**3GPP TSG-CT WG1 Meeting #123-eC1-202618**

**Electronic meeting, 16-24 April 2020**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **24.501** | **CR** | **2099** | **rev** | **1** | **Current version:** | **16.4.1** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network | **X** |

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| ***Title:*** | Network-requested PDU session release due to failed or revoked NSSAA | | | | | | | | | |
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| ***Source to WG:*** | Motorola Mobility, Lenovo | | | | | | | | | |
| ***Source to TSG:*** | C1 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | eNS | | | | |  | ***Date:*** | | | 2020-04-03 |
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| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
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| ***Reason for change:*** | | In e-meeting #122-e, in CR C1-200415 Motorola Mobility, Lenovo proposed AMF and SMF procedures to release PDU session after a network slice is no longer available (e.g. due to change of the network configuration, or user subscription, or the NSSAA revocation or failure of S-NSSAI re-authorization). A new 5GSM cause value was added to be transmitted by the network to the UE when a PDU session is released due to unavailable network slice.  However, a new 5GSM cause value is not needed to be sent from the SMF towards the UE, if the AMF initiated NAS MM signalling to the UE can be used for PDU Session release when the authentication and authorization for an S-NSSAI are revoked or failed due to the re-authentication and re-authorization procedure. | | | | | | | | |
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| ***Summary of change:*** | | Added text that the UE releases the context of any assoicated PDU sessions to those S-NSSAI which are rejected due to failed or revoked NSSAA.  Generalized the text that the rejected S-NSSAI may be due to both revoked NSSAA or failed re-NSSAA. | | | | | | | | |
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| ***Consequences if not approved:*** | | Not all the behavior of the UE and the network is covered for releasing a PDU session when the authentication and authorization for the associated S-NSSAI is revoked or failed due to the re-authentication and re-authorization procedure. | | | | | | | | |
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| ***Clauses affected:*** | | 4.6.2.4, 5.4.4.3 | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

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#### 4.6.2.4 Network slice-specific authentication and authorization

The UE and network may support network slice-specific authentication and authorization.

A serving PLMN shall perform network slice-specific authentication and authorization for the S-NSSAI(s) of the HPLMN which are subject to it based on subscription information. The UE shall indicate whether it supports network slice-specific authentication and authorization in the 5GMM Capability IE in the registration procedure.

The upper layer stores an association between each S-NSSAI and its corresponding credentials for the network slice-specific authentication and authorization.

NOTE: The credentials for network slice-specific authentication and authorization and how to provision them in the upper layer are out of the scope of 3GPP.

The network slice-specific authentication and authorization procedure shall not be performed unless:

a) the primary authentication and key agreement procedure as specified in the subclause 5.4.1 has successfully been completed; and

b) the initial registration procedure or the mobility and periodic registration update procedure has been completed.

The AMF informs the UE about S-NSSAI(s) subject to network slice-specific authentication and authorization in the pending NSSAI. The AMF handles allowed NSSAI, pending NSSAI, rejected NSSAI, and 5GS registration result in the REGISTRATION ACCEPT message according to subclauses 5.5.1.2.4 and 5.5.1.3.4.

To perform network slice-specific authentication and authorization for an S-NSSAI, the AMF invokes an EAP- based network slice-specific authorization procedure for the S-NSSAI (see subclause 5.4.7, 3GPP TS 33.501 [24] and 3GPP TS 23.502 [9]).

The AMF updates the allowed NSSAI and the rejected NSSAI using the generic UE configuration update procedure as specified in the subclause 5.4.4 after the network slice-specific authentication and authorization procedure is completed.

