**3GPP TSG-CT WG1 Meeting #133-eC1-216552**

**E-meeting, 11-19 November 2021**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **24.501** | **CR** | **3611** | **rev** | **2** | **Current version:** | **17.4.1** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network | **X** |

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| ***Title:*** | Optimization of the multicast join procedure | | | | | | | | | |
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| ***Source to WG:*** | Qualcomm Incorporated | | | | | | | | | |
| ***Source to TSG:*** | C1 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | 5MBS | | | | |  | ***Date:*** | | | 2021-10-27 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **C** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) ... Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | When the UE requests to join a multicast session using the PDU session establishment procedure, both the multicast join request and the PDU session establishment request can be rejected. The respective reasons may be independent from each other. In this scenario, the NW should provide the UE with the reason for rejecting the multicast join request, so that the UE can determine the best way to proceed in relation to the rejected join request. | | | | | | | | |
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| ***Summary of change:*** | | Add optional Requested MBS container IE (indicating join reject) in the PDU SESSION ESTABLISHMENT REJECT message. | | | | | | | | |
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| ***Consequences if not approved:*** | | UE would be kept in the blind about the reasons for rejecting MBS session and the subsequent UE behavior could lead to inefficiencies, such as unnecessary retries. | | | | | | | | |
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| ***Clauses affected:*** | | 6.4.1.4.1, 8.3.3.1, 8.3.3.x (new) | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | | R1: revisions during the CT1#132e meeting (agreed)  R2: re-submission to CT1#133e. | | | | | | | | |

\*\*\* first change \*\*\*

#### 6.4.1.4 UE-requested PDU session establishment procedure not accepted by the network

##### 6.4.1.4.1 General

If the connectivity with the requested DN is rejected by the network, the SMF shall create a PDU SESSION ESTABLISHMENT REJECT message.

The SMF shall set the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message to indicate the reason for rejecting the PDU session establishment.

The 5GSM cause IE typically indicates one of the following SM cause values:

#8 operator determined barring;

#26 insufficient resources;

#27 missing or unknown DNN;

#28 unknown PDU session type;

#29 user authentication or authorization failed;

#31 request rejected, unspecified;

#32 service option not supported;

#33 requested service option not subscribed;

#35 PTI already in use;

#38 network failure;

#39 reactivation requested;

#46 out of LADN service area;

#50 PDU session type IPv4 only allowed;

#51 PDU session type IPv6 only allowed;

#54 PDU session does not exist;

#57: PDU session type IPv4v6 only allowed;

#58: PDU session type Unstructured only allowed;

#61: PDU session type Ethernet only allowed;

#67 insufficient resources for specific slice and DNN;

#68 not supported SSC mode;

#69 insufficient resources for specific slice;

#70 missing or unknown DNN in a slice;

#82 maximum data rate per UE for user-plane integrity protection is too low; or

#95 – 111 protocol errors.

If the PDU SESSION ESTABLISHMENT REQUEST message includes a PDU session type IE set to "IPv6", and the subscription, the SMF configuration, or both, are limited to IPv4 only for the requested DNN, the SMF shall include the 5GSM cause value #50 "PDU session type IPv4 only allowed" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the PDU SESSION ESTABLISHMENT REQUEST message includes a PDU session type IE set to "IPv6", and the subscription, the SMF configuration, or both, support none of "IPv4" and "IPv6" PDU session types for the requested DNN, the SMF shall include the 5GSM cause value #28 "unknown PDU session type" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the PDU SESSION ESTABLISHMENT REQUEST message includes a PDU session type IE set to "IPv4", and the subscription, the SMF configuration, or both, are limited to IPv6 only for the requested DNN, the SMF shall include the 5GSM cause value #51 "PDU session type IPv6 only allowed" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the PDU SESSION ESTABLISHMENT REQUEST message includes a PDU session type IE set to "IPv4", and the subscription, the SMF configuration, or both, support none of "IPv4" and "IPv6" PDU session types for the requested DNN, the SMF shall include the 5GSM cause value #28 "unknown PDU session type" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the PDU SESSION ESTABLISHMENT REQUEST message includes a PDU session type IE set to "IPv4v6", and the subscription, the SMF configuration, or both, support none of "IPv4v6", "IPv4" and "IPv6" PDU session types for the requested DNN, the SMF shall include the 5GSM cause value #28 "unknown PDU session type" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the PDU SESSION ESTABLISHMENT REQUEST message includes a PDU session type IE set to "Unstructured" or "Ethernet", and the subscription, the SMF configuration, or both, do not support the PDU session type for the requested DNN, the SMF shall include the 5GSM cause value #28 "unknown PDU session type" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the PDU SESSION ESTABLISHMENT REQUEST message is to establish an MA PDU session and includes a PDU session type IE set to "Unstructured", and the SMF configuration does not support the PDU session type, the SMF shall include the 5GSM cause value #28 "unknown PDU session type" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the PDU SESSION ESTABLISHMENT REQUEST message contains the SSC mode IE indicating an SSC mode not supported by the subscription, the SMF configuration, or both of them, and the SMF decides to rejects the PDU session establishment, the SMF shall include the 5GSM cause value #68 "not supported SSC mode" in the 5GSM cause IE and the SSC modes allowed by SMF in the Allowed SSC mode IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the PDU SESSION ESTABLISHMENT REQUEST message is to establish an MA PDU session and MA PDU session is not allowed due to operator policy and subscription, and the SMF decides to reject the PDU session establishment, the SMF shall include the 5GSM cause value #33 "requested service option not subscribed" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the PDU SESSION ESTABLISHMENT REQUEST message is identified to be for C2 communication and does not include the C2 aviation payload, the SMF shall reject the PDU SESSION ESTABLISHMENT REQUEST message by transmitting a PDU SESSION ESTABLISHMENT REJECT message with 5GSM cause IE set to 5GSM cause value #TBD.

