**3GPP TSG-CT WG1 Meeting #133-eC1-21xxxx**

**E-meeting, 11-19 November 2021 (was C1-216757)**

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| *CR-Form-v12.1* |
| **CHANGE REQUEST** |
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|  | **23.122** | **CR** | **0790** | **rev** | **5** | **Current version:** | **17.4.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network | **X** |

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| ***Title:***  | Use of SOR to update the credentials holder controlled prioritized lists of preferred SNPNs and GINs |
|  |  |
| ***Source to WG:*** | Qualcomm Incorporated |
| ***Source to TSG:*** | C1 |
|  |  |
| ***Work item code:*** | eNPN |  | ***Date:*** | 2021-11-15 |
|  |  |  |  |  |
| ***Category:*** | **B** |  | ***Release:*** | Rel-17 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)...Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)* |
|  |  |
| ***Reason for change:*** | In LS S2-2106705, SA2 informed CT1 that SA2 decided to use the Steering Of Roaming (SOR) procedure to update the credentials holder controlled prioritized lists of preferred SNPNs and GINs. Corresponding CR 2999 to TS 23.501 (S2-2106706) and CR 2889 to TS23.502 (S2-2106707) were approved at SA Plenary #93-e. The stage 2 in TS 23.122 needs to be updated accordingly. |
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| ***Summary of change:*** | * SOR was made applicable to SNPNs
* The SOR procedures were extended to enable update of the the credentials holder controlled prioritized lists of preferred SNPNs and GINs
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| ***Consequences if not approved:*** | The stage 2 in TS 23.122 will remain misaligned with SA2’s decision. Update of the credentials holder controlled prioritized lists of preferred SNPNs and GINs using SOR will not be possible. |
|  |  |
| ***Clauses affected:*** | 1.2, C.0, C.1, C.1.1 (New), C.1.2 (New), C.X (New), C.Y (New), C.4.1, C.4.2, C.4.3 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** | Revision 4 (CT1#133-e):* The secured packet can contain SOR-SNPN-SI
* The SOR-CMCI can be provided together with the SOR-SNPN-SI
* The UE can be configured with an indication that the UE is expected to receive SOR information during every initial registration in a non-subscribed SNPN in the “list of subscriber data” or in the SNPN configuration information associated with the PLMN subscription
* The UE shall maintain a list of "SNPNs where registration was aborted due to SOR" per entry of the "list of subscriber data" or the PLMN subscription
 |

\*\*\* First change \*\*\*

## 1.2 Definitions and abbreviations

For the purposes of the present document, the abbreviations defined in 3GPP TR 21.905 [36] apply.

**(A/Gb mode only):** Indicates this clause applies only to a GSM system which operates in A/Gb mode. For multi system case this is determined by the current serving radio access network.

**(Iu mode only):** Indicates this clause applies only to UMTS. For multi system case this is determined by the current serving radio access network.

NOTE 1: In accordance with the description of packet services in Iu mode in 3GPPS TS 24.008 [23], the terms 'CS/PS mode of operation' and 'PS mode of operation' are not used in the present document. Instead the terms 'MS operation mode A' and 'MS operation mode C' are used.

**(S1 mode only):** Indicates this clause applies only to an EPS. For multi system case this is determined by the current serving radio access network.

**Acceptable Cell:** This is a cell that the MS may camp on to make emergency calls or to access RLOS. It must satisfy criteria which are defined for A/Gb mode in 3GPP TS 43.022 [35], for Iu mode in 3GPP TS 25.304 [32], for S1 mode in 3GPP TS 36.304 [43], and for NR access in N1 mode in 3GPP TS 38.304 [61] and for E-UTRA access in N1 mode in 3GPP TS 36.304 [43]. For an MS in eCall only mode, an acceptable cell must further satisfy the criteria defined in clause 4.4.3.1.1.

**Access Technology:** The access technology associated with a PLMN or SNPN. The MS uses this information to determine what type(s) of radio carrier to search for when attempting to select a specific PLMN or SNPN (e.g., GSM, UTRAN, GSM COMPACT, E-UTRAN or NG-RAN). A PLMN may support more than one access technology. SNPNs only support NG-RAN.

NOTE 2: Access technology "E-UTRAN" maps to core network type "EPC" and access technology "NG-RAN" maps to core network type "5GCN", see 3GPP TS 24.501 [64].

**ACDC:** Application specific Congestion control for Data Communication, see 3GPP TS 22.011 [9].

**Allowable PLMN:** In the case of an MS operating in MS operation mode A or B, this is a PLMN which is not in the list of "forbidden PLMNs" in the MS. In the case of an MS operating in MS operation mode C or an MS not supporting A/Gb mode and not supporting Iu mode, this is a PLMN which is not in the list of "forbidden PLMNs" and not in the list of "forbidden PLMNs for GPRS service" in the MS.

**Allowable SNPN:** In the case of an MS operating in SNPN access mode, this is an SNPN which is not in the list of "permanently forbidden SNPNs" which is, if the MS supports access to an SNPN using credentials from a credentials holder, associated with the selected entry of the "list of subscriber data" or the selected PLMN subscription, and is not in the list of "temporarily forbidden SNPNs" which is, if the MS supports access to an SNPN using credentials from a credentials holder, associated with the selected entry of the "list of subscriber data" or the selected PLMN subscription.

**Allowable PLMN/access technology** **combination:** For an MS operating in MS operation mode C or an MS not supporting A/Gb mode and not supporting Iu mode, this is an allowable PLMN in any specific access technology. For an MS operating in MS operation mode A or B, this is a PLMN/access technology combination where:

- the PLMN is an allowable PLMN and the specific access technology is supporting non-GPRS services; or

- the PLMN is not in the list of "forbidden PLMNs" and not in the list of "forbidden PLMNs for GPRS service" in the MS and the specific access technology is only supporting GPRS services.

EXAMPLE: E-UTRAN, satellite NG-RAN (see 3GPP TS 22.261 [74]) and NG-RAN are access technologies that are only supporting GPRS services.

**Available PLMN:** For GERAN A/Gb mode see 3GPP TS 43.022 [35]. For UTRAN see 3GPP TS 25.304 [32]. For E-UTRAN see 3GPP TS 36.304 [43]. For NG-RAN see 3GPP TS 36.304 [43] and 3GPP TS 38.304 [61]. For cdma2000® 1xRTT and cdma2000® HRPD see 3GPP2 C.S0016 [44].

Editor's note: conditions that make a PLMN available when a UE is accessing NR via satellite access, are FFS.

**Available SNPN:** For NG-RAN see 3GPP TS 38.304 [61].

**Available PLMN/access technology** **combination:** This is an available PLMN in a specific access technology.

**Camped on a cell:** The MS (ME if there is no SIM) has completed the cell selection/reselection process and has chosen a cell from which it plans to receive all available services. Note that the services may be limited, and that the PLMN or the SNPN may not be aware of the existence of the MS (ME) within the chosen cell.

**Country:** A country is identified by a single MCC value defined in ITU-T recommendation E.212 [76], with the exception of the following MCC ranges that identify a single country:

- values 310 through 316 (USA);

- values 404 through 406 (India);

- values 440 through 441 (Japan);

- values 460 through 461 (China); and

- values 234 through 235 (United Kingdom).

**Permitted CSG list:** See 3GPP TS 36.304 [43].

**Current serving cell:** This is the cell on which the MS is camped.

**CTS MS:** An MS capable of CTS services is a CTS MS.

**EAB:** Extended Access Barring, see 3GPP TS 22.011 [9].

**Extended Coverage in GSM for Internet of Things (EC-GSM-IoT):** Extended coverage in GSM for IoT is a feature which enables extended coverage operation. See 3GPP TS 43.064 [55].

**EHPLMN:** Any of the PLMN entries contained in the Equivalent HPLMN list.

**Equivalent HPLMN list:** To allow provision for multiple HPLMN codes, PLMN codes that are present within this list shall replace the HPLMN code derived from the IMSI for PLMN selection purposes. This list is stored on the USIM and is known as the EHPLMN list. The EHPLMN list may also contain the HPLMN code derived from the IMSI. If the HPLMN code derived from the IMSI is not present in the EHPLMN list then it shall be treated as a Visited PLMN for PLMN selection purposes.

**Generic Access Network (GAN):** See 3GPP TS 43.318 [35A].

**GAN mode:** See 3GPP TS 43.318 [35A].

**GPRS MS:** An MS capable of GPRS services is a GPRS MS.

**MS operation mode:** See 3GPP TS 23.060 [27].

**High quality signal:** The high quality signal limit is used in the PLMN selection procedure. It is defined in the appropriate AS specification: 3GPP TS 43.022 [35] for the GSM radio access technology, 3GPP TS 25.304 [32] for the UMTS radio access technology (FDD or TDD mode), 3GPP TS 36.304 [43] for the E‑UTRAN radio access technology (WB-S1 mode, NB-S1 mode, WB-N1 mode or NB-N1 mode), 3GPP TS 36.304 [43] and 3GPP TS 38.304 [61] for the NG-RAN radio access technology. For 3GPP2 access technologies the high quality signal limit is defined in 3GPP2 C.S0011 [45] for cdma2000® 1xRTT and in 3GPP2 C.S0033 [46] for cdma2000® HRPD. A mobile station attempting to find a cell that supports EC-GSM-IoT (see 3GPP TS 43.064 [55]) does not use high quality signal limit in the PLMN selection procedure, i.e. for the purpose of PLMN selection, when attempting to find a cell that supports EC-GSM-IoT, any found cell supporting EC-GSM-IoT is considered to be received with high quality signal. A UE attempting to find a cell that supports enhanced coverage when operating in any WB-S1 or WB-N1 enhanced coverage mode does not use high quality signal limit in the PLMN selection procedure, i.e. for the purpose of PLMN selection, when attempting to find a cell that supports enhanced coverage, any found cell supporting enhanced coverage and satisfying the coverage specific quality signal limit defined for CE mode (see 3GPP TS 36.304 [43]) is considered to be received with high quality signal.

**Home PLMN:** This is a PLMN where the MCC and MNC of the PLMN identity match the MCC and MNC of the IMSI. Matching criteria are defined in Annex A.

**In A/Gb mode,...:** Indicates this clause applies only to a GSM system which operates in A/Gb mode. For multi system case this is determined by the current serving radio access network.

**In Iu mode,...:** Indicates this clause applies only to UMTS. For multi system case this is determined by the current serving radio access network.

**In N1 mode,...:** Indicates this clause applies only to an 5GS. For multi system case this is determined by the current serving radio access network.

**In NB-N1 mode:** Indicates this paragraph applies only to a system which operates in NB-N1 mode. For a multi-access system this case applies if the current serving radio access network provides access to 5G network services via E-UTRA connected to 5GCN by NB-IoT (see 3GPP TS 36.300 [56], 3GPP TS 36.331 [42], 3GPP TS 36.306 [54]).

**In WB-N1 mode:** Indicates this paragraph applies only to a system which operates in WB-N1 mode. For a multi-access system this case applies if the system operates in N1 mode with E-UTRA connected to 5GCN, but not in NB-N1 mode.

