**3GPP TSG-CT WG1 Meeting #133-bis-e *Rev\_*C1-220271**

**E-meeting, 17-21 January 2022 2022**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **24.501** | **CR** | **3711** | **rev** | **3** | **Current version:** | **17.5.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
|  | | | | | | | | |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network | **X** |

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | | | | | | | | | | |
| ***Title:*** | Paging restrictions with Connection Release in 5GS | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Apple, Nokia, Nokia Shanghai Bell, Samsung | | | | | | | | | |
| ***Source to TSG:*** | C1 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | MUSIM | | | | |  | ***Date:*** | | | 2022/01/05 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) ... Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | As per 24.501, subclause 5.6.1.1, among triggers to initiate the Service Request procedure we have the following case: 5.6.1.1 General This procedure is used when:  …  - the UE that is MUSIM capable and in 5GMM-IDLE mode requests the network to remove the paging restriction; or  And subsequently as per case m in subclause 5.6.1.1, we have:  m) the UE that is MUSIM capable and in 5GMM-IDLE mode is requesting the network to remove the paging restriction;  So, it seems the UE can initiate Service Request procedure just to remove the paging restrictions in 5GMM-IDLE mode in 5GS. However, after removing the paging restrictions, there is no reason for UE to remain in connected mode. The UE can request the network to release the NAS signalling connection after completion of the Service Request procedure and return to idle mode as well. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | When a MUSIM capable UE in 5GMM-IDLE mode is requesting the network to remove the paging restrictions, it can also request the network to release the NAS signalling connection after completion of the Service Request procedure and return to idle mode. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | The MUSIM capable UE will unnecessarily remain in connected mode longer than necessary resulting in network resources being tied up and UE draining battery power leading to sub-optimal experience. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 5.6.1.2.1, 5.6.1.2.2, 5.6.1.4.1, 5.6.1.4.2 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

\*\*\* First change \*\*\*

##### 5.6.1.2.1 UE is not using 5GS services with control plane CIoT 5GS optimization

The UE initiates the service request procedure by sending a SERVICE REQUEST message to the AMF. The UE shall start timer T3517 and enter the state 5GMM-SERVICE-REQUEST-INITIATED.

If the UE is sending the SERVICE REQUEST message from 5GMM-IDLE mode and the UE needs to send non-cleartext IEs, the UE shall send the SERVICE REQUEST message including the NAS message container IE as described in subclause 4.4.6.

For cases a), b), and g) in subclause 5.6.1.1, the service type IE in the SERVICE REQUEST message shall be set to "mobile terminated services".

For cases c), d), e), f), i), j), l) and m) in subclause 5.6.1.1, if the UE is a UE configured for high priority access in selected PLMN, the service type IE in the SERVICE REQUEST message shall be set to "high priority access".

For case a) in subclause 5.6.1.1:

a) if the paging request includes an indication for non-3GPP access type, the Allowed PDU session status IE shall be included in the SERVICE REQUEST message. If the UE has established the PDU session(s) associated with the S-NSSAI(s) which are included in the allowed NSSAI for 3GPP access, the UE shall indicate the PDU session(s) for which the UE allows the user-plane resources to be re-established over 3GPP access in the Allowed PDU session status IE. Otherwise, the UE shall not indicate any PDU session(s) in the Allowed PDU session status IE; and

b) if the UE has uplink user data pending to be sent over 3GPP access, the Uplink data status IE shall be included in the SERVICE REQUEST message to indicate the PDU session(s) for which the UE has pending user data to be sent. Otherwise, the Uplink data status IE shall not be included in the SERVICE REQUEST message.

For case b) in subclause 5.6.1.1:

a) the Allowed PDU session status IE shall be included in the SERVICE REQUEST message. If the UE has the PDU session(s) associated with the S-NSSAI(s) which are included in the allowed NSSAI for 3GPP access, the UE shall indicate the PDU session(s) for which the UE allows the user-plane resources to be re-established over 3GPP access in the Allowed PDU session status IE. Otherwise, the UE shall not indicate any PDU session(s) in the Allowed PDU session status IE; and

b) if the UE has uplink user data pending to be sent over 3GPP access, the Uplink data status IE shall be included in the SERVICE REQUEST message to indicate the PDU session(s) for which the UE has pending user data to be sent. Otherwise, the Uplink data status IE shall not be included in the SERVICE REQUEST message.

When the Allowed PDU session status IE is included in the SERVICE REQUEST message, the UE shall indicate that a PDU session is not allowed to be transferred to the 3GPP access if the 3GPP PS data off UE status is "activated" for the corresponding PDU session and the UE is not using the PDU session to send uplink IP packets for any of the 3GPP PS data off exempt services (see subclause 6.2.10).

For case c) in subclause 5.6.1.1, the Uplink data status IE shall not be included in the SERVICE REQUEST message except if the UE has one or more active always-on PDU sessions associated with the access type over which the SERVICE REQUEST message is sent. If the UE is not a UE configured for high priority access in selected PLMN and:

a) if the SERVICE REQUEST message is triggered by a request for emergency services from the upper layer, the UE shall set the service type IE in the SERVICE REQUEST message to "emergency services"; or

b) otherwise, the UE shall set the service type IE to "signalling".

When the UE is in a non-allowed area or is not in an allowed area as specified in subclause 5.3.5 and:

a) if the uplink signalling pending is to indicate a change of 3GPP PS data off UE status for a PDU session, the UE shall set the service type IE in the SERVICE REQUEST message to "elevated signalling", and shall not include the Uplink data status IE in the SERVICE REQUEST message even if the UE has one or more active always-on PDU sessions associated with the access type over which the SERVICE REQUEST message is sent; or

b) otherwise, the UE shall not initiate service request procedure except for emergency services, high priority access or responding to paging or notification.

