**3GPP TSG-CT WG1 Meeting #129-eC1-21xxxx was C1-212127**

**Electronic meeting, 19-23 April 2021**

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| *CR-Form-v12.0* |
| **CHANGE REQUEST** |
|  |
|  | **24.501** | **CR** | **3109**  | **rev** | **1** | **Current version:** |  **17.2.0** |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network |  | Core Network | **x** |

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| --- |
|  |
| ***Title:***  | UE ProSe capability negotiation with 5GC |
|  |  |
| ***Source to WG:*** | CATT, Nokia, Nokia Shanghai Bell |
| ***Source to TSG:*** | C1 |
|  |  |
| ***Work item code:*** | 5G\_ProSe |  | ***Date:*** | 2021-04-06 |
|  |  |  |  |  |
| ***Category:*** | **B** |  | ***Release:*** | Rel-17 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)Rel-12 (Release 12)Rel-13 (Release 13)Rel-14 (Release 14)Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)* |
|  |  |
| ***Reason for change:*** | In subclause 6.7.2, TS 23.304, the UE’s ProSe capability is indicated in the Registration Request procedue. And AMF determine whether the UE is authorized to use ProSe service based on UE’s ProSe capacity and ProSe Service Authorization in the UE’s subscription information.  |
|  |  |
| ***Summary of change:*** | 1. Add the indication of UE ProSe capability in registration procedure.
2. Specify the coding of UE ProSe capability.
3. Termilologies and references relating to 5G ProSe.
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|  |  |
| ***Consequences if not approved:*** | The UE ProSe capability indication and handling are missing in TS  |
|  |  |
| ***Clauses affected:*** | 2, 3.1, 3.2, 5.5.1.2.2, 5.5.1.3.2, 9.11.3.1 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

\*\*\*\*\* change \*\*\*\*\*

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non‑specific.

- For a specific reference, subsequent revisions do not apply.

- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document in the same Release as the present document.

[1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[1A] 3GPP TS 22.011: "Service accessibility".

[2] 3GPP TS 22.101: "Service aspects; Service principles".

[3] 3GPP TS 22.261: "Service requirements for the 5G system; Stage 1".

[4] 3GPP TS 23.003: "Numbering, addressing and identification".

[4A] 3GPP TS 23.040: "Technical realization of Short Message Service (SMS)".

[5] 3GPP TS 23.122: "Non-Access-Stratum functions related to Mobile Station (MS) in idle mode".

[6] 3GPP TS 23.167: "IP Multimedia Subsystem (IMS) emergency sessions".

[6A] 3GPP TS 23.216: "Single Radio Voice Call Continuity (SRVCC); Stage 2".

[6B] 3GPP TS 23.273: "5G System (5GS) Location Services (LCS); Stage 2".

[6C] 3GPP TS 23.287: "Architecture enhancements for 5G System (5GS) to support Vehicle-to-Everything (V2X) services".

[6D] 3GPP TS 23.316: "Wireless and wireline convergence access support for the 5G System (5GS)".

[6E] 3GPP TS 23.304: "Proximity based Services (ProSe) in the 5G System (5GS)".

[7] 3GPP TS 23.401: "GPRS enhancements for E-UTRAN access".

[8] 3GPP TS 23.501: "System Architecture for the 5G System; Stage 2".

[9] 3GPP TS 23.502: "Procedures for the 5G System; Stage 2".

[10] 3GPP TS 23.503: "Policy and Charging Control Framework for the 5G System; Stage 2".

[11] 3GPP TS 24.007: "Mobile radio interface signalling layer 3; General aspects".

[12] 3GPP TS 24.008: "Mobile Radio Interface Layer 3 specification; Core Network Protocols; Stage 3".

[13] 3GPP TS 24.011: "Point-to-Point Short Message Service (SMS) support on mobile radio interface".

[13A] 3GPP TS 24.080: "Mobile radio interface layer 3 Supplementary services specification; Formats and coding".

[13B] 3GPP TS 24.193: "Access Traffic Steering, Switching and Splitting; Stage 3".

[14] 3GPP TS 24.229: "IP multimedia call control protocol based on Session Initiation Protocol (SIP) and Session Description Protocol (SDP); Stage 3".

[14A] 3GPP TS 24.250: "Protocol for Reliable Data Service; Stage 3".

[15] 3GPP TS 24.301: "Non-Access-Stratum (NAS) protocol for Evolved Packet System (EPS); Stage 3".

[16] 3GPP TS 24.302: "Access to the 3GPP Evolved Packet Core (EPC) via non-3GPP access networks; Stage 3"

[17] 3GPP TS 24.368: "Non-Access Stratum (NAS) configuration Management Object (MO)".

[18] 3GPP TS 24.502: "Access to the 3GPP 5G System (5GS) via non-3GPP access networks; Stage 3".

[19] 3GPP TS 24.526: "UE policies for 5G System (5GS); Stage 3".

[19A] 3GPP TS 24.535: "Device-Side Time-Sensitive Networking (TSN) Translator (DS-TT) to Network-Side TSN Translator (NW-TT) protocol aspects; Stage 3".

[19B] 3GPP TS 24.587: "Vehicle-to-Everything (V2X) services in 5G System (5GS); Protocol aspects; Stage 3"

[19C] 3GPP TS 24.588: "Vehicle-to-Everything (V2X) services in 5G System (5GS); User Equipment (UE) policies; Stage 3"

[19D] 3GPP TS 24.519: "Time-Sensitive Networking (TSN) Application Function (AF) to Device-Side TSN Translator (DS-TT) and Network-Side TSN Translator (NW-TT) protocol aspects; Stage 3".

