**3GPP TSG-CT WG1 Meeting #133-eC1-21XXXX**

**E-meeting, 11-19 November 2021**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **24.501** | **CR** | **3721** | **rev** | **1** | **Current version:** | **17.4.1** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network |  | Core Network | **X** |

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| ***Title:*** | 5GSM message not forwarded in case of NSAC reject | | | | | | | | | |
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| ***Source to WG:*** | ZTE, Huawei, HiSilicon | | | | | | | | | |
| ***Source to TSG:*** | C1 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | eNS\_Ph2 | | | | |  | ***Date:*** | | | 2021-11-02 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) ... Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
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| ***Reason for change:*** | | If the EAC mode is deactivated, an S-NSSAI may be added in the allowed NSSAI during the registration procedure. Then the UE can request to establish a PDU session with such S-NSSAI. If NSACF rejects the request because the maximum number of UEs for a network slice associated with such S-NSSAI has been reached, the AMF initiates a UCU procedure to update the rejected NSSAI. If the UL NAS transport procedure is initiated before the UE receives the CONFIGURATION UPDATE COMMAND message, the AMF may receive a UL NAS TRANSPORT message with a rejected S-NSSAI for the maximum number of UEs reached. In such case, the AMF shall not forward the 5GSM message to the SMF and send back to the UE the 5GSM message.  If there is an existing PDU session associated with such rejected S-NSSAI, the AMF shall inform the SMF to release all PDU sessions associated with the rejected S-NSSAI for the maximum number of UEs reached. | | | | | | | | |
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| ***Summary of change:*** | | If the AMF receives a UL NAS TRANSPORT message with a rejected S-NSSAI for the maximum number of UEs reached, the AMF shall not forward the 5GSM message to the SMF and send back to the UE the 5GSM message.  If there is an existing PDU session associated with such rejected S-NSSAI, the AMF shall inform the SMF to release all PDU sessions associated with the rejected S-NSSAI for the maximum number of UEs reached. | | | | | | | | |
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| ***Consequences if not approved:*** | | A case of UE-initiated NAS transport of messages not accepted by the network is missed. | | | | | | | | |
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| ***Clauses affected:*** | | 4.6.2.5, 5.4.5.2.4, 5.4.5.3.1 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

\*\*\*\*\* First change \*\*\*\*\*

#### 4.6.2.5 Mobility management based network slice admission control

A serving PLMN or SNPN can perform network slice admission control for the S-NSSAI(s) subject to NSAC to monitor and control the number of registered UEs per network slice. The timing of the network slice admission control is managed by the EAC mode, which can be either activated or deactivated for the network performing network slice admission control.

If the UE has indicated support and the EAC mode is activated, the AMF performs network slice admission control before the S-NSSAI subject to NSAC is included in the allowed NSSAI sent to the UE. During a registration procedure, if the AMF determines that the maximum number of UEs has been reached for:

a) one or more S-NSSAIs but not all S-NSSAIs in the requested NSSAI, then the AMF includes the allowed NSSAI and the rejected NSSAI accordingly in the REGISTRATION ACCEPT message as specified in the subclauses 5.5.1.2.4 and 5.5.1.3.4;

b) all S-NSSAIs in the requested NSSAI but there are one or more subscribed S-NSSAIs marked as default which can be allowed to the UE, then the AMF includes the allowed NSSAI containing these subscribed S-NSSAIs marked as default and the rejected NSSAI accordingly in the REGISTRATION ACCEPT message as specified in the subclauses 5.5.1.2.4 and 5.5.1.3.4; or

c) all S-NSSAIs in the requested NSSAI and there are no subscribed S-NSSAIs marked as default which can be allowed to the UE, then the AMF includes the rejected NSSAI accordingly in the REGISTRATION REJECT message as specified in the subclauses 5.5.1.2.5 and 5.5.1.3.5.