**In S1 mode,...:** Indicates this clause applies only to an EPS. The S1 mode includes WB-S1 mode and NB-S1 mode. For multi system case this is determined by the current serving radio access network.

**In NB-S1 mode:** Indicates this paragraph applies only to a system which operates in NB-S1 mode. For a multi-access system this case applies if the current serving radio access network provides access to network services via E-UTRA by NB-IoT (see 3GPP TS 36.300 [56], 3GPP TS 36.331 [22], 3GPP TS 36.306 [54]).

**In WB-S1 mode:** Indicates this paragraph applies only to a system which operates in WB-S1 mode. For a multi-access system this case applies if the system operates in S1 mode, but not in NB-S1 mode.

**Limited Service State:** See clause 3.5.

**Localised Service Area (LSA):** A localised service area consists of a cell or a number of cells. The cells constituting a LSA may not necessarily provide contiguous coverage.

**Location Registration (LR):** An MS which is IMSI attached to non-GPRS services only performs location registration by the Location Updating procedure. A GPRS MS which is IMSI attached to GPRS services or to GPRS and non-GPRS services performs location registration by the Routing Area Update procedure only when in a network of network operation mode I. Both location updating and routing area update procedures are performed independently by the GPRS MS when it is IMSI attached to GPRS and non-GPRS services in a network of network operation mode II (see 3GPP TS 23.060 [27]). An MS which is attached via the E-UTRAN performs location registration by the tracking area update procedure. An MS which is registered via the NG-RAN performs location registration by the mobility registration update procedure.

**MINT: Minimization of service interruption (see 3GPP TS 22.261 [71]).**

**MS:** Mobile Station. The present document makes no distinction between MS and UE.

**N1 mode capability:** Capability of the UE associated with an N1 NAS signalling connection between the UE and network. The present document refers to the N1 mode capability over 3GPP access only (see 3GPP TS 24.501 [64]).

**NarrowBand Internet of Things (NB-IoT):** NB-IoT is a non-backward compatible variant of E-UTRAN supporting a reduced set of functionality. NB-IoT allows access to EPC or 5GCN network services via E-UTRA with a channel bandwidth limited to 180 kHz (see 3GPP TS 36.300 [20], 3GPP TS 36.331 [42], 3GPP TS 36.306 [44]).

**Network Type:** The network type associated with HPLMN or a PLMN on the PLMN selector (see 3GPP TS 31.102 [40]). The MS uses this information to determine what type of radio carrier to search for when attempting to select a specific PLMN. A PLMN may support more than one network type.

**Onboarding services in SNPN**: Onboarding services in SNPN allow an MS to access an SNPN indicating that onboarding is allowed, using default UE credentials in order for the MS to be configured with one or more entries of the "list of subscriber data".

NOTE 3: When the MS is registered for onboarding services in SNPN, services other than the onboarding services in SNPN are not available. When the MS is not registered for onboarding services in SNPN, onboarding services in SNPN are not available.

**Registered PLMN (RPLMN):** This is the PLMN on which certain LR outcomes have occurred (see table 1). In a shared network the RPLMN is the PLMN defined by the PLMN identity of the CN operator that has accepted the LR.

**Registered SNPN (RSNPN):** This is the SNPN on which certain LR outcomes have occurred. In a shared network the RSNPN is the SNPN defined by the SNPN identity of the CN operator that has accepted the LR.

**Registration:** This is the process of camping on a cell of the PLMN or the SNPN and doing any necessary LRs.

**Registration Area:** A registration area is an area in which mobile stations may roam without a need to perform location registration. The registration area corresponds to location area (LA) for performing location updating procedure, to routing area for performing the GPRS attach or routing area update procedures, and to a list of tracking areas (TAs) for performing the EPS attach, tracking area update, or 5GS registration procedure.

The PLMN to which a cell belongs (PLMN identity):

- for GERAN, in the system information (MCC + MNC part of LAI) broadcast as specified in 3GPP TS 44.018 [34];

- for UTRA, see the broadcast information as specified in 3GPP TS 25.331 [33];

- for E-UTRA, see the broadcast information as specified in 3GPP TS 36.331 [42]; and

- for NR, see the broadcast information as specified in 3GPP TS 38.331 [65].

The SNPN to which a cell belongs (SNPN identity):

- for NR, see the broadcast information as specified in 3GPP TS 38.331 [65].

In a shared network, a cell belongs to all PLMNs given in the system information broadcasted as specified in 3GPP TS 44.018 [34] for GERAN, in 3GPP TS 25.331 [33] for UTRAN, and in 3GPP TS 36.331 [42] for E-UTRAN, and a cell belongs to all PLMNs, all SNPNs, or all PLMNs and all SNPNs, given in the system information broadcasted as specified in 3GPP TS 36.331 [42] for E-UTRA connected to 5GCN, and in 3GPP TS 38.331 [65] for NR.

**Secured packet:** In this specification, a secured packet contains one or both of the following:

- list of preferred PLMN/access technology combinations,

- SOR-CMCI,

encapsulated with a security mechanism as described in 3GPP TS 31.115 [67].

Editor's note (WI eNPN, CR#0790): Whether the secured packet can contain SOR-SNPN-SI is FFS.

**Selected PLMN:** This is the PLMN that has been selected according to clause 3.1, either manually or automatically.

**Selected SNPN:** This is the SNPN that has been selected according to clause 3.9, either manually or automatically.

**Shared Network:** An MS considers a cell to be part of a shared network, when multiple PLMN identities are received as specified in 3GPP TS 44.018 [34] for GERAN, in 3GPP TS 25.331 [33] for UTRAN, and in 3GPP TS 36.331 [42] for E-UTRAN, and when multiple PLMN identities, multiple SNPN identities or one or more PLMN identities and one or more SNPN identities are received as specified in 3GPP TS 36.331 [42] for E-UTRA connected to 5GCN, and in 3GPP TS 38.331 [65] for NR.

**SIM:** Subscriber Identity Module (see 3GPP TS 21.111 [38]). The present document makes no distinction between SIM and USIM.

**SNPN identity**: a PLMN ID and an NID combination.

**SoLSA exclusive access:** Cells on which normal camping is allowed only for MS with Localised Service Area (LSA) subscription.

**Subscribed SNPN:** An SNPN for which the UE has a subscription.

**Suitable Cell:** This is a cell on which an MS may camp. It must satisfy criteria which are defined for GERAN A/Gb mode in 3GPP TS 43.022 [35], for UTRAN in 3GPP TS 25.304 [32], for E-UTRAN in 3GPP TS 36.304 [43] and for NG-RAN see 3GPP TS 36.304 [43] and 3GPP TS 38.304 [61]. For 3GPP2 access technologies the criteria are defined in 3GPP2 C.S0011 [45] for cdma2000® 1xRTT and in 3GPP2 C.S0033 [46] for cdma2000® HRPD. For an MS in eCall only mode, a suitable cell must further satisfy the criteria defined in clause 4.4.3.1.1.

**Steering of Roaming (SOR):** A technique whereby a roaming UE is encouraged to roam to a preferred roamed-to-network indicated by the HPLMN.

**Steering of Roaming application function (SOR-AF):** An application function that can provide UDM with one of the following:

a) one or more of the following:

- list of preferred PLMN/access technology combinations;

- SOR-CMCI, together with the "Store the SOR-CMCI in the ME" indicator if applicable;

- SOR-SNPN-SI;

b) a secured packet, together with the indicator, if applicable, that "the list of preferred PLMN/access technology combinations is not included in the secured packet"; or

c) neither of a) or b),

generated dynamically based on operator specific data analytics solutions.

**Steering of Roaming information:** This consists of the following HPLMN or subscribed SNPN protected information (see 3GPP TS 33.501 [66]):

a) the following indicators, of whether:

- the UDM requests an acknowledgement from the UE for successful reception of the steering of roaming information.

- the UDM requests the UE to store the SOR-CMCI in the ME, which is provided along with the SOR-CMCI; and

b) one of the following:

1) one or more of the following:

- list of preferred PLMN/access technology combinations with an indication that it is included;

- SOR-CMCI; or

- SOR-SNPN-SI;

2) a secured packet with an indication that it is included;

3) the HPLMN indication that 'no change of the "Operator Controlled PLMN Selector with Access Technology" list stored in the UE is needed and thus no list of preferred PLMN/access technology combinations is provided', and SOR-CMCI, if any; or

4) the subscribed SNPN or HPLMN indication that 'no change of the SOR-SNPN-SI stored in the UE is needed and thus no SOR-SNPN-SI is provided', and SOR-CMCI, if any.

**Steering of roaming connected mode control information (SOR-CMCI):** HPLMN information to control the timing for a UE in connected mode to move to idle mode in order to perform steering of roaming.

**Steering of roaming SNPN selection information (SOR-SNPN-SI):** Provisioning information for SNPN selection consisting of:

a) the credentials holder controlled prioritized list of preferred SNPNs;

b) the credentials holder controlled prioritized list of GINs; or

c) both of the above.

**Visited PLMN**: This is a PLMN different from the HPLMN (if the EHPLMN list is not present or is empty) or different from an EHPLMN (if the EHPLMN list is present).

For the purposes of the present document, the following terms and definitions given in 3GPP TS 23.167 [57] apply:

**eCall over IMS**

**EPC**

**E-UTRAN**

For the purposes of the present document, the following terms and definitions given in 3GPP TS 23.401 [58] apply:

**eCall only mode**

For the purposes of the present document, the following terms and definitions given in 3GPP TS 23.221 [69] apply:

**Restricted local operator services (RLOS)**

For the purposes of the present document, the following terms and definitions given in 3GPP TS 23.501 [62] apply:

**Closed Access Group (CAG)**

**Credentials holder**

**Default UE credentials**

**Group ID for Network Selection (GIN)**

**Network identifier (NID)**

**NG-RAN**

**Stand-alone Non-Public Network (SNPN)**

**SNPN access mode**

For the purposes of the present document, the following terms and definitions given in 3GPP TS 24.501 [64] apply:

**5GCN**

**CAG cell**

**Emergency PDU session**

**Initial registration for emergency services**

**Initial registration for onboarding services in SNPN**

**Non-CAG cell**

**Registered for emergency services**

**Registered for onboarding services in SNPN**

For the purposes of the present document, the following terms and definitions given in 3GPP TS 22.261 [74] apply:

**Disaster condition**

**Disaster roaming**

\*\*\* Next change \*\*\*

## C.0 Requirements for 5G steering of roaming over the control plane

In addition to the requirements specified in 3GPP TS 22.011 [9] clause 3.2.2.8, 3GPP TS 22.261 [74] clause 6.30 and 3GPP TS 23.501 [62] clause 5.30.2.2, the requirements in this clause apply.

The UE supporting N1 mode shall support the control plane solution for steering of roaming in 5GS. If the HPLMN or subscribed SNPN supports and wants to use the control plane solution for steering of roaming in 5GS, then the HPLMN or subscribed SNPN shall provide the steering of roaming information to the UE using the control plane mechanism defined in this annex.