[19E] 3GPP TS 24.554: "Proximity-service (ProSe) in 5G System (5GS) protocol aspects; Stage 3".[20] 3GPP TS 24.623: "Extensive Markup Language (XML) Configuration Access Protocol (XCAP) over the Ut interface for Manipulating Supplementary Services".

[20AA] 3GPP TS 29.500: "5G System; Technical Realization of Service Based Architecture; Stage 3".

[20A] 3GPP TS 29.502: "5G System; Session Management Services; Stage 3".

[20AB] 3GPP TS 29.503: "5G System; Unified Data Management Services; Stage 3".

[20B] 3GPP TS 29.518: "5G System; Access and Mobility Management Services; Stage 3".

[21] 3GPP TS 29.525: "5G System; UE Policy Control Service; Stage 3".

[21A] 3GPP TS 29.526: "5G System; Network Slice-Specific Authentication and Authorization (NSSAA) services; Stage 3".

[22] 3GPP TS 31.102: "Characteristics of the Universal Subscriber Identity Module (USIM) application".

[22A] 3GPP TS 31.111: "USIM Application Toolkit (USAT)".

[22B] 3GPP TS 31.115: "Secured packet structure for (Universal) Subscriber Identity Module (U)SIM Toolkit applications".

[23] 3GPP TS 33.102: "3G security; Security architecture".

[23A] 3GPP TS 33.401: "3GPP System Architecture Evolution; Security architecture".

[24] 3GPP TS 33.501: "Security architecture and procedures for 5G System".

[24A] 3GPP TS 33.535: "Authentication and Key Management for Applications (AKMA) based on 3GPP credentials in the 5G System (5GS)".

[25] 3GPP TS 36.323: "NR; Packet Data Convergence Protocol (PDCP) specification".

[25A] 3GPP TS 36.331: "Evolved Universal Terrestrial Radio Access (E-UTRA); Radio Resource Control (RRC) protocol specification".

[25B] 3GPP TS 36.300: "Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Universal Terrestrial Radio Access Network (E-UTRAN); Overall description".

[25C] 3GPP TS 36.304: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) procedures in idle mode".

[25D] 3GPP TS 36.306: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) radio access capabilities".

[25E] 3GPP TS 36.321: "Evolved Universal Terrestrial Radio Access (E-UTRA); Medium Access Control (MAC) protocol specification".

[26] 3GPP TS 36.355: "Evolved Universal Terrestrial Radio Access (E-UTRA); LTE Positioning Protocol (LPP)".

[27] 3GPP TS 38.300: "NR; NR and NG-RAN Overall Description; Stage 2".

[28] 3GPP TS 38.304: "New Generation Radio Access Network; User Equipment (UE) procedures in Idle mode".

[29] 3GPP TS 38.323: "Evolved Universal Terrestrial Radio Access (E-UTRA); Packet Data Convergence Protocol (PDCP) specification".

[30] 3GPP TS 38.331: "NR; Radio Resource Control (RRC); Protocol Specification".

[31] 3GPP TS 38.413: "NG Radio Access Network (NG-RAN); NG Application Protocol (NGAP)".

[31A] IEEE Std 802.3™-2018: "Ethernet".

[31AA] 3GPP TS 38.509: "Special conformance testing functions for User Equipment (UE)".

[32] IETF RFC 768: "User Datagram Protocol".

[33] IETF RFC 793: "Transmission Control Protocol."

[33A] IETF RFC 3095: "RObust Header Compression (ROHC): Framework and four profiles: RTP, UDP, ESP and uncompressed".

[33B] Void.

[33C] Void.

[33D] IETF RFC 8415: "Dynamic Host Configuration Protocol for IPv6 (DHCPv6)".

[34] IETF RFC 3748: "Extensible Authentication Protocol (EAP)".

[34A] IETF RFC 3843: "RObust Header Compression (ROHC): A Compression Profile for IP".

[35] Void.

[35A] IETF RFC 4122: "A Universally Unique IDentifier (UUID) URN Namespace".

[36] IETF RFC 4191: "Default Router Preferences and More-Specific Routes".

[37] IETF RFC 7542: "The Network Access Identifier".

[38] IETF RFC 4303: "IP Encapsulating Security Payload (ESP)".

[38A] IETF RFC 4815: "RObust Header Compression (ROHC): Corrections and Clarifications to RFC 3095".

[38B] IETF RFC 4861: "Neighbor Discovery for IP version 6 (IPv6)".

[39] IETF RFC 4862: "IPv6 Stateless Address Autoconfiguration".

[39A] IETF RFC 5225: "RObust Header Compression (ROHC) Version 2: Profiles for RTP, UDP, IP, ESP and UDP Lite".

[39B] IETF RFC 5795: "The RObust Header Compression (ROHC) Framework".

[40] IETF RFC 5448: "Improved Extensible Authentication Protocol Method for 3rd Generation Authentication and Key Agreement (EAP-AKA')".

[40A] IETF RFC 6603: "Prefix Exclude Option for DHCPv6-based Prefix Delegation".

[40B] IETF RFC 6846: "RObust Header Compression (ROHC): A Profile for TCP/IP (ROHC-TCP)".

[41] IETF RFC 7296: "Internet Key Exchange Protocol Version 2 (IKEv2)".

