**3GPP TSG-CT WG1 Meeting #127-eC1-20arg1**

**Electronic meeting, 16-23 Nov 2020 (was C1-207213)**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **24.501** | **CR** | **2866** | **rev** | **1** | **Current version:** | **17.0.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network |  | Core Network |  |

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| ***Title:*** | NAS signalling connection release when SAR list is received | | | | | | | | | |
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| ***Source to WG:*** | Apple | | | | | | | | | |
| ***Source to TSG:*** | C1 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | 5GProtoc17 | | | | |  | ***Date:*** | | | 2020-11-01 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17)* | |
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| ***Reason for change:*** | | If the UE has set the follow-on-request indicator in the Mobility Registration update procedure, but the network indicates new service are restrictions in the Registration Accept, the pending service might not be continued due to the new SAR list. In consequence if no there is no service pending which would still be allowed, the UE may locally release the established N1 NAS signalling connection upon completion of the registration procedure, rather then to wait until the network releases the connection | | | | | | | | |
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| ***Summary of change:*** | | For the use case above, the UE can locally release the signalling connection, if there is no further pending data/signalling that can be initiated due to SAR. | | | | | | | | |
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| ***Consequences if not approved:*** | | The UE will unnecessarily remain in connected mode without any activity and depending on the implementation on the network side (for follow-on-request cases), this might be a relaitively long duration. | | | | | | | | |
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| ***Clauses affected:*** | | 5.3.1.3 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

\*\*\*\*\* First change \*\*\*\*\*

#### 5.3.1.3 Release of the N1 NAS signalling connection

The signalling procedure for the release of the N1 NAS signalling connection is initiated by the network.

In N1 mode, upon indication from lower layers that the access stratum connection has been released, the UE shall enter 5GMM-IDLE mode and consider the N1 NAS signalling connection released.

If the UE in 3GPP access is configured for eCall only mode as specified in 3GPP TS 31.102 [22] then:

- if the N1 NAS signalling connection that was released had been established for eCall over IMS, the UE shall start timer T3444; and

- if the N1 NAS signalling connection that was released had been established for a call to an HPLMN designated non-emergency MSISDN or URI for test or terminal reconfiguration service, the UE shall start timer T3445.

The UE shall start the timer T3447 if not already running when the N1 NAS signalling connection is released as specified in subclause 5.3.17.

To allow the network to release the N1 NAS signalling connection, the UE:

a) shall start the timer T3540 if the UE receives any of the 5GMM cause values #7, #11, #12, #13, #15, #27, #31, #62, #72, #73, #74, #75, #76;

b) shall start the timer T3540 for a UE in 3GPP access if:

1) the UE receives a REGISTRATION ACCEPT message which does not include a Pending NSSAI IE or UE radio capability ID deletion indication IE;

2) the UE has set the Follow-on request indicator to "No follow-on request pending" in the REGISTRATION REQUEST message;

3) the UE has not included the Uplink data status IE in the REGISTRATION REQUEST message, or the UE has included the Uplink data status IE in the REGISTRATION REQUEST message but the REGISTRATION ACCEPT message indicates that no user-plane resources of any PDU sessions are to be re-established;

4) the UE has not included the Allowed PDU session status IE or has included the Allowed PDU session status IE indicating there is no PDU session(s) for which the UE allowed the user-plane resource to be re-established over 3GPP access in the REGISTRATION REQUEST message, or the UE has included the Allowed PDU session status IE in the REGISTRATION REQUEST message but the REGISTRATION ACCEPT message does not indicate that any user-plane resources of any PDU sessions are to be re-established;

5) the registration procedure has been initiated in 5GMM-IDLE mode;

6) the user-plane resources for PDU sessions have not been set up; and

7) the UE need not request resources for V2X communication over PC5 reference point (see 3GPP TS 23.287 [6C]);

NOTE 1: The lower layers indicate when the user-plane resources for PDU sessions are successfully established or released.

c) shall start the timer T3540 if the UE receives a REGISTRATION REJECT message indicating:

the 5GMM cause value #9 or #10;

d) shall start the timer T3540 if the UE receives a SERVICE REJECT message indicating:

the 5GMM cause value #9, #10 or #28;

e) shall start the timer T3540 if:

1) the UE receives a CONFIGURATION UPDATE COMMAND message containing the Configuration update indication IE with the Registration bit set to "registration requested" and with:

i) either new allowed NSSAI information or new configured NSSAI information or both included;

ii) the network slicing subscription change indication; or

iii) no other parameters;

2) the user-plane resources for PDU sessions have not been set up; and

3) no emergency PDU session has been established;

f) shall start the timer T3540 if:

1) the UE receives a SERVICE ACCEPT message;

2) the UE did not set the Service type IE to "signalling" or "high priority access", the UE has not included the Uplink data status IE in the SERVICE REQUEST message, or the UE has included the Uplink data status IE in the SERVICE REQUEST message but the SERVICE ACCEPT message indicates that no user-plane resources of any PDU sessions are to be re-established;