The VPLMN shall transparently relay the steering of roaming information received from the HPLMN to the UE. The UE shall be able to detect whether the VPLMN removed the steering of roaming information during the initial registration procedure in the VPLMN. The UE shall be able to detect whether the VPLMN altered the steering of roaming information. If the UE detects that the VPLMN altered or removed the steering of roaming information then the UE shall consider the current VPLMN as the lowest priority PLMN and perform PLMN selection as defined in this annex.

The non-subscribed SNPN shall transparently relay the steering of roaming information received from the HPLMN or subscribed SNPN to the UE. The UE shall be able to detect whether the non-subscribed SNPN removed the steering of roaming information during the initial registration procedure in the non-subscribed SNPN. The UE shall be able to detect whether the non-subcribed SNPN altered the steering of roaming information. If the UE detects that the non-subscribed SNPN altered or removed the steering of roaming information then the UE shall consider the current SNPN as the lowest priority SNPN and perform SNPN selection as defined in this annex.

## C.1 General

### C.1.1 Steering of roaming over the control plane in a PLMN

The purpose of the control plane solution for steering of roaming in 5GS procedure in a PLMN is to allow the HPLMN to update the "Operator Controlled PLMN Selector with Access Technology" list in the UE by providing the HPLMN protected list of preferred PLMN/access technology combinations via NAS signalling. If the selected PLMN is a VPLMN, the HPLMN can provide the steering of roaming information to the UE using the control plane mechanism during and after registration. If the selected PLMN is the HPLMN, the HPLMN can provide the steering of roaming information to the UE using the control plane mechanism after registration only. The HPLMN updates the "Operator Controlled PLMN Selector with Access Technology" based on the operator policies, which can be based on the registered VPLMN, the location of the UE, etc.

Editor's note (WI eNPN, CR#0790): Whether the UE can receive the SOR-SNPN-SI when registering or registered to a PLMN, and whether the UE can receive the list of preferred PLMN/access technology combinations together with the SOR-SNPN-SI, are FFS.

The HPLMN can configure their subscribed UE's USIM to indicate that the UE is expected to receive the steering of roaming information due to initial registration in a VPLMN. At the same time the HPLMN will mark the UE is expected to receive the steering of roaming information due to initial registration in a VPLMN, in the subscription information in the UDM. In this case, it is mandatory for the HPLMN to provide the steering of roaming information to the UE during initial registration in a VPLMN. Otherwise if such configuration is not provided in the USIM, it is optional for the HPLMN to provide the steering of roaming information to the UE during initial registration (based on operator policy). The HPLMN can provide the steering of roaming information to the UE during the registration procedure for mobility registration update and initial registration procedure for emergency services. In addition, the HPLMN can request the UE to provide an acknowledgement of successful reception of the steering of roaming information.

NOTE 1: In annex C of this specification, the User Data Repository (UDR) is considered as part of the UDM.

As the HPLMN needs to consider certain criteria including the number of customers distributed through multiple VPLMNs in the same country or region, the list of the preferred PLMN/access technology combinations is not necessarily the same at all times and for all users. The list of the preferred PLMN/access technology combinations needs to be dynamically generated, e.g. generated on demand, by a dedicated steering of roaming application function (SOR-AF) providing operator specific data analytics solutions.

NOTE 2: The functional description of this dedicated application function (SOR-AF) is out of scope of 3GPP.

The steering of roaming connected mode control information (SOR-CMCI) enables the HPLMN to control the timing of a UE in connected mode to move to idle mode to perform the steering of roaming. The UE shall support the SOR-CMCI. The support and use of SOR-CMCI by the HPLMN is based on the HPLMN's operator policy.

The following requirements are applicable for the SOR-CMCI:

- The HPLMN may configure SOR-CMCI in the UE and may also send SOR-CMCI over N1 NAS signalling. The SOR-CMCI received over N1 NAS signalling has precedence over the SOR-CMCI configured in the UE.

- The user may configure the UE with a "user controlled list of services exempted from release due to SOR". The "user controlled list of services exempted from release due to SOR" shall be stored on the USIM if the corresponding file is present. If the corresponding file is not present on the USIM, the "user controlled list of services exempted from release due to SOR" shall be stored in a non-volatile memory in the ME together with the SUPI from the USIM;

- The UE shall indicate ME's support for SOR-CMCI to the HPLMN.

NOTE 3: The HPLMN has the knowledge of the USIM's capabilities in supporting SOR-CMCI.

- While performing SOR, the UE shall consider the list of preferred PLMN/access technology combinations or secured packet received in the SOR information together with the available SOR-CMCI.

- The HPLMN may provision the SOR-CMCI in the UE over N1 NAS signalling. The UE shall store the configured SOR-CMCI in the non-volatile memory of the ME or in the USIM as described in clause C.4.

In order to support various deployment scenarios, the UDM may support:

- obtaining a list of preferred PLMN/access technology combinations, and SOR-CMCI, if any, (if supported by the UDM and required by the HPLMN), or a secured packet which is or becomes available in the UDM (i.e. retrieved from the UDR);

NOTE 4: A secured packet can be made available at the UDR via implementation specific means. In this case the implementation specific means are required to ensure that the secured packet satisfies the "Replay detection and Sequence Integrity counter" (see ETSI TS 102 225 [73]) every time it is sent out from the HPLMN to the UE.

- obtaining a list of preferred PLMN/access technology combinations and SOR-CMCI, if any, (if supported by the UDM and required by the HPLMN), or a secured packet from the SOR-AF; or

- both of the above.

The HPLMN policy for the SOR-AF invocation can be present in the UDM only if the UDM supports obtaining a list of preferred PLMN/access technology combinations and SOR-CMCI, if any, or a secured packet from the SOR-AF.

The UDM discards any list of preferred PLMN/access technology combinations, SOR-CMCI, if any, or any secured packet obtained from the SOR-AF or which is or becomes available in the UDM (i.e. retrieved from the UDR), either during registration (as specified in annex C.2) or after registration (as specified in annex C.3 and C.4.3), when the UDM cannot successfully forward the SOR information to the AMF (e.g. in case the UDM receives the response from the SOR-AF with the list of preferred PLMN/access technology combinations, the SOR-CMCI, if any, or the secured packet after the expiration of the operator specific timer, or if there is no AMF registered for the UE).

The UE maintains a list of "PLMNs where registration was aborted due to SOR". If the UE receives steering of roaming information in the REGISTRATION ACCEPT or DL NAS TRANSPORT message and the security check to verify that the steering of roaming information is provided by HPLMN is successful, the UE shall remove the current selected PLMN from the list of "PLMNs where registration was aborted due to SOR". The UE shall delete the list of "PLMNs where registration was aborted due to SOR" when the MS is switched off or the USIM is removed.

If:

- the UE's USIM is configured to indicate that the UE shall expect to receive the steering of roaming information during initial registration procedure but did not receive it or security check on the steering of roaming information fails;

- the current chosen VPLMN is not contained in the list of "PLMNs where registration was aborted due to SOR";

- the current chosen VPLMN is not part of "User Controlled PLMN Selector with Access Technology" list; and

- the UE is not in manual mode of operation;

then the UE will perform PLMN selection with the current VPLMN considered as lowest priority.

It is mandatory for the VPLMN to transparently forward to the UE the steering of roaming information received from HPLMN and to transparently forward to the HPLMN the acknowledgement of successful reception of the steering of roaming information received from UE, both while the UE is trying to register onto the VPLMN as described in clause C.2, and after the UE has registered onto the VPLMN as described in clause C.3 and C.4.3.

If the last received steering of roaming information contains the list of preferred PLMN/access technology combinations then the ME shall not delete the "Operator Controlled PLMN Selector with Access Technology" list stored in the ME when the UE is switched off.

The ME shall delete the "Operator Controlled PLMN Selector with Access Technology" list stored in the ME when a new USIM is inserted.

The procedure in this annex for steering of UE in VPLMN can be initiated by the network while the UE is trying to register onto the VPLMN as described in clause C.2, or after the UE has registered onto the HPLMN or the VPLMN as described in clause C.3.

### C.1.2 Steering of roaming over the control plane in an SNPN

The purpose of the control plane solution for steering of roaming in 5GS procedure in an SNPN is to allow the HPLMN or subscribed SNPN to update the SOR-SNPN-SI associated with the selected entry of "list of subscriber data" or the selected PLMN subscription in the ME, for a UE which supports access to an SNPN using credentials from a credential holder, by providing Steering of roaming SNPN selection information (SOR-SNPN-SI) via NAS signalling. The HPLMN or subscribed SNPN can provide the steering of roaming information to the UE using the control plane mechanism during and after registration. The HPLMN or subscribed SNPN updates the SOR-SNPN-SI based on the HPLMN or subscribed SNPN policies, which can be based on the registered SNPN, the location of the UE, etc.

If the UE supports access to an SNPN using credentials from a credentials holder, the UE shall indicate ME's support for SOR-SNPN-SI when registering in a subscribed SNPN or in the HPLMN.

Editor's note (WI eNPN, CR#0790): How the UE signals ME's support for SOR-SNPN-SI when registering in a subscribed SNPN or in the HPLMN needs to be specified in TS 24.501.

The HPLMN or subscribed SNPN can configure their subscribed UEs' SNPN configuration parameters associated with the selected entry of the "list of subscriber data" orPLMN subscription, respectively, to expect to receive the steering of roaming information due to initial registration in a non-subscribed SNPN. At the same time the HPLMN or subscribed SNPN will mark the UE as expecting to receive the steering of roaming information due to initial registration in a non-subscribed SNPN, in the subscription information in the UDM. In this case, it is mandatory for the HPLMN or subscribed SNPN to provide the steering of roaming information to the UE during initial registration in a non-subscribed SNPN. Otherwise if such configuration is not provided in the ME, it is optional for the HPLMN or subscribed SNPN to provide the steering of roaming information to the UE during initial registration (based on HPLMN or subscribed SNPN policy). The HPLMN or subscribed SNPN can provide the steering of roaming information to the UE during the registration procedure for mobility registration update and initial registration procedure for emergency services. In addition, the HPLMN or subscribed SNPN can request the UE to provide an acknowledgement of successful reception of the steering of roaming information.

NOTE 1: In annex C of this specification, the User Data Repository (UDR) is considered as part of the UDM.

As the HPLMN or subscribed SNPN needs to consider certain criteria including the number of customers distributed through multiple SNPNs in the same country or region, the SOR-SNPN-SI is not necessarily the same at all times and for all users.

NOTE 2: The functional description of this dedicated application function (SOR-AF) is out of scope of 3GPP.

The steering of roaming connected mode control information (SOR-CMCI) enables the HPLMN or subscribed SNPN to control the timing of a UE in connected mode to move to idle mode, if the UE decides to perform SNPN selection upon receiving the steering of roaming information. The UE shall support the SOR-CMCI. The support and use of SOR-CMCI by the HPLMN or subscribed SNPN is based on the HPLMN or subscribed SNPN policy.

The following requirements are applicable for the SOR-CMCI:

- The HPLMN or subscribed SNPN may configure SOR-CMCI in the UE and may also send SOR-CMCI over N1 NAS signalling. The SOR-CMCI received over N1 NAS signalling has precedence over the SOR-CMCI configured in the UE.