[42] ITU-T Recommendation E.212: "The international identification plan for public networks and subscriptions", 2016-09-23.

[43] IEEE Std 802-2014: "IEEE Standard for Local and Metropolitan Area Networks: Overview and Architecture" (30 June 2014).

[43A] IEEE Std 802.1AS-2020: "IEEE Standard for Local and metropolitan area networks--Timing and Synchronization for Time-Sensitive Applications".

[43B] IEEE Std 1588™-2008: "IEEE Standard for a Precision Clock Synchronization Protocol for Networked Measurement and Control Systems".

[43C] Void.

[43D] Void.

[43E] Void.

[44] Void.

[45] Void.

[46] Void.

[47] Void.

[48] IEEE "Guidelines for Use of Extended Unique Identifier (EUI), Organizationally Unique Identifier (OUI), and Company ID (CID)".

[49] BBF TR-069: "CPE WAN Management Protocol".

[50] BBF TR-369: "User Services Platform (USP)".

[51] 3GPP TS 37.340: "Evolved Universal Terrestrial Radio Access (E-UTRA) and NR; Multi-connectivity; Stage 2".

\*\*\*\*\* change \*\*\*\*\*

## 3.1 Definitions

\*\*\*\*\*\*skipped for clarity\*\*\*\*\*\*

For the purposes of the present document, the following terms and definitions given in 3GPP TS 38.413 [31] apply:

**NG connection**

For the purposes of the present document, the following terms and definitions given in 3GPP TS 24.587 [19B] apply:

**E-UTRA-PC5**

**NR-PC5**

**V2X**

For the purposes of the present document, the following terms and definitions given in 3GPP TS 24.554 [19E] apply:

**ProSe**

\*\*\*\*\* change \*\*\*\*\*

##  3.2 Abbreviations

For the purposes of the present document, the abbreviations given in 3GPP TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in 3GPP TR 21.905 [1].

4G-GUTI 4G-Globally Unique Temporary Identifier

5GCN 5G Core Network

5G-GUTI 5G-Globally Unique Temporary Identifier

5GMM 5GS Mobility Management

5G-RG 5G Residential Gateway

5G-BRG 5G Broadband Residential Gateway

5G-CRG 5G Cable Residential Gateway

5GS 5G System

5GSM 5GS Session Management

5G-S-TMSI 5G S-Temporary Mobile Subscription Identifier

5G-TMSI 5G Temporary Mobile Subscription Identifier

5QI 5G QoS Identifier

ACS Auto-Configuration Server

AKA Authentication and Key Agreement

AKMA Authentication and Key Management for Applications

A-KID AKMA Key Identifier

A-TID AKMA Temporary Identifier

AMBR Aggregate Maximum Bit Rate

AMF Access and Mobility Management Function

APN Access Point Name

ATSSS Access Traffic Steering, Switching and Splitting

AUSF Authentication Server Function

CAG Closed access group

CHAP Challenge Handshake Authentication Protocol

DDX Downlink Data Expected

DL Downlink

DN Data Network

DNN Data Network Name

eDRX Extended DRX cycle

DS-TT Device-Side TSN Translator

EUI Extended Unique Identifier

E-UTRAN Evolved Universal Terrestrial Radio Access Network

EAP-AKA' Improved Extensible Authentication Protocol method for 3rd generation Authentication and Key Agreement

ECIES Elliptic Curve Integrated Encryption Scheme

EPD Extended Protocol Discriminator

EMM EPS Mobility Management

EPC Evolved Packet Core Network

EPS Evolved Packet System

ESM EPS Session Management

FN-RG Fixed Network RG

FN-BRG Fixed Network Broadband RG

FN-CRG Fixed Network Cable RG

Gbps Gigabits per second

GFBR Guaranteed Flow Bit Rate

GUAMI Globally Unique AMF Identifier

IAB Integrated access and backhaul

IMEI International Mobile station Equipment Identity

IMEISV International Mobile station Equipment Identity and Software Version number

