and IK are then used by the ME as key material to compute new keys KAUSF, KSEAF and KAMF. KAMF is stored in the 5G NAS security contexts (see 3GPP TS 33.501 [24]) of both the network and in the volatile memory of the ME while registered to the network, and is the root for the 5GS integrity protection and ciphering key hierarchy.

NOTE 1: Generation of the new KAUSF and the new KSEAF does not result into deletion of the valid KAUSF and the valid KSEAF, if any.

The 5G AKA based primary authentication and key agreement procedure is initiated by an AUTHENTICATION REQUEST message without the EAP message IE.

Upon successful completion of the 5G AKA based primary authentication, the AMF shall initiate a security mode control procedure (see subclause 5.4.2) to take the new partial native 5G NAS security context into use, except for:

the 5G AKA based primary authentication is triggered by relay key request message and is performed between the 5G ProSe layer-3 remote UE’s AUSF and the 5G ProSe layer-3 remote UE when the 5G ProSe layer-3 remote UE is accessing the network via the 5G ProSe layer-3 UE-to-network relay UE as defined in clause 6.3.3.3.2 of 3GPP TS 33.503 [X].

NOTE 2: The AMF shall immediately initiate a security mode control procedure (see subclause 5.4.2) after 5G AKA primary authentication is successful to avoid KAUSF key mismatch between the UE and the network.

\* \* \* Next Change \* \* \* \*

#### 5.4.2.1 General

The purpose of the NAS security mode control procedure is to take a 5G NAS security context into use, and initialise and start NAS signalling security between the UE and the AMF with the corresponding 5G NAS keys and 5G NAS security algorithms.

Furthermore, the network may also initiate the security mode control procedure in the following cases:

a)- in order to change the 5G NAS security algorithms for a current 5G NAS security context already in use;

b) in order to change the value of uplink NAS COUNT used in the latest SECURITY MODE COMPLETE message as described in 3GPP TS 33.501 [24], subclause 6.9.4.4; and

c) in order to provide the Selected EPS NAS security algorithms to the UE.

For restrictions concerning the concurrent running of a security mode control procedure with other security related procedures in the AS or inside the core network see 3GPP TS 33.501 [24], subclause 6.9.5.

If the security mode control procedure is initiated after successful 5G AKA based primary authentication and key agreement procedure and the security mode control procedure intends to bring into use the partial native 5G NAS security context created by the 5G AKA based primary authentication and key agreement procedure and the UE accept received security mode command (see subclause 5.4.2.3), the ME shall:

a) delete the valid KAUSF and the valid KSEAF, if any; and

b) consider the new KAUSF to be the valid KAUSF, and the new KSEAF to be the valid KSEAF, reset the SOR counter and the UE parameter update counter to zero, and store the valid KAUSF, the valid KSEAF , the SOR counter and the UE parameter update counter as specified in annex C and use the valid KAUSF in the verification of SOR transparent container and UE parameters update transparent container, if any are received.

When receiving KNR\_ProSe (see 3GPP TS 33.503 [X]), 5G ProSe layer-3 UE-to-network relay UE’s AMF shall not initiate a security mode control procedure with the 5G ProSe layer-3 remote UE as defined in clause 6.3.3.3.2 of 3GPP TS 33.503 [X].

\* \* \* End of Changes \* \* \* \*