If the UE has indicated support and the EAC mode is deactivated, the AMF performs network slice admission control after the S-NSSAI subject to NSAC is included in the allowed NSSAI sent to the UE. After the network performs the network slice admission control, if the AMF determines that the maximum number of UEs has been reached for:

a) one or more S-NSSAIs but not all S-NSSAIs in the allowed NSSAI, then the AMF updates the allowed NSSAI and the rejected NSSAI accordingly using the generic UE configuration update procedure as specified in the subclause 5.4.4 and informs the SMF to release all PDU sessions associated with the rejected S-NSSAI(s) for the maximum number of UEs reached;

b) for all S-NSSAIs in the allowed NSSAI but there are one or more subscribed S-NSSAIs marked as default which can be allowed to the UE, then the AMF updates the allowed NSSAI containing these subscribed S-NSSAIs marked as default and the rejected NSSAI accordingly using the generic UE configuration update procedure as specified in the subclause 5.4.4; or

c) for all S-NSSAIs in the allowed NSSAI and there are no subscribed S-NSSAIs marked as default which can be allowed to the UE, then the AMF performs the network-initiated de-registration procedure and includes the rejected NSSAI in the DEREGISTRATION REQUEST message as specified in the subclause 5.5.2.3 except when the UE has an emergency PDU session established or the UE is establishing an emergency PDU session.

When the UE has an emergency PDU session established or the UE is establishing an emergency PDU session, the AMF updates the rejected NSSAI using the generic UE configuration update procedure as specified in the subclause 5.4.4 and informs the SMF to release all PDU sessions associated with the S-NSSAI. During the generic UE configuration update procedure, the AMF includes the 5GS registration result IE in the CONFIGURATION UPDATE COMMAND message and sets the Emergency registered bit of the 5GS registration result IE to "Registered for emergency services". After the emergency PDU session is released, the AMF performs the network-initiated de-registration procedure as specified in the subclause 5.5.2.3.

Editor's note [WI: eNS-Ph2, CR#3417]: Whether NSAC is applicable in an SNPN is FFS.

Based on operator policy, the mobility management based network slice admission control is not applicable for the S-NSSAI included in the AMF emergency configuration data.

\*\*\*\*\* Next change \*\*\*\*\*

##### 5.4.5.2.4 UE-initiated NAS transport of messages not accepted by the network

Upon reception of an UL NAS TRANSPORT message, if the Payload container type IE is set to "N1 SM information" and the UE is not configured for high priority access in selected PLMN, and:

a) if the Request type IE is set to "initial request", "existing PDU session" or "MA PDU request";

1) DNN based congestion control is activated for the DNN included in the UL NAS TRANSPORT message, or DNN based congestion control is activated for the selected DNN in case of no DNN included in the UL NAS TRANSPORT message, e.g. configured by operation and maintenance, the AMF shall send back to the UE the 5GSM message which was not forwarded, a back-off timer value and 5GMM cause #22 "congestion" as specified in subclause 5.4.5.3.1 case f);

2) S-NSSAI and DNN based congestion control is activated for the S-NSSAI and DNN included in the UL NAS TRANSPORT message, or S-NSSAI and DNN based congestion control is activated for the S-NSSAI included in the UL NAS TRANSPORT message and the selected DNN in case of no DNN included in the UL NAS TRANSPORT message, or S-NSSAI and DNN based congestion control is activated for the selected S-NSSAI in case of no S-NSSAI included in the UL NAS TRANSPORT message and the DNN included in the UL NAS TRANSPORT message, or S-NSSAI and DNN based congestion control is activated for the selected S-NSSAI and the selected DNN in case of no S-NSSAI and no DNN included in the UL NAS TRANSPORT message, e.g. configured by operation and maintenance, the AMF shall send back to the UE the 5GSM message which was not forwarded, a back-off timer value and 5GMM cause #67 "insufficient resources for specific slice and DNN" as specified in subclause 5.4.5.3.1 case f);

