**3GPP TSG-CT WG1 Meeting #133-eC1-21xxxx**

**E-meeting, 11-19 November 2021 *revision of C1-215641, C1-216131, C1-216590***

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **23.122** | **CR** | **0784** | **rev** | **3** | **Current version:** | **17.4.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps | **X** | ME | **X** | Radio Access Network |  | Core Network | **X** |

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| ***Title:*** | Clarifying the conditions when SOR-CMCI is empty | | | | | | | | | |
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| ***Source to WG:*** | NTT DOCOMO, Apple | | | | | | | | | |
| ***Source to TSG:*** | C1 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | eCPSOR\_CON | | | | |  | ***Date:*** | | | 2021-11-12 |
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| ***Category:*** | **C** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) ... Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
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| ***Reason for change:*** | | In CT1#131e, the CRs in C1-215027 and C1-215028 introduced the UE behaviour when SOR-CMCI is empty, however some corrections and clarifications are required to align the proposed text in the 2 CRs. | | | | | | | | |
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| ***Summary of change:*** | | 1- Based on the agreed text in C.4.1, if the received SOR-CMCI has no rules but an indication to store the SOR-CMCI, then the stored SOR-CMCI is deleted.  2- in C.4.2, aligned the use of the term "no Tsor-cm timer started for the PDU session or service", also proposed to change the term to "no Tsor-cm timer started for ***any*** PDU session or service".  3- other alignments of the used terms. | | | | | | | | |
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| ***Consequences if not approved:*** | | Unclarity of the text in the spec that may lead to mis-implementation. | | | | | | | | |
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| ***Clauses affected:*** | | C.4.1, C.4.2 | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
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| ***This CR's revision history:*** | | Rev-2:  1- corrected the formatting in C.4.1  2- added the new condition whenan empty SOR-CMCI is received in a secured packet.  3- corrected cover page  4- editorial (*stored in the non-volatile memory in the ME => stored in the non-volatile memory of the ME*). | | | | | | | | |

### C.4.1 General

The HPLMN, based on operator policy, may provide the UE with SOR-CMCI to control the timing when the UE enters idle mode and perform higher priority PLMN /access technology selection. This is achieved by the HPLMN indicating to the UE the criteria for releasing specific PDU session(s) or services to enter idle mode.

NOTE 1: The released PDU sessions may be re-established by the application once the UE successfully registers on a higher priority PLMN. User interaction is required for some applications.

The HPLMN may configure the SOR-CMCI in the UE, and may also provide the SOR-CMCI to the UE over N1 NAS signalling. The SOR-CMCI received over N1 NAS signalling takes precedence over the SOR-CMCI stored in the non-volatile memory of the ME or stored in the USIM.

NOTE 2: The SOR-CMCI received over N1 NAS signalling in the SOR information is either the SOR-CMCI in the USAT REFRESH with command qualifier of type "Steering of Roaming" (see 3GPP TS 31.111 [41]) which is received in a secured packet, or the SOR-CMCI received in plain text.

If the UE receives SOR information containing the list of preferred PLMN/access technology combinations without SOR-CMCI, or the ME receives USAT REFRESH with command qualifier (see 3GPP TS 31.111 [41]) of type "Steering of Roaming" without SOR-CMCI, then:

1) if the UE has SOR-CMCI stored in the non-volatile memory of the ME, the UE shall use the SOR-CMCI stored in the non-volatile memory of the ME; and

2) if the UE has no SOR-CMCI stored in the non-volatile memory of the ME, the UE shall use the SOR-CMCI stored in the USIM, if any.

The UE shall delete the stored SOR-CMCI, if any, in the non-volatile memory of the ME and store the received SOR-CMCI in the non-volatile memory of the ME when:

1) the ME receives SOR-CMCI in the USAT REFRESH with command qualifier (see 3GPP TS 31.111 [41]) of type "Steering of Roaming"; or

2) the UE receives the steering of roaming information containing the SOR-CMCI over N1 NAS signalling and the UE receives the "Store the SOR-CMCI in the ME" indicator;

The ME shall not delete the SOR-CMCI when the UE is switched off. The ME shall delete the SOR-CMCI when a new USIM is inserted.

SOR-CMCI consists of SOR-CMCI rules. Each SOR-CMCI rule consists of the following parameters:

i) a criterion of one of the following types:

- PDU session attribute type criterion;

- service type criterion; or:

- match all type criterion; and

ii) a value for Tsor-cm timer associated with each criterion presented in i) indicating the time the UE shall wait before releasing the PDU sessions or the services and entering idle mode.