For cases d) and e) in subclause 5.6.1.1, the Uplink data status IE shall be included in the SERVICE REQUEST message to indicate the PDU session(s) the UE has pending user data to be sent. If the UE is not a UE configured for high priority access in selected PLMN:

a) if there exists an emergency PDU session which is indicated in the Uplink data status IE the service type IE in the SERVICE REQUEST message shall be set to "emergency services"; or

b) otherwise, the service type IE in the SERVICE REQUEST message shall be set to "data".

NOTE 1: For a UE in NB-N1 mode, the Uplink data status IE cannot be used to request the establishment of user-plane resources such that there will be user-plane resources established for a number of PDU sessions that exceeds the UE's maximum number of supported user-plane resources.

For case f) in subclause 5.6.1.1:

a) if the UE has uplink user data pending to be sent, the Uplink data status IE shall be included in the SERVICE REQUEST message to indicate the PDU session(s) the UE has pending user data to be sent. If the UE is not a UE configured for high priority access in selected PLMN, the service type IE in the SERVICE REQUEST message shall be set to "data";

b) otherwise, if the UE is not a UE configured for high priority access in selected PLMN, the service type IE in the SERVICE REQUEST message shall be set to "signalling".

For case g) in subclause 5.6.1.1, if the UE has uplink user data pending to be sent, the Uplink data status IE shall be included in the SERVICE REQUEST message to indicate the PDU session(s) the UE has pending user data to be sent.

For case h) in subclause 5.6.1.1, the UE shall send a SERVICE REQUEST message with service type set to "emergency services fallback" and without an Uplink data status IE.

For case i) in subclause 5.6.1.1, if the UE is not configured for high priority access in selected PLMN, the UE shall set the Service type IE in the SERVICE REQUEST message as follows:

a) if the pending message is an UL NAS TRANSPORT message with the Request type IE set to "initial emergency request" or "existing emergency PDU session", the UE shall set the Service type IE in the SERVICE REQUEST message to "emergency services"; or

b) otherwise, the UE shall set the Service type IE in the SERVICE REQUEST message to "signalling".

For case j) in subclause 5.6.1.1:

a) the UE shall include the Uplink data status IE in the SERVICE REQUEST message indicating the PDU session(s) for which user-plane resources were active prior to receiving the fallback indication, if any; and

b) if the UE is not a UE configured for high priority access in selected PLMN, the UE shall set the Service type IE in the SERVICE REQUEST message as follows:

1) if there is an emergency PDU session which is indicated in the Uplink data status IE, the UE shall set the Service type IE in the SERVICE REQUEST message to "emergency services"; or

2) if there is no emergency PDU session which is indicated in the Uplink data status IE, the UE shall set the Service type IE in the SERVICE REQUEST message to "data".

For case l) in subclause 5.6.1.1, if the UE is not a UE configured for high priority access in selected PLMN:

a) if there exists an emergency PDU session which is indicated in the Uplink data status IE the service type IE in the SERVICE REQUEST message shall be set to "emergency services"; or

b) otherwise, the service type IE in the SERVICE REQUEST message shall be set to "signalling".

For case m) in subclause 5.6.1.1, the UE shall not include the Paging restriction IE in the SERVICE REQUEST message and set Service type to "signalling". The UE may include the UE request type IE and set Request type to "NAS signalling connection release" to remove the paging restriction and request the release of the NAS signalling connection at the same time.

For cases o and p in subclause 5.6.1.1, the UE shall not include the Uplink data status IE and the Allowed PDU session status IE in the SERVICE REQUEST message. Further,

- for case o in subclause 5.6.1.1, the UE shall set Request type to "NAS signalling connection release" in the UE request type IE and Service type to "signalling";

- for case p in subclause 5.6.1.1, the UE shall set Request type to "Rejection of paging" in the UE request type IE and Service type to "mobile terminated services"; and

may include its paging restriction preference in the Paging restriction IE in the SERVICE REQUEST message.

The UE shall include a valid 5G-S-TMSI in the 5G-S-TMSI IE of the SERVICE REQUEST message.

For all cases except cases o) and p) in subclause 5.6.1.1, if the UE has one or more active always-on PDU sessions associated with the access type over which the SERVICE REQUEST message is sent and the user-plane resources for these PDU sessions are not established, the UE shall include the Uplink data status IE in the SERVICE REQUEST message and indicate that the UE has pending user data to be sent for those PDU sessions.

If the UE has one or more active PDU sessions which are not accepted by the network as always-on PDU sessions and no uplink user data pending to be sent for those PDU sessions, the UE shall not include those PDU sessions in the Uplink data status IE in the SERVICE REQUEST message.

The Uplink data status IE may be included in the SERVICE REQUEST message to indicate which PDU session(s) associated with the access type the SERVICE REQUEST message is sent over have pending user data to be sent.

The PDU session status information element may be included in the SERVICE REQUEST message to indicate:

- the single access PDU session(s) not in 5GSM state PDU SESSION INACTIVE in the UE associated with the access type the SERVICE REQUEST message is sent over; and

- the MA PDU session(s) not in 5GSM state PDU SESSION INACTIVE and having user plane resources established in the UE on the access the SERVICE REQUEST message is sent over.

If the SERVICE REQUEST message includes a NAS message container IE, the AMF shall process the SERVICE REQUEST message that is obtained from the NAS message container IE as described in subclause 4.4.6.

