**3GPP TSG-CT WG1 Meeting #133-eC1-216905**

**E-meeting, 11-19 November 2021**

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| *CR-Form-v12.1* |
| **CHANGE REQUEST** |
|  |
|  | **24.501** | **CR** | **3791** | **rev** | **-** | **Current version:** | **17.4.1** |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network | **X** |

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|  |
| ***Title:***  | UUAA abnormal case |
|  |  |
| ***Source to WG:*** | NEC |
| ***Source to TSG:*** | C1 |
|  |  |
| ***Work item code:*** | ID\_UAS  |  | ***Date:*** | 2021-11-4 |
|  |  |  |  |  |
| ***Category:*** | **B** |  | ***Release:*** | Rel-17 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)...Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)* |
|  |  |
| ***Reason for change:*** | Following application specific errors are agreed: * "AUTHENTICATION\_FAILURE" with 403 Forbidden (C4-215408)
* "PEER\_NOT\_RESPONDING" with 504 Gateway Timeout (C4-215380)

"AUTHENTICATION\_FAILURE" with 403 Forbidden is the case that the authentication/authorization process is performed but failed. "PEER\_NOT\_RESPONDING" with 504 Gateway Timeout is the case that no USS e.g., indicated by the UE is found (authentication/authorization process is not performed).This CR intends to reflect the corresponding change to CT1 spec. |
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| ***Summary of change:*** | When the AMF detects that the UUAA-MM procedure is succeeded or failed (based on status code from UAS-NF or AMF detects the failure), the AMF notifies it to the UE. For UUAA-SM * a NOTE is added: If the SMF receives the HTTP code set to "4xx" or "5xx" as specified in 3GPP TS 29.500 [20AA] or the SMF detects that the UUAA-SM failure as specified in 3GPP TS 29.256 [21B], then the SMF considers the UUAA-SM procedure has completed unsuccessfully.
* If the UE receives the service-level AA response in the PDU SESSION ESTABLISHMENT ACCEPT message or the PDU SESSION ESTABLISHMENT REJECT message, the UE passes it to the upper layer.
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|  |  |
| ***Consequences if not approved:*** | UE does not know the cause of UUAA failure |
|  |  |
| ***Clauses affected:*** | 2, 5.4.4.2, 6.3.1A.1 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

\* \* \* First Change \* \* \* \*

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non‑specific.

- For a specific reference, subsequent revisions do not apply.

- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document in the same Release as the present document.

[1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[1A] 3GPP TS 22.011: "Service accessibility".

[2] 3GPP TS 22.101: "Service aspects; Service principles".

[3] 3GPP TS 22.261: "Service requirements for the 5G system; Stage 1".

[4] 3GPP TS 23.003: "Numbering, addressing and identification".

[4A] 3GPP TS 23.040: "Technical realization of Short Message Service (SMS)".

[5] 3GPP TS 23.122: "Non-Access-Stratum functions related to Mobile Station (MS) in idle mode".

[6] 3GPP TS 23.167: "IP Multimedia Subsystem (IMS) emergency sessions".

[6A] 3GPP TS 23.216: "Single Radio Voice Call Continuity (SRVCC); Stage 2".

[6AB] 3GPP TS 23.256: "Support of Uncrewed Aerial Systems (UAS) connectivity, identification and tracking; Stage 2".

[6B] 3GPP TS 23.273: "5G System (5GS) Location Services (LCS); Stage 2".

[6C] 3GPP TS 23.287: "Architecture enhancements for 5G System (5GS) to support Vehicle-to-Everything (V2X) services".

[6D] 3GPP TS 23.316: "Wireless and wireline convergence access support for the 5G System (5GS)".

[6E] 3GPP TS 23.304: "Proximity based Services (ProSe) in the 5G System (5GS)".

[7] 3GPP TS 23.401: "GPRS enhancements for E-UTRAN access".

[8] 3GPP TS 23.501: "System Architecture for the 5G System; Stage 2".

[9] 3GPP TS 23.502: "Procedures for the 5G System; Stage 2".

[10] 3GPP TS 23.503: "Policy and Charging Control Framework for the 5G System; Stage 2".

[10A] 3GPP TS 23.548: "5G System Enhancements for Edge Computing; Stage 2".

[11] 3GPP TS 24.007: "Mobile radio interface signalling layer 3; General aspects".

[12] 3GPP TS 24.008: "Mobile Radio Interface Layer 3 specification; Core Network Protocols; Stage 3".