- The UE shall indicate ME's support for SOR-CMCI to the HPLMN or subscribed SNPN.

NOTE 3: If the credentials holder is the HPLMN, the HPLMN has the knowledge of the USIM's capabilities in supporting SOR-CMCI.

- While performing SOR, the UE shall consider the SOR-SNPN-SI received in the SOR information together with the available SOR-CMCI.

- The HPLMN or subscribed SNPN may provision the SOR-CMCI in the UE over N1 NAS signalling. The UE shall store the configured SOR-CMCI in the non-volatile memory of the ME or in the USIM as described in clause C.4.

In order to support various deployment scenarios, the UDM may support:

- obtaining the SOR-SNPN-SI which is or becomes available in the UDM (i.e. retrieved from the UDR);

- obtaining the SOR-SNPN-SI from the SOR-AF; or

- both of the above.

The HPLMN or subscribed SNPN policy for the SOR-AF invocation can be present in the UDM only if the UDM supports obtaining the SOR-SNPN-SI from the SOR-AF.

The UDM discards any SOR-SNPN-SI obtained from the SOR-AF or which is or becomes available in the UDM (i.e. retrieved from the UDR), either during registration (as specified in annex C.X) or after registration (as specified in annex C.Y), when the UDM cannot successfully forward the SOR information to the AMF (e.g. in case the UDM receives the response from the SOR-AF with the SOR-SNPN-SI after the expiration of the HPLMN or subscribed SNPN specific timer, or if there is no AMF registered for the UE).

The UE maintains a list of "SNPNs where registration was aborted due to SOR" per entry of the "list of subscriber data" or the PLMN subscription. If the UE receives steering of roaming information in the REGISTRATION ACCEPT or DL NAS TRANSPORT message in an SNPN and the security check to verify that the steering of roaming information is provided by the HPLMN or subscribed SNPN is successful, the UE shall remove the current selected SNPN from the list of "SNPNs where registration was aborted due to SOR" for the selected entry of the "list of subscriber data" or the selected PLMN subscription. The UE shall delete the list of "SNPNs where registration was aborted due to SOR" when the selected entry of the "list of subscriber data" is updated or the UICC containind the USIM is removed.

If:

- the UE's ME is configured to indicate that the UE shall expect to receive the steering of roaming information during initial registration procedure for the selected entry of the "list of subscriber data" or the selected PLMN subscription but did not receive it or security check on the steering of roaming information fails;

- the current chosen SNPN is not contained in the list of "SNPNs where registration was aborted due to SOR" for the selected entry of the "list of subscriber data" or the selected PLMN subscription;

- the current chosen SNPN is not part of the user controlled prioritized list of preferred SNPNs for the selected entry of the "list of subscriber data" or the selected PLMN subscription; and

- the UE is not in manual mode of operation;

then the UE will perform SNPN selection with the current SNPN considered as lowest priority.

It is mandatory for the non-subscribed SNPN to transparently forward to the UE the steering of roaming information received from the HPLMN or subscribed SNPN and to transparently forward to the HPLMN or subscribed SNPN the acknowledgement of successful reception of the steering of roaming information received from the UE, both while the UE is trying to register onto the non-subscribed SNPN as described in clause C.X, and after the UE has registered onto the non-subscribed SNPN as described in clause C.Y.

The ME shall delete the SOR-SNPN-SI stored in the ME when the selected entry of the "list of subscriber data" is updated or the UICC containind the USIM is removed.

The procedure in this annex for steering of UE in an SNPN can be initiated by the network while the UE is trying to register onto a non-subscribed SNPN as described in clause C.X, or after the UE has registered onto the subscribed SNPN or a non-subscribed SNPN as described in clause C.Y.

\*\*\* Next change \*\*\*

## C.X Stage-2 flow for steering of UE in SNPN during registration

The stage-2 flow for the case when the UE registers in a non-subscribed SNPN is described below in figure C.X.1. The AMF is located in the non-subscribed SNPN. The UDM is located in the HPLMN or subscribed SNPN.

Figure C.X.1: Procedure for providing SOR-SNPN-SI during registration

For the steps below, security protection is described in 3GPP TS 33.501 [24].

1) The UE to the AMF: The UE initiates initial registration, emergency registration or mobility registration update procedure to the AMF by sending REGISTRATION REQUEST message with the 5GS registration type IE indicating "initial registration", "emergency registration" or "mobility registration updating";

2) Upon receiving the REGISTRATION REQUEST message, the AMF executes the registration procedure as defined in clause 4.2.2.2.2 of 3GPP TS 23.502 [63]. As part of the registration procedure:

a) if the AMF does not have subscription data for the UE, the AMF invokes Nudm\_SDM\_Get service operation to the UDM to get amongst other information the Access and Mobility Subscription data for the UE (see step 14b in clause 4.2.2.2.2 of 3GPP TS 23.502 [63]); or

b) if the AMF already has subscription data for the UE and:

i) the 5GS registration type IE in the received REGISTRATION REQUEST message indicates "initial registration" and the "SoR Update Indicator for Initial Registration" field in the UE context is set to 'the UDM requests the AMF to retrieve SoR information when the UE performs NAS registration type "initial registration"' as specified in table 5.2.2.2.2-1 of 3GPP TS 23.502 [63]); or

ii) the 5GS registration type IE in the received REGISTRATION REQUEST message indicates "emergency registration" and the "SoR Update Indicator for Emergency Registration" field in the UE context is set to 'the UDM requests the AMF to retrieve SoR information when the UE performs NAS registration type "emergency registration"' as specified in table 5.2.2.2.2-1 of 3GPP TS 23.502 [63]);

 then the VPLMN AMF invokes Nudm\_SDM\_Get service operation message to the HPLMN UDM to retrieve the steering of roaming information (see step 14b in clause 4.2.2.2.2 of 3GPP TS 23.502 [63]);

 otherwise the AMF sends a REGISTRATION ACCEPT message without the steering of roaming information to the UE and steps 3a, 3b, 3c, 3d, 4, 5, 6 are skipped;

3a) If the user subscription information indicates to send the steering of roaming information due to initial registration in a non-subscribed SNPN, then the UDM shall provide the steering of roaming information to the UE when the UE performs initial registration in a non-subscribed SNPN. Otherwise:

a) If the UE is registering on the subscribed SNPN and the UE has not indicated support for SOR-SNPN-SI in the REGISTRATION REQUEST message, the UDM shall not provide the SOR-SNPN-SI to the UE; and

b) If the UE is registering on the subscribed SNPN and the UE has indicated support for SOR-SNPN-SI in the REGISTRATION REQUEST message, or the UE is not registering on the subscribed SNPN, the UDM may provide the SOR-SNPN-SI to the UE based on the subscribed SNPN or HPLMN policy.

 If the UE is performing initial registration or emergency registration, the UDM shall delete the stored "ME support of SOR-CMCI" indicator, if any.

 If the UDM is to provide the steering of roaming information to the UE when the UE performs the registration in a non-subscribed SNPN and the subscribed SNPN or HPLMN policy for the SOR-AF invocation is absent then steps 3b and 3c are not performed and the UDM obtains the available SOR-SNPN-SI (i.e. all retrieved from the UDR). In addition, if the UDM obtains the SOR-SNPN-SI and the "ME support of SOR-CMCI" indicator is stored for the UE, then the UDM shall obtain the SOR-CMCI, if available, otherwise the UDM shall not obtain the SOR-CMCI. If the SOR-CMCI is provided then the UDM may indicate to the UE to store the SOR-CMCI in the ME by providing the "Store the SOR-CMCI in the ME" indicator.

 If the UDM is to provide the steering of roaming information to the UE when the UE performs the registration in a non-subscribed SNPN and the subscribed SNPN or HPLMN policy for the SOR-AF invocation is present, then the UDM obtains the SOR-SNPN-SI, SOR-CMCI, if any, from the SOR-AF using steps 3b and 3c;

3b) The UDM to the SOR-AF: Nsoraf\_SoR\_Get request (SNPN identity, SUPI of the UE, access type (see 3GPP TS 29.571 [72])). The SNPN identity and the access type parameters, indicating where the UE is registering, are stored in the UDM;

Editor's note (WI eNPN, CR#0790): The SNPN identity needs to be added to the parameters of the Nsoraf\_SoR\_Get request by CT4.

3c) The SOR-AF to the UDM: Nsoraf\_SoR\_Get response (the SOR-SNPN-SI, the SOR-CMCI, if any, and the "Store the SOR-CMCI in the ME" indicator, if any);

 Based on the information received in step 3b and any subcribed SNPN or HPLMN specific criteria, the SOR-AF may include the SOR-SNPN-SI, the SOR-CMCI, if any, and optionally the "Store the SOR-CMCI in the ME" indicator, if any.

 If the SOR-AF includes the SOR-SNPN-SI and the ME supports the SOR-CMCI, the SOR-AF may provide the SOR-CMCI and optionally the "Store the SOR-CMCI in the ME" indicator, otherwise the SOR-AF shall provide neither the SOR-CMCI nor the "Store the SOR-CMCI in the ME" indicator.

NOTE 1: In this version of the specification, when the access type where the UE is registering indicates 3GPP access, then the UE is registering over the NG-RAN access technology.

NOTE 2: The SOR-AF can include a different SOR-SNPN-SI, different SOR-CMCI, if any, and different "Store the SOR-CMCI in the ME" indicator, if any, for each Nsoraf\_SoR\_Get request even if the same SNPN identity, the SUPI of the UE, and the access type are provided to the SOR-AF.

NOTE 3: The SOR-AF can subscribe to the UDM to be notified about the changes of the roaming status of the UE identified by SUPI.

NOTE 4: The SOR-AF can determine that the ME supports the SOR-CMCI if the Nsoraf\_SoR\_Info service operation has returned the "ME support of SOR-CMCI" indicator.

3d) The UDM forms the steering of roaming information as specified in 3GPP TS 33.501 [66] from the SOR-SNPN-SI, the SOR-CMCI, if any, and the "Store the SOR-CMCI in the ME" indicator, if any, obtained in step 3a or the SOR-SNPN-SI, the SOR-CMCI, if any, and the "Store the SOR-CMCI in the ME" indicator, if any, obtained in step 3c.

 If:

- the SOR-SNPN-SI was not obtained in steps 3a or 3c; or

- the SOR-AF has not sent to the UDM an Nsoraf\_SoR\_Get response (step 3c) within an operator defined time after the UDM sending to the SOR-AF an Nsoraf\_SoR\_Get request (step 3b);

NOTE 5: Stage 3 to define the timer needed for the SOR-AF to respond to the UDM. The max time needs to be defined considering that this procedure is part of the Registration procedure.

 and the UE is performing initial registration in a non-subscribed SNPN and the user subscription information indicates to send the steering of roaming information due to initial registration in a non-subcribed SNPN, then the UDM forms the steering of roaming information as specified in 3GPP TS 33.501 [66] from the subscribed SNPN or HPLMN indication that 'no change of the SOR-SNPN-SI stored in the UE is needed and thus no SOR-SNPN-SI is provided';

4) The UDM to the AMF: The UDM sends a response to the Nudm\_SDM\_Get service operation to the AMF, which includes the steering of roaming information within the Access and Mobility Subscription data. The Access and Mobility Subscription data type is defined in clause 5.2.3.3.1 of 3GPP TS 23.502 [63]).

