**3GPP TSG-CT WG1 Meeting #123-eC1-202324**

**Electronic meeting, 16-24 April 2020**

|  |
| --- |
| *CR-Form-v12.0* |
| **CHANGE REQUEST** |
|  |
|  | **24.501** | **CR** | **2104** | **rev** | **-** | **Current version:** | **16.4.1** |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
|  |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network | **X** |

|  |
| --- |
|  |
| ***Title:***  | Network triggered service request procedure over non-3GPP access |
|  |  |
| ***Source to WG:*** | OPPO |
| ***Source to TSG:*** | C1 |
|  |  |
| ***Work item code:*** | 5GProtoc16 |  | ***Date:*** | 2020-03-30 |
|  |  |  |  |  |
| ***Category:*** | **F** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)Rel-12 (Release 12)**Rel-13 (Release 13)Rel-14 (Release 14)Rel-15 (Release 15)Rel-16 (Release 16)* |
|  |  |
| ***Reason for change:*** | S2-2002446 and S2-2001104, approved at SA#87 and now implemented in 2020-03 version of 23.501 and 23.502, allows the UE to response to Paging by intiating the service request over non-3GPP access, if available, in the case that Paging message is received over 3GPP with access type indicating non-3GPP, while T3346 is running.This CR proposes the related Stage 3 changes. |
|  |  |
| ***Summary of change:*** | 1. The case should be added when