**3GPP TSG-CT WG1 Meeting #133-eC1-217203**

**E-meeting, 11-19 November 2021 (was C1-216766)**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **23.122** | **CR** | **0833** | **rev** | **1** | **Current version:** | **17.4.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network | **X** |

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| ***Title:*** | Update of conditions to use "Operator Controlled PLMN Selector with Access Technology" list stored in the ME | | | | | | | | | |
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| ***Source to WG:*** | Qualcomm Incorporated | | | | | | | | | |
| ***Source to TSG:*** | C1 | | | | | | | | | |
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| ***Work item code:*** | eCPSOR\_CON | | | | |  | ***Date:*** | | | 2021-11-16 |
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| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) ... Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
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| ***Reason for change:*** | | In Rel-15, CP SOR was introduced, and in that release the only information that could be included in an SOR container was a list of preferred PLMN/access technology combinations or an indication that 'no change of the "Operator Controlled PLMN Selector with Access Technology" list stored in the UE is needed and thus no list of preferred PLMN/access technology combinations is provided’. Consequently, the conditions on when the UE should use the "Operator Controlled PLMN Selector with Access Technology" list stored in the ME vs the "Operator Controlled PLMN Selector with Access Technology" stored in the USIM was updated to the following:  p) In iii, the MS shall use the PLMN/access technology combination in the "Operator Controlled PLMN Selector with Access Technology" stored in the ME, if the last received steering of roaming information contains the "list of preferred PLMN/access technology combinations"(see annex C) and is stored in the ME. Otherwise, the MS shall use the "Operator Controlled PLMN Selector with Access Technology" list retrieved from the SIM.  In Rel-17, the possibility to also include SOR-CMCI in the SOR container was added. Receiving an SOR container with only SOR-CMCI should not cause the UE to revert to using the "Operator Controlled PLMN Selector with Access Technology" stored in the USIM, if the UE has previously received an SOR container including a list of preferred PLMN/access technology combinations. | | | | | | | | |
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| ***Summary of change:*** | | The conditions on when the UE should use the "Operator Controlled PLMN Selector with Access Technology" list in the ME vs the "Operator Controlled PLMN Selector with Access Technology" stored in the USIM were updated as follows:  p) In iii, the MS shall use the PLMN/access technology combination in the "Operator Controlled PLMN Selector with Access Technology" stored in the ME, if the last update of the "Operator Controlled PLMN Selector with Access Technology" was due to receiving steering of roaming information containing the "list of preferred PLMN/access technology combinations" (see annex C) and storing it in the ME. Otherwise, the MS shall use the "Operator Controlled PLMN Selector with Access Technology" list retrieved from the SIM. | | | | | | | | |
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| ***Consequences if not approved:*** | | Receiving an SOR container without a list of preferred PLMN/access technology combinations will cause the UE to revert to using the "Operator Controlled PLMN Selector with Access Technology" list in the USIM, even if the UE has previously received a more up-to-date list of preferred PLMN/access technology combinations in an SOR container. | | | | | | | | |
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| ***Clauses affected:*** | | 4.4.3.1.1 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

\*\*\* First change \*\*\*

**Steering of Roaming information:** This consists of the following HPLMN protected information (see 3GPP TS 33.501 [66]):

a) the following indicators, of whether:

- the UDM requests an acknowledgement from the UE for successful reception of the steering of roaming information.

- the UDM requests the UE to store the SOR-CMCI in the ME, which is provided along with the SOR-CMCI; and

b) one of the following:

1) one or both of the following:

- list of preferred PLMN/access technology combinations with an indication that it is included.

- SOR-CMCI;

2) a secured packet with an indication that it is included; or

3) the HPLMN indication that 'no change of the "Operator Controlled PLMN Selector with Access Technology" list stored in the UE is needed and thus no list of preferred PLMN/access technology combinations is provided', and SOR-CMCI, if any.

### 4.4.6 Steering of roaming

If the MS receives a USAT REFRESH command qualifier (3GPP TS 31.111 [41]) of type "Steering of Roaming", the MS shall:

a) replace the highest priority entries in the "Operator Controlled PLMN Selector with Access Technology" list retrieved from the SIM with the list provided in the REFRESH command;

b) delete the PLMNs identified by the list in the REFRESH command from the Forbidden PLMN list and from the Forbidden PLMNs for GPRS service list, if they are present in these lists. This includes any information stored in the SIM and the ME internal memory;

c) take the new information into account in subsequent attempts to access a higher priority PLMN; and

d) attempt to obtain service on a higher priority PLMN as specified in clause 4.4.3.3 by acting as if timer T that controls periodic attempts has expired.