[13] 3GPP TS 24.011: "Point-to-Point Short Message Service (SMS) support on mobile radio interface".

[13A] 3GPP TS 24.080: "Mobile radio interface layer 3 Supplementary services specification; Formats and coding".

[13B] 3GPP TS 24.193: "Access Traffic Steering, Switching and Splitting; Stage 3".

[13C] 3GPP TS 24.173: "IMS Multimedia telephony communication service and supplementary services; Stage 3".

[14] 3GPP TS 24.229: "IP multimedia call control protocol based on Session Initiation Protocol (SIP) and Session Description Protocol (SDP); Stage 3".

[14A] 3GPP TS 24.250: "Protocol for Reliable Data Service; Stage 3".

[15] 3GPP TS 24.301: "Non-Access-Stratum (NAS) protocol for Evolved Packet System (EPS); Stage 3".

[16] 3GPP TS 24.302: "Access to the 3GPP Evolved Packet Core (EPC) via non-3GPP access networks; Stage 3"

[17] 3GPP TS 24.368: "Non-Access Stratum (NAS) configuration Management Object (MO)".

[18] 3GPP TS 24.502: "Access to the 3GPP 5G System (5GS) via non-3GPP access networks; Stage 3".

[19] 3GPP TS 24.526: "UE policies for 5G System (5GS); Stage 3".

[19BA] 3GPP TS 24.539: "5G System (5GS); Network to TSN translator (TT) protocol aspects; Stage 3".

[19A] 3GPP TS 24.535: "Device-Side Time-Sensitive Networking (TSN) Translator (DS-TT) to Network-Side TSN Translator (NW-TT) protocol aspects; Stage 3".

[19B] 3GPP TS 24.587: "Vehicle-to-Everything (V2X) services in 5G System (5GS); Protocol aspects; Stage 3"

[19C] 3GPP TS 24.588: "Vehicle-to-Everything (V2X) services in 5G System (5GS); User Equipment (UE) policies; Stage 3"

[19D] Void.

[19E] 3GPP TS 24.554: "Proximity-service (ProSe) in 5G System (5GS) protocol aspects; Stage 3".[19F] 3GPP TS 24.555: "Proximity-services (ProSe) in 5G System (5GS); User Equipment (UE) policies; Stage 3".

[20] 3GPP TS 24.623: "Extensive Markup Language (XML) Configuration Access Protocol (XCAP) over the Ut interface for Manipulating Supplementary Services".

[20AA] 3GPP TS 29.500: "5G System; Technical Realization of Service Based Architecture; Stage 3".

[20A] 3GPP TS 29.502: "5G System; Session Management Services; Stage 3".

[20AB] 3GPP TS 29.503: "5G System; Unified Data Management Services; Stage 3".

[20B] 3GPP TS 29.518: "5G System; Access and Mobility Management Services; Stage 3".

[21] 3GPP TS 29.525: "5G System; UE Policy Control Service; Stage 3".

[21A] 3GPP TS 29.526: "5G System; Network Slice-Specific Authentication and Authorization (NSSAA) services; Stage 3".

[21B] 3GPP TS 29.256: "5G System; Uncrewed Aerial Systems Network Function (UAS-NF); Aerial Management Services; Stage 3

[22] 3GPP TS 31.102: "Characteristics of the Universal Subscriber Identity Module (USIM) application".

[22A] 3GPP TS 31.111: "USIM Application Toolkit (USAT)".

[22B] 3GPP TS 31.115: "Secured packet structure for (Universal) Subscriber Identity Module (U)SIM Toolkit applications".

[23] 3GPP TS 33.102: "3G security; Security architecture".

[23A] 3GPP TS 33.401: "3GPP System Architecture Evolution; Security architecture".

[24] 3GPP TS 33.501: "Security architecture and procedures for 5G System".

[24A] 3GPP TS 33.535: "Authentication and Key Management for Applications (AKMA) based on 3GPP credentials in the 5G System (5GS)".

[25] 3GPP TS 36.323: "NR; Packet Data Convergence Protocol (PDCP) specification".

[25A] 3GPP TS 36.331: "Evolved Universal Terrestrial Radio Access (E-UTRA); Radio Resource Control (RRC) protocol specification".

[25B] 3GPP TS 36.300: "Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Universal Terrestrial Radio Access Network (E-UTRAN); Overall description".

[25C] 3GPP TS 36.304: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) procedures in idle mode".

[25D] 3GPP TS 36.306: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) radio access capabilities".