3) the UE has not included the Allowed PDU session status IE or has included the Allowed PDU session status IE indicating there is no PDU session(s) for which the UE allowed the user-plane resource to be re-established over 3GPP access in the SERVICE ACCEPT message, or the UE has included the Allowed PDU session status IE in the SERVICE REQUEST message but the SERVICE ACCEPT message does not indicate that any user-plane resources of any PDU sessions are to be re-established;

4) the service request procedure has been initiated in 5GMM-IDLE mode;

5) the user-plane resources for PDU sessions have not been set up; and

6) the UE need not request resources for V2X communication over PC5 reference point (see 3GPP TS 23.287 [6C]); or

NOTE 2: The lower layers indicate when the user-plane resources for PDU sessions are successfully established or released.

g) may start the timer T3540 if the UE receives any of the 5GMM cause values #3 or #6 or if it receives an AUTHENTICATION REJECT message.

Upon expiry of T3540,

- in cases a), b), f) and g), the UE shall locally release the established N1 NAS signalling connection;

- in cases c) and d) the UE shall locally release the established N1 NAS signalling connection and the UE shall initiate the registration procedure as described in subclause 5.5.1.3.5 or  5.6.1.5; or

- in case e), the UE shall locally release the established N1 NAS signalling connection and perform a new registration procedure as specified in subclause 5.5.1.3.2.

In case a),

- upon receiving a request from the upper layers to perform emergency service fallback only for a UE in 3GPP access or establishing an emergency PDU session, the UE shall stop timer T3540 and shall locally release the N1 NAS signalling connection, before proceeding as specified in subclause 5.5.1.

In case b) and f),

- upon an indication from the lower layers that the user-plane resources for PDU sessions are set up, the UE shall stop timer T3540 and may send uplink signalling via the existing N1 NAS signalling connection or user data via user plane. If the uplink signalling is associated with emergency services fallback only for a UE in 3GPP access or establishing an emergency PDU session, the UE shall stop timer T3540 and send the uplink signalling via the existing N1 NAS signalling connection;

- upon receipt of a DEREGISTRATION REQUEST message, the UE shall stop timer T3540 and respond to the network-initiated de-registration request via the existing N1 NAS signalling connection as specified in subclause 5.5.2.3;

- upon receipt of a message of a network-initiated 5GMM common procedure, the UE shall stop timer T3540 and respond to the network-initiated 5GMM common procedure via the existing N1 NAS signalling connection as specified in subclause 5.4;

- if there is no user-plane resources established for PDU sessions, upon receiving a request from the upper layers to perform emergency service fallback only for a UE in 3GPP access or establishing an emergency PDU session, the UE shall stop timer T3540 and shall locally release the N1 NAS signalling connection, before proceeding as specified in subclause 5.6.1;

- if there is no user-plane resources established for PDU sessions, upon receiving a request from the upper layers to perform services other than emergency service fallback only for a UE in 3GPP access or establishing an emergency PDU session, the UE shall wait for the local release of the established N1 NAS signalling connection upon expiry of timer T3540 or wait for timer T3540 being stopped, before initiating NAS signalling; or

- upon receipt of a DL NAS TRANSPORT message, the UE shall stop timer T3540 and may send uplink signalling via the existing N1 NAS signalling connection.

In case c) and d),

- upon an indication from the lower layers that the access stratum connection has been released, the UE shall stop timer T3540 and perform a new registration procedure as specified in subclause 5.5.1.3.5 or 5.6.1.5.

- upon receiving a request from the upper layers to perform emergency service fallback only for a UE in 3GPP access or establishing an emergency PDU session, the UE shall stop timer T3540 and shall locally release the N1 NAS signalling connection, before proceeding as specified in subclause 5.5.1.

In case e),

- upon an indication from the lower layers that the access stratum connection has been released, the UE shall stop timer T3540 and perform a new registration procedure as specified in subclause 5.5.1.3.2.

- upon an indication from the lower layers that the user-plane resources for PDU sessions are set up, the UE shall stop timer T3540 and may send user data via user plane.

NOTE 3: In this case, the new registration procedure is performed when the UE moves to the 5GMM-IDLE mode.

- upon receiving a request from the upper layers to perform emergency service fallback only for a UE in 3GPP access or establishing an emergency PDU session, the UE shall stop timer T3540 and shall locally release the N1 NAS signalling connection, before proceeding as specified in subclause 5.5.1.

If the UE had set the Follow-on request indicator to "Follow-on request pending" in the REGISTRATION REQUEST message due to pending uplink signalling but cannot send the pending signalling due to new service area restrictions received or due to network not supporting the feature as indicated in the REGISTRATION ACCEPT message (for example UE set the "Follow-on request pending" to send SMS over NAS but AMF notified "SMS over NAS not allowed") and if there is no further pending data or signalling and user plane resources have not been set up, the UE may locally release the established N1 NAS signalling connection upon completion of the registration procedure.

\*\*\*\*\* End of change \*\*\*\*\*