If the UE has an emergency PDU session over the non-current access, it shall not initiate the SERVICE REQUEST message with the service type IE set to "emergency services" over the current access, unless the SERVICE REQUEST message has to be initiated to perform handover of an existing emergency PDU session from the non-current access to the current access.

NOTE 2: Transfer of an existing emergency PDU session between 3GPP access and non-3GPP access is needed e.g. if the UE determines that the current access is no longer available.

\*\*\* Next change \*\*\*

##### 5.6.1.2.2 UE is using 5GS services with control plane CIoT 5GS optimization

The UE shall send a CONTROL PLANE SERVICE REQUEST message, start T3517 and enter the state 5GMM-SERVICE-REQUEST-INITIATED.

For case a), and case b) in subclause 5.6.1.1, the Control plane service type of the CONTROL PLANE SERVICE REQUEST message shall indicate "mobile terminating request". If:

a) the UE only has uplink CIoT user data or SMS to be sent, the UE shall:

1) if the data size is not more than 254 octets and there is no other optional IE to be included in the message:

i) for sending CIoT user data, set the Data type field to "control plane user data", include the PDU session ID, data, and Downlink data expected (DDX) (if available), in the CIoT small data container IE; and

ii) for sending SMS, set the Data type field to "SMS", include SMS in the CIoT small data container IE; and

2) otherwise if the data size is more than 254 octets or there are other optional IEs to be included in the message:

i) for sending CIoT user data, set the Payload container type IE to "CIoT user data container", include the PDU session ID in the PDU session ID IE and include data in the Payload container IE as described in subclause 5.4.5.2.2; and

ii) for sending SMS, set the Payload container type IE to "SMS" and include data in the Payload container IE as described in subclause 5.4.5.2.2; and

b) the paging request includes an indication for non-3GPP access type, the UE has at least one PDU session that is not associated with control plane only indication, the Allowed PDU session status IE shall be included in the CONTROL PLANE SERVICE REQUEST message.

NOTE 1: The term DDX used in the present document corresponds to the term NAS RAI used in 3GPP TS 23.502 [9].

For case c), and case d) if the UE has pending CIoT user data that is to be sent via the control plane in subclause 5.6.1.1, the UE shall set the Control plane service type of the CONTROL PLANE SERVICE REQUEST message to "mobile originating request". If the UE has only uplink CIoT user data, SMS or location services message to be sent, the UE shall:

a) if the data size is not more than 254 octets, there is no other optional IE to be included in the CONTROL PLANE SERVICE REQUEST message, and the data being sent is:

1) CIoT user data, set the Data type field to "control plane user data", include the PDU session ID, data, and Downlink data expected (DDX) (if available), in the CIoT small data container IE;

2) location services message, set the Data type field to "Location services message container" and Downlink data expected (DDX), if available, in the CIoT small data container IE, and:

i) if routing information is provided by upper layers:

A) set the length of additional information field in the CIoT small data container IE to the length of routing information provided by upper layer location services application (see subclause 9.11.3.67), and set the additional information field in the CIoT small data container IE to the routing information provided by upper layer location services application (see subclause 9.11.3.67); or

B) otherwise set the length of additional information field in the CIoT small data container IE to zero. In this case the Additional information field of the CIoT small data container IE shall not be included; and

ii) set the Data contents field of the CIoT small data container IE to the location services message payload; or

3) SMS, set the Data type field to "SMS", include SMS in the CIoT small data container IE; or

b) otherwise if the data size is more than 254 octets or there are other optional IEs to be included in the CONTROL PLANE SERVICE REQUEST message, and the data being sent is:

1) CIoT user data, set the Payload container type IE to "CIoT user data container", include the PDU session ID in the PDU session ID IE and include data in the Payload container IE as described in subclause 5.4.5.2.2;

2) location services message, set the Payload container type IE to "Location services message container", include data in the Payload container IE as described in subclause 5.4.5.2.2. If the upper layer location services application provides the routing information set the Additional information IE to the routing information as described in subclause 5.4.5.2.2; or

3) SMS, set the Payload container type IE to "SMS" and include data in the Payload container IE as described in subclause 5.4.5.2.2.

For case a), and case b) in subclause 5.6.1.1, if the UE has pending user data that is to be sent via the user plane, the UE shall set the Control plane service type of the CONTROL PLANE SERVICE REQUEST message to "mobile terminating request". The UE shall include the Uplink data status IE in the CONTROL PLANE SERVICE REQUEST message to indicate which PDU session(s) have pending user data to be sent via user-plane resources.

For case c) in subclause 5.6.1.1, if the UE is in WB-N1 mode and the CONTROL PLANE SERVICE REQUEST message is triggered by a request for emergency services from the upper layer, the UE shall set the Control plane service type of the CONTROL PLANE SERVICE REQUEST message to "emergency services".

For cases d) and k), if the UE has pending user data that is to be sent via the user plane in subclause 5.6.1.1:

a) and if there exists an emergency PDU session which is indicated in the Uplink data status IE, the UE shall set the Control plane service type of the CONTROL PLANE SERVICE REQUEST message to "emergency services"; or

b) otherwise, the UE shall set the Control plane service type to "mobile originating request".

The UE shall include the Uplink data status IE in the CONTROL PLANE SERVICE REQUEST message to indicate which PDU session(s) have pending user data to be sent via user-plane resources.

NOTE 2: For a UE in NB-N1 mode, the Uplink data status IE cannot be used to request the establishment of user-plane resources such that there will be user-plane resources established for a number of PDU sessions that exceeds the UE's maximum number of supported user-plane resources.