Editor's note: Which 5GSM cause value needs to be included in the PDU SESSION ESTABLISHMENT REJECT message, is FFS.

In 3GPP access, if the operator's configuration requires user-plane integrity protection for the PDU session and, the maximum data rate per UE for user-plane integrity protection supported by the UE for uplink or the maximum data rate per UE for user-plane integrity protection supported by the UE for downlink, or both, are lower than required by the operator's configuration, the SMF shall include the 5GSM cause value #82 "maximum data rate per UE for user-plane integrity protection is too low" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the UE requests a PDU session establishment for an LADN when the UE is located outside of the LADN service area, the SMF shall include the 5GSM cause value #46 "out of LADN service area" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the DN authentication of the UE was performed with the PDU session authentication and authorization procedure and completed unsuccessfully, the SMF shall include the 5GSM cause value #29 "user authentication or authorization failed" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message and shall set the EAP message IE of the PDU SESSION ESTABLISHMENT REJECT message to an EAP-failure message as specified in IETF RFC 3748 [34], provided by the DN.

If the DN authentication of the UE was performed with the service-level authentication and authorization procedure and completed unsuccessfully, the SMF shall include the 5GSM cause value #29 "user authentication or authorization failed" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message and shall include the service-level AA response provided by DN in the service-level AA container IE of the PDU SESSION ESTABLISHMENT REJECT message.

Based on the local policy and user's subscription data, if a PDU session is being established with the request type set to "existing PDU session" and the SMF determines the UE has:

a) moved between a tracking area in NB-N1 mode and a tracking area in WB-N1 mode;

b) moved between a tracking area in NB-S1 mode and a tracking area in WB-N1 mode; or

c) moved between a tracking area in WB-S1 mode and a tracking area in NB-N1 mode,

the SMF may reject the PDU SESSION ESTABLISHMENT REQUEST message and:

a) include the 5GSM cause value #39 "reactivation requested" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message; or

b) include a 5GSM cause value other than #39 "reactivation requested" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

NOTE 1: The included 5GSM cause value is up to the network implementation.

If the PDU session cannot be established due to resource unavailability in the UPF, the SMF shall include the 5GSM cause value #26 "insufficient resources" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

Based on the user's subscription data and the operator policy, if the SMF determines that the UUAA-SM procedure needs to be performed for a UE but the SMF does not receives the Service-level device ID set to the CAA-level UAV ID in the Service-level-AA container IE of the PDU SESSION ESTABLISHMENT REQUEST message from the UE, the SMF shall send the PDU SESSION ESTABLISHMENT REJECT message to the UE.

Editor's note: Which 5GSM cause value needs to be included in the PDU SESSION ESTABLISHMENT REJECT message and how to inform the UE about need to provide the CAA-level UAV ID is FFS.

If the PDU SESSION ESTABLISHMENT REQUEST message contains the Requested MBS container IE and the SMF determines that the request to join MBS session needs to be rejected for one or more MBS sessions listed in Requested MBS container IE, the SMF may include Received MBS container IE and set to "MBS join is rejected" with an appropriate rejection cause for each rejected MBS session.

NOTE 2: This could happen, for example, when the PDU sessions establishment request and multicast join request are rejected for independent reasons.

The network may include a Back-off timer value IE in the PDU SESSION ESTABLISHMENT REJECT message.

If the 5GSM cause value is #26 "insufficient resources", #67 "insufficient resources for specific slice and DNN", or #69 "insufficient resources for specific slice" and the PDU SESSION ESTABLISHMENT REQUEST message was received from a UE configured for high priority access in selected PLMN or the request type provided during the PDU session establishment is set to "initial emergency request" or "existing emergency PDU session", the network shall not include a Back-off timer value IE.

If the 5GSM cause value is #29 "user authentication or authorization failed ", the network should include a Back-off timer value IE.