IMSI International Mobile Subscriber Identity

IP-CAN IP-Connectivity Access Network

KSI Key Set Identifier

LADN Local Area Data Network

LCS LoCation Services

LMF Location Management Function

LPP LTE Positioning Protocol

MAC Message Authentication Code

MA PDU Multi-Access PDU

Mbps Megabits per second

MFBR Maximum Flow Bit Rate

MICO Mobile Initiated Connection Only

N3IWF Non-3GPP Inter-Working Function

N5CW Non-5G-Capable over WLAN

N5GC Non-5G Capable

NAI Network Access Identifier

NITZ Network Identity and Time Zone

NR New Radio

ngKSI Key Set Identifier for Next Generation Radio Access Network

NPN Non-public network

NSSAA Network slice-specific authentication and authorization

NSSAAF NSSAA Function

NSSAI Network Slice Selection Assistance Information

OS Operating System

OS Id OS Identity

PAP Password Authentication Protocol

PCO Protocol Configuration Option

PEI Permanent Equipment Identifier

PNI-NPN Public Network Integrated Non-Public Network

ProSe Proximity based Services

PTI Procedure Transaction Identity

QFI QoS Flow Identifier

QoS Quality of Service

QRI QoS Rule Identifier

RACS Radio Capability Signalling Optimisation

(R)AN (Radio) Access Network

RFSP RAT Frequency Selection Priority

RG Residential Gateway

RPLMN Registered PLMN

RQA Reflective QoS Attribute

RQI Reflective QoS Indication

RSNPN Registered SNPN

S-NSSAI Single NSSAI

SA Security Association

SDF Service Data Flow

SMF Session Management Function

SGC Service Gap Control

SNN Serving Network Name

SNPN Stand-alone Non-Public Network

SOR Steering of Roaming

SUCI Subscription Concealed Identifier

SUPI Subscription Permanent Identifier

TA Tracking Area

TAC Tracking Area Code

TAI Tracking Area Identity

Tbps Terabits per second

TNGF Trusted Non-3GPP Gateway Function

TSC Time Sensitive Communication

TWIF Trusted WLAN Interworking Function

TSN Time-Sensitive Networking

UDM Unified Data Management

UL Uplink

UPDS UE policy delivery service

UPF User Plane Function

UPSC UE Policy Section Code

UPSI UE Policy Section Identifier

URN Uniform Resource Name

URSP UE Route Selection Policy

V2X Vehicle-to-Everything

V2XP V2X policy

W-AGF Wireline Access Gateway Function

WLAN Wireless Local Area Network

WUS Wake-up signal

\*\*\*\*\* change \*\*\*\*\*

##### 5.5.1.2.2 Initial registration initiation

\*\*\*\*\*\*skipped for clarify\*\*\*\*\*\*

If the UE initiates an initial registration for emergency services or needs to prolong the established NAS signalling connection after the completion of the initial registration procedure (e.g. due to uplink signalling pending), the UE shall set the Follow-on request indicator to "Follow-on request pending".

NOTE 6: The UE is not required to set the Follow-on request indicator to "Follow-on request pending", even if the UE has to request resources for V2X communication over PC5 reference point.

If the UE supports S1 mode, the UE shall:

- set the S1 mode bit to "S1 mode supported" in the 5GMM capability IE of the REGISTRATION REQUEST message;

- include the S1 UE network capability IE in the REGISTRATION REQUEST message; and

- if the UE supports sending an ATTACH REQUEST message containing a PDN CONNECTIVITY REQUEST message with request type set to "handover" to transfer a PDU session from N1 mode to S1 mode, set the HO attach bit to "attach request message containing PDN connectivity request with request type set to handover to transfer PDU session from N1 mode to S1 mode supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

If the UE supports the LTE positioning protocol (LPP) in N1 mode as specified in 3GPP TS 36.355 [26], the UE shall set the LPP bit to "LPP in N1 mode supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

If the UE supports the Location Services (LCS) notification mechanisms in N1 mode as specified in 3GPP TS 23.273 [6B], the UE shall set the 5G-LCS bit to "LCS notification mechanisms supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

If the UE is in NB-N1 mode, then the UE shall set the Control plane CIoT 5GS optimization bit to "Control plane CIoT 5GS optimization supported" in the 5GMM capability IE of the REGISTRATION REQUEST message. If the UE is capable of NB-S1 mode, then the UE shall set the Control plane CIoT EPS optimization bit to "Control plane CIoT EPS optimization supported" in the S1 UE network capability IE of the REGISTRATION REQUEST message.

If the UE supports N3 data transfer and multiple user-plane resources in NB-N1 mode (see 3GPP TS 36.306 [25D], 3GPP TS 36.331 [25A]), then the UE shall set the Multiple user-plane resources support bit to "Multiple user-plane resources supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

If the UE supports 5G-SRVCC from NG-RAN to UTRAN as specified in 3GPP TS 23.216 [6A], the UE shall:

- set the 5G-SRVCC from NG-RAN to UTRAN capability bit to "5G-SRVCC from NG-RAN to UTRAN supported" in the 5GMM capability IE of the REGISTRATION REQUEST message; and

- include the Mobile station classmark 2 IE and the Supported codecs IE in the REGISTRATION REQUEST message.

If the UE supports service gap control, then the UE shall set the SGC bit to "service gap control supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

If the UE supports the restriction on use of enhanced coverage, the UE shall set the RestrictEC bit to "Restriction on use of enhanced coverage supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

If the UE supports network slice-specific authentication and authorization, the UE shall set the NSSAA bit to "network slice-specific authentication and authorization supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

If the UE supports CAG feature, the UE shall set the CAG bit to "CAG Supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

When the UE is not in NB-N1 mode, if the UE supports RACS, the UE shall:

a) set the RACS bit to "RACS supported" in the 5GMM capability IE of the REGISTRATION REQUEST message;

b) if the UE has an applicable network-assigned UE radio capability ID for the current UE radio configuration in the selected PLMN or SNPN, include the applicable network-assigned UE radio capability ID in the UE radio capability ID IE of the REGISTRATION REQUEST message; and

c) if the UE:

1) does not have an applicable network-assigned UE radio capability ID for the current UE radio configuration in the selected PLMN or SNPN; and

2) has an applicable manufacturer-assigned UE radio capability ID for the current UE radio configuration,

 include the applicable manufacturer-assigned UE radio capability ID in the UE radio capability ID IE of the REGISTRATION REQUEST message.

If the UE has one or more stored UE policy sections identified by a UPSI with the PLMN ID part indicating the HPLMN or the selected PLMN, the UE shall set the Payload container type IE to "UE policy container" and include the UE STATE INDICATION message (see annex D) in the Payload container IE of the REGISTRATION REQUEST message.

NOTE 7: In this version of the protocol, the UE can only include the Payload container IE in the REGISTRATION REQUEST message to carry a payload of type "UE policy container".