NOTE 6: The UDM cannot provide the SOR-SNPN-SI or the SOR-CMCI, if any, to the AMF which does not support receiving SOR transparent container (see 3GPP TS 29.503 [78]).

 If the UE is performing initial registration or emergency registration and the UDM supports SOR-CMCI, the HPLMN shall request the UE to acknowledge the successful security check of the received steering of roaming information, by providing the indication as part of the steering of roaming information in the Nudm\_SDM\_Get response service operation. Otherwise, the subcribed SNPN or HPLMN may request the UE to acknowledge the successful security check of the received steering of roaming information, by providing the indication as part of the steering of roaming information in the Nudm\_SDM\_Get response service operation;

5) The AMF to the UDM: As part of the registration procedure, the SNPN also invokes Nudm\_SDM\_Subscribe service operation to the UDM to subscribe to notification of changes of the subscription data (e.g. received in step 4) including notification of updates of the steering of roaming information included in the Access and Mobility Subscription data (see step 14c in clause 4.2.2.2.2 of 3GPP TS 23.502 [63]);

6) The AMF to the UE: The AMF shall transparently send the received steering of roaming information to the UE in the REGISTRATION ACCEPT message;

7) If the steering of roaming information is received and the security check is successful, then:

a) if the UDM has not requested an acknowledgement from the UE, then the UE shall send the REGISTRATION COMPLETE message to the serving AMF without including an SOR transparent container; and

b) if the steering of roaming information contains the SOR-SNPN-SI, the ME shall replace the credentials holder controlled prioritized lists of preferred SNPNs for the selected entry of the "list of subscriber data" or the selected PLMN subscription with the received credentials holder controlled prioritized lists of preferred SNPNs, if any, and the ME shall replace the credentials holder controlled prioritized lists of GINs for the selected entry of the "list of subscriber data" or the selected PLMN subscription with the received credentials holder controlled prioritized lists of GINs, if any, and delete the SNPNs identified by the credentials holder controlled prioritized lists of preferred SNPNs or credentials holder controlled prioritized lists of GINs from the list of "temporarily forbidden SNPNs" and the list of "permanently forbidden SNPNs", if they are present in these lists. Additionally, the UE may perform SNPN selection. If the UE decides to perform SNPN selection:

i) if the UE has a list of available and allowable SNPN in the area and based on this list or any other implementation specific means the UE determines that there is a higher priority SNPN than the selected SNPN; or

ii) the UE does not have a list of available and allowable SNPN in the area and is unable to determine whether there is a higher priority SNPN than the selected SNPN using any other implementation specific means;

 and the UE is in automatic network selection mode:

A) if the UE is configured with the SOR-CMCI or received the SOR-CMCI over N1 NAS signalling, the UE shall apply the actions in clause C.4.2. In this case steps 8 to 11 are skipped;

B) otherwise, the UE shall:

i) release the current N1 NAS signalling connection locally and then attempt to obtain service on a higher priority SNPN as specified in clause 4.9.3.1. In this case, steps 8 to 11 are skipped. The UE shall suspend the transmission of 5GSM messages until the N1 NAS signalling is released. If the UE has an established emergency PDU session (see 3GPP TS 24.501 [64]), the receipt of the steering of roaming information shall not trigger the release of the N1 NAS signalling connection. The UE shall release the current N1 NAS signalling connection locally subsequently after the emergency PDU session is released. If the UE needs to disable the N1 mode capability (see 3GPP TS 24.501 [64]) and there is no emergency service pending, the UE shall first attempt to obtain service on a higher priority SNPN as described in this step, and if no higher priority SNPN can be selected but the last registered SNPN is selected, then the UE shall disable the N1 mode capability; or

ii) not release the current N1 NAS signalling connection locally (e.g. if the UE has established PDU session(s)) and skip steps 8 to 10;

NOTE 7: When the UE is in the manual mode of operation or the current chosen non-subscribed SNPN is part of the user controlled prioritized list of preferred SNPNs, the UE stays on the current chosen non-subscribed SNPN.

8) If the UE's ME is configured with an indication that the UE is to receive the steering of roaming information due to initial registration in a non-subscribed SNPN, but neither the SOR-SNPN-SI nor the subscribed SNPN or HPLMN indication that 'no change of the SOR-SNPN-SI stored in the UE is needed and thus no SOR-SNPN-SI is provided' is received in the REGISTRATION ACCEPT message, when the UE performs initial registration in a VPLMN or if the steering of roaming information is received but the security check is not successful, then the UE shall:

a) if the SOR transparent container is included in the REGISTRATION ACCEPT message, send the REGISTRATION COMPLETE message to the serving AMF without including an SOR transparent container;

b) if the current chosen non-subscribed SNPN is not contained in the list of "SNPNs where registration was aborted due to SOR" for the selected entry in the "list of subscriber data" or the selected PLMN subscription, and is not part of the user controlled prioritized list of preferred SNPNs for the selected entry in the "list of subscriber data" or the selected PLMN subscription, and the UE is not in manual mode of operation, release the current N1 NAS signalling connection locally and attempt to obtain service on a higher priority SNPN as specified in clause 4.9.3, with an exception that the current SNPN is considered as lowest priority, and skip steps 9 to 11. The UE shall suspend the transmission of 5GSM messages until the N1 NAS signalling is released. If the UE has an established emergency PDU session (see 3GPP TS 24.501 [64]), the UE shall release the current N1 NAS signalling connection locally after the release of the emergency PDU session. If the UE needs to disable the N1 mode capability (see 3GPP TS 24.501 [64]) and there is no emergency service pending, the UE shall first attempt to obtain service on a higher priority SNPN as described in this step, and if no higher priority SNPN can be selected but the last registered SNPN is selected, then the UE shall disable the N1 mode capability;and

c) if the current chosen non-subscribed SNPN is not contained in the list of "SNPNs where registration was aborted due to SOR" for the selected entry in the "list of subscriber data" or the selected PLMN subscription, store the SNPN identity in the list of "SNPNs where registration was aborted due to SOR" for the selected entry in the "list of subscriber data" or the selected PLMN subscription;

NOTE 8: When the UE is in the manual mode of operation or the current chosen non-suscribed SNPN is part of the user controlled prioritized list of preferred SNPNs, the UE stays on the current chosen non-subscribed SNPN.

9) The UE to the AMF: If the UDM has requested an acknowledgement from the UE and the UE verified that the steering of roaming information has been provided by the subcribed SNPN or HPLMN in step 7, then:

a) the UE sends the REGISTRATION COMPLETE message to the serving AMF with an SOR transparent container including the UE acknowledgement;

b) the UE shall set the "ME support of SOR-CMCI" indicator in the header of the SOR transparent container to "supported"; and

c) if the steering of roaming information contains the SOR-SNPN-SI, the UE is configured with the SOR-CMCI or received the SOR-CMCI over N1 NAS signalling, the UE is in automatic network selection mode and the UE decides to perform SNPN selection, then the UE shall apply the actions in clause C.4.2, and step 11 is skipped;

10) The AMF to the UDM: If an SOR transparent container is received in the REGISTRATION COMPLETE message, the AMF uses the Nudm\_SDM\_Info service operation to provide the received SOR transparent container to the UDM. If the subcribed SNPN or HPLMN decided that the UE is to acknowledge the successful security check of the received steering of roaming information in step 4, the UDM verifies that the acknowledgement is provided by the UE as specified in 3GPP TS 33.501 [66]. If the "ME support of SOR-CMCI" indicator in the header of the SOR transparent container is set to "supported", then the UDM shall store the "ME support of SOR-CMCI" indicator, otherwise the UDM shall delete the stored "ME support of SOR-CMCI" indicator, if any.

NOTE 9: The UDM cannot receive the "ME support of SOR-CMCI" indicator from the AMF which does not support receiving SoR transparent container (see 3GPP TS 29.503 [78]).

10a) The UDM to the SOR-AF: Nsoraf\_SoR\_Info (SUPI of the UE, successful delivery, "ME support of SOR-CMCI" indicator, if any). If the subcribed SNPN or HPLMN policy for the SOR-AF invocation is present and the UDM received and verified the UE acknowledgement in step 10, then the UDM informs the SOR-AF about successful delivery of the SOR-SNPN-SI to the UE. If the "ME support of SOR-CMCI" indicator is stored for the UE, the HPLMN UDM shall include the "ME support of SOR-CMCI" indicator; and

11) If the UE has a list of available SNPNs in the area and based on this list the UE determines that there is a higher priority SNPN than the selected SNPN and the UE is in automatic network selection mode, then the UE may attempt to obtain service on a higher priority SNPN as specified in clause 4.9.3 after the release of the N1 NAS signalling connection. If the N1 NAS signalling connection is not released after an implementation dependent time, the UE may locally release the N1 signalling connection except when the UE has an established emergency PDU session (see 3GPP TS 24.501 [64]).

When the UE performs initial registration for emergency services (see 3GPP TS 24.501 [64] and 3GPP TS 23.502 [63]) and the AMF performs the authentication procedure, then based on subcribed SNPN or HPLMN policy, the SOR procedure described in this clause may apply.

If:

- the UE in manual mode of operation encounters scenario mentioned in step 8 above; and

- upon switching to automatic network selection mode, the UE remembers that it is still registered on the where the security check failure of SOR information was encountered as described in step 8;

the UE shall wait until it moves to idle mode or 5GMM-CONNECTED mode with RRC inactive indication (see 3GPP TS 24.501 [64]) before attempting to obtain service on a higher priority SNPN as specified in clause 4.9.3, with an exception that the current registered SNPN is considered as lowest priority. If the UE has an established emergency PDU session, then the UE shall attempt to perform the SNPN selection subsequently after the emergency PDU session is released.

NOTE 10: The receipt of the steering of roaming information by itself does not trigger the release of the emergency PDU session.

NOTE 11: The list of available and allowable SNPNs in the area is implementation specific.

## C.Y Stage-2 flow for steering of UE in SNPN after registration

The stage-2 flow for the steering of UE in SNPN after registration is indicated in figure C.Y.1. The UE is registered on an SNPN which can be the subscribed SNPN or a non-subscribed SNPN. The AMF is located in the selected SNPN. The UDM is located in the HPLMN or subscribed SNPN.

The procedure is triggered:

- If the UDM supports obtaining SOR-SNPN-SI and SOR-CMCI, if any, from the SOR-AF, the subscribed SNPN or HPLMN policy for the SOR-AF invocation is present in the UDM and the SOR-AF provides the UDM with SOR-SNPN-SI for a UE identified by SUPI. If the ME supports the SOR-CMCI, the SOR-AF may provide the SOR-CMCI and optionally provides the "Store the SOR-CMCI in the ME" indicator otherwise the SOR-AF shall provide neither the SOR-CMCI nor the "Store the SOR-CMCI in the ME" indicator; or

NOTE 0: The SOR-AF can determine that the ME supports the SOR-CMCI if the Nsoraf\_SoR\_Info service operation has returned the "ME support of SOR-CMCI" indicator. How the SOR-AF determines that the USIM for the indicated SUPI supports SOR-CMCI is implementation specific.

