**3GPP TSG-CT WG1 Meeting #133-eRev\_C1-216658**

**E-meeting, 11-19 November 2021**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **24.501** | **CR** | **3710** | **rev** | **1** | **Current version:** | **17.4.1** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network | **X** |

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| ***Title:*** | Paging restrictions in Notification Response | | | | | | | | | |
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| ***Source to WG:*** | Apple | | | | | | | | | |
| ***Source to TSG:*** | C1 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | MUSIM | | | | |  | ***Date:*** | | | 2021/10/25 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) ... Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | A MUSIM capable UE, due to implementation constraints, can respond with NOTIFICATION RESPONSE message indicating failure to re-establish the user-plane resources of PDU sessions.  In such situations it is possible, that the UE has already set paging preferences. The UE may continue to receive paging triggers from the network, and it is quite possible that these triggers may be redundant. The UE is unable to respond with Service Request message and as such is unable to clear paging restrictions. NOTIFICATION RESPONSE message can be a way out in this situation.  In such situations, the MUSIM capable UE should also be able to indicate its paging preferences to the network. | | | | | | | | |
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| ***Summary of change:*** | | When a MUSIM capable UE responds with NOTIFICATION RESPONSE message indicating failure to re-establish the user-plane resources of PDU sessions, the MUSIM capable UE should also be able to indicate its paging preferences to the network. | | | | | | | | |
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| ***Consequences if not approved:*** | | The MUSIM capable UE when responding with NOTIFICATION RESPONSE message due to implementation constraints will not be able to indicate its paging preferences thus either getting unnecessarily paged by the network or not receiving pages when the UE is in position to receive them leading to sub-optimal user experience. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 5.6.3.2, 5.6.3.3, 8.2.24.1, 8.2.24.X (new) | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

\*\*\* First change \*\*\*

#### 5.6.3.2 Notification procedure initiation

The network shall initiate the notification procedure by sending the NOTIFICATION message to the UE and start timer T3565 (see example in figure 5.6.3.2.1).

For case a) in subclause 5.6.3.1, the NOTIFICATION message is sent from the network to the UE via 3GPP access with access type indicating non-3GPP access.

For case b) in subclause 5.6.3.1, the NOTIFICATION message is sent from the network to the UE via non-3GPP access with access type indicating 3GPP access when the UE is not in MICO mode.



Figure 5.6.3.2.1: Notification procedure

Upon reception of a NOTIFICATION message, the UE shall stop the timer T3346, if running.

For case a) in subclause 5.6.3.1, upon reception of NOTIFICATION message, the UE shall initiate a service request procedure over 3GPP access as specified in subclauses 5.6.1.

NOTE: For a UE in NB-NI mode, if there is DL user data pending for a PDU session associated with non-3GPP access then the AMF notifies the SMF that reactivation of user plane resources cannot be performed if the number of PDU sessions that currently has user-plane resources established equals to the UE's maximum number of supported user-plane resources.

For case b) in subclause 5.6.3.1, upon reception of NOTIFICATION message:

a) if control plane CIoT 5GS optimization is not used by the UE, the UE shall:

1) initiate a service request procedure over 3GPP access as specified in subclause 5.6.1.2.1, if the UE is in 5GMM-REGISTERED.NORMAL-SERVICE or 5GMM-REGISTERED.NON-ALLOWED-SERVICE (as described in subclause 5.3.5.2) state over 3GPP access or 5GMM-REGISTERED.NON-ALLOWED-SERVICE state (see subclause 5.3.5.2), and the UE is in the 5GMM-IDLE mode without suspend indication;

2) initiate a registration procedure for mobility and periodic registration update over 3GPP access as specified in subclause 5.5.1.3.2, if the UE is in 5GMM-REGISTERED.ATTEMPTING-REGISTRATION-UPDATE state over 3GPP access; or

3) proceed as specified in subclause 5.3.1.5 if the UE is in the 5GMM-IDLE mode with suspend indication;

b) if control plane CIoT 5GS optimization is used by the UE, the UE shall:

1) initiate a service request procedure over 3GPP access as specified in subclause 5.6.1.2.2, if the UE is in 5GMM-REGISTERED.NORMAL-SERVICE or 5GMM-REGISTERED.NON-ALLOWED-SERVICE (as described in subclause 5.3.5.2) state and the UE is in the 5GMM-IDLE mode without suspend indication;

2) initiate a registration procedure for mobility and periodic registration update over 3GPP access as specified in subclause 5.5.1.3.2, if the UE is in 5GMM-REGISTERED.ATTEMPTING-REGISTRATION-UPDATE state; or

3) proceed as specified in subclause 5.3.1.5 if the UE is in the 5GMM-IDLE mode with suspend indication; or

c) if:

1) the UE is in 5GMM-REGISTERED.NO-CELL-AVAILABLE state, 5GMM-REGISTERED.PLMN-SEARCH state, 5GMM-REGISTERED.LIMITED-SERVICE state or 5GMM-REGISTERED.UPDATE-NEEDED state over 3GPP access; or

2) the MUSIM capable UE is not able to respond the NOTIFICATION message as specified in case a) and b) above, e.g., due to UE implementation constraints;

the UE shall respond with NOTIFICATION RESPONSE message indicating failure to re-establish the user-plane resources of PDU sessions and may include the PDU session status information element to indicate:

1) the single access PDU session(s) not in 5GSM state PDU SESSION INACTIVE in the UE associated with the 3GPP access type; and

2) the MA PDU session(s) not in 5GSM state PDU SESSION INACTIVE in the UE and having user plane resources established associated with the 3GPP access type.