If the UE does not have a valid 5G NAS security context, the UE shall send the REGISTRATION REQUEST message without including the NAS message container IE. The UE shall include the entire REGISTRATION REQUEST message (i.e. containing cleartext IEs and non-cleartext IEs, if any) in the NAS message container IE that is sent as part of the SECURITY MODE COMPLETE message as described in subclauses 4.4.6 and 5.4.2.3.

If the UE has a valid 5G NAS security context and the UE needs to send non-cleartext IEs, the UE shall send a REGISTRATION REQUEST message including the NAS message container IE as described in subclause 4.4.6. If the UE does not need to send non-cleartext IEs, the UE shall send a REGISTRATION REQUEST message without including the NAS message container IE.

If the UE supports ciphered broadcast assistance data and needs to obtain new ciphering keys, the UE shall include the Additional information requested IE with the CipherKey bit set to "ciphering keys for ciphered broadcast assistance data requested" in the REGISTRATION REQUEST message.

The UE shall set the WUSA bit to "WUS assistance information reception supported" in the 5GMM capability IE if the UE supports WUS assistance information. The UE may include its UE paging probability information in the Requested WUS assistance information IE if the UE has set the WUSA bit to "WUS assistance information reception supported" in the 5GMM capability IE and the UE is not performing the initial registration for emergency services.

If the REGISTRATION REQUEST message includes a NAS message container IE, the AMF shall process the REGISTRATION REQUEST message that is obtained from the NAS message container IE as described in subclause 4.4.6.

If the UE supports V2X as specified in 3GPP TS 24.587 [19B], the UE shall set the V2X bit to "V2X supported" in the 5GMM capability IE of the REGISTRATION REQUEST message. If the UE supports V2X communication over E-UTRA-PC5 as specified in 3GPP TS 24.587 [19B], the UE shall set the V2XCEPC5 bit to "V2X communication over E-UTRA-PC5 supported" in the 5GMM capability IE of the REGISTRATION REQUEST message. If the UE supports V2X communication over NR-PC5 as specified in 3GPP TS 24.587 [19B], the UE shall set the V2XCNPC5 bit to "V2X communication over NR-PC5 supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

If the UE supports extended rejected NSSAI, then the UE shall set the ER-NSSAI bit to "Extended rejected NSSAI supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

If the W-AGF acting on behalf of an N5GC device initiates an initial registration as specified in 3GPP TS 23.316 [6D], the W-AGF acting on behalf of the N5GC device shall include the N5GC indication IE with the N5GC device indication bit set to "N5GC device registration is requested" in the REGISTRATION REQUEST message.

If the UE supports ProSe direct discovery as specified in 3GPP TS 24.554 [19E], the UE shall set the ProSe-dd bit to "ProSe direct discovery supported" in the 5GMM capability IE of the REGISTRATION REQUEST message. If the UE supports ProSe direct communication as specified in 3GPP TS 24.554 [19E], the UE shall set the ProSe-dc bit to "ProSe direct communication supported" in the 5GMM capability IE of the REGISTRATION REQUEST message. If the UE supports ProSe UE-to-network relay as specified in 3GPP TS 24.554 [19E], the UE shall set the ProSe-relay bit to "Acting as a ProSe UE-to-network relay supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

Editor’s note: It is FFS and subject to SA2’s conclusion to define UE ProSe UE-to-network relay capability.



Figure 5.5.1.2.2.1: Registration procedure for initial registration

\*\*\*\*\* change \*\*\*\*\*

##### 5.5.1.3.2 Mobility and periodic registration update initiation

\*\*\*\*\*\*skipped for clarify\*\*\*\*\*\*

The UE shall set the Follow-on request indicator to "Follow-on request pending", if the UE:

a) initiates the mobility and periodic registration updating procedure upon request of the upper layers to establish an emergency PDU session;

b) initiates the mobility and periodic registration updating procedure upon receiving a request from the upper layers to perform emergency services fallback; or

c) needs to prolong the established NAS signalling connection after the completion of the registration procedure for mobility and periodic registration update (e.g. due to uplink signalling pending but no user data pending).

NOTE 10: The UE is not required to set the Follow-on request indicator to "Follow-on request pending" even if the UE has to request resources for V2X communicationover PC5 reference point.

For case n), the UE shall include the 5GS update type IE in the REGISTRATION REQUEST message with the NG-RAN-RCU bit set to " UE radio capability update needed". Additionally, if the UE is not in NB-N1 mode, the UE supports RACS and the UE has an applicable UE radio capability ID for the new UE radio configuration in the serving PLMN or SNPN, the UE shall include the applicable UE radio capability ID in the UE radio capability ID of the REGISTRATION REQUEST message.

If the UE is in the 5GMM-CONNECTED mode and the UE changes the radio capability for NG-RAN or E‑UTRAN, the UE may locally release the established N1 NAS signalling connection and enter the 5GMM-IDLE mode. Then, the UE shall initiate the registration procedure for mobility and periodic updating including the 5GS update type IE in the REGISTRATION REQUEST message with the NG-RAN-RCU bit set to " UE radio capability update needed".

For case o), the UE shall include the Uplink data status IE in the REGISTRATION REQUEST message indicating the PDU session(s) without active user-plane resources for which the UE has pending user data to be sent, if any, and the PDU session(s) for which user-plane resources were active prior to receiving the fallback indication, if any. If the UE is in a non-allowed area or if the UE is not in allowed area, the UE shall not include the Uplink data status IE in REGISTRATION REQUEST message, except if the PDU session for which user-plane resources were active prior to receiving the fallback indication is an emergency PDU session, or if the UE is configured for high priority access in the selected PLMN as specified in subclause 5.3.5.

