**3GPP TSG-CT WG1 Meeting #123-eC1-20xxxx**

**Electronic meeting, 16-24 April 2020**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **24.501** | **CR** | **0793** | **rev** | **9** | **Current version:** | **16.4.1** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network |  | Core Network | **x** |

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| ***Title:*** | Inclusion of ATTACH REQUEST message in REGISTRATION REQUEST message during initial registration when 5G-GUTI mapped from 4G-GUTI is used | | | | | | | | | |
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| ***Source to WG:*** | Nokia, Nokia Shanghai Bell, Ericsson, Qualcomm Incorporated | | | | | | | | | |
| ***Source to TSG:*** | C1 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | 5GProtoc16 | | | | |  | ***Date:*** | | | 2020-04-21 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
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| ***Reason for change:*** | | In TS 23.502, the following text exits:  - Step 1: If the Registration type is set to "Initial Registration", the UE is not registered in EPS and the UE provides the 5G-GUTI mapped from EPS GUTI as the old GUTI, then the UE includes complete EPS Attach Request in the Registration request message. | | | | | | | | |
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| ***Summary of change:*** | | 1/  The text removed via C1-191567 has been reverted with some adaptations.  2/  The text proposed to be added via C1-190284 has been added. | | | | | | | | |
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| ***Consequences if not approved:*** | | Misalignment between stage 2 and stage 3 specifications | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 5.5.1.2.2, 8.2.6.16 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

##### 5.5.1.2.2 Initial registration initiation

The UE in state 5GMM-DEREGISTERED shall initiate the registration procedure for initial registration by sending a REGISTRATION REQUEST message to the AMF,

a) when the UE performs initial registration for 5GS services;

b) when the UE performs initial registration for emergency services;

c) when the UE performs initial registration for SMS over NAS; and

d) when the UE moves from GERAN to NG-RAN coverage or the UE moves from a UTRAN to NG-RAN coverage and the following applies:

- the UE initiated a GPRS attach or routing area updating procedure while in A/Gb mode or Iu mode; and

- since then the UE did not perform a successful EPS attach or tracking area updating procedure in S1 mode or registration procedure in N1 mode,

with the following clarifications to initial registration for emergency services:

a) the UE shall not initiate an initial registration for emergency services over the current access, if the UE is already registered for emergency services over the non-current access, unless the initial registration has to be initiated to perform handover of an existing emergency PDU session from the non-current access to the current access; and

NOTE 1: Transfer of an existing emergency PDU session between 3GPP access and non-3GPP access is needed e.g. if the UE determines that the current access is no longer available.

b) the UE can only initiate an initial registration for emergency services over non-3GPP access if it cannot register for emergency services over 3GPP access.

The UE initiates the registration procedure for initial registration by sending a REGISTRATION REQUEST message to the AMF, starting timer T3510. If timer T3502 is currently running, the UE shall stop timer T3502. If timer T3511 is currently running, the UE shall stop timer T3511.

During initial registration the UE handles the 5GS mobile identity IE in the following order:

a) if:

1) the UE:

i) was previously registered in S1 mode before entering state EMM-DEREGISTERED; and

ii) has received an "interworking without N26 interface not supported" indication from the network; and

2) EPS security context and a valid 4G-GUTI are available;

then the UE shall create a 5G-GUTI mapped from the valid 4G-GUTI and indicate the mapped 5G-GUTI in the 5GS mobile identity IE. The UE shall include the UE status IE with the EMM registration status set to "UE is not in EMM-REGISTERED state" and shall include an ATTACH REQUEST message as specified in 3GPP TS 24.301 [15] in the EPS NAS message container IE.