For case h) in subclause 5.6.1.1, if the UE is in WB-N1 mode and the UE does not have any PDU session that is associated with control plane only indication, the UE shall send a CONTROL PLANE SERVICE REQUEST message with the Control plane service type set to "emergency services fallback" and without an Uplink data status IE.

For case i) in subclause 5.6.1.1, the Control plane service type of the CONTROL PLANE SERVICE REQUEST message shall indicate "mobile originating request". If the pending message is an UL NAS TRANSPORT message with the Payload container type IE set to:

a) "SMS", "Location services message container", or "CIoT user data container", the UE shall send the CONTROL PLANE SERVICE REQUEST and include the SMS, location services message, or CIoT user data as described in this subclause; or

b) otherwise, the UE shall send the CONTROL PLANE SERVICE REQUEST:

1) without including the CIoT small data container IE and without including the NAS message container IE if the UE has no other optional IE to be sent; or

2) with the NAS message container IE if the UE has an optional IE to be sent as described in this subclause.

For case j) in subclause 5.6.1.1, the Control plane service type of the CONTROL PLANE SERVICE REQUEST message shall indicate "mobile originating request". The UE shall include the Uplink data status IE in the CONTROL PLANE SERVICE REQUEST message indicating the PDU session(s) for which user-plane resources were active prior to receiving the fallback indication, if any.

For cases o and p in subclause 5.6.1.1, the UE shall not include the Uplink data status IE and the Allowed PDU session status IE in the CONTROL PLANE SERVICE REQUEST message. Further,

- for case o in subclause 5.6.1.1, the UE shall set Request type to "NAS signalling connection release" in the UE request type IE and Control plane service type to "mobile originating request";

- for case p in subclause 5.6.1.1, the UE shall set Request type to "Rejection of paging" in the UE request type IE and Control plane service type to "mobile terminated services"; and

may include its paging restriction preference in the Paging restriction IE in the CONTROL PLANE SERVICE REQUEST message.

For case m in clause 5.6.1.1, the Control plane service type of the CONTROL PLANE SERVICE REQUEST message shall indicate "mobile originating request". The UE shall not include the Paging restriction IE in the CONTROL PLANE SERVICE REQUEST message. The UE may include the UE request type IE and set Request type to "NAS signalling connection release" to remove the paging restriction and request the release of the NAS signalling connection at the same time.

The UE may include the PDU session status IE in the CONTROL PLANE SERVICE REQUEST message to indicate which PDU session(s) associated with the access type the CONTROL PLANE SERVICE REQUEST message is sent over are active in the UE.

\*\*\* Next change \*\*\*

##### 5.6.1.4.1 UE is not using 5GS services with control plane CIoT 5GS optimization

For cases other than h) in subclause 5.6.1.1, the UE shall treat the reception of the SERVICE ACCEPT message as successful completion of the procedure. The UE shall reset the service request attempt counter, stop timer T3517 and enter the state 5GMM-REGISTERED.

For case h) in subclause 5.6.1.1,

a) the UE shall treat the indication from the lower layers when the UE has changed to S1 mode or E-UTRA connected to 5GCN (see 3GPP TS 23.502 [9]) as successful completion of the procedure and stop timer T3517;

b) if a UE operating in single-registration mode has changed to S1 mode, it shall disable the N1 mode capability for 3GPP access (see subclause 4.9.2); and

c) the AMF shall not check for CAG restrictions.

If the PDU session status information element is included in the SERVICE REQUEST message, then:

a) for single access PDU sessions, the AMF shall:

1) perform a local release of all those PDU sessions which are not in 5GSM state PDU SESSION INACTIVE on the AMF side associated with the access type the SERVICE REQUEST message is sent over, but are indicated by the UE as being in 5GSM state PDU SESSION INACTIVE; and

2) request the SMF to perform a local release of all those PDU sessions; and

b) for MA PDU sessions, the AMF shall:

1) for MA PDU sessions having user plane resources established in the AMF only on the access the SERVICE REQUEST message is sent over, but are indicated by the UE as no user plane resources established:

i) perform a local release of all those MA PDU sessions; and

ii) request the SMF to perform a local release of all those MA PDU sessions; and

2) for MA PDU sessions having user plane resources established on both accesses in the AMF, but are indicated by the UE as no user plane resources established:

i) perform a local release of user plane resources of all those PDU sessions on the access the SERVICE REQUEST message is sent over; and

ii) request the SMF to perform a local release of user plane resources of all those PDU sessions on the access type the SERVICE REQUEST message is sent over.

If the AMF needs to initiate PDU session status synchronization or a PDU session status IE was included in the SERVICE REQUEST message, the AMF shall include a PDU session status IE in the SERVICE ACCEPT message to indicate:

- which single access PDU sessions associated with the access type the SERVICE ACCEPT message is sent over are not in 5GSM state PDU SESSION INACTIVE in the AMF; and

- which MA PDU sessions are not in 5GSM state PDU SESSION INACTIVE and having user plane resources established in the AMF on the access the SERVICE ACCEPT message is sent over.

If the PDU session status information element is included in the SERVICE ACCEPT message, then:

a) for single access PDU sessions, the UE shall perform a local release of all those PDU sessions which are not in 5GSM state PDU SESSION INACTIVE or PDU SESSION ACTIVE PENDING on the UE side associated with the access type the SERVICE ACCEPT message is sent over, but are indicated by the AMF as in 5GSM state PDU SESSION INACTIVE; and

b) for MA PDU sessions, for all those PDU sessions which are not in 5GSM state PDU SESSION INACTIVE or PDU SESSION ACTIVE PENDING and have user plane resources established on the UE side associated with the access the SERVICE ACCEPT message is sent over, but are indicated by the AMF as no user plane resources established:

1) for MA PDU sessions having user plane resources established only on the access type the SERVICE ACCEPT message is sent over, the UE shall perform a local release of those MA PDU sessions; and

2) for MA PDU sessions having user plane resources established on both accesses, the UE shall perform a local release on the user plane resources on the access type the SERVICE ACCEPT message is sent over.