For case f), the UE shall include the Uplink data status IE in the REGISTRATION REQUEST message indicating the PDU session(s) for which user-plane resources were active prior to receiving "RRC Connection failure" indication from the lower layers, if any. If the UE is in non-allowed area or not in allowed area, the UE shall not include the Uplink data status IE in REGISTRATION REQUEST message, except that the PDU session(s) for which user-plane resources were active prior to receiving the "RRC Connection failure"indication is emergency PDU session(s), or that the UE is configured for high priority access in selected PLMN, as specified in subclause 5.3.5.

If the UE supports service gap control, then the UE shall set the SGC bit to "service gap control supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

For case a), x) or if the UE operating in the single-registration mode performs inter-system change from S1 mode to N1 mode, the UE shall:

a) if the UE has an applicable network-assigned UE radio capability ID for the current UE radio configuration in the selected PLMN or SNPN, include the applicable network-assigned UE radio capability ID in the UE radio capability ID IE of the REGISTRATION REQUEST message; and

b) if the UE:

1) does not have an applicable network-assigned UE radio capability ID for the current UE radio configuration in the selected PLMN or SNPN; and

2) has an applicable manufacturer-assigned UE radio capability ID for the current UE radio configuration,

 include the applicable manufacturer-assigned UE radio capability ID in the UE radio capability ID IE of the REGISTRATION REQUEST message.

For all cases except cases b and z, if the UE supports ciphered broadcast assistance data and the UE needs to obtain new ciphering keys, the UE shall include the Additional information requested IE with the CipherKey bit set to "ciphering keys for ciphered broadcast assistance data requested" in the REGISTRATION REQUEST message.

For case z, the UE shall include the Additional information requested IE with the CipherKey bit set to "ciphering keys for ciphered broadcast assistance data requested" in the REGISTRATION REQUEST message.

For case a, if the UE supports ciphered broadcast assistance data and the UE detects entering a tracking area for which one or more ciphering keys stored at the UE is not applicable, the UE should include the Additional information requested IE with the CipherKey bit set to "ciphering keys for ciphered broadcast assistance data requested" in the REGISTRATION REQUEST message.

For case b, if the UE supports ciphered broadcast assistance data and the remaining validity time for one or more ciphering keys stored at the UE is less than timer T3512, the UE should include the Additional information requested IE with the CipherKey bit set to "ciphering keys for ciphered broadcast assistance data requested" in the REGISTRATION REQUEST message.

The UE shall set the WUSA bit to "WUS assistance information reception supported" in the 5GMM capability IE if the UE supports WUS assistance information. The UE may include its UE paging probability information in the Requested WUS assistance information IE if the UE has set the WUSA bit to "WUS assistance information reception supported" in the 5GMM capability IE.

If the UE indicates "mobility registration updating" in the 5GS registration type IE and supports V2X as specified in 3GPP TS 24.587 [19B], the UE shall set the V2X bit to "V2X supported" in the 5GMM capability IE of the REGISTRATION REQUEST message. If the UE indicates "mobility registration updating" in the 5GS registration type IE and supports V2X communication over E-UTRA-PC5 as specified in 3GPP TS 24.587 [19B], the UE shall set the V2XCEPC5 bit to "V2X communication over E-UTRA-PC5 supported" in the 5GMM capability IE of the REGISTRATION REQUEST message. If the UE indicates "mobility registration updating" in the 5GS registration type IE and supports V2X communication over NR-PC5 as specified in 3GPP TS 24.587 [19B], the UE shall set the V2XCNPC5 bit to "V2X communication over NR-PC5 supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

The UE shall send the REGISTRATION REQUEST message including the NAS message container IE as described in subclause 4.4.6:

a) when the UE is sending the message from 5GMM-IDLE mode, the UE has a valid 5G NAS security context, and needs to send non-cleartext IEs; or

b) when the UE is sending the message after an inter-system change from S1 mode to N1 mode in 5GMM-IDLE mode and the UE has a valid 5G NAS security context and needs to send non-cleartext IEs.

The UE with a valid 5G NAS security context shall send the REGISTRATION REQUEST message without including the NAS message container IE when the UE does not need to send non-cleartext IEs and the UE is sending the message:

a) from 5GMM-IDLE mode; or

b) after an inter-system change from S1 mode to N1 mode in 5GMM-IDLE mode.

If the UE is sending the REGISTRATION REQUEST message after an inter-system change from S1 mode to N1 mode in 5GMM-CONNECTED mode and the UE needs to send non-cleartext IEs, the UE shall cipher the NAS message container IE using the mapped 5G NAS security context and send the REGISTRATION REQUEST message including the NAS message container IE as described in subclause 4.4.6. If the UE does not need to send non-cleartext IEs, the UE shall send the REGISTRATION REQUEST message without including the NAS message container IE.

If the REGISTRATION REQUEST message includes a NAS message container IE, the AMF shall process the REGISTRATION REQUEST message that is obtained from the NAS message container IE as described in subclause 4.4.6.