If the Back-off timer value IE is included and the 5GSM cause value is different from #26 "insufficient resources", #28 "unknown PDU session type", #46 "out of LADN service area", "#50 "PDU session type IPv4 only allowed", #51 "PDU session type IPv6 only allowed", #54 "PDU session does not exist", #57 "PDU session type IPv4v6 only allowed", #58 "PDU session type Unstructured only allowed", #61 "PDU session type Ethernet only allowed", #67 "insufficient resources for specific slice and DNN", #68 "not supported SSC mode", and #69 "insufficient resources for specific slice", the network may include the Re-attempt indicator IE to indicate whether the UE is allowed to attempt a PDN connectivity procedure in the PLMN for the same DNN in S1 mode, and whether another attempt in S1 mode or in N1 mode is allowed in an equivalent PLMN.

If the 5GSM cause value is #50 "PDU session type IPv4 only allowed", #51 "PDU session type IPv6 only allowed", #57 "PDU session type IPv4v6 only allowed", #58 "PDU session type Unstructured only allowed", or #61 "PDU session type Ethernet only allowed", the network may include the Re-attempt indicator IE without Back-off timer value IE to indicate whether the UE is allowed to attempt a PDU session establishment procedure in an equivalent PLMN in N1 mode using the same PDU session type for the same DNN (or no DNN, if no DNN was indicated by the UE) and the same S-NSSAI (or no S-NSSAI, if no S-NSSAI was indicated by the UE).

The SMF shall send the PDU SESSION ESTABLISHMENT REJECT message.

Upon receipt of a PDU SESSION ESTABLISHMENT REJECT message and a PDU session ID, using the NAS transport procedure as specified in subclause 5.4.5, the UE shall stop timer T3580 shall release the allocated PTI value and shall consider that the PDU session was not established.

If the PDU SESSION ESTABLISHMENT REQUEST message was sent with request type set to "initial emergency request" or "existing emergency PDU session" and the UE receives a PDU SESSION ESTABLISHMENT REJECT message, then the UE may:

a) inform the upper layers of the failure of the procedure; or

NOTE 3: This can result in the upper layers requesting another emergency call attempt using domain selection as specified in 3GPP TS 23.167 [6].

b) de-register locally, if not de-registered already, attempt initial registration for emergency services.

If the PDU SESSION ESTABLISHMENT REJECT message includes 5GSM cause #39 "reactivation requested" and the PDU session is being transferred from EPS to 5GS and established with the request type set to "existing PDU session", the UE should re-initiate the UE-requested PDU session establishment procedure as specified in subclause 6.4.1 for:

a) the PDU session type associated with the transferred PDU session;

b) the SSC mode associated with the transferred PDU session;

c) the DNN associated with the transferred PDU session; and

d) the S-NSSAI associated with (if available in roaming scenarios) a mapped S-NSSAI if provided in the UE-requested PDU session establishment procedure of the transferred PDU session.

\*\*\* next change \*\*\*

### 8.3.3 PDU session establishment reject

#### 8.3.3.1 Message definition

The PDU SESSION ESTABLISHMENT REJECT message is sent by the SMF to the UE in response to PDU SESSION ESTABLISHMENT REQUEST message and indicates unsuccessful establishment of a PDU session. See table 8.3.3.1.1.

Message type: PDU SESSION ESTABLISHMENT REJECT

Significance: dual

Direction: network to UE

Table 8.3.3.1.1: PDU SESSION ESTABLISHMENT REJECT message content

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| IEI | Information Element | Type/Reference | Presence | Format | Length |
|  | Extended protocol discriminator | Extended protocol discriminator  9.2 | M | V | 1 |
|  | PDU session ID | PDU session identity  9.4 | M | V | 1 |
|  | PTI | Procedure transaction identity  9.6 | M | V | 1 |
|  | PDU SESSION ESTABLISHMENT REJECT message identity | Message type  9.7 | M | V | 1 |
|  | 5GSM cause | 5GSM cause  9.11.4.2 | M | V | 1 |
| 37 | Back-off timer value | GPRS timer 3  9.11.2.5 | O | TLV | 3 |
| F- | Allowed SSC mode | Allowed SSC mode  9.11.4.5 | O | TV | 1 |
| 78 | EAP message | EAP message  9.11.2.2 | O | TLV-E | 7-1503 |
| 61 | 5GSM congestion re-attempt indicator | 5GSM congestion re-attempt indicator  9.11.4.21 | O | TLV | 3 |
| 7B | Extended protocol configuration options | Extended protocol configuration options  9.11.4.6 | O | TLV-E | 4-65538 |
| 1D | Re-attempt indicator | Re-attempt indicator  9.11.4.17 | O | TLV | 3 |
| 1D | Service-level AA container | Service-level AA container  9.11.2.10 | O | TLV-E | 6-n |
| xy | Received MBS container | Received MBS container  9.11.4.31 | O | TLV | TBD |

\*\*\* next change \*\*\*

#### 8.3.3.X Received MBS container

This IE may included if the network wants to convey to the UE the cause for the rejection of the multicast join session(s) requested by the UE in the PDU SESION ESTABLISHMEN REQUEST.

\*\*\* no more changes \*\*\*