If the Uplink data status IE is included in the SERVICE REQUEST message and the UE is:

a) not in NB-N1 mode; or

b) in NB-N1 mode and the UE does not indicate a request to have user-plane resources established for a number of PDU sessions that exceeds the UE's maximum number of supported user-plane resources;

the AMF shall:

a) indicate the SMF to re-establish the user-plane resources for the corresponding PDU sessions;

b) include the PDU session reactivation result IE in the SERVICE ACCEPT message to indicate the user-plane resources re-establishment result of the PDU sessions for which the UE requested to re-establish the user-plane resources; and

c) determine the UE presence in LADN service area and forward the UE presence in LADN service area towards the SMF, if the corresponding PDU session is a PDU session for LADN.

If the Allowed PDU session status IE is included in the SERVICE REQUEST message, the AMF shall:

a) for a 5GSM message from each SMF that has indicated pending downlink signalling only, forward the received 5GSM message via 3GPP access to the UE after the SERVICE ACCEPT message is sent;

b) for each SMF that has indicated pending downlink data only:

1) notify the SMF that reactivation of the user-plane resources for the corresponding PDU session(s) associated with non-3GPP access cannot be performed if the corresponding PDU session ID(s) are not indicated in the Allowed PDU session status IE; and

2) notify the SMF that reactivation of the user-plane resources for the corresponding PDU session(s) associated with non-3GPP access can be performed if:

i) for a UE not in NB-N1 mode, the corresponding PDU session ID(s) are indicated in the Allowed PDU session status IE; or

ii) for a UE in NB-N1 mode, the corresponding PDU session ID(s) are indicated in the Allowed PDU session status IE, and the resulting number of PDU sessions with established user-plane resources does not exceed the UE's maximum number of supported user-plane resources;

c) for each SMF that have indicated pending downlink signalling and data:

1) notify the SMF that reactivation of the user-plane resources for the corresponding PDU session(s) associated with non-3GPP access cannot be performed if the corresponding PDU session ID(s) are not indicated in the Allowed PDU session status IE;

2) notify the SMF that reactivation of the user-plane resources for the corresponding PDU session(s) associated with non-3GPP access can be performed if:

i) for a UE not in NB-N1 mode, the corresponding PDU session ID(s) are indicated in the Allowed PDU session status IE; or

ii) for a UE in NB-N1 mode, the corresponding PDU session ID(s) are indicated in the Allowed PDU session status IE, and the resulting number of PDU sessions with established user-plane resources does not exceed the UE's maximum number of supported user-plane resources; and

3) discard the received 5GSM message for PDU session(s) associated with non-3GPP access; and

d) include the PDU session reactivation result IE in the SERVICE ACCEPT message to indicate the successfully re-established user-plane resources for the corresponding PDU sessions, if any.

If due to regional subscription restrictions or access restrictions the UE is not allowed to access the TA or due to CAG restrictions the UE is not allowed to access the cell, but the UE has an emergency PDU session established, the AMF may accept the SERVICE REQUEST message and indicate to the SMF to perform a local release of all non-emergency PDU sessions (associated with 3GPP access if it is due to CAG restrictions) and informs the UE via the PDU session status IE in the SERVICE ACCEPT message. The AMF shall not indicate to the SMF to release the emergency PDU session. If the AMF indicated to the SMF to perform a local release of all non-emergency PDU sessions (associated with 3GPP access if it is due to CAG restrictions), the network shall behave as if the UE is registered for emergency services.

If the PDU session reactivation result IE is included in the SERVICE ACCEPT message indicating that the user-plane resources have been successfully reactivated for a PDU session that was requested by the UE in the Allowed PDU session status IE, the UE considers the corresponding PDU session to be associated with the 3GPP access. If the user-plane resources of a PDU session have been successfully reactivated over the 3GPP access, the AMF and SMF update the associated access type of the corresponding PDU session.

If the user-plane resources cannot be established for a PDU session, the AMF shall include the PDU session reactivation result IE in the SERVICE ACCEPT message indicating that user-plane resources for the corresponding PDU session cannot be re-established, and:

a) if the user-plane resources cannot be established because the SMF indicated to the AMF that the UE is located out of the LADN service area (see 3GPP TS 29.502 [20A]), the AMF shall include the PDU session reactivation result error cause IE with the 5GMM cause set to #43 "LADN not available";

b) if the user-plane resources cannot be established because the SMF indicated to the AMF that only prioritized services are allowed (see 3GPP TS 29.502 [20A]), the AMF shall include the PDU session reactivation result error cause IE with the 5GMM cause set to #28 "restricted service area";

c) if the user-plane resources cannot be established because the SMF indicated to the AMF that the resource is not available in the UPF (see 3GPP TS 29.502 [20A]), the AMF shall include the PDU session reactivation result error cause IE with the 5GMM cause set to #92 "insufficient user-plane resources for the PDU session"; or

d) otherwise, the AMF may include the PDU session reactivation result error cause IE to indicate the cause of failure to re-establish the user-plane resources.