SOR-CMCI contains zero, one or more SOR-CMCI rules with PDU session attribute type criterion, zero, one or more SOR-CMCI rules with service type criterion, and zero or one SOR-CMCI rule with match all type criterion.

PDU session attribute type criterion consists of one of the following:

a) DNN of the PDU session;

b) S-NSSAI STT of the PDU session; or

c) S-NSSAI SST and SD of the PDU session.

Service type criterion consists of one of the following:

a) IMS registration related signalling;

b) MMTEL voice call;

c) MMTEL video call; or

d) MO SMS over NAS or MO SMSoIP.

Match all type criterion consists of:

a) match all.

Editor's note: How to specify handling of the match all criterion to make the lowest priority in the SOR-CMCI criteria is FFS.

When the SOR-CMCI received by the UE over N1 NAS signalling contains no SOR-CMCI rules, the UE shall act as if no SOR-CMCI is configured. Additionally:

- if the SOR-CMCI is received in plain text and it also contains the "Store SOR-CMCI in ME" indicator, the UE shall delete the stored SOR-CMCI in the non-volatile memory of the ME, if any; and

- if the SOR-CMCI is received in a secured packet, and the USIM provides the ME with the SOR-CMCI in the USAT REFRESH with command qualifier of type "Steering of Roaming" (see 3GPP TS 31.111 [41]), then the UE shall delete the stored SOR-CMCI in the non-volatile memory of the ME, if any. The HPLMN may delete or deactivate the SOR-CMCI file in the USIM (see 3GPP TS 31.102 [40]).

NOTE X: Deleting SOR-CMCI in the USIM means setting the length of SOR-CMCI content to zero (see 3GPP TS 24.501 [64]), whereas deactivating SOR-CMCI in the USIM means removing it from the USIM. The UE behaviour is different in each case.

If there are more than one criterion applicable for a PDU session (ex. a criterion for the PDU session and another one for the service) then the timer Tsor-cm with the highest value shall apply.

If there are more than one criterion applicable to different ongoing PDU sessions or services leading to multiple applicable Tsor-cm timers, then all the applicable Tsor-cm timers shall be started. Further handling of such cases is described in clause C.4.2.

If the value for Tsor-cm timer equals "infinity" then the UE shall wait until the PDU session is released or the service is stopped.

The timer Tsor-cm is applicable only if the UE is in automatic network selection mode.

Upon switching to the manual network selection mode, the UE shall stop any timer Tsor-cm, if running. In this case, the UE is not required to enter idle mode and perform the de-registration procedure.

The UE shall consider the following services as exempted from being forced to release the related established PDU session, if any, enter idle mode and perform high priority PLMN/Access technology selection. These services are known to the UE by default and the UE shall not follow the SOR-CMCI criteria even if configured to interrupt such services:

i) emergency services.

The UE configured with high priority access in the selected PLMN shall consider all services to be exempted from being forced to release or to release the related established PDU session, if any, enter idle mode and perform high priority PLMN/Access technology selection.

The user may configure the UE with a "user controlled list of services exempted from release due to SOR", consisting of one or more of the following:

i) MMTEL voice call;

ii) MMTEL video call; and

ii) SMS over NAS or SMSoIP.

If the UE has a configured "user controlled list of services exempted from release due to SOR" which is stored in the non-volatile memory of the ME, the "user controlled list of services exempted from release due to SOR" shall be deleted when a new USIM is inserted.

The UE shall set the value for Tsor-cm timer for all services included in the "user controlled list of services exempted from release due to SOR" to infinity.

Editor's Note: It is FFS how to ensure that the HPLMN can control if the UE can have a configured "user controlled list of services exempted from release due to SOR" and/or is aware that the UE has a configured "user controlled list of services exempted from release due to SOR", and/or the user is having a service that matches one of the services included in the "user controlled list of services exempted from release due to SOR" during SOR.