Additionally, if the UE holds a valid 5G‑GUTI, the UE shall include the 5G-GUTI in the Additional GUTI IE in the REGISTRATION REQUEST message in the following order:

1) a valid 5G-GUTI that was previously assigned by the same PLMN with which the UE is performing the registration, if available;

2) a valid 5G-GUTI that was previously assigned by an equivalent PLMN, if available; and

3) a valid 5G-GUTI that was previously assigned by any other PLMN, if available;

b) if the UE holds a valid 5G-GUTI that was previously assigned, over 3GPP access or non-3GPP access, by the same PLMN with which the UE is performing the registration, the UE shall indicate the 5G-GUTI in the 5GS mobile identity IE;

c) if the UE holds a valid 5G-GUTI that was previously assigned, over 3GPP access or non-3GPP access, by an equivalent PLMN, the UE shall indicate the 5G-GUTI in the 5GS mobile identity IE;

d) if the UE holds a valid 5G-GUTI that was previously assigned, over 3GPP access or non-3GPP, by any other PLMN, the UE shall indicate the 5G-GUTI in the 5GS mobile identity IE;

e) if a SUCI is available the UE shall include the SUCI in the 5GS mobile identity IE; and

f) if the UE does not hold a valid 5G-GUTI or SUCI, and is initiating the registration procedure for emergency services, the PEI shall be included in the 5GS mobile identity IE.

If the SUCI is included in the 5GS mobile identity IE and the timer T3519 is not running, the UE shall start timer T3519 and store the value of the SUCI sent in the REGISTRATION REQUEST message. The UE shall include the stored SUCI in the REGISTRATION REQUEST message while timer T3519 is running.

If the UE is operating in the dual-registration mode and it is in EMM state EMM-REGISTERED, the UE shall include the UE status IE with the EMM registration status set to "UE is in EMM-REGISTERED state".

NOTE 2: Inclusion of the UE status IE with this setting corresponds to the indication that the UE is "moving from EPC" as specified in 3GPP TS 23.502 [9].

If the last visited registered TAI is available, the UE shall include the last visited registered TAI in the REGISTRATION REQUEST message.

If the UE requests the use of SMS over NAS, the UE shall include the 5GS update type IE in the REGISTRATION REQUEST message with the SMS requested bit set to "SMS over NAS supported". When the 5GS update type IE is included in the REGISTRATION REQUEST for reasons other than requesting the use of SMS over NAS, and the UE does not need to register for SMS over NAS, the UE shall set the SMS requested bit of the 5GS update type IE to "SMS over NAS not supported" in the REGISTRATION REQUEST message.

If the UE supports MICO mode and requests the use of MICO mode, then the UE shall include the MICO indication IE in the REGISTRATION REQUEST message. If the UE requests to use an active time value, it shall include the active time value in the T3324 IE in the REGISTRATION REQUEST message. Additionally, if the UE supports strictly periodic registration timer, the UE shall set the Strictly Periodic Registration Timer Indication bit of the MICO indication IE in the REGISTRATION REQUEST message to "strictly periodic registration timer supported".

If the UE needs to use the UE specific DRX parameters, the UE shall include the Requested DRX parameters IE in the REGISTRATION REQUEST message.

Editor's note: Whether different UE specific DRX parameters are used for NB-N1 mode and how to request them is FFS.

If the UE supports eDRX and requests the use of eDRX, the UE shall include the Requested extended DRX parameters IE in the REGISTRATION REQUEST message.

If the UE needs to request LADN information for specific LADN DNN(s) or indicates a request for LADN information as specified in 3GPP TS 23.501 [8], the UE shall include the LADN indication IE in the REGISTRATION REQUEST message and:

- request specific LADN DNNs by including a LADN DNN value in the LADN indication IE for each LADN DNN for which the UE requests LADN information; or

- to indicate a request for LADN information by not including any LADN DNN value in the LADN indication IE.

The UE shall include the requested NSSAI containing the S-NSSAI(s) corresponding to the slice(s) to which the UE intends to register with and shall include the mapped S-NSSAI(s) for the requested NSSAI, if available, in the REGISTRATION REQUEST message. If the UE has allowed NSSAI or configured NSSAI for the current PLMN, the requested NSSAI shall be either:

a) the configured NSSAI for the current PLMN, or a subset thereof as described below, if the UE has no allowed NSSAI for the current PLMN;

b) the allowed NSSAI for the current PLMN, or a subset thereof as described below, if the UE has an allowed NSSAI for the current PLMN; or

c) the allowed NSSAI for the current PLMN, or a subset thereof as described below, plus one or more S-NSSAIs from the configured NSSAI for which no corresponding S-NSSAI is present in the allowed NSSAI and those are neither in the rejected NSSAI for the current PLMN nor in the rejected NSSAI for the current registration area.