- When a SOR-SNPN-SI becomes available in the UDM (i.e. retrieved from the UDR). If the "ME support of SOR-CMCI" indicator is stored for the UE, the HPLMN UDM shall obtain the SOR-CMCI and the "Store the SOR-CMCI in the ME" indicator, if available, otherwise the HPLMN UDM shall obtain neither the SOR-CMCI nor the "Store the SOR-CMCI in the ME" indicator.

NOTE 1: Before providing the UDM with SOR-SNPN-SI for a UE identified by SUPI, the SOR-AF, based on subscribed SNPN or HPLMN policies or criteria, can obtain the user location information by triggering the unified location service exposure procedure as defined in 3GPP TS 23.273 [70] clause 6.5, or additionally based on implementation specific criteria, by requesting the UE location information from other application function using implementation specific method. This user location information can then be used in the SOR-AF algorithms.

NOTE 2: Before providing the UDM with a new SOR-SNPN-SI for a UE identified by SUPI, the SOR-AF, based on subscribed SNPN or HPLMN policies or criteria, can obtain the user location information by triggering the unified location service exposure procedure as defined in 3GPP TS 23.273 [70] clause 6.5, or additionally based on implementation specific criteria, by requesting the UE location information from other application function using implementation specific method. This user location information can then be used in the SOR-AF algorithms.

Figure C.Y.1: Procedure for providing SOR-SNPN-SI after registration

For the steps below, security protection is described in 3GPP TS 33.501 [24].

0) The SOR-AF to the UDM: Nudm\_ParameterProvision\_Update request is sent to the UDM to trigger the update of the UE with the SOR-SNPN-SI, the SOR-CMCI, if any, and the "Store the SOR-CMCI in the ME" indicator, if any, for a UE identified by SUPI.

1) The UDM to the AMF: The UDM notifies the changes of the user profile to the affected AMF by the means of invoking Nudm\_SDM\_Notification service operation. The Nudm\_SDM\_Notification service operation contains the steering of roaming information that needs to be delivered transparently to the UE over NAS within the Access and Mobility Subscription data. If the subcribed SNPN or HPLMN decided that the UE is to acknowledge successful security check of the received steering of roaming information, the Nudm\_SDM\_Notification service operation also contains an indication that the UDM requests an acknowledgement from the UE as part of the steering of roaming information. If the SOR-CMCI was obtained, the UDM shall include the SOR-CMCI into the steering of roaming information and shall requests an acknowledgement from the UE as part of the steering of roaming information. If the "Store the SOR-CMCI in the ME" indicator was obtained, the HPLMN UDM shall include the "Store the SOR-CMCI in the ME" indicator;

NOTE 2b: The UDM cannot provide the SOR-SNPN-SI or SOR-CMCI to the AMF which does not support receiving SOR transparent container (see 3GPP TS 29.503 [78]).

2) The AMF to the UE: the AMF sends a DL NAS TRANSPORT message to the served UE. The AMF includes in the DL NAS TRANSPORT message the steering of roaming information received from the UDM.

3) Upon receiving the steering of roaming information, the UE shall perform a security check on the steering of roaming information included in the DL NAS TRANSPORT message to verify that the steering of roaming information is provided by the subcribed SNPN or HPLMN, and if the security check is successful:

a) if the steering of roaming information contains the SOR-SNPN-SI, the ME shall replace the credentials holder controlled prioritized lists of preferred SNPNs for the selected entry of the "list of subscriber data" or the selected PLMN subscription with the received credentials holder controlled prioritized lists of preferred SNPNs, if any, the ME shall replace the credentials holder controlled prioritized lists of GINs for the selected entry of the "list of subscriber data" or the selected PLMN subscription with the received credentials holder controlled prioritized lists of GINs, if any, and the ME shall delete the SNPNs identified by the credentials holder controlled prioritized lists of preferred SNPNs or credentials holder controlled prioritized lists of GINs from the list of "temporarily forbidden SNPNs" and the list of "permanently forbidden SNPNs", if they are present in these lists.

 If the UDM has requested an acknowledgement from the UE in the DL NAS TRANSPORT message, the UE sends an UL NAS TRANSPORT message to the serving AMF with an SOR transparent container including the UE acknowledgement and the UE shall set the "ME support of SOR-CMCI" indicator to "supported".

 If the UE is in automatic network selection mode, the selected SNPN is a non-subscribed SNPN and the UE decides to perform SNPN selection, then:

- if the UE is configured with the SOR-CMCI or received the SOR-CMCI over N1 NAS signalling, the UE shall apply the actions in clause C.4; or

- the UE shall wait until it moves to idle mode or 5GMM-CONNECTED mode with RRC inactive indication (see 3GPP TS 24.501 [64]) before attempting to obtain service on a higher priority SNPN as specified in clause 4.9.3.

 If the selected SNPN is a non-subscribed SNPN and the UE has an established emergency PDU session then the UE may attempt to perform the SNPN selection subsequently after the emergency PDU session is released, if the UE is in automatic network selection mode.

 If the UDM has not requested an acknowledgement from the UE, then steps 5 is skipped; and

4) If the selected SNPN is a non-subscribed SNPN, the security check is not successful and the UE is in automatic network selection mode, then the UE shall wait until it moves to idle mode or 5GMM-CONNECTED mode with RRC inactive indication (see 3GPP TS 24.501 [64]) before attempting to obtain service on a higher priority SNPN as specified in clause 4.9.3, with an exception that the current SNPN is considered as lowest priority. If the selected SNPN is a non-subscribed SNPN and the UE has an established emergency PDU session, then the UE shall attempt to perform the SNPN selection after the emergency PDU session is released.

 If the UDM has not requested an acknowledgement from the UE, then step 5 is skipped;

NOTE 3: When the UE is in the manual mode of operation and the current chosen SNPN is part of the user controlled prioritized list of preferred SNPNs, the UE stays on the current chosen SNPN.

5) The AMF to the UDM: If the UL NAS TRANSPORT message with an SOR transparent container is received, the AMF uses the Nudm\_SDM\_Info service operation to provide the received SOR transparent container to the UDM. If the subcribed SNPN or HPLMN decided that the UE is to acknowledge successful security check of the received steering of roaming information in step 1, the UDM verifies that the acknowledgement is provided by the UE. If the "ME support of SOR-CMCI" indicator in the header of the SOR transparent container is set to "supported", then the HPLMN UDM shall store the "ME support of SOR-CMCI" indicator, otherwise the HPLMN UDM shall delete the stored "ME support of SOR-CMCI" indicator, if any.

 If the present flow was invoked by the UDM after receiving from the SOR-AF the SOR-SNPN-SI, SOR-CMCI, if any, for a UE identified by SUPI using an Nudm\_ParameterProvision\_Update request, and the UDM verification of the UE acknowledgement is successful, then the UDM informs the SOR-AF about successful delivery of the SOR-SNPN-SI, SOR-CMCI, if any, using Nsoraf\_SoR\_Info (SUPI of the UE, successful delivery); and

6) The UDM to the SOR-AF: Nsoraf\_SoR\_Info (SUPI of the UE, successful delivery, "ME support of SOR-CMCI" indicator, if any). If the subscribed SNPN or HPLMN policy for the SOR-AF invocation is present and the UDM received and verified the UE acknowledgement in step 5, then the UDM informs the SOR-AF about successful delivery of the SOR-SNPN-SI, SOR-CMCI, if any, to the UE. If the "ME support of SOR-CMCI" indicator is stored for the UE, the HPLMN UDM shall include the "ME support of SOR-CMCI" indicator;

If the selected SNPN is a non-subscribed SNPN and:

- the UE in manual mode of operation encounters security check failure of SOR information in DL NAS TRANSPORT message; and

- upon switching to automatic network selection mode, the UE remembers that it is still registered on the where the security check failure of SOR information was encountered;

the UE shall wait until it moves to idle mode or 5GMM-CONNECTED mode with RRC inactive indication (see 3GPP TS 24.501 [64]) before attempting to obtain service on a higher priority SNPN as specified in clause 4.9.3, with an exception that the current registered SNPN is considered as lowest priority. If the selected SNPN is a non-subscribed SNPN and the UE has an established emergency PDU session, then the UE shall attempt to perform the SNPN selection after the emergency PDU session is released.

NOTE 4: The receipt of the steering of roaming information by itself does not trigger the release of the emergency PDU session.

NOTE 5: If the selected SNPN is the subscribed SNPN, regardless of whether the UE is in automatic network selection mode or manual network selection mode, regardless of whether the UE has an established emergency PDU session or not, and regardless of whether the security check is successful or not successful, the UE is not required to perform the SNPN selection.

\*\*\* Next change \*\*\*

### C.4.1 General

The HPLMN or subscribed SNPN, based on operator policy, may provide the UE with SOR-CMCI to control the timing when the UE enters idle mode and perform higher priority PLMN /access technology or SNPN selection. This is achieved by the HPLMN indicating to the UE the criteria for releasing specific PDU session(s) or services to enter idle mode.

NOTE 1: The released PDU sessions may be re-established by the application once the UE successfully registers on a higher priority PLMN or SNPN. User interaction is required for some applications.

The HPLMN or subscribed SNPN may configure the SOR-CMCI in the UE, and may also provide the SOR-CMCI to the UE over N1 NAS signalling. The SOR-CMCI received over N1 NAS signalling takes precedence over the SOR-CMCI configured in the UE.

NOTE 2: The SOR-CMCI received over N1 NAS signalling in the SOR information is either the SOR-CMCI in the USAT REFRESH with command qualifier of type "Steering of Roaming" (see 3GPP TS 31.111 [41]) which is received in a secured packet, or the SOR-CMCI received in plain text.

If the UE receives SOR information containing the list of preferred PLMN/access technology combinations or SOR-SNPN-SI without SOR-CMCI, or the ME receives USAT REFRESH with command qualifier (see 3GPP TS 31.111 [41]) of type "Steering of Roaming" without SOR-CMCI, then:

1) if the UE has SOR-CMCI stored in the non-volatile memory of the ME, the UE shall use the SOR-CMCI stored in the non-volatile memory of the ME; and

2) if the UE has no SOR-CMCI stored in the non-volatile memory of the ME, the UE shall use the SOR-CMCI stored in the USIM, if any.

The UE shall delete the stored SOR-CMCI, if any, in the non-volatile memory of the ME and store the received SOR-CMCI in the non-volatile memory of the ME when:

1) the ME receives SOR-CMCI in the USAT REFRESH with command qualifier (see 3GPP TS 31.111 [41]) of type "Steering of Roaming"; or

2) the UE receives the steering of roaming information containing the SOR-CMCI over N1 NAS signalling and the UE receives the "Store the SOR-CMCI in the ME" indicator;

The ME shall not delete the SOR-CMCI when the UE is switched off. The ME shall delete the SOR-CMCI when a new USIM is inserted.