In order to avoid unnecessary signalling, the network operator should avoid repeatedly using steering of roaming of a particular MS.

##### 4.4.3.1.1 Automatic Network Selection Mode Procedure

The MS selects and attempts registration on other PLMN/access technology combinations, if available and, for bullets i, ii, iii, iv, v, allowable, in the following order:

i) either the HPLMN (if the EHPLMN list is not present or is empty) or the highest priority EHPLMN that is available (if the EHPLMN list is present) ;

ii) each PLMN/access technology combination in the "User Controlled PLMN Selector with Access Technology" data file in the SIM (in priority order);

iii) each PLMN/access technology combination in the "Operator Controlled PLMN Selector with Access Technology" data file in the SIM (in priority order) or stored in the ME (in priority order);

iv) other PLMN/access technology combinations with received high quality signal in random order;

NOTE 1: High quality signal is defined in the appropriate AS specification.

v) other PLMN/access technology combinations in order of decreasing signal quality.

vi) PLMN/NG-RAN combinations for disaster roaming with a PLMN in the "list of PLMN(s) to be used in disaster condition", ordered based on the "list of PLMN(s) to be used in disaster condition".

vii) PLMN/NG-RAN combinations for disaster roaming with a PLMN not in the "list of PLMN(s) to be used in disaster condition", in random order.

When following the above procedure the following requirements apply:

a) An MS with voice capability shall ignore PLMNs for which the MS has identified at least one GSM COMPACT.

b) In A/Gb mode or GSM COMPACT, an MS with voice capability, or an MS not supporting packet services shall not search for CPBCCH carriers.

c) In ii and iii, the MS should limit its search for the PLMN to the access technology or access technologies associated with the PLMN in the appropriate PLMN Selector with Access Technology list (User Controlled or Operator Controlled selector list).

An MS using a SIM without access technology information storage (i.e. the "User Controlled PLMN Selector with Access Technology" and the "Operator Controlled PLMN Selector with Access Technology" data files are not present) shall instead use the "PLMN Selector" data file, for each PLMN in the "PLMN Selector" data file, the MS shall search for all access technologies it is capable of. The priority ordering amongst the access technologies is implementation dependent.

d) In iv, v, vi and vii, the MS shall search for all access technologies it is capable of, before deciding which PLMN to select.

e) In ii, and iii, a packet only MS which supports GSM COMPACT, but using a SIM without access technology information storage (i.e. the "User Controlled PLMN Selector with Access Technology" and the "Operator Controlled PLMN Selector with Access Technology" data files are not present) shall instead use the "PLMN Selector" data file, for each PLMN in the "PLMN Selector" data file, the MS shall search for all access technologies it is capable of and shall assume GSM COMPACT access technology as the lowest priority radio access technology.

f) In i, the MS shall search for all access technologies it is capable of. No priority is defined for the preferred access technology and the priority is an implementation issue, but "HPLMN Selector with Access Technology" data file on the SIM may be used to optimise the procedure.

g) In i, an MS using a SIM without access technology information storage (i.e. the "HPLMN Selector with Access Technology" data file is not present) shall search for all access technologies it is capable of. The priority ordering amongst the access technologies is implementation dependent. A packet only MS which supports GSM COMPACT using a SIM without access technology information storage shall also assume GSM COMPACT access technology as the lowest priority radio access technology.

NOTE 2: For f) and g), the MS in automatic network selection mode can end the PLMN search procedure once the HPLMN or the highest priority EHPLMN is found on an access technology.

NOTE 3: For i, ii and iii, the MS can use location information to determine which PLMNs can be available in its present location.

h) In v, the MS shall order the PLMN/access technology combinations in order of decreasing signal quality within each access technology. The order between PLMN/access technology combinations with different access technologies is an MS implementation issue.

NOTE 4: Requirements a) and b) apply also to requirement d), so a GSM voice capable MS should not search for GSM COMPACT PLMNs, even if capable of GSM COMPACT.