If the UE is in NB-N1 mode, then the UE shall set the Control plane CIoT 5GS optimization bit to "Control plane CIoT 5GS optimization supported" in the 5GMM capability IE of the REGISTRATION REQUEST message. If the UE is capable of NB-S1 mode, then the UE shall set the Control plane CIoT EPS optimization bit to "Control plane CIoT EPS optimization supported" in the S1 UE network capability IE of the REGISTRATION REQUEST message.

If the registration procedure for mobility and periodic registration update is initiated and there is request from the upper layers to perform "emergency services fallback" pending, the UE shall send a REGISTRATION REQUEST message without an Uplink data status IE.

If the UE supports N3 data transfer and multiple user-plane resources in NB-N1 mode (see 3GPP TS 36.306 [25D], 3GPP TS 36.331 [25A]), then the UE shall set the Multiple user-plane resources support bit to "Multiple user-plane resources supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

If the UE supports extended rejected NSSAI, then the UE shall set the ER-NSSAI bit to "Extended rejected NSSAI supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

If the UE enters 5GMM-REGISTERED.NO-CELL-AVAILABLE and it has one or more S-NSSAI(s) in pending NSSAI, the UE shall initiate registration procedure for mobility and periodic registration update upon finding a suitable cell according to 3GPP TS 38.304 [28].

If the UE supports ProSe direct discovery as specified in 3GPP TS 24.554 [19E], the UE shall set the ProSe-dd bit to "ProSe direct discovery supported" in the 5GMM capability IE of the REGISTRATION REQUEST message. If the UE supports ProSe direct communication as specified in 3GPP TS 24.554 [19E], the UE shall set the ProSe-dc bit to "ProSe discovery communication supported" in the 5GMM capability IE of the REGISTRATION REQUEST message. If the UE supports ProSe UE-to-network relay as specified in 3GPP TS 24.554 [19E], the UE shall set the ProSe-relay bit to "Acting as a ProSe UE-to-network relay supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.Editor’s note: It is FFS and subject to SA2’s conclusion to define UE ProSe UE-to-network relay capability.



Figure 5.5.1.3.2.1: Registration procedure for mobility and periodic registration update

\*\*\*\*\* change \*\*\*\*\*

#### 9.11.3.1 5GMM capability

The purpose of the 5GMM capability information element is to provide the network with information concerning aspects of the UE related to the 5GCN or interworking with the EPS. The contents might affect the manner in which the network handles the operation of the UE.

The 5GMM capability information element is coded as shown in figure 9.11.3.1.1 and table 9.11.3.1.1.

The 5GMM capability is a type 4 information element with a minimum length of 3 octets and a maximum length of 15 octets.

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| 8 | 7 | 6 | 5 | 4 | 3 | 2 | 1 |  |
| 5GMM capability IEI | octet 1 |
| Length of 5GMM capability contents | octet 2 |
| SGC | 5G-IPHC-CP CIoT | N3 data | 5G-CP CIoT | RestrictEC | LPP | HO attach | S1 mode | octet 3 |
| RACS | NSSAA | 5G-LCS | V2XCNPC5 | V2XCEPC5 | V2X | 5G-UP CIoT | 5GSRVCC | octet 4\* |
| ProSe-relay | ProSe-dc | ProSe-dd | ER-NSSAI | 5G-EHC-CP CIoT | multipleUP | WUSA | CAG |  |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | octet 6\*-15\* |
| Spare |

Figure 9.11.3.1.1: 5GMM capability information element

Table 9.11.3.1.1: 5GMM capability information element

|  |
| --- |
| EPC NAS supported (S1 mode) (octet 3, bit 1) |
| 0 |  |  |  | S1 mode not supported |
| 1 |  |  |  | S1 mode supported |
|  |
| ATTACH REQUEST message containing PDN CONNECTIVITY REQUEST message for handover support (HO attach) (octet 3, bit 2) |
| 0 |  |  |  | ATTACH REQUEST message containing PDN CONNECTIVITY REQUEST message with request type set to "handover" or "handover of emergency bearer services" to transfer PDU session from N1 mode to S1 mode not supported |
| 1 |  |  |  | ATTACH REQUEST message containing PDN CONNECTIVITY REQUEST message with request type set to "handover" or "handover of emergency bearer services" to transfer PDU session from N1 mode to S1 mode supported |
|  |
| LTE Positioning Protocol (LPP) capability (octet 3, bit 3) |
| 0 |  |  |  | LPP in N1 mode not supported |
| 1 |  |  |  | LPP in N1 mode supported (see 3GPP TS 36.355 [26]) |
|  |
| Restriction on use of enhanced coverage support (RestrictEC) (octet 3, bit 4)This bit indicates the capability to support restriction on use of enhanced coverage. |
| 0 |  |  |  | Restriction on use of enhanced coverage not supported |
| 1 |  |  |  | Restriction on use of enhanced coverage supported |
| Control plane CIoT 5GS optimization (5G-CP CIoT) (octet 3, bit 5)This bit indicates the capability for control plane CIoT 5GS optimization. |
| 0 |  |  |  | Control plane CIoT 5GS optimization not supported |
| 1 |  |  |  | Control plane CIoT 5GS optimization supported |
| N3 data transfer (N3 data) (octet 3, bit 6)This bit indicates the capability for N3 data transfer. |
| 0 |  |  |  | N3 data transfer supported |
| 1 |  |  |  | N3 data transfer not supported |
| IP header compression for control plane CIoT 5GS optimization (5G-IPHC-CP CIoT) (octet 3, bit 7)This bit indicates the capability for IP header compression for control plane CIoT 5GS optimization. |
| 0 |  |  |  | IP header compression for control plane CIoT 5GS optimization not supported |
| 1 |  |  |  | IP header compression for control plane CIoT 5GS optimization supported |
|  |
| Service gap control (SGC) (octet 3, bit 8) |
| 0 |  |  |  | service gap control not supported |
| 1 |  |  |  | service gap control supported |
|  |
| 5G-SRVCC from NG-RAN to UTRAN (5GSRVCC) capability (octet 4, bit 1) |
| 0 |  |  |  | 5G-SRVCC from NG-RAN to UTRAN not supported |
| 1 |  |  |  | 5G-SRVCC from NG-RAN to UTRAN supported (see 3GPP TS 23.216 [6A]) |
| User plane CIoT 5GS optimization (5G-UP CIoT) (octet 4, bit 2)This bit indicates the capability for user plane CIoT 5GS optimization. |
| 0 |  |  |  | User plane CIoT 5GS optimization not supported |
| 1 |  |  |  | User plane CIoT 5GS optimization supported |
|  |
| V2X capability (V2X) (octet 4, bit 3)  |
| This bit indicates the capability for V2X, as specified in 3GPP TS 24.587 [19B].Bit |
| 3 |  |  |  |  |
| 0 |  |  |  | V2X not supported |
| 1 |  |  |  | V2X supported |
|  |
| V2X communication over E-UTRA-PC5 capability (V2XCEPC5) (octet 4, bit 4) |
| This bit indicates the capability for V2X communication over E-UTRA-PC5, as specified in 3GPP TS 24.587 [19B]. |
| Bit |
| 4 |  |  |  |  |
| 0 |  |  |  | V2X communication over E-UTRA-PC5 not supported |
| 1 |  |  |  | V2X communication over E-UTRA-PC5 supported |
|  |
|