The network slice-specific authentication and authorization procedure can be invoked or revoked by an AMF for a UE supporting network slice-specific authentication and authorization at any time. After the network performs the network slice-specific re-authentication and re-authorization procedure or network slice-specific authentication and authorization revocation procedure:

a) if network slice-specific authentication and authorization for some but not all S-NSSAIs in the allowed NSSAI is failed or revoked, the AMF updates the allowed NSSAI and the rejected NSSAI accordingly using the generic UE configuration update procedure as specified in the subclause 5.4.4 and release all PDU sessions associated with the S-NSSAI for which network slice-specific re-authentication and re-authorization is failed or revoked; or

b) if network slice-specific authentication and authorization fails or revoked for all S-NSSAIs in the allowed NSSAI and the pending NSSAI, then AMF performs the network-initiated de-registration procedure and includes the rejected NSSAI in the DEREGISTRATION REQUEST message as specified in the subclause 5.5.2.3 except when the UE has an emergency PDU session established or the UE is establishing an emergency PDU session. In this case the AMF shall send CONFIGURATION UPDATE COMMAND containing rejected NSSAI and release all PDU session associated with the S-NSSAI for which network slice-specific re-authentication and re-authorization fails. After the emergency PDU session is released, the AMF performs the network-initiated de-registration procedure as specified in the subclause 5.5.2.3.

If network slice-specific authentication and authorization is revoked or network slice-specific re-authentication and re-authorization is failed for an S-NSSAI that is in the current allowed NSSAI for an access type, the AMF shall:

a) provide a new allowed NSSAI, excluding the S-NSSAI(s) for which the authorization is revoked; and

b) provide a new reject NSSAI for the failed or revoked NSSAA, including the S-NSSAI for which the NSSAA is failed or revoked, with the reject cause "S-NSSAI is not available due to the failed or revoked network slice-specific authentication and authorization",

to the UE using the generic UE configuration update procedure as specified in the subclause 5.4.4 and release all PDU sessions associated with the S-NSSAI for which the NSSAA is failed or revoked for this access type.

Editor's Note: How to secure that a UE does not wait indefinitely for completion of the network slice-specific authentication and authorization is FFS.

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#### 5.4.4.3 Generic UE configuration update accepted by the UE

Upon receiving the CONFIGURATION UPDATE COMMAND message, the UE shall stop timer T3346 if running and use the contents to update appropriate information stored within the UE.

If "acknowledgement requested" is indicated in the Acknowledgement bit of the Configuration update indication IE in the CONFIGURATION UPDATE COMMAND message, the UE shall send a CONFIGURATION UPDATE COMPLETE message.

If the UE receives a new 5G-GUTI in the CONFIGURATION UPDATE COMMAND message, the UE shall consider the new 5G-GUTI as valid, the old 5G-GUTI as invalid, stop timer T3519 if running, and delete any stored SUCI; otherwise, the UE shall consider the old 5G-GUTI as valid. The UE shall provide the 5G-GUTI to the lower layer of 3GPP access if the CONFIGURATION UPDATE COMMAND message is sent over the non-3GPP access, and the UE is in 5GMM-REGISTERED in both 3GPP access and non-3GPP access in the same PLMN.

If the UE receives a new TAI list in the CONFIGURATION UPDATE COMMAND message, the UE shall consider the new TAI list as valid and the old TAI list as invalid; otherwise, the UE shall consider the old TAI list as valid.

If the UE receives a new truncated 5G-S-TMSI configuration in the CONFIGURATION UPDATE COMMAND message, the UE shall consider the new truncated 5G-S-TMSI configuration as valid and the old truncated 5G-S-TMSI configuration as invalid; otherwise, the UE shall consider the old truncated 5G-S-TMSI configuration as valid.

If the UE receives a new service area list in the CONFIGURATION UPDATE COMMAND message, the UE shall consider the new service area list as valid and the old service area list as invalid; otherwise, the UE shall consider the old service area list, if any, as valid.

If the UE receives new NITZ information in the CONFIGURATION UPDATE COMMAND message, the UE considers the new NITZ information as valid and the old NITZ information as invalid; otherwise, the UE shall consider the old NITZ information as valid.

If the UE receives a LADN information IE in the CONFIGURATION UPDATE COMMAND message, the UE shall consider the old LADN information as invalid and the new LADN information as valid, if any; otherwise, the UE shall consider the old LADN information as valid.

If the UE receives a new allowed NSSAI for the associated access type in the CONFIGURATION UPDATE COMMAND message, the UE shall consider the new allowed NSSAI as valid for the associated access type, store the allowed NSSAI for the associated access type as specified in subclause 4.6.2.2 and consider the old allowed NSSAI for the associated access type as invalid; otherwise, the UE shall consider the old Allowed NSSAI as valid for the associated access type.