[25E] 3GPP TS 36.321: "Evolved Universal Terrestrial Radio Access (E-UTRA); Medium Access Control (MAC) protocol specification".

[26] 3GPP TS 36.355: "Evolved Universal Terrestrial Radio Access (E-UTRA); LTE Positioning Protocol (LPP)".

[27] 3GPP TS 38.300: "NR; NR and NG-RAN Overall Description; Stage 2".

[28] 3GPP TS 38.304: "New Generation Radio Access Network; User Equipment (UE) procedures in Idle mode".

[29] 3GPP TS 38.323: "Evolved Universal Terrestrial Radio Access (E-UTRA); Packet Data Convergence Protocol (PDCP) specification".

[30] 3GPP TS 38.331: "NR; Radio Resource Control (RRC); Protocol Specification".

[31] 3GPP TS 38.413: "NG Radio Access Network (NG-RAN); NG Application Protocol (NGAP)".

[31A] IEEE Std 802.3™-2018: "Ethernet".

[31AA] 3GPP TS 38.509: "Special conformance testing functions for User Equipment (UE)".

[32] IETF RFC 768: "User Datagram Protocol".

[33] IETF RFC 793: "Transmission Control Protocol."

[33A] IETF RFC 3095: "RObust Header Compression (ROHC): Framework and four profiles: RTP, UDP, ESP and uncompressed".

[33B] Void.

[33C] Void.

[33D] IETF RFC 8415: "Dynamic Host Configuration Protocol for IPv6 (DHCPv6)".

[34] IETF RFC 3748: "Extensible Authentication Protocol (EAP)".

[34A] IETF RFC 3843: "RObust Header Compression (ROHC): A Compression Profile for IP".

[35] Void.

[35A] IETF RFC 4122: "A Universally Unique IDentifier (UUID) URN Namespace".

[36] IETF RFC 4191: "Default Router Preferences and More-Specific Routes".

[37] IETF RFC 7542: "The Network Access Identifier".

[38] IETF RFC 4303: "IP Encapsulating Security Payload (ESP)".

[38A] IETF RFC 4815: "RObust Header Compression (ROHC): Corrections and Clarifications to RFC 3095".

[38B] IETF RFC 4861: "Neighbor Discovery for IP version 6 (IPv6)".

[39] IETF RFC 4862: "IPv6 Stateless Address Autoconfiguration".

[39A] IETF RFC 5225: "RObust Header Compression (ROHC) Version 2: Profiles for RTP, UDP, IP, ESP and UDP Lite".

[39B] IETF RFC 5795: "The RObust Header Compression (ROHC) Framework".

[40] IETF RFC 5448: "Improved Extensible Authentication Protocol Method for 3rd Generation Authentication and Key Agreement (EAP-AKA')".

[40A] IETF RFC 6603: "Prefix Exclude Option for DHCPv6-based Prefix Delegation".

[40B] IETF RFC 6846: "RObust Header Compression (ROHC): A Profile for TCP/IP (ROHC-TCP)".

[41] IETF RFC 7296: "Internet Key Exchange Protocol Version 2 (IKEv2)".

[42] ITU-T Recommendation E.212: "The international identification plan for public networks and subscriptions", 2016-09-23.

[43] IEEE Std 802-2014: "IEEE Standard for Local and Metropolitan Area Networks: Overview and Architecture" (30 June 2014).

[43A] IEEE Std 802.1AS-2020: "IEEE Standard for Local and metropolitan area networks--Timing and Synchronization for Time-Sensitive Applications".

[43B] IEEE Std 1588™-2019: "IEEE Standard for a Precision Clock Synchronization Protocol for Networked Measurement and Control Systems".

[43C] Void.

[43D] Void.

[43E] Void.

[44] Void.

[45] Void.

[46] Void.

[47] Void.

[48] IEEE "Guidelines for Use of Extended Unique Identifier (EUI), Organizationally Unique Identifier (OUI), and Company ID (CID)".

[49] BBF TR-069: "CPE WAN Management Protocol".

[50] BBF TR-369: "User Services Platform (USP)".

[51] 3GPP TS 37.340: "Evolved Universal Terrestrial Radio Access (E-UTRA) and NR; Multi-connectivity; Stage 2".

[52] IETF RFC 8106:"IPv6 Router Advertisement Options for DNS Configuration".

[53] 3GPP TS 23.247: "Architectural enhancements for 5G multicast-broadcast services; Stage 2".