NOTE 5: Requirements a) and b) apply also to requirement f), so a GSM voice capable MS should not search for GSM COMPACT PLMNs, even if this is the only access technology on the "HPLMN Selector with Access Technology" data file on the SIM.

i) In i to vii, the MS shall not consider PLMNs where voice service was not possible as PLMN selection candidate, unless such PLMN is available in GERAN or UTRAN or no other allowed PLMN is available.

j) In i to v, if the MS only supports EMM-REGISTERED without PDN connection (see 3GPP TS 24.301 [23A]), the MS shall not consider PLMNs which do not advertise support of EMM-REGISTERED without PDN connection.

k) In i to v, if the MS only supports control plane CIoT EPS optimization (see 3GPP TS 24.301 [23A]) and the MS camps on a E-UTRA cell which is not NB-IoT cell (see 3GPP TS 36.304 [43], 3GPP TS 36.331 [42]), the MS shall not consider PLMNs which do not advertise support of EPS services with control plane CIoT EPS optimization.

l) In i to vii, if the MS is in eCall only mode, the MS shall not consider PLMNs which do not advertise support for eCall over IMS, unless such PLMNs are available in GERAN or UTRAN.

NOTE 6: As an implementation option, an MS in eCall only mode that was not able to select any PLMN according to l) can perform a second iteration of i to v with no restriction.

m) In i to vii, if the MS supports CAG and:

1) is provisioned with a non-empty "CAG information list", the MS shall consider a PLMN indicated by an NG-RAN cell only if:

A) the cell is a CAG cell and broadcasts a CAG-ID for the PLMN such that there exists an entry with the PLMN ID of the PLMN in the "CAG information list" and the CAG-ID is included in the "Allowed CAG list" of the entry; or

B) the cell is not a CAG cell and:

- there is no entry with the PLMN ID of the PLMN in the "CAG information list"; or

- there exists an entry with the PLMN ID of the PLMN in the "CAG information list" but the "indication that the MS is only allowed to access 5GS via CAG cells" is not included in the entry; or

2) is provisioned with an empty "CAG information list" or is not provisioned with a "CAG information list", the MS shall consider a PLMN indicated by an NG-RAN cell only if the cell is not a CAG cell.

n) In i to vii, if the MS only supports control plane CIoT 5GS optimization (see 3GPP TS 23.501 [62]) and the MS camps on an E-UTRA cell connected to 5GCN, which is not NB-IoT cell (see 3GPP TS 36.304 [43], 3GPP TS 36.331 [42]), the MS shall not consider PLMNs which do not advertise support of 5GS services with control plane CIoT 5GS optimization.

o) In i to vii, if the MS supports CIoT 5GS optimizations, the MS shall not consider the PLMN/access technology combinations for which the MS preferred CIoT network behaviour is not advertised as supported by the PLMN/access technology combination (see 3GPP TS 24.501 [64]).

NOTE 7: As an implementation option, the MS supporting CIoT 5GS optimizations that was not able to select any PLMN according to o) can perform a second iteration of i to v with no restriction.

~~p) In iii, the MS shall use the PLMN/access technology combination in the "Operator Controlled PLMN Selector with Access Technology" stored in the ME, if the last update of the "Operator Controlled PLMN Selector with Access Technology" was due to receiving steering of roaming information containing the "list of preferred PLMN/access technology combinations" (see annex C) and storing it in the ME. Otherwise, the MS shall use the "Operator Controlled PLMN Selector with Access Technology" list retrieved from the SIM.~~

p) In iii, the MS shall use

- the PLMN/access technology combination in the "Operator Controlled PLMN Selector with Access Technology" stored in the ME, if the last received steering of roaming information contains the "list of preferred PLMN/access technology combinations"(see annex C);

- the "Operator Controlled PLMN Selector with Access Technology" list retrieved from the SIM, if the last received steering of roaming information contains a secured packet with an indication that it is included or the HPLMN indication that 'no change of the "Operator Controlled PLMN Selector with Access Technology" list stored in the UE is needed and thus no list of preferred PLMN/access technology combinations is provided'.

x) The MS shall perform vi and vii to select a PLMN for disaster roaming only if:

1) the MS supports MINT;

2) the "list of PLMN(s) to be used in disaster condition" is non-empty;

3) there is no available PLMN which is allowable;

4) the MS is not registered via non-3GPP access connected to 5GCN; and

5) an NG-RAN cell of the PLMN:

A) broadcasts the disaster related indication; or

Editor's note: (WI:MINT, CR#0734) it is FFS whether the disaster related indication indicates (a) solely that the available PLMN is accessible for disaster inbound roamers or (b) that the available PLMN is accessible for disaster inbound roamers and all other PLMNs have disaster condition.