NOTE: It is up to UE implementation when to re-send a request for user-plane re-establishment for the associated PDU session after receiving a PDU session reactivation result error cause IE with a 5GMM cause set to #92 "insufficient user-plane resources for the PDU session".

If the PDU session reactivation result IE is included in the SERVICE ACCEPT message indicating that the user-plane resources cannot be established for a PDU session that was requested by the UE in the Allowed PDU session status IE, the UE considers the corresponding PDU session to be associated with the non-3GPP access.

If the UE supporting MUSIM does not include the Paging restriction IE in the SERVICE REQUEST message, the AMF shall delete any stored paging restrictions for the UE and stop restricting paging.

For case m in subclause 5.6.1.1 when the UE supporting MUSIM sets the Request type to "NAS signalling connection release" in the SERVICE REQUEST message, the AMF shall initiate the release of the N1 NAS signalling connection after the completion of the service request procedure.

For cases o and p in subclause 5.6.1.1 when the UE supporting MUSIM sets the Request type to "NAS signalling connection release" or to "Rejection of paging" in the UE request type IE in the SERVICE REQUEST message and if the UE requests restriction of paging by including the Paging restriction IE, the AMF:

- if accepts the paging restriction, shall include the 5GS additional request result IE in the SERVICE ACCEPT message and set the Paging restriction decision to "paging restriction is accepted". The AMF shall store the paging restrictions of the UE and enforce these restrictions in the paging procedure as described in clause 5.6.2; or

- if rejects the paging restriction, shall include the 5GS additional request result IE in the SERVICE ACCEPT message and set the Paging restriction decision to "paging restriction is rejected", and shall discard the received paging restriction. The AMF shall delete any stored paging restriction for the UE and stop restricting paging; and

the AMF shall initiate the release of the N1 NAS signalling connection as follows:

- for case o in subclause 5.6.1.1, after the completion of the service request procedure;

- for case p in subclause 5.6.1.1, after the completion of the generic UE configuration update procedure that is triggered after the completion of the service request procedure.

If the SERVICE REQUEST message is for emergency services fallback, the AMF triggers the emergency services fallback procedure as specified in subclause 4.13.4.2 of 3GPP TS 23.502 [9].

If the UE having an emergency PDU session sent the SERVICE REQUEST message via:

a) a CAG cell and none of the CAG-IDs of the CAG cell are included in the "Allowed CAG list" for the current PLMN in the UE's subscription; or

b) a non-CAG cell in a PLMN for which the UE's subscription contains an "indication that the UE is only allowed to access 5GS via CAG cells";

the network shall accept the SERVICE REQUEST message and release all non-emergency PDU sessions locally. The emergency PDU session shall not be released.

\*\*\* Next change \*\*\*

##### 5.6.1.4.2 UE is using 5GS services with control plane CIoT 5GS optimization

For case a in subclause 5.6.1.1, upon receipt of the CONTROL PLANE SERVICE REQUEST message with Control plane service type indicating "mobile terminating request", after completion of the 5GMM common procedures (if initiated) according to subclause 5.6.1.3, the AMF shall send a SERVICE ACCEPT message.

For cases c, d and m in subclause 5.6.1.1, upon receipt of the CONTROL PLANE SERVICE REQUEST message with Control plane service type indicating "mobile originating request", after completion of the 5GMM common procedures (if initiated) according to subclause 5.6.1.3, the AMF shall send a SERVICE ACCEPT message, except for case d when the DDX field of the Release assistance indication IE or the DDX field of the CIoT small data container IE indicates "No further uplink and no further downlink data transmission subsequent to the uplink data transmission is expected".

For case a, c and d:

a) if the CIoT small data container IE is included in the message, the AMF shall decipher the value part of the CIoT small data container IE and:

1) if the Data type field indicates "control plane user data", extract the PDU session ID and data content from the CIoT small data container IE, look up a PDU session routing context for the UE and the PDU session ID, and forward the content of the CIoT small data container IE to the SMF associated with the UE;

2) if the Data type field indicates "SMS", forward the content of the CIoT small data container IE to the SMSF associated with the UE; or

3) if the Data type field indicates "Location services message container", and if

i) length of additional information field in the CIoT small data container IE is zero, forward the value of Data type field and the content of the CIoT small data container IE to the to the location services application; or

ii) otherwise forward the value of Data type field and the content of the CIoT small data container IE to the LMF associated with the routing information that is included in the additional information field of the CIoT small data container IE; or

NOTE 1: If the AMF determines there is no pending data or signalling for the UE, the AMF provides an indication of control plane CIoT 5GS Optimisation to the LMF as specified in 3GPP TS 29.518 [20B].

b) otherwise, the AMF shall decipher the value part of NAS message container IE and:

1) if the Payload container IE is included in the CONTROL PLANE SERVICE REQUEST message and if the Payload container type IE is set to "CIoT user data container", the AMF shall look up a PDU session routing context for the UE and the PDU session ID, and forward the content of the Payload container IE to the SMF associated with the UE;

2) if the Payload container IE is included in the CONTROL PLANE SERVICE REQUEST message and if the Payload container type IE is set to "SMS", the AMF shall forward the content of the Payload container IE to the SMSF associated with the UE;

3) if the PDU session status IE is included in the CONTROL PLANE SERVICE REQUEST message or the AMF needs to perform a PDU session status synchronization, the AMF shall include a PDU session status IE in the SERVICE ACCEPT message to indicate which PDU sessions associated with the access type the SERVICE ACCEPT message is sent over are active in the AMF;