|  |
| --- |
| V2X communication over NR-PC5 capability (V2XCNPC5) (octet 4, bit 5) |
| This bit indicates the capability for V2X communication over NR-PC5, as specified in 3GPP TS 24.587 [19B]. |
| Bit |
| 5 |  |  |  |  |
| 0 |  |  |  | V2X communication over NR-PC5 not supported |
| 1 |  |  |  | V2X communication over NR-PC5 supported |
|  |

 |
| Location Services (5G-LCS) notification mechanisms capability (octet 4, bit 6) |
| 0 |  |  |  | LCS notification mechanisms not supported |
| 1 |  |  |  | LCS notification mechanisms supported (see 3GPP TS 23.273 [6B]) |
| Network slice-specific authentication and authorization (NSSAA) (octet 4, bit 7)This bit indicates the capability to support network slice-specific authentication and authorization. |
| 0 |  |  |  | Network slice-specific authentication and authorization not supported |
| 1 |  |  |  | Network slice-specific authentication and authorization supported |
|  |
| Radio capability signalling optimisation (RACS) capability (octet 4, bit 8) |
| 0 |  |  |  | RACS not supported |
| 1 |  |  |  | RACS supported |
|  |
| Closed Access Group (CAG) capability (octet 5, bit 1) |
| 0 CAG not supported1 CAG supportedWUS assistance (WUSA) information reception capability (octet 5, bit 2)0 WUS assistance information reception not supported1 WUS assistance information reception supported |
|  |
| Multiple user-plane resources support (multipleUP) (octet 5, bit 3) |
| This bit indicates the capability to support multiple user-plane resources in NB-N1 mode. |
|

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| 0 |  |  |  | Multiple user-plane resources not supported |
| 1 |  |  |  | Multiple user-plane resources supported |

 |
| Ethernet header compression for control plane CIoT 5GS optimization (5G-EHC-CP CIoT) (octet 5, bit 4)0 Ethernet header compression for control plane CIoT 5GS optimization not supported1 Ethernet header compression for control plane CIoT 5GS optimization supported |
| Extended rejected NSSAI support (ER-NSSAI) (octet 5, bit 5) |
| This bit indicates the capability to support extended rejected NSSAI. |
|

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| 0 |  |  |  | Extended rejected NSSAI not supported |
| 1 |  |  |  | Extended rejected NSSAI supported |

 |
| ProSe direct discovery (ProSe-dd) (octet 5, bit 6)This bit indicates the capability for ProSe direct discovery. Bit |
| 6 |  |  |  |  |
| 0 |  |  |  | ProSe direct discovery not supported |
| 1 |  |  |  | ProSe direct discovery supported |
| ProSe direct communication (ProSe-dc) (octet 5, bit 7)This bit indicates the capability for ProSe direct communication.

|  |
| --- |
| Bit |
|

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| 7 |  |  |  |  |
| 0 |  |  |  | ProSe direct communication not supported |
| 1 |  |  |  | ProSe direct communication supported  |

 |

ProSe UE-to-network-relay (ProSe-relay) (octet 5, bit 8)This bit indicates the capability to act as a ProSe UE-to-network relay |
| Bit |
| 8 |  |  |  |  |
| 0 |  |  |  | Acting as a ProSe UE-to-network relay not supported |
| 1 |  |  |  | Acting as a ProSe UE-to-network relay supported |
| bits in octets 6 to 15 are spare and shall be coded as zero, if the respective octet is included in the information element. |

Editor’s note: It is FFS and subject to SA2’s conclusion to define UE ProSe UE-to-network relay capability.

\*\*\*\*\* change \*\*\*\*\*