[54] 3GPP TS 23.380: "IMS Restoration Procedures".

\* \* \* Next Change \* \* \* \*

#### 5.4.4.2 Generic UE configuration update procedure initiated by the network

The AMF shall initiate the generic UE configuration update procedure by sending the CONFIGURATION UPDATE COMMAND message to the UE.

The AMF shall in the CONFIGURATION UPDATE COMMAND message either:

a) include one or more of the following parameters: 5G-GUTI, TAI list, allowed NSSAI that may include the mapped S-NSSAI(s), LADN information, service area list, MICO indication, NITZ information, configured NSSAI that may include the mapped S-NSSAI(s), rejected S-NSSAI(s) in the Rejected NSSAI IE or in the Extended rejected NSSAI IE, network slicing subscription change indication, operator-defined access category definitions, SMS indication, service gap time value, "CAG information list", UE radio capability ID, 5GS registration result, UE radio capability ID deletion indication, truncated 5G-S-TMSI configuration or T3447 value;

b) include the Configuration update indication IE with the Registration requested bit set to "registration requested"; or

c) include a combination of both a) and b).

If the UE is registering or registered for onboarding services in SNPN, the serving SNPN shall not provide the configured NSSAI, the allowed NSSAI or the rejected NSSAI to the UE.

If the UE supports extended rejected NSSAI in roaming scenarios, the rejected S-NSSAI(s) shall be included in the Extended rejected NSSAI IE. Otherwise the rejected S-NSSAI(s) shall be included in the Rejected NSSAI IE.

If an acknowledgement from the UE is requested, the AMF shall indicate "acknowledgement requested" in the Acknowledgement bit of the Configuration update indication IE in the CONFIGURATION UPDATE COMMAND message and shall start timer T3555. Acknowledgement shall be requested for all parameters except when only NITZ is included.

To initiate parameter re-negotiation between the UE and network, the AMF shall indicate "registration requested" in the Registration requested bit of the Configuration update indication IE in the CONFIGURATION UPDATE COMMAND message.

NOTE 1: Generic UE configuration update procedure can be initiated by the AMF for updating the emergency number list, the extended emergency number list or both by indicating "registration requested" in the Registration requested bit of the Configuration update indication IE in the CONFIGURATION UPDATE COMMAND message to the UE.

If a new allowed NSSAI information or AMF re-configuration of supported S-NSSAIs requires an AMF relocation, the AMF shall indicate "registration requested" in the Registration requested bit of the Configuration update indication IE and include the Allowed NSSAI IE in the CONFIGURATION UPDATE COMMAND message.

If the AMF includes a new configured NSSAI in the CONFIGURATION UPDATE COMMAND message and the new configured NSSAI requires an AMF relocation as specified in 3GPP TS 23.501 [8], the AMF shall indicate "registration requested" in the Registration requested bit of the Configuration update indication IE in the message.

If the CONFIGURATION UPDATE COMMAND message is initiated only due to changes to the allowed NSSAI and these changes require the UE to initiate a registration procedure, but the AMF is unable to determine an allowed NSSAI for the UE as specified in 3GPP TS 23.501 [8], then the CONFIGURATION UPDATE COMMAND message shall indicate "registration requested" in the Registration requested bit of the Configuration update indication IE, and shall not contain any other parameters.

If the AMF needs to enforce a change in the restriction on the use of enhanced coverage or use of CE mode B as described in subclause 5.3.18, the AMF shall indicate "registration requested" in the Registration requested bit of the Configuration update indication IE and "release of N1 NAS signalling connection not required" in the Signalling connection maintain request bit of the Additional configuration indication IE in the CONFIGURATION UPDATE COMMAND message.

If a network slice-specific authentication and authorization procedure for an S-NSSAI is completed as a:

a) success, the AMF shall include this S-NSSAI in the allowed NSSAI over the same access of the requested S-NSSAI; or

b) failure, the AMF shall include this S-NSSAI in the rejected NSSAI for the failed or revoked NSSAA with the rejection cause "S-NSSAI not available due to the failed or revoked network slice-specific authentication and authorization" over either 3GPP access or non-3GPP access.

If authorization is revoked for an S-NSSAI that is in the current allowed NSAAI for an access type, the AMF shall:

a) provide a new allowed NSSAI to the UE, excluding the S-NSSAI for which authorization is revoked; and

b) provide a new rejected NSSAI for the failed or revoked NSSAA, including the S-NSSAI in the rejected NSSAI for which the authorization is revoked, with the rejection cause "S-NSSAI not available due to the failed or revoked network slice-specific authentication and authorization".