4) if the Uplink data status IE is included in the CONTROL PLANE SERVICE REQUEST message and the UE is:

i) not in NB-N1 mode; or

ii) in NB-N1 mode and the UE does not indicate a request to have user-plane resources established for a number of PDU sessions that exceeds the UE's maximum number of supported user-plane resources;

the AMF shall:

i) indicate the SMF to re-establish the user-plane resources for the corresponding PDU sessions; and

ii) include the PDU session reactivation result IE in the SERVICE ACCEPT message to indicate the user-plane resources re-establishment result of the PDU sessions for which the UE requested to re-establish the user-plane resources;

5) if the Uplink data status IE is included in the CONTROL PLANE SERVICE REQUEST, the UE is in NB-N1 mode, and the UE indicates a request to have user-plane resources established for a number of PDU sessions that exceeds the UE's maximum number of supported user-plane resources, the AMF shall not indicate to the SMF to re-establish the user-plane resources for the corresponding PDU sessions; or

6) otherwise, if the Payload container IE is included in the message and if the Payload container type IE is set to "Location services message container", the AMF shall forward the Payload container type and the content of the Payload container IE to the LMF associated with the routing information included in the Additional information IE of the CONTROL PLANE SERVICE REQUEST message.

NOTE 2: If the AMF determines there is no pending data or signalling for the UE, the AMF provides an indication of control plane CIoT 5GS Optimisation to the LMF as specified in 3GPP TS 29.518 [20B].

For case k) in subclause 5.6.1.1, if the Uplink data status IE is included in the CONTROL PLANE SERVICE REQUEST message and the UE is:

a) not in NB-N1 mode; or

b) in NB-N1 mode and the UE does not indicate a request to have user-plane resources established for a number of PDU sessions that exceeds the UE's maximum number of supported user-plane resources,

the AMF shall:

a) indicate the SMF to re-establish the user-plane resources for the corresponding PDU sessions; and

b) include the PDU session reactivation result IE in the SERVICE ACCEPT message to indicate the user-plane resources re-establishment result of the PDU sessions for which the UE requested to re-establish the user-plane resources.

If the Allowed PDU session status IE is included in the CONTROL PLANE SERVICE REQUEST message, the AMF shall:

a) for a 5GSM message from each SMF that has indicated pending downlink signalling only, forward the received 5GSM message via 3GPP access to the UE after the SERVICE ACCEPT message is sent;

b) for each SMF that has indicated pending downlink data only:

1) notify the SMF that reactivation of the user-plane resources for the corresponding PDU session(s) associated with non-3GPP access cannot be performed if the corresponding PDU session ID(s) are not indicated in the Allowed PDU session status IE; and

2) notify the SMF that reactivation of the user-plane resources for the corresponding PDU session(s) associated with non-3GPP access can be performed if:

i) for a UE not in NB-N1 mode, the corresponding PDU session ID(s) are indicated in the Allowed PDU session status IE; or

ii) for a UE in NB-N1 mode, the corresponding PDU session ID(s) are indicated in the Allowed PDU session status IE and the resulting number of PDU sessions with established user-plane resources does not exceed the UE's maximum number of supported user-plane resources;

c) for each SMF that have indicated pending downlink signalling and data:

1) notify the SMF that reactivation of the user-plane resources for the corresponding PDU session(s) associated with non-3GPP access cannot be performed if the corresponding PDU session ID(s) are not indicated in the Allowed PDU session status IE;

2) notify the SMF that reactivation of the user-plane resources for the corresponding PDU session(s) associated with non-3GPP access can be performed if:

i) for a UE not in NB-N1 mode, the corresponding PDU session ID(s) are indicated in the Allowed PDU session status IE; or

ii) for a UE in NB-N1 mode, the corresponding PDU session ID(s) are indicated in the Allowed PDU session status IE and the resulting number of PDU sessions with established user-plane resources does not exceed the UE's maximum number of supported user-plane resources; and

3) discard the received 5GSM message for PDU session(s) associated with non-3GPP access; and

d) include the PDU session reactivation result IE in the SERVICE ACCEPT message to indicate the successfully re-established user-plane resources for the corresponding PDU sessions, if any.

If the DDX field in the CIoT small data container IE or the DDX field of the Release assistance indication IE indicates:

1) "No further uplink and no further downlink data transmission subsequent to the uplink data transmission is expected" and if there is no downlink signalling or downlink data for the UE; or

2) "Only a single downlink data transmission and no further uplink data transmission subsequent to the uplink data transmission is expected" and upon subsequent delivery of the next received downlink data transmission to the UE and if there is no additional downlink signalling or downlink data for the UE,

the AMF initiates the release of the N1 NAS signalling connection (see 3GPP TS 23.502 [9]).

If the UE supporting MUSIM does not include the Paging restriction IE in the CONTROL PLANE SERVICE REQUEST message, the AMF shall delete any stored paging restrictions for the UE and stop restricting paging.

For case m in subclause 5.6.1.1 when the UE supporting MUSIM sets the Request type to "NAS signalling connection release" in the CONTROL PLANE SERVICE REQUEST message, the AMF shall initiate the release of the N1 NAS signalling connection after the completion of the service request procedure.