If the UE has neither allowed NSSAI for the current PLMN nor configured NSSAI for the current PLMN and has a default configured NSSAI, the UE shall:

a) include the S-NSSAI(s) in the Requested NSSAI IE of the REGISTRATION REQUEST message using the default configured NSSAI; and

b) include the Network slicing indication IE with the Default configured NSSAI indication bit set to "Requested NSSAI created from default configured NSSAI" in the REGISTRATION REQUEST message.

If the UE has no allowed NSSAI for the current PLMN, no configured NSSAI for the current PLMN, and no default configured NSSAI, the UE shall not include a requested NSSAI in the REGISTRATION message.

The subset of configured NSSAI provided in the requested NSSAI consists of one or more S-NSSAIs in the configured NSSAI applicable to the current PLMN, if the S-NSSAI is neither in the rejected NSSAI for the current PLMN nor in the rejected NSSAI for the current registration area.

The subset of allowed NSSAI provided in the requested NSSAI consists of one or more S-NSSAIs in the allowed NSSAI for the current PLMN.

NOTE 3: How the UE selects the subset of configured NSSAI or allowed NSSAI to be provided in the requested NSSAI is implementation specific. The UE can take preferences indicated by the upper layers (e.g. policies, applications) into account.

NOTE 4: The number of S-NSSAI(s) included in the requested NSSAI cannot exceed eight.

If the UE initiates an initial registration for emergency services or needs to prolong the established NAS signalling connection after the completion of the initial registration procedure (e.g. due to uplink signalling pending), the UE shall set the Follow-on request indicator to "Follow-on request pending".

NOTE 5: The UE does not have to set the Follow-on request indicator to 1, even if the UE has to request resources for V2X communication over PC5 reference point.

If the UE supports S1 mode, the UE shall:

- set the S1 mode bit to "S1 mode supported" in the 5GMM capability IE of the REGISTRATION REQUEST message;

- include the S1 UE network capability IE in the REGISTRATION REQUEST message; and

- if the UE supports sending an ATTACH REQUEST message containing a PDN CONNECTIVITY REQUEST message with request type set to "handover" to transfer a PDU session from N1 mode to S1 mode, set the HO attach bit to "attach request message containing PDN connectivity request with request type set to handover to transfer PDU session from N1 mode to S1 mode supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

If the UE supports the LTE positioning protocol (LPP) in N1 mode as specified in 3GPP TS 36.355 [26], the UE shall set the LPP bit to "LPP in N1 mode supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

If the UE supports the Location Services (LCS) notification mechanisms in N1 mode as specified in 3GPP TS 23.273 [6B], the UE shall set the 5G-LCS bit to "LCS notification mechanisms supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

If the UE is in NB-N1 mode, then the UE shall set the Control plane CIoT 5GS optimization bit to "Control plane CIoT 5GS optimization supported" in the 5GMM capability IE of the REGISTRATION REQUEST message. If the UE is capable of NB-S1 mode, then the UE shall set the Control plane CIoT EPS optimization bit to "Control plane CIoT EPS optimization supported" in the S1 UE network capability IE of the REGISTRATION REQUEST message.

If the UE supports 5G-SRVCC from NG-RAN to UTRAN as specified in 3GPP TS 23.216 [6A], the UE shall:

- set the 5G-SRVCC from NG-RAN to UTRAN capability bit to "5G-SRVCC from NG-RAN to UTRAN supported" in the 5GMM capability IE of the REGISTRATION REQUEST message; and

- include the Mobile station classmark 2 IE and the Supported codecs IE in the REGISTRATION REQUEST message.

If the UE supports service gap control, then the UE shall set the SGC bit to "service gap control supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

If the UE supports the restriction on use of enhanced coverage, the UE shall set the RestrictEC bit to "Restriction on use of enhanced coverage supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

If the UE supports network slice-specific authentication and authorization, the UE shall set the NSSAA bit to "network slice-specific authentication and authorization supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

If the UE supports CAG feature, the UE shall set the CAG bit to "CAG Supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

When the UE is not in NB-N1 mode, if the UE supports RACS, the UE shall:

a) set the RACS bit to "RACS supported" in the 5GMM capability IE of the REGISTRATION REQUEST message;

b) if the UE has an applicable network-assigned UE radio capability ID for the current UE radio configuration in the selected PLMN or SNPN, include the applicable network-assigned UE radio capability ID in the UE radio capability ID IE of the REGISTRATION REQUEST message; and

c) if the UE:

1) does not have an applicable network-assigned UE radio capability ID for the current UE radio configuration in the selected PLMN or SNPN; and

2) has an applicable manufacturer-assigned UE radio capability ID for the current UE radio configuration,

include the applicable manufacturer-assigned UE radio capability ID in the UE radio capability ID IE of the REGISTRATION REQUEST message.