3) S-NSSAI only based congestion control is activated for the S-NSSAI included in the UL NAS TRANSPORT message, or S-NSSAI based congestion control is activated for the selected S-NSSAI in case of no S-NSSAI included in the UL NAS TRANSPORT message, e.g. configured by operation and maintenance, the AMF shall send back to the UE the 5GSM message which was not forwarded, a back-off timer value and 5GMM cause #69 "insufficient resources for specific slice" as specified in subclause 5.4.5.3.1 case f);

b) void;

c) if the Request type IE is set to "modification request" and the PDU session is not an emergency PDU session;

1) DNN based congestion control is activated for the stored DNN, e.g. configured by operation and maintenance, the AMF shall send back to the UE the 5GSM message which was not forwarded, a back-off timer value and 5GMM cause #22 "congestion" as specified in subclause 5.4.5.3.1 case f);

2) S-NSSAI and DNN based congestion control is activated for the stored S-NSSAI and DNN, e.g. configured by operation and maintenance, the AMF shall send back to the UE the 5GSM message which was not forwarded, a back-off timer value and 5GMM cause #67 "insufficient resources for specific slice and DNN" as specified in subclause 5.4.5.3.1 case f);

3) S-NSSAI only based congestion control is activated for the stored S-NSSAI, e.g. configured by operation and maintenance, the AMF shall send back to the UE the 5GSM message which was not forwarded, a back-off timer value and 5GMM cause #69 "insufficient resources for specific slice" as specified in subclause 5.4.5.3.1 case f); or

d) the timer T3447 is running and the UE does not support service gap control:

1) the Request type IE:

i) is set to "initial request";

ii) is set to "existing PDU session"; or

iii) is set to "modification request" and the PDU session being modified is a non-emergency PDU session;

2) the current NAS signalling connection was not triggered by paging; and

3) mobile terminated signalling has not been sent or no user-plane resources have been established for any PDU session after the establishment of the current NAS signalling connection,

the AMF shall send back to the UE the message which was not forwarded, send the 5GMM cause #22 "Congestion", and may include a back-off timer set to the remaining time of the timer T3447 as specified in subclause 5.4.5.3.1 case f).

Upon reception of a UL NAS TRANSPORT message, if the Payload container type IE is set to "N1 SM information", the Request type IE is set to "initial request", "existing PDU session" or "MA PDU request", and the AMF determines that the PLMN's maximum number of PDU sessions has already been reached for the UE, the AMF shall send back to the UE the 5GSM message which was not forwarded and 5GMM cause #65 "maximum number of PDU sessions reached" as specified in subclause 5.4.5.3.1 case h).

Upon reception of a UL NAS TRANSPORT message, if the Payload container type IE is set to "N1 SM information", the Request type IE is set to "initial request", and

a) the UE is in NB-N1 mode;

b) the UE has indicated preference for user plane CIoT 5GS optimization;

c) the network accepted the use of user plane CIoT 5GS optimization; and

d) the AMF determines that there are user-plane resources established for a number of PDU sessions that is equal to the UE' s maximum number of supported user-plane resources (see 3GPP TS 23.501 [8]),

the AMF shall either:

a) send back to the UE the message which was not forwarded as specified in in subclause 5.4.5.3.1 case h1); or

b) proceed with the PDU session establishment and include the Control Plane CIoT 5GS Optimisation indication or Control Plane Only indicator to the SMF.

Upon reception of an UL NAS TRANSPORT message, if the Payload container type IE is set to "CIoT user data container", the UE is not configured for high priority access in selected PLMN, and:

a) the timer T3447 is running and the UE does not support service gap control;

b) the current NAS signalling connection was not triggered by paging; and

c) mobile terminated signalling has not been sent or no user-plane resources have been established for any PDU session after the establishment of the current NAS signalling connection;

the AMF shall send back to the UE the CIoT user data which was not forwarded, send the 5GMM cause #22 "Congestion", and include a back-off timer set to the remaining time of the timer T3447 as specified in subclause 5.4.5.3.1 case l2).