SOR-CMCI consists of SOR-CMCI rules. Each SOR-CMCI rule consists of the following parameters:

i) a criterion of one of the following types:

- PDU session attribute type criterion;

- service type criterion; or:

- match all type criterion; and

ii) a value for Tsor-cm timer associated with each criterion presented in i) indicating the time the UE shall wait before releasing the PDU sessions or the services and entering idle mode.

SOR-CMCI contains zero, one or more SOR-CMCI rules with PDU session attribute type criterion, zero, one or more SOR-CMCI rules with service type criterion, and zero or one SOR-CMCI rule with match all type criterion.

PDU session attribute type criterion consists of one of the following:

a) DNN of the PDU session;

b) S-NSSAI STT of the PDU session; or

c) S-NSSAI SST and SD of the PDU session.

Service type criterion consists of one of the following:

a) IMS registration related signalling;

b) MMTEL voice call;

c) MMTEL video call; or

d) MO SMS over NAS or MO SMSoIP.

Match all type criterion consists of:

a) match all.

Editor's note: How to specify handling of the match all criterion to make the lowest priority in the SOR-CMCI criteria is FFS.

If the SOR-CMCI received by the UE contains no SOR-CMCI rules, the UE shall act as if no SOR-CMCI is configured. Additionally, if the SOR-CMCI received by the UE also contains an indication to store the SOR-CMCI in the ME, the UE shall delete any configured SOR-CMCI in the ME.

If there are more than one criterion applicable for a PDU session (ex. a criterion for the PDU session and another one for the service) then the timer Tsor-cm with the highest value shall apply.

If there are more than one criterion applicable to different ongoing PDU sessions or services leading to multiple applicable Tsor-cm timers, then all the applicable Tsor-cm timers shall be started. Further handling of such cases is described in clause C.4.2.

If the value for Tsor-cm timer equals "infinity" then the UE shall wait until the PDU session is released or the service is stopped.

The timer Tsor-cm is applicable only if the UE is in automatic network selection mode.

Upon switching to the manual network selection mode, the UE shall stop any timer Tsor-cm, if running. In this case, the UE is not required to enter idle mode and perform the de-registration procedure.

The UE shall consider the following services as exempted from being forced to release the related established PDU session, if any, enter idle mode and perform high priority PLMN/Access technology or SNPN selection. These services are known to the UE by default and the UE shall not follow the SOR-CMCI criteria even if configured to interrupt such services:

i) emergency services.

The UE configured with high priority access in the selected PLMN or SNPN shall consider all services to be exempted from being forced to release or to release the related established PDU session, if any, enter idle mode and perform high priority PLMN/Access technology or SNPN selection.

The user may configure the UE with a "user controlled list of services exempted from release due to SOR", consisting of one or more of the following:

i) MMTEL voice call;

ii) MMTEL video call; and

ii) SMS over NAS or SMSoIP.

If the UE has a configured "user controlled list of services exempted from release due to SOR" which is stored in the non-volatile memory of the ME, the "user controlled list of services exempted from release due to SOR" shall be deleted when a new USIM is inserted.

The UE shall set the value for Tsor-cm timer for all services included in the "user controlled list of services exempted from release due to SOR" to infinity.

Editor's Note: It is FFS how to ensure that the HPLMN can control if the UE can have a configured "user controlled list of services exempted from release due to SOR" and/or is aware that the UE has a configured "user controlled list of services exempted from release due to SOR", and/or the user is having a service that matches one of the services included in the "user controlled list of services exempted from release due to SOR" during SOR.

### C.4.2 Applying SOR-CMCI in the UE

During SOR procedure and while applying SOR-CMCI, the UE shall determine the time to release the PDU session(s) or the services as follows:

- If the UE has a configured "user controlled list of services exempted from release due to SOR" and a matching criterion is found for a service included in the "user controlled list of services exempted from release due to SOR", the UE shall set the Tsor-cm timer associated to the service to infinity and shall ignore the corresponding SOR-CMCI rules for this service;

- If one or more SOR-CMCI rules are included in SOR-CMCI, where for each criterion:

a) DNN of the PDU session:

 the UE shall check whether it has a PDU session with a DNN matching to the DNN included in SOR-CMCI, and if any, the UE shall, if the timer value is not zero, start an associated timer Tsor-cm with the value included in the SOR-CMCI;

b) S-NSSAI SST of the PDU session:

 the UE shall check whether it has a PDU session with a S-NSSAI SST matching the S-NSSAI SST included in SOR-CMCI, and if any, the UE shall, if the timer value is not zero, start an associated timer Tsor-cm with the value included in the SOR-CMCI;

b1) S-NSSAI SST and SD of the PDU session:

 the UE shall check whether it has a PDU session with a S-NSSAI SST and SD matching the S-NSSAI SST and SD included in SOR-CMCI, and if any, the UE shall set the associated timer Tsor-cm to the value included in the SOR-CMCI;

c) IMS registration related signalling:

 the UE shall check whether IMS registration related signalling is ongoing as specified in 3GPP TS 24.501 [64], and if it is ongoing, the UE shall, if the timer value is not zero, start an associated timer Tsor-cm with the value included in the SOR-CMCI;

d) MMTEL voice call:

 the UE shall check whether MMTEL voice call is ongoing as specified in 3GPP TS 24.501 [64], and if it is ongoing, the UE shall, if the timer value is not zero, start an associated timer Tsor-cm with the value included in the SOR-CMCI;

e) MMTEL video call:

 the UE shall check whether MMTEL video call is ongoing as specified in 3GPP TS 24.501 [64], and if it is ongoing, the UE shall, if the timer value is not zero, start an associated timer Tsor-cm with the value included in the SOR-CMCI;

f) MO SMS over NAS or MO SMSoIP:

 the UE shall check whether MO SMS over NAS or MO SMSoIP services is ongoing as specified in TS 24.501 [64], and if it is ongoing, the UE shall, if the timer value is not zero, start an associated timer Tsor-cm with the value included in the SOR-CMCI; or

g) match all:

 the UE shall, if the timer value is not zero, start an associated timer Tsor-cm with the value included in the SOR-CMCI .

If the SOR-CMCI is available, and

- there is no SOR-CMCI rule (the contents of the SOR-CMCI are empty);

- there are one or more SOR-CMCI rules but there is no criterion matched with any ongoing PDU session or service; or

- there are one or more SOR-CMCI rules and there is one or more criteria matched with an ongoing PDU session or service, but the highest timer value associated with the matched criteria is equal to zero;

then there is no Tsor-cm timer started for the PDU session or service.

While one or more Tsor-cm timers are running, the UE shall check the newly established PDU session or service for a matching criterion in the SOR-CMCI:

- If the UE has a configured "user controlled list of services exempted from release due to SOR" and a matching criterion is found for a service included in the "user controlled list of services exempted from release due to SOR", the UE shall set the Tsor-cm timer associated to the service to infinity;

- If a matching criterion is found and the applicable Tsor-cm timer indicated the value "infinity" then the UE shall set the Tsor-cm timer associated to the PDU session or the service to infinity; or

- For all other cases, if a matching criterion is found then the UE shall set the Tsor-cm timer associated to the newly established PDU session, or service, with the exception that if the value of the Tsor-cm timer exceeds the highest value among the current values of all running Tsor-cm timers, then the value of the Tsor-cm timer for the new PDU session or service shall be set to the highest value among the current values of all running Tsor-cm timers.

NOTE 1: For newly established PDU session or service as described above, the timer is set irrespective of whether other ongoing PDU sessions or services that match the same criteria exist and for which corresponding Tsor-cm timers are running.

NOTE 2: NAS 5GMM layer will receive an explicit indication from the upper layers that a service is started or stopped. When a service is started, it is handled as a new service in the procedures described in this clause.

NOTE 3: While one or more Tsor-cm timers are running, the UE can trigger any 5GSM procedure or start new services.

While one or more Tsor-cm timers are running, upon receiving a new SOR-CMCI as described in annex C.4.3, the UE shall check if there is a matching criterion found for any ongoing PDU session or service in the new SOR-CMCI:

- if the UE has a configured "user controlled list of services exempted from release due to SOR" and a matching criterion is found for a service included in the "user controlled list of services exempted from release due to SOR", the UE shall set the Tsor-cm timer associated to the service to infinity;

- if a matching criterion is found and the value of Tsor-cm timer in the new SOR-CMCI indicates the value "infinity", then the Tsor-cm timer value for the associated PDU session or service shall be set to infinity;

- if a matching criterion is found and the value of Tsor-cm timer in the new SOR-CMCI is other than infinity and is smaller than the current value of the running Tsor-cm timer for the associated PDU session or service, then the Tsor-cm timer value for the associated PDU session or service shall be replaced with the value in the new SOR-CMCI without stopping and restarting the timer; or

- for all other cases, the running Tsor-cm timers for the associated PDU sessions or services are kept unchanged.

While one or more Tsor-cm timers are running, upon an update of the "user controlled list of services exempted from release due to SOR" by the user, the UE shall check if any ongoing service is included in the updated "user controlled list of services exempted from release due to SOR":

a) if an ongoing service is included in the updated "user controlled list of services exempted from release due to SOR", and the current value of the running Tsor-cm timer for the associated service is not infinity, then the Tsor-cm timer value for the associated service shall be set to infinity;

b) if no ongoing service is included in the updated "user controlled list of services exempted from release due to SOR", and the current value of the running Tsor-cm timer for the associated service was previously set to infinity, then the UE shall check if there is a matching criterion found in the SOR-CMCI:

1) if a matching criterion is found for the service in the SOR-CMCI, and the value of Tsor-cm timer in the SOR-CMCI is other than infinity and does not exceed the highest value of the current values of all running Tsor-cm timers, then the Tsor-cm timer value for the associated service shall be replaced with the value in the SOR-CMCI, without stopping and restarting the timer; or

2) if a matching criterion is not found for the service in the SOR-CMCI, then the Tsor-cm timer value for the associated service shall be set to zero; or

c) for all other cases, the running Tsor-cm timers for the associated services are kept unchanged.

The timer Tsor-cm shall be stopped when the associated PDU session is released or the associated service is stopped.

If the UE, while one or more Tsor-cm timers are running:

a) enters idle mode not due to lower layer failure (see 3GPP TS 24.501 [64]);

b) is not able to successfully recover the N1 NAS signalling connection (see 3GPP TS 24.501 [64]); or

c) enters 5GMM-CONNECTED mode with RRC inactive indication (see 3GPP TS 24.501 [64]);

then the UE shall stop the timer(s). In these cases, if:

a) the UE has a list of available and allowable PLMNs or SNPNs in the area and based on this list or any other implementation specific means, the UE determines that there is a higher priority PLMN or SNPN than the selected VPLMN or non-subscribed SNPN; or

b) the UE does not have a list of available and allowable PLMNs or SNPNs in the area and is unable to determine whether there is a higher priority PLMN or SNPN than the selected VPLMN or non-subscribed SNPN using any other implementation specific means;

then the UE shall attempt to obtain service on a higher priority PLMN or SNPN as specified in clause 4.4.3.3 by acting as if timer T that controls periodic attempts has expired or as specified in clause 4.9.3.