If the UE has one or more stored UE policy sections identified by a UPSI with the PLMN ID part indicating the HPLMN or the selected PLMN, the UE shall set the Payload container type IE to "UE policy container" and include the UE STATE INDICATION message (see annex D) in the Payload container IE of the REGISTRATION REQUEST message.

NOTE 6: In this version of the protocol, the UE can only include the Payload container IE in the REGISTRATION REQUEST message to carry a payload of type "UE policy container".

If the UE does not have a valid 5G NAS security context, the UE shall send the REGISTRATION REQUEST message without including the NAS message container IE. The UE shall include the entire REGISTRATION REQUEST message (i.e. containing cleartext IEs and non-cleartext IEs, if any) in the NAS message container IE that is sent as part of the SECURITY MODE COMPLETE message as described in subclauses 4.4.6 and 5.4.2.3.

If the UE has a valid 5G NAS security context and the UE needs to send non-cleartext IEs, the UE shall send a REGISTRATION REQUEST message including the NAS message container IE as described in subclause 4.4.6. If the UE does not need to send non-cleartext IEs, the UE shall send a REGISTRATION REQUEST message without including the NAS message container IE.

If the UE supports ciphered broadcast assistance data and needs to obtain new ciphering keys, the UE shall include the Additional information requested IE with the CipherKey bit set to "ciphering keys for ciphered broadcast assistance data requested" in the REGISTRATION REQUEST message.

The UE shall set the WUSA bit to "WUS assistance information reception supported" in the 5GMM capability IE if the UE supports WUS assistance information. The UE may include its UE paging probability information in the Requested WUS assistance information IE if the UE has set the WUSA bit to "WUS assistance information reception supported" in the 5GMM capability IE.

If the REGISTRATION REQUEST message includes a NAS message container IE, the AMF shall process the REGISTRATION REQUEST message that is obtained from the NAS message container IE as described in subclause 4.4.6.

If the UE supports V2X as specified in 3GPP TS 24.587 [19B], the UE shall set the V2X bit to "V2X supported" in the 5GMM capability IE of the REGISTRATION REQUEST message. If the UE supports V2X communication over E-UTRA-PC5 as specified in 3GPP TS 24.587 [19B], the UE shall set the V2XCEPC5 bit to "V2X communication over E-UTRA-PC5 supported" in the 5GMM capability IE of the REGISTRATION REQUEST message. If the UE supports V2X communication over NR-PC5 as specified in 3GPP TS 24.587 [19B], the UE shall set the V2XCNPC5 bit to "V2X communication over NR-PC5 supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

If the W-AGF acting on behalf of an N5GC device initiates an initial registration as specified in 3GPP TS 23.316 [6D], the W-AGF acting on behalf of the N5GC device shall include the N5GC indication IE with the N5GC device indication bit set to "N5GC device registration is requested" in the REGISTRATION REQUEST message.



Figure 5.5.1.2.2.1: Registration procedure for initial registration

\*\*\*\*\* Next change \*\*\*\*\*

#### 8.2.6.16 EPS NAS message container

The UE operating in the single-registration mode shall include this information element if the UE performs mobility from S1 mode to N1 mode. The content of this message container is the complete integrity protected TRACKING AREA UPATE REQUEST message, using EPS security context.

The UE performing initial registration shall include this information element if

a) the UE:

1) was previously registered in S1 mode before entering state EMM-DEREGISTERED; and

2) has received an "interworking without N26 interface not supported" indication from the network; and

b) EPS security context and a valid 4G-GUTI are available.

The content of this message container is the complete integrity protected ATTACH REQUEST message, using EPS security context.