The allowed NSSAI and the rejected NSSAI shall be included in the CONFIGURATION UPDATE COMMAND message to reflect the result of the procedures subject to network slice-specific authentication and authorization.

NOTE 2: If there are multiple S-NSSAIs subject to network slice-specific authentication and authorization, it is implementation specific if the AMF informs the UE about the outcome of the procedures in one or more CONFIGURATION UPDATE COMMAND messages.

If the AMF includes the Network slicing indication IE in the CONFIGURATION UPDATE COMMAND message with the Network slicing subscription change indication set to "Network slicing subscription changed", and changes to the allowed NSSAI require the UE to initiate a registration procedure, but the AMF is unable to determine an allowed NSSAI for the UE as specified in 3GPP TS 23.501 [8], then the CONFIGURATION UPDATE COMMAND message shall additionally indicate "registration requested" in the Registration requested bit of the Configuration update indication IE and shall not include an allowed NSSAI.

If EAC mode is activated, the AMF shall perform NSAC for S-NSSAI(s) subject to NSAC before such S-NSSAI(s) are included in the allowed NSSAI in the CONFIGURATION UPDATE COMMAND message. If EAC mode is deactivated, the AMF shall perform NSAC for S-NSSAI(s) subject to NSAC after such S-NSSAI(s) are included in the allowed NSSAI in the CONFIGURATION UPDATE COMMAND message.

If the UE supports extended rejected NSSAI and the AMF determines that maximum number of UEs reached for one or more S-NSSAI(s) in the allowed NSSAI as specified in subclause 4.6.2.5, the AMF shall include the rejected NSSAI containing one or more S-NSSAIs with the rejection cause "S-NSSAI not available due to maximum number of UEs reached" in the Extended rejected NSSAI IE in the CONFIGURATION UPDATE COMMAND message. In addition, the AMF may include a back-off timer value for each S-NSSAI with the rejection cause "S-NSSAI not available due to maximum number of UEs reached" included in the Extended rejected NSSAI IE of the CONFIGURATION UPDATE COMMAND message.

If the UE does not indicate support for extended rejected NSSAI and the maximum number of UEs has been reached, the AMF should include the rejected NSSAI containing one or more S-NSSAIs with the rejection cause "S-NSSAI not available in the current PLMN or SNPN" in the Rejected NSSAI IE and should not include these S-NSSAIs in the allowed NSSAI in the CONFIGURATION UPDATE COMMAND message.NOTE 3: Based on network policies, the AMF can include the S-NSSAI(s) for which the maximum number of UEs has been reached in the rejected NSSAI with rejection causes other than "S-NSSAI not available in the current PLMN or SNPN".

If the AMF needs to update the LADN information, the AMF shall include the LADN information in the LADN information IE of the CONFIGURATION UPDATE COMMAND message.

If the AMF needs to update the "CAG information list", the AMF shall include the CAG information list IE in the CONFIGURATION UPDATE COMMAND message. If the AMF needs to update the "CAG information list" and the UE:

a) has an emergency PDU session; and

b) is in

1) a CAG cell and none of the CAG-ID(s) supported by the CAG cell is included in the "allowed CAG list" for the current PLMN in the updated "CAG information list"; or

2) a non-CAG cell and the entry for the current PLMN in the updated "CAG information list" includes an "indication that the UE is only allowed to access 5GS via CAG cells";

the AMF may indicate to the SMF to perform a local release of all non-emergency PDU sessions associated with 3GPP access. The AMF shall not indicate to the SMF to release the emergency PDU session. If the AMF indicated to the SMF to perform a local release of all non-emergency PDU sessions associated with 3GPP access, the network shall behave as if the UE is registered for emergency services and shall set the 5GS registration result IE value to "Registered for emergency services" in the CONFIGURATION UPDATE COMMAND message.

If the AMF:

- updated the "CAG information list" to remove one or more CAG-ID(s) in the Allowed CAG list for the serving PLMN or an equivalent PLMN; or

- updated the "CAG information list" to set the "indication that the UE is only allowed to access 5GS via CAG cells" for the serving PLMN or an equivalent PLMN which was not set before,

then upon completion of the configuration update procedure and if the UE does not have an emergency PDU session, the AMF shall initiate the release of the N1 NAS signalling connection according to subclause 5.3.1.3.