B) broadcasts a "list of one or more PLMN(s) with disaster condition for which disaster roaming is offered by the available PLMN" including the PLMN with disaster condition determined as follows:

i) if the MS's RPLMN is included in the "list of one or more PLMN(s) with disaster condition for which disaster roaming is offered by the available PLMN", the MS shall consider that the MS's RPLMN is the PLMN with disaster condition; or

ii) if the MS's RPLMN is not included in any "list of one or more PLMN(s) with disaster condition for which disaster roaming is offered by the available PLMN" broadcast by any NG-RAN cell, the MS shall determine the PLMN with disaster condition from PLMNs:

- in the "list of one or more PLMN(s) with disaster condition for which disaster roaming is offered by the available PLMN" broadcast by any NG-RAN cell; and

- which are allowable;

in the following order:

- either the HPLMN (if the EHPLMN list is not present or is empty) or the highest priority EHPLMN that is available (if the EHPLMN list is present);

- each PLMN in the "User Controlled PLMN Selector with Access Technology" data file in the SIM (in priority order);

- each PLMN in the "Operator Controlled PLMN Selector with Access Technology" data file in the SIM (in priority order) or stored in the ME (in priority order); and

- other PLMNs.

If successful registration is achieved, the MS indicates the selected PLMN.

If registration cannot be achieved because no PLMNs are available and allowable, and the MS does not support access to RLOS, the MS indicates "no service" to the user, waits until a new PLMN is available and allowable and then repeats the procedure.

If there were one or more PLMNs which were available and allowable, but an LR failure made registration on those PLMNs unsuccessful or an entry in any of the lists "forbidden location areas for roaming", "forbidden tracking areas for roaming", "5GS forbidden tracking areas for roaming", "forbidden location areas for regional provision of service", "forbidden tracking areas for regional provision of service", "5GS forbidden tracking areas for regional provision of service", or "CAG information list" prevented a registration attempt, the MS selects the first such PLMN again and enters a limited service state.

If:

- the MS supports access to RLOS;

- either the UICC containing the USIM is not present in the MS, or the UICC containing the USIM is present in the MS and the MCC part of the IMSI in the USIM is present in the RLOS allowed MCC list configured in the USIM (see 3GPP TS 31.102 [40]) or in the ME (see 3GPP TS 24.368 [50]);

- one or more PLMNs offering access to RLOS has been found;

- registration cannot be achieved on any PLMN; and

- the MS is in limited service state,

the MS shall select a PLMN offering access to RLOS as follows:

a) if at least one preferred PLMN exists based on the RLOS preferred PLMN list configured in the USIM (see 3GPP TS 31.102 [40]) or in the ME (see 3GPP TS 24.368 [50]) and the MCC part of the preferred PLMN ID is present in the RLOS allowed MCC list configured in the USIM (see 3GPP TS 31.102 [40]) or in the ME (see 3GPP TS 24.368 [50]), the MS shall select the preferred PLMN offering access to RLOS and indicate the selected preferred PLMN for access to RLOS; and

b) if none of the preferred PLMNs for access to RLOS is available, the MS shall evaluate the remaining PLMNs offering access to RLOS that are not in the RLOS preferred PLMN list. If the MCC part of a PLMN ID is present in the RLOS allowed MCC list configured in the USIM (see 3GPP TS 31.102 [40]) or in the ME (see 3GPP TS 24.368 [50]), the MS shall select this PLMN and indicate the selected PLMN for access to RLOS.

If registration cannot be achieved because no PLMNs are available and allowable, and if no PLMN offering access to RLOS has been found, or none of the PLMNs offering access to RLOS is allowed to be accessed according to the RLOS allowed MCC list configured in the USIM (see 3GPP TS 31.102 [40]) or in the ME (see 3GPP TS 24.368 [50]), or the MS does not support access to RLOS, the MS indicates "no service" to the user, waits until a new PLMN is available and then repeats the procedure.

\*\*\* End of changes \*\*\*