NOTE 4: When the UE enters idle mode due to lower layer failure while one or more Tsor-cm timers are running, then the UE does not stop Tsor-cm timer(s) as recovery of NAS signalling connection is possible (see 3GPP TS 24.501 [64]).

When the UE determines that no Tsor-cm timer is running, the last running Tsor-cm timer is stopped due to release of the associated PDU sessions or stop of the associated services, or the last running Tsor-cm timer expires, if:

i) the UE has a list of available and allowable PLMNs or SNPNs in the area and based on this list or any other implementation specific means, the UE determines that there is a higher priority PLMN or SNPN than the selected VPLMN or non-subscribed SNPN; or

ii) the UE does not have a list of available and allowable PLMNs or SNPNs in the area and is unable to determine whether there is a higher priority PLMN or SNPN than the selected VPLMN or non-subscribed SNPNusing any other implementation specific means;

then if the UE is in 5GMM-CONNECTED mode, the UE shall perform the deregistration procedure (see clause 4.2.2.3 of 3GPP TS 23.502 [63]) that releases all the established PDU sessions and services, if any, and once the UE enters idle mode it shall attempt to obtain service on a higher priority PLMN or SNPN as specified in clause 4.4.3.3 by acting as if timer T that controls periodic attempts has expired or as specified in clause 4.9.3.

NOTE 5: The list of available and allowable PLMNs or SNPNs in the area is implementation specific.

The UE which has an emergency PDU session, receives a request from the upper layers to establish an emergency PDU session or perform emergency services fallback, registers for emergency services, or is configured for high priority access in the selected PLMN or SNPN is not required to enter idle mode if the last running Tsor-cm timer for any PDU session or service stops or expires. In this case, the UE shall attempt to perform the PLMN or SNPN selection after the emergency PDU session or the high priority service is released and after the UE enters idle mode or 5GMM-CONNECTED mode with RRC inactive indication (see 3GPP TS 24.501 [64]).

### C.4.3 Stage-2 flow for providing UE with SOR-CMCI in HPLMN, VPLMN, subscribed SNPN or non-subscribed SNPN after registration

The stage-2 flow for providing UE with SOR-CMCI in HPLMN, VPLMN, subscribed SNPN or non-subscribed SNPN after registration is indicated in figure C.4.3.1, when the ME supports the SOR-CMCI. The selected PLMN or SNPN can be the HPLMN, a VPLMN, the subscribed SNPN or a non-subscribed SNPN. The AMF is located in the selected PLMN or SNPN. The UDM is located in the HPLMN or the subscribed SNPN.

In this procedure, the SOR-CMCI is sent without the list of preferred PLMN/access technology combinations and the SOR-SNPN-SI. In this procedure, the SOR-CMCI is sent in plain text or sent within the secured packet.

NOTE 1: The SOR-AF can determine that the ME supports the SOR-CMCI if the Nsoraf\_SoR\_Info service operation has returned the "ME support of SOR-CMCI" indicator. The UDM can determine that the ME supports the SOR-CMCI if the "ME support of SOR-CMCI" indicator is stored for the UE.

The procedure is triggered:

- If the UDM supports obtaining the parameters of the list of preferred PLMN/access technology combinations, the SOR-SNPN-SI, the SOR-CMCI, if any, and the "Store the SOR-CMCI in the ME" indicator, if any, or a secured packet from the SOR-AF, the HPLMN or subscribed SNPN policy for the SOR-AF invocation is present in the UDM and the SOR-AF provides the UDM with the SOR-CMCI for a UE identified by SUPI; or

- When the SOR-CMCI becomes available in the UDM (i.e. retrieved from the UDR).

Figure C.4.3.1: Procedure for configuring UE with SOR-CMCI after registration

For the steps below, security protection is described in 3GPP TS 33.501 [24].

1) The SOR-AF to the UDM: Nudm\_ParameterProvision\_Update request is sent to the UDM to trigger the update of the UE with the SOR-CMCI (in plain text or secured packet). In case of providing SOR-CMCI in plain text, include the "Store the SOR-CMCI in the ME" indicator, if applicable. In case of providing SOR-CMCI in a secured packet, include an indication that "the list of preferred PLMN/access technology combinations is not included in the secured packet".

2) The UDM to the AMF: The UDM notifies the changes of the user profile to the affected AMF by the means of invoking Nudm\_SDM\_Notification service operation. The Nudm\_SDM\_Notification service operation contains the steering of roaming information that needs to be delivered transparently to the UE over NAS within the Access and Mobility Subscription data. If the HPLMN or subscribed SNPN decided that the UE is to acknowledge successful security check of the received steering of roaming information, the Nudm\_SDM\_Notification service operation also contains an indication that the UDM requests an acknowledgement from the UE as part of the steering of roaming information. The UDM:

- upon receiving the SOR-CMCI (in plain text), shall include the SOR-CMCI, the "Store the SOR-CMCI in the ME" indicator, if any, and the HPLMN indication that 'no change of the "Operator Controlled PLMN Selector with Access Technology" list stored in the UE is needed and thus no list of preferred PLMN/access technology combinations is provided' or the HPLMN or subscribed SNPN indication that 'no change of the SOR-SNPN-SI stored in the UE is needed and thus no SOR-SNPN-SI is provided'; or

- upon receiving the SOR-CMCI in secured packet, shall include the secured packet into the steering of roaming information;

NOTE 1a: The UDM considers "the list of preferred PLMN/access technology combinations is not included in the secured packet" received together with the secured packet from the SOR-AF to indicate that the UE is not expected to perform SOR based on the associated steering of roaming information sent to the UE. However, the SOR-CMCI included in the secured packet can be applied by the UE if the UE has one or more Tsor-cm timers running as described in C.4.2.

NOTE 2: The UDM cannot provide the SOR-CMCI, if any, to the AMF which does not support receiving SoR transparent container (see 3GPP TS 29.503 [78]).

3) The AMF to the UE: the AMF sends a DL NAS TRANSPORT message to the served UE. The AMF includes in the DL NAS TRANSPORT message the steering of roaming information received from the UDM.

4) Upon receiving the steering of roaming information containing the SOR-CMCI and the HPLMN indication that 'no change of the "Operator Controlled PLMN Selector with Access Technology" list stored in the UE is needed and thus no list of preferred PLMN/access technology combinations is provided' or the HPLMN or subscribed SNPN indication that 'no change of the SOR-SNPN-SI stored in the UE is needed and thus no SOR-SNPN-SI is provided', the UE shall perform a security check on the steering of roaming information included in the DL NAS TRANSPORT message to verify that the steering of roaming information is provided by HPLMN or subscribed SNPN, and:

a) if the security check is successful, the UE shall store the SOR-CMCI according to clause C.4.1. If the UE has one or more Tsor-cm timers running, the UE shall apply the received SOR-CMCI as described in C.4.2.

 If the UDM has requested an acknowledgement from the UE in the DL NAS TRANSPORT message, the UE sends an UL NAS TRANSPORT message to the serving AMF with an SOR transparent container including the UE acknowledgement and the UE shall set the "ME support of SOR-CMCI" indicator to "supported".

 If the UDM has not requested an acknowledgement from the UE then step 5 is skipped; and

b) if the selected PLMN is a VPLMN or a non-subscribed SNPN, the security check is not successful and the UE is in automatic network selection mode, then the UE shall wait until it moves to idle mode or 5GMM-CONNECTED mode with RRC inactive indication (see 3GPP TS 24.501 [64]) before attempting to obtain service on a higher priority PLMN as specified in clause 4.4.3.3 by acting as if timer T that controls periodic attempts has expired, with an exception that the current PLMN is considered as lowest priority, or before attempting to obtain service on a higher priority SNPN as specified in clause 4.9.3, with an exception that the current registered SNPN is considered as lowest priority. If the selected PLMN or SNPN is a VPLMN or a non-subscribed SNPN and the UE has an established emergency PDU session then the UE shall attempt to perform the PLMN selection after the emergency PDU session is released and after the UE enters idle mode or 5GMM-CONNECTED mode with RRC inactive indication (see 3GPP TS 24.501 [64]).

 Step 5 is skipped;

NOTE 3: When the UE is in the manual mode of operation or the current chosen VPLMN is part of the "User Controlled PLMN Selector with Access Technology" list or the current chosen SNPN is part of the user controlled prioritized list of preferred SNPNs for the selected entry of the "list of subscriber data" the selected PLMN subscription, the UE stays on the VPLMN or non-subscribed SNPN.

5) The AMF to the UDM: If the UL NAS TRANSPORT message with an SOR transparent container is received, the AMF uses the Nudm\_SDM\_Info service operation to provide the received SOR transparent container to the UDM. If the HPLMN decided that the UE is to acknowledge successful security check of the received steering of roaming information in step 2, the UDM verifies that the acknowledgement is provided by the UE. The UDM shall store the "ME support of SOR-CMCI" indicator.

 If the present flow was invoked by the UDM after receiving from the SOR-AF the SOR-CMCI for a UE identified by SUPI using an Nudm\_ParameterProvision\_Update request, and the UDM verification of the UE acknowledgement is successful, then the UDM informs the SOR-AF about successful delivery of the SOR-CMCI using Nsoraf\_SoR\_Info (SUPI of the UE, successful delivery); and

6) The UDM to the SOR-AF: Nsoraf\_SoR\_Info (SUPI of the UE, successful delivery, "ME support of SOR-CMCI" indicator). If the HPLMN policy for the SOR-AF invocation is present and the HPLMN UDM received and verified the UE acknowledgement in step 5, then the UDM informs the SOR-AF about successful delivery of the SOR-CMCI to the UE. The UDM shall include the "ME support of SOR-CMCI" indicator.

If the selected PLMN is a VPLMN or a non-subscribed SNPN and:

- the UE in manual mode of operation encounters security check failure of SOR information in DL NAS TRANSPORT message; and

- upon switching to automatic network selection mode the UE remembers that it is still registered on the PLMN the non-subscribed SNPN where the security check failure of SOR information was encountered;

the UE shall wait until it moves to idle mode or 5GMM-CONNECTED mode with RRC inactive indication (see 3GPP TS 24.501 [64]) before attempting to obtain service on a higher priority PLMN as specified in clause 4.4.3.3, by acting as if timer T that controls periodic attempts has expired, with an exception that the current registered PLMN is considered as lowest priority, or before attempting to obtained service on a higher priority SNPN as specified in clause 4.9.3, with an exception that the current registered SNPN is considered as lowest priority. If the selected PLMN is a VPLMN or the selected SNPN is a non-subscribed SNPN and the UE has an established emergency PDU session then the UE shall attempt to perform the PLMN selection after the emergency PDU session is released and after the UE enters idle mode or 5GMM-CONNECTED mode with RRC inactive indication (see 3GPP TS 24.501 [64]).

NOTE 4: The receipt of the steering of roaming information by itself does not trigger the release of the emergency PDU session.

\*\*\* End of changes \*\*\*