For cases o and p in subclause 5.6.1.1 when the UE supporting MUSIM sets the Request type to "NAS signalling connection release" or to "Rejection of paging" in the UE request type IE in the CONTROL PLANE SERVICE REQUEST message and if the UE requests restriction of paging by including the Paging restriction IE, the AMF:

- if accepts the paging restriction, shall include the 5GS additional request result IE in the SERVICE ACCEPT message and set the Paging restriction decision to "paging restriction is accepted". The AMF shall store the paging restrictions of the UE and enforce these restrictions in the paging procedure as described in clause 5.6.2; or

- if rejects the paging restriction, shall include the 5GS additional request result IE in the SERVICE ACCEPT message and set the Paging restriction decision to "paging restriction is rejected", and shall discard the received paging restriction. The AMF shall delete any stored paging restriction for the UE and stop restricting paging; and

the AMF shall send the SERVICE ACCEPT message and initiate the release of the N1 NAS signalling connection as follows:

- for case o in subclause 5.6.1.1, after the completion of the service request procedure;

- for case p in subclause 5.6.1.1, after the completion of the generic UE configuration update procedure that is triggered after the completion of the service request procedure.

Upon successful completion of the procedure, the UE shall reset the service request attempt counter, stop the timer T3517 and enter the state 5GMM-REGISTERED.

If the PDU session status information element is included in the CONTROL PLANE SERVICE REQUEST message, then the AMF:

a) shall perform a local release of all those PDU sessions which are not in 5GSM state PDU SESSION INACTIVE on the AMF side associated with the access type the CONTROL PLANE SERVICE REQUEST message is sent over, but are indicated by the UE as being inactive, and

b) request the SMF to perform a local release of all those PDU sessions.

If the PDU session status information element is included in the SERVICE ACCEPT message, then the UE shall perform a local release of all those PDU sessions which are not in 5GSM state PDU SESSION INACTIVE or PDU SESSION ACTIVE PENDING on the UE side associated with the 3GPP access but are indicated by the AMF as being inactive.

If the user-plane resources cannot be established for a PDU session, the AMF shall include the PDU session reactivation result IE in the SERVICE ACCEPT message indicating that user-plane resources for the corresponding PDU session cannot be re-established, and:

a) if the user-plane resources cannot be established because the SMF indicated to the AMF that the UE is located out of the LADN service area (see 3GPP TS 29.502 [20A]), the AMF shall include the PDU session reactivation result error cause IE with the 5GMM cause set to #43 "LADN not available";

b) if the user-plane resources cannot be established because the SMF indicated to the AMF that only prioritized services are allowed (see 3GPP TS 29.502 [20A]), the AMF shall include the PDU session reactivation result error cause IE with the 5GMM cause set to #28 "restricted service area"; or

c) if the user-plane resources cannot be established because:

1) the SMF indicated to the AMF that the resource is not available in the UPF (see 3GPP TS 29.502 [20A]); or

2) the UE is in NB-N1 mode and the result will lead to user-plane resources established for more than two PDU sessions (see 3GPP TS 23.502 [9])

the AMF shall include the PDU session reactivation result error cause IE with the 5GMM cause set to #92"insufficient user-plane resources for the PDU session":

NOTE 3: For a UE that is not in NB-N1 mode, it is up to UE implementation when to re-send a request for user-plane re-establishment for the associated PDU session after receiving a PDU session reactivation result error cause IE with a 5GMM cause set to #92 "insufficient user-plane resources for the PDU session".

If the PDU session reactivation result IE is included in the SERVICE ACCEPT message indicating that the user-plane resources cannot be established for a PDU session that was requested by the UE in the Allowed PDU session status IE, the UE considers the corresponding PDU session to be associated with the non-3GPP access.

For case d) in subclause 5.6.1.1, the UE shall also treat the indication from the lower layers that the RRC connection has been released as successful completion of the procedure. The UE shall reset the service request attempt counter, stop the timer T3517 and enter the state 5GMM-REGISTERED.

Upon receipt of the CONTROL PLANE SERVICE REQUEST message with uplink data:

- if the DDX field of the Release assistance indication IE or the DDX field of the CIoT small data container IE is set to "No further uplink and no further downlink data transmission subsequent to the uplink data transmission is expected" in the message;

- if the AMF decides to forward the uplink data piggybacked in the CONTROL PLANE SERVICE REQUEST message; and

- if the AMF decides to activate the congestion control for transport of user data via the control plane,

then the AMF shall send SERVICE ACCEPT message with the T3448 value IE included.

If the AMF decides to deactivate the congestion control for transport of user data via the control plane, then the AMF shall delete the stored control plane data back-off time for the UE and the AMF shall not include timer T3448 value IE in the SERVICE ACCEPT message.

If the T3448 value IE is present in the received SERVICE ACCEPT message and the value indicates that this timer is neither zero nor deactivated, the UE shall:

a) stop timer T3448 if it is running;

b) consider the transport of user data via the control plane as successful; and

c) start timer T3448 with the value provided in the T3448 value IE.

If the UE is using 5GS services with control plane CIoT 5GS optimization, the T3448 value IE is present in the SERVICE ACCEPT message and the value indicates that this timer is either zero or deactivated, the UE shall ignore the T3448 value IE and proceed as if the T3448 value IE was not present.

If the UE in 5GMM-IDLE mode initiated the service request procedure by sending a CONTROL PLANE SERVICE REQUEST message and the SERVICE ACCEPT message does not include the T3448 value IE and if timer T3448 is running, then the UE shall stop timer T3448.

For case h) in subclause 5.6.1.1,

a) the UE shall treat the indication from the lower layers when the UE has changed to S1 mode as successful completion of the procedure and stop timer T3517;

b) if a UE operating in single-registration mode has changed to S1 mode, it shall disable the N1 mode capability for 3GPP access (see subclause 4.9.2); and

c) the AMF shall not check for CAG restrictions.

If the CONTROL PLANE SERVICE REQUEST message is for emergency services fallback, the AMF triggers the emergency services fallback procedure as specified in subclause 4.13.4.2 of 3GPP TS 23.502 [9].

\*\*\* end of change \*\*\*