If the UE receives a new configured NSSAI in the CONFIGURATION UPDATE COMMAND message, the UE shall consider the new configured NSSAI for the registered PLMN as valid and the old configured NSSAI for the registered PLMN as invalid; otherwise, the UE shall consider the old configured NSSAI for the registered PLMN as valid The UE shall store the new configured NSSAI as specified in subclause 4.6.2.2.

If the UE receives the Network slicing indication IE in the CONFIGURATION UPDATE COMMAND message with the Network slicing subscription change indication set to "Network slicing subscription changed", the UE shall delete the network slicing information for each and every PLMN except for the current PLMN as specified in subclause 4.6.2.2.

If the UE receives Operator-defined access category definitions IE in the CONFIGURATION UPDATE COMMAND message and the Operator-defined access category definitions IE contains one or more operator-defined access category definitions, the UE shall delete any operator-defined access category definitions stored for the RPLMN and shall store the received operator-defined access category definitions for the RPLMN. If the UE receives the Operator-defined access category definitions IE in the CONFIGURATION UPDATE COMMAND message and the Operator-defined access category definitions IE contains no operator-defined access category definitions, the UE shall delete any operator-defined access category definitions stored for the RPLMN. If the CONFIGURATION UPDATE COMMAND message does not contain the Operator-defined access category definitions IE, the UE shall not delete the operator-defined access category definitions stored for the RPLMN.

If the UE receives the SMS indication IE in the CONFIGURATION UPDATE COMMAND message with the SMS availability indication set to:

a) "SMS over NAS not available", the UE shall consider that SMS over NAS transport is not allowed by the network; and

b) "SMS over NAS available", the UE may request the use of SMS over NAS transport by performing a registration procedure for mobility and periodic registration update as specified in subclause 5.5.1.3, after the completion of the generic UE configuration update procedure.

If the UE receives the CAG information list IE in the CONFIGURATION UPDATE COMMAND message, the UE shall delete any stored "CAG information list" and, if the value part of the CAG information list IE is non-empty, shall store the "CAG information list" received in the CAG information list IE as specified in annex C.

If the received "CAG information list" includes an entry containing the identity of the current PLMN, the UE shall operate as follows.

a) If the UE receives the CONFIGURATION UPDATE COMMAND message via a CAG cell, the entry for the current PLMN in the received "CAG information list" does not include any of the CAG-ID(s) supported by the current CAG cell, and:

1) the entry for the current PLMN in the received "CAG information list" does not include an "indication that the UE is only allowed to access 5GS via CAG cells", then the UE shall enter the state 5GMM-REGISTERED.LIMITED-SERVICE and shall search for a suitable cell according to 3GPP TS 38.304 [28] with the updated "CAG information list"; or

2) the entry for the current PLMN in the received "CAG information list" includes an "indication that the UE is only allowed to access 5GS via CAG cells" and:

i) if the entry for the current PLMN in the received "CAG information list" includes one or more CAG-IDs, the UE shall enter the state 5GMM-REGISTERED.LIMITED-SERVICE and shall search for a suitable cell according to 3GPP TS 38.304 [28] with the updated "CAG information list"; or

ii) if the entry for the current PLMN in the received "CAG information list" does not include any CAG-ID and:

A) the UE does not have an emergency PDU session, then the UE shall enter the state 5GMM-DEREGISTERED.PLMN-SEARCH and shall apply the PLMN selection process defined in 3GPP TS 23.122 [6] with the updated "CAG information list"; or

B) the UE has an emergency PDU session, then the UE shall perform a local release of all PDU sessions associated with 3GPP access except for the emergency PDU session; or

b) If the UE receives the CONFIGURATION UPDATE COMMAND message via a non-CAG cell and the entry for the current PLMN in the received "CAG information list" includes an "indication that the UE is only allowed to access 5GS via CAG cells" and:

1) if the "allowed CAG list" for the current PLMN in the received "CAG information list" includes one or more CAG-IDs, the UE shall enter the state 5GMM-REGISTERED.LIMITED-SERVICE and shall search for a suitable cell according to 3GPP TS 38.304 [28] with the updated "CAG information list"; or

2) if the entry for the current PLMN in the received "CAG information list" does not include any CAG-ID and:

i) the UE does not have an emergency PDU session, then the UE shall enter the state 5GMM-DEREGISTERED.PLMN-SEARCH and shall apply the PLMN selection process defined in 3GPP TS 23.122 [6] with the updated "CAG information list"; or

ii) the UE has an emergency PDU session, then the UE shall perform a local release of all PDU sessions associated with 3GPP access except for the emergency PDU session.