### C.4.2 Applying SOR-CMCI in the UE

During SOR procedure and while applying SOR-CMCI, the UE shall determine the time to release the PDU session(s) or the services as follows:

- If the UE has a configured "user controlled list of services exempted from release due to SOR" and a matching criterion is found for a service included in the "user controlled list of services exempted from release due to SOR", the UE shall set the Tsor-cm timer associated to the service to infinity and shall ignore the corresponding SOR-CMCI rules for this service;

- If one or more SOR-CMCI rules are included in SOR-CMCI, where for each criterion:

a) DNN of the PDU session:

the UE shall check whether it has a PDU session with a DNN matching to the DNN included in SOR-CMCI, and if any, the UE shall, if the timer value is not zero, start an associated timer Tsor-cm with the value included in the SOR-CMCI;

b) S-NSSAI SST of the PDU session:

the UE shall check whether it has a PDU session with a S-NSSAI SST matching the S-NSSAI SST included in SOR-CMCI, and if any, the UE shall, if the timer value is not zero, start an associated timer Tsor-cm with the value included in the SOR-CMCI;

b1) S-NSSAI SST and SD of the PDU session:

the UE shall check whether it has a PDU session with a S-NSSAI SST and SD matching the S-NSSAI SST and SD included in SOR-CMCI, and if any, the UE shall set the associated timer Tsor-cm to the value included in the SOR-CMCI;

c) IMS registration related signalling:

the UE shall check whether IMS registration related signalling is ongoing as specified in 3GPP TS 24.501 [64], and if it is ongoing, the UE shall, if the timer value is not zero, start an associated timer Tsor-cm with the value included in the SOR-CMCI;

d) MMTEL voice call:

the UE shall check whether MMTEL voice call is ongoing as specified in 3GPP TS 24.501 [64], and if it is ongoing, the UE shall, if the timer value is not zero, start an associated timer Tsor-cm with the value included in the SOR-CMCI;

e) MMTEL video call:

the UE shall check whether MMTEL video call is ongoing as specified in 3GPP TS 24.501 [64], and if it is ongoing, the UE shall, if the timer value is not zero, start an associated timer Tsor-cm with the value included in the SOR-CMCI;

f) MO SMS over NAS or MO SMSoIP:

the UE shall check whether MO SMS over NAS or MO SMSoIP services is ongoing as specified in TS 24.501 [64], and if it is ongoing, the UE shall, if the timer value is not zero, start an associated timer Tsor-cm with the value included in the SOR-CMCI; or

g) match all:

the UE shall, if the timer value is not zero, start an associated timer Tsor-cm with the value included in the SOR-CMCI.

If the SOR-CMCI is available, and:

- contains no SOR-CMCI rule (the contents of the SOR-CMCI are empty);

- there are one or more SOR-CMCI rules but there is no criterion matched with any ongoing PDU session or service; or

- there are one or more SOR-CMCI rules and there is one or more criteria matched with an ongoing PDU session or service, but the highest Tsor-cm timer value associated with the matched criteria is equal to zero;

then there is no Tsor-cm timer started for any PDU session or service.

While one or more Tsor-cm timers are running, the UE shall check the newly established PDU session or service for a matching criterion in the SOR-CMCI:

- If the UE has a configured "user controlled list of services exempted from release due to SOR" and a matching criterion is found for a service included in the "user controlled list of services exempted from release due to SOR", the UE shall set the Tsor-cm timer associated to the service to infinity;

- If a matching criterion is found and the applicable Tsor-cm timer indicated the value "infinity" then the UE shall set the Tsor-cm timer associated to the PDU session or the service to infinity; or

- For all other cases, if a matching criterion is found then the UE shall set the Tsor-cm timer associated to the newly established PDU session, or service, with the exception that if the value of the Tsor-cm timer exceeds the highest value among the current values of all running Tsor-cm timers, then the value of the Tsor-cm timer for the new PDU session or service shall be set to the highest value among the current values of all running Tsor-cm timers.

NOTE 1: For newly established PDU session or service as described above, the timer is set irrespective of whether other ongoing PDU sessions or services that match the same criteria exist and for which corresponding Tsor-cm timers are running.

NOTE 2: NAS 5GMM layer will receive an explicit indication from the upper layers that a service is started or stopped. When a service is started, it is handled as a new service in the procedures described in this clause.

NOTE 3: While one or more Tsor-cm timers are running, the UE can trigger any 5GSM procedure or start new services.

While one or more Tsor-cm timers are running, upon receiving a new SOR-CMCI as described in annex C.4.3, the UE shall check if there is a matching criterion found for any ongoing PDU session or service in the new SOR-CMCI:

- if the UE has a configured "user controlled list of services exempted from release due to SOR" and a matching criterion is found for a service included in the "user controlled list of services exempted from release due to SOR", the UE shall set the Tsor-cm timer associated to the service to infinity;

- if a matching criterion is found and the value of Tsor-cm timer in the new SOR-CMCI indicates the value "infinity", then the Tsor-cm timer value for the associated PDU session or service shall be set to infinity;

- if a matching criterion is found and the value of Tsor-cm timer in the new SOR-CMCI is other than infinity and is smaller than the current value of the running Tsor-cm timer for the associated PDU session or service, then the Tsor-cm timer value for the associated PDU session or service shall be replaced with the value in the new SOR-CMCI without stopping and restarting the timer; or

- for all other cases, the running Tsor-cm timers for the associated PDU sessions or services are kept unchanged.