Further, the MUSIM capable UE may set the paging restriction preferences in the Paging restriction IE in the NOTIFICATION RESPONSE message.

Upon reception of NOTIFICATION message:

For case b) in subclause 5.6.3.1, if the UE is in 5GMM-REGISTERED.NO-CELL-AVAILABLE state or 5GMM-REGISTERED.PLMN-SEARCH state and a local release was performed in the UE for the single access PDU sessions associated with the 3GPP access or for user plane resources on the 3GPP access of MA PDU sessions;

then the UE shall respond with NOTIFICATION RESPONSE message indicating with the PDU session status information element that:

- the local release of its single access PDU sessions associated with the 3GPP access was performed; and

- the local release of its 3GPP access user plane resources of MA PDU sessions was performed.

\*\*\* Next change \*\*\*

#### 5.6.3.3 Notification procedure completion

Upon reception of SERVICE REQUEST message, CONTROL PLANE SERVICE REQUEST message or REGISTRATION REQUEST message, the AMF shall stop timer T3565 and proceed service request procedure as specified in subclauses 5.6.3.1 or registration procedure for mobility and periodic registration update as specified in subclauses 5.5.1.3. If no user-plane resources of PDU session(s) need to be re-established, the AMF should notify the SMF that the UE was reachable but did not accept to re-establish the user-plane resources of PDU session(s).

When the 5GMM entity in the AMF receives an indication from the lower layer that it has received the NGAP UE context resume request message as specified in 3GPP TS 38.413 [31], the AMF shall stop timer T3565.

Upon reception of NOTIFICATION RESPONSE message, the AMF shall stop timer T3565 and should notify the SMF that the UE is unreachable.

If the NOTIFICATION RESPONSE message includes the PDU session status information element, then:

a) for single access PDU sessions, the AMF shall:

1) perform a local release of all those PDU sessions which are not in 5GSM state PDU SESSION INACTIVE on the AMF side associated with 3GPP access, but are indicated by the UE in the PDU session status information element in the NOTIFICATION RESPONSE message as being in 5GSM state PDU SESSION INACTIVE; and

2) shall request the SMF to perform a local release of all those PDU sessions associated with 3GPP access; and

b) For MA PDU sessions, the AMF shall:

1) for MA PDU sessions having user plane resources established only on the 3GPP access in the AMF side, but are indicated by the UE in the PDU session status information element in the NOTIFICATION RESPONSE message as no user plane resources established on the 3GPP access:

i) perform a local release of all those MA PDU sessions; and

ii) request the SMF to perform a local release of all those MA PDU sessions; and

2) for MA PDU sessions having user plane resources established on both accesses in the AMF side, but are indicated by the UE in the PDU session status information element in the NOTIFICATION RESPONSE message as no user plane resources established on the 3GPP access:

i) perform a local release of 3GPP access user plane resources of all those MA PDU sessions; and

ii) request the SMF to perform a local release of 3GPP access user plane resources of all those MA PDU sessions.

If the NOTIFICATION RESPONSE message includes the Paging restriction IE, the AMF shall store the paging restriction preferences of the UE and enforce these restrictions in the paging procedure as described in clause 5.6.2, otherwise the AMF shall delete any stored paging restriction preferences for the UE and stop restricting paging.

\*\*\* Next change \*\*\*

### 8.2.24 Notification response

#### 8.2.24.1 Message definition

The NOTIFICATION RESPONSE message is sent by the UE to the AMF to notify the failure to initiate the service request procedure as a response of notification. See table 8.2.24.1.1.

Message type: NOTIFICATION RESPONSE

Significance: dual

Direction: UE to network

Table 8.2.2341.1: NOTIFICATION RESPONSE message content

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| IEI | Information Element | Type/Reference | Presence | Format | Length |
|  | Extended protocol discriminator | Extended protocol discriminator  9.2 | M | V | 1 |
|  | Security header type | Security header type  9.3 | M | V | 1/2 |
|  | Spare half octet | Spare half octet  9.5 | M | V | 1/2 |
|  | Notification response message identity | Message type  9.7 | M | V | 1 |
| 50 | PDU session status | PDU session status  9.11.3.44 | O | TLV | 4-34 |
| XY | Paging restriction | Paging restriction  9.11.3.77 | O | TLV | 3-35 |

\*\*\* Next change \*\*\*

#### 8.2.24.X Paging restriction

The MUSIM capable UE may include this IE to request the network to restrict paging.

\*\*\* end of change \*\*\*