If the CONFIGURATION UPDATE COMMAND message indicates "registration requested" in the Registration requested bit of the Configuration update indication IE and:

a) contains no other parameters or contains at least one of the following parameters: a new allowed NSSAI, a new configured NSSAI or the Network slicing subscription change indication, and:

1) an emergency PDU session exists, the UE shall, after the completion of the generic UE configuration update procedure and the release of the emergency PDU session, release the existing N1 NAS signalling connection, and start a registration procedure for mobility and periodic registration update as specified in subclause 5.5.1.3; or

2) no emergency PDU Session exists, the UE shall, after the completion of the generic UE configuration update procedure and the release of the existing N1 NAS signalling connection, start a registration procedure for mobility and periodic registration update as specified in subclause 5.5.1.3; or

b) an MICO indication is included without a new allowed NSSAI or a new configured NSSAI, the UE shall, after the completion of the generic UE configuration update procedure, start a registration procedure for mobility and registration update as specified in subclause 5.5.1.3 to re-negotiate MICO mode with the network.

The UE receiving the rejected NSSAI in the CONFIGURATION UPDATE COMMAND message takes the following actions based on the rejection cause in the rejected S-NSSAI(s):

"S-NSSAI not available in the current PLMN or SNPN"

The UE shall add the rejected S-NSSAI(s) in the rejected NSSAI for the current PLMN as specified in subclause 4.6.2.2 and not attempt to use this S-NSSAI(s) in the current PLMN until switching off the UE, the UICC containing the USIM is removed, or the entry of the "list of subscriber data" with the SNPN identity of the current SNPN is updated.

"S-NSSAI not available in the current registration area"

The UE shall add the rejected S-NSSAI(s) in the rejected NSSAI for the current registration area as specified in subclause 4.6.2.2 and not attempt to use this S-NSSAI(s) in the current registration area until switching off the UE, the UE moving out of the current registration area, the UICC containing the USIM is removed, or the entry of the "list of subscriber data" with the SNPN identity of the current SNPN is updated.

"S-NSSAI is not available due to the failed or revoked network slice-specific authentication and authorization"

The UE shall release any PDU session context associated with the rejected S-NSSAI(s) and add those rejected S-NSSAI(s) in the rejected NSSAI for the failed or revoked NSSAA as specified in subclause 4.6.2.2 and shall not attempt to use this S-NSSAI in the current PLMN over any access until switching off the UE, the UICC containing the USIM is removed, or the entry of the "list of subscriber data" with the SNPN identity of the current SNPN is updated.

If the UE receives a T3447 value IE in the CONFIGURATION UPDATE COMMAND message and has indicated "service gap control supported" in the REGISTRATION REQUEST, then the UE shall replace the stored T3447 value with the received value in the T3447 value IE, and if neither zero nor deactivated use the received T3447 value with the timer T3447 next time it is started. If the received T3447 value is zero or deactivated, then the UE shall stop the timer T3447 if running.

If the UE is not in NB-N1 mode, the UE has set the RACS bit to "RACS supported" in the 5GMM capability IE of the REGISTRATION REQUEST message and the CONFIGURATION UPDATE COMMAND message includes:

a) a UE radio capability ID deletion indication IE set to "Network-assigned UE radio capability IDs requested", the UE shall delete any network-assigned UE radio capability IDs associated with the RPLMN or RSNPN stored at the UE, then the UE shall initiate a registration procedure for mobility and periodic registration update as specified in subclause 5.5.1.3.2; and

b) a UE radio capability ID IE, the UE shall store the UE radio capability ID as specified in annex C.

If the UE is not currently registered for emergency services and the 5GS registration result IE in the CONFIGURATION UPDATE COMMAND message is set to "Registered for emergency services", the UE shall consider itself registered for emergency services.

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