While one or more Tsor-cm timers are running, upon an update of the "user controlled list of services exempted from release due to SOR" by the user, the UE shall check if any ongoing service is included in the updated "user controlled list of services exempted from release due to SOR":

a) if an ongoing service is included in the updated "user controlled list of services exempted from release due to SOR", and the current value of the running Tsor-cm timer for the associated service is not infinity, then the Tsor-cm timer value for the associated service shall be set to infinity;

b) if no ongoing service is included in the updated "user controlled list of services exempted from release due to SOR", and the current value of the running Tsor-cm timer for the associated service was previously set to infinity, then the UE shall check if there is a matching criterion found in the SOR-CMCI:

1) if a matching criterion is found for the service in the SOR-CMCI, and the value of Tsor-cm timer in the SOR-CMCI is other than infinity and does not exceed the highest value of the current values of all running Tsor-cm timers, then the Tsor-cm timer value for the associated service shall be replaced with the value in the SOR-CMCI, without stopping and restarting the timer; or

2) if a matching criterion is not found for the service in the SOR-CMCI, then the Tsor-cm timer value for the associated service shall be set to zero; or

c) for all other cases, the running Tsor-cm timers for the associated services are kept unchanged.

The timer Tsor-cm shall be stopped when the associated PDU session is released or the associated service is stopped.

If the UE, while one or more Tsor-cm timers are running:

a) enters idle mode not due to lower layer failure (see 3GPP TS 24.501 [64]);

b) is not able to successfully recover the N1 NAS signalling connection (see 3GPP TS 24.501 [64]); or

c) enters 5GMM-CONNECTED mode with RRC inactive indication (see 3GPP TS 24.501 [64]);

then the UE shall stop the timer(s). In these cases, if:

a) the UE has a list of available and allowable PLMNs in the area and based on this list or any other implementation specific means, the UE determines that there is a higher priority PLMN than the selected VPLMN; or

b) the UE does not have a list of available and allowable PLMNs in the area and is unable to determine whether there is a higher priority PLMN than the selected VPLMN using any other implementation specific means;

then the UE shall attempt to obtain service on a higher priority PLMN as specified in clause 4.4.3.3 by acting as if timer T that controls periodic attempts has expired.

NOTE 4: When the UE enters idle mode due to lower layer failure while one or more Tsor-cm timers are running, then the UE does not stop Tsor-cm timer(s) as recovery of NAS signalling connection is possible (see 3GPP TS 24.501 [64]).

When the UE determines that no Tsor-cm timer is started for any PDU session or service, the last running Tsor-cm timer is stopped due to release of the associated PDU sessions or stop of the associated services, or the last running Tsor-cm timer expires, if:

i) the UE has a list of available and allowable PLMNs in the area and based on this list or any other implementation specific means, the UE determines that there is a higher priority PLMN than the selected VPLMN; or

ii) the UE does not have a list of available and allowable PLMNs in the area and is unable to determine whether there is a higher priority PLMN than the selected VPLMN using any other implementation specific means;

then if the UE is in 5GMM-CONNECTED mode, the UE shall perform the deregistration procedure (see clause 4.2.2.3 of 3GPP TS 23.502 [63]) that releases all the established PDU sessions and services, if any, and once the UE enters idle mode it shall attempt to obtain service on a higher priority PLMN as specified in clause 4.4.3.3 by acting as if timer T that controls periodic attempts has expired.

NOTE 5: The list of available and allowable PLMNs in the area is implementation specific.

The UE which has an emergency PDU session, receives a request from the upper layers to establish an emergency PDU session or perform emergency services fallback, registers for emergency services, or is configured for high priority access in the selected PLMN is not required to enter idle mode if the last running Tsor-cm timer for any PDU session or service stops or expires. In this case, the UE shall attempt to perform the PLMN selection after the emergency PDU session or the high priority service is released and after the UE enters idle mode or 5GMM-CONNECTED mode with RRC inactive indication (see 3GPP TS 24.501 [64]).