Upon reception of a UL NAS TRANSPORT message, if the Payload container type IE is set to "N1 SM information", the Request type IE is set to "existing PDU session", and

a) the UE is in NB-N1 mode;

b) the UE has indicated preference for user plane CIoT 5GS optimization;

c) the network accepted the use of user plane CIoT 5GS optimization; and

d) the AMF determines that there are user-plane resources established for a number of PDU sessions that equals to the UE's maximum number of supported user-plane resources (see 3GPP TS 23.501 [8]),

the AMF shall send back to the UE the message which was not forwarded as specified in in subclause 5.4.5.3.1 case h1).

Upon reception of an UL NAS TRANSPORT message, if the Payload container type IE is set to "N1 SM information", the Request type IE is set to "initial request" or "modification request", the associated S-NSSAI that the AMF determined through the S-NSSAI IE or the PDU session ID IE is an S-NSSAI for which the AMF is performing NSSAA, and the AMF determines to not forward the 5GSM message to the SMF based on local policy, the AMF shall send back to the UE the 5GSM message which was not forwarded as specified in subclause 5.4.5.3.1 case h2).

Upon reception of an UL NAS TRANSPORT message, if the Payload container type IE is set to "N1 SM information", the Request type IE is set to "initial request" or "modification request", the associated S-NSSAI that the AMF determined through the S-NSSAI IE or the PDU session ID IE is an S-NSSAI which has been included in the rejected NSSAI with the rejection cause "S-NSSAI not available due to the maximum number of UEs reached", the AMF shall not forward the 5GSM message to the SMF and send back to the UE the 5GSM message which was not forwarded as specified in subclause 5.4.5.3.1 case h4).

Upon reception of an UL NAS TRANSPORT message, if the Payload container type IE is set to "SMS" or "LTE Positioning Protocol (LPP) message container", the UE is not configured for high priority access in selected PLMN, and:

a) the timer T3447 is running and the UE does not support service gap control;

b) the current NAS signalling connection was not triggered by paging; and

c) mobile terminated signalling has not been sent or no user-plane resources have been established for any PDU session after the establishment of the current NAS signalling connection;

the AMF shall abort the procedure.

NOTE: In this state the NAS signalling connection can be released by the network.

\*\*\*\*\* Next change \*\*\*\*\*

##### 5.4.5.3.1 General

The purpose of the network-initiated NAS transport procedure is to provide a transport of:

a) a single 5GSM message;

b) SMS;

c) an LPP message;

d) an SOR transparent container;

e) a single uplink 5GSM message which was not forwarded due to routing failure;

f) a single uplink 5GSM message which was not forwarded due to congestion control;

g) a UE policy container;

h) a single uplink 5GSM message which was not forwarded, because the PLMN's maximum number of PDU sessions has been reached;

h1) a single uplink 5GSM message which was not forwarded, because the maximum number of PDU sessions with active user-plane resources has been reached;

h2) a single uplink 5GSM message which was not forwarded, because of ongoing network slice-specific authentication and authorization procedure for the S-NSSAI that is requested;

h3) a single uplink 5GSM message which was not forwarded, because the UE requested to establish an MA PDU session for LADN DNN;

h4) a single uplink 5GSM message which was not forwarded, because the maximum number of UEs for a network slice has been reached;

i) a single uplink 5GSM message which was not forwarded due to service area restrictions;

i1) a single uplink 5GSM message which was not forwarded because the UE is registered to a PLMN via a satellite NG-RAN cell that is not allowed to operate at the present UE location;

j) a UE parameters update transparent container;

k) a location services message;

l) a CIoT user data container;

l1) a single uplink CIoT user data container or control plane user data which was not forwarded due to routing failure;

l2) a single uplink CIoT user data container which was not forwarded due to congestion control;

m) a Service-level-AA container; or

n) multiple of the above types.

from the AMF to the UE in a 5GMM message.

\*\*\*\*\* End of change \*\*\*\*\*