If the AMF needs to update the truncated 5G-S-TMSI configuration for a UE in NB-N1 mode using control plane CIoT 5GS optimization, the AMF shall include the Truncated 5G-S-TMSI configuration IE in the CONFIGURATION UPDATE COMMAND message.

If the AMF includes a UE radio capability ID deletion indication IE in the CONFIGURATION UPDATE COMMAND message, the AMF shall indicate "registration requested" in the Registration requested bit of the Configuration update indication IE.

If the AMF needs to redirect the UE to EPC as described in subclause 4.8.4A.2, the AMF shall indicate "registration requested" in the Registration requested bit of the Configuration update indication IE and "release of N1 NAS signalling connection not required" in the Signalling connection maintain request bit of the Additional configuration indication IE in the CONFIGURATION UPDATE COMMAND message.

If the UE is not in NB-N1 mode and the UE supports RACS, the AMF may include either a UE radio capability ID IE or a UE radio capability ID deletion indication IE in the CONFIGURATION UPDATE COMMAND message.

During an established 5GMM context, the network may send none, one, or more CONFIGURATION UPDATE COMMAND messages to the UE. If more than one CONFIGURATION UPDATE COMMAND message is sent, the messages need not have the same content.

If the AMF needs to deliver to the UE the Service-level-AA payload and the result of the UUAA-MM procedure received from the UAS-NF, the AMF shall include the Service-level-AA payload and the Service-level-AA response in the Service-level-AA container IE of the CONFIGURATION UPDATE COMMAND message. If the CAA-Level UAV ID is received from the UAS-NF as part of the UUAA-MM procedure, the AMF shall include the service-level device ID in the Service-level-AA container IE of the CONFIGURATION UPDATE COMMAND message and set the value to the received CAA-Level UAV ID.

If the AMF detects that the UUAA-MM procedure is

a) succeeded, the AMF shall set the service-level-AA response to "Service level authentication and authorization was successful";

b) failed, the AMF shall set the service-level-AA response to "Service level authentication and authorization was not successful".

NOTE 3: If the AMF receives the HTTP code set to "4xx" or "5xx" as specified in 3GPP TS 29.500 [20AA] or the AMF detects that the UUAA-MM failure as specified in 3GPP TS 29.256 [21B], then the AMF considers the UUAA-MM procedure has failed.

\* \* \* Next Change \* \* \* \*

#### 6.3.1A.1 General

The purpose of the service-level authentication and authorization procedure is to enable the DN using NEF services for authentication:

a) to authenticate the upper layers of the UE, when establishing the PDU session;

b) to authorize the upper layers of the UE, when establishing the PDU session;

c) both of the above; or

d) to re-authenticate the upper layers of the UE after establishment of the PDU session.

The service-level authentication and authorization procedure is used for UUAA as specified in TS 23.256 [6AB].

NOTE 1: The authentication protocol for UUAA is out of scope of 3GPP in this release of specification.

The service-level authentication and authorization procedure can be performed only during or after the UE-requested PDU session procedure establishing a non-emergency PDU session. The service-level authentication and authorization procedure shall not be performed during or after the UE-requested PDU session establishment procedure establishing an emergency PDU session.

If the service-level authentication and authorization procedure is performed during the UE-requested PDU session establishment procedure:

c) and the service-level AA procedure of the UE completes successfully, the service-level AA response is transported from the network to the UE as a part of the UE-requested PDU session establishment procedure in the PDU SESSION ESTABLISHMENT ACCEPT message.

d) and the service-level AA procedure of the UE completes unsuccessfully, the service-level AA response is transported from the network to the UE as a part of the UE-requested PDU session establishment procedure in the PDU SESSION ESTABLISHMENT REJECT message.

NOTE 2: If the SMF receives the HTTP code set to "4xx" or "5xx" as specified in 3GPP TS 29.500 [20AA] or the SMF detects that the UUAA-SM failure as specified in 3GPP TS 29.256 [21B], then the SMF considers the UUAA-SM procedure has completed unsuccessfully.

There can be several rounds of exchange of a service-level AA payload for the service to complete the service-level authentication and authorization of the request for a PDU session (see example in figure 6.3.1A.1-1)

If the UE receives the service-level AA response in the PDU SESSION ESTABLISHMENT ACCEPT message or the PDU SESSION ESTABLISHMENT REJECT message, the UE passes it to the upper layer.



Figure 6.3.1A.1-1: Service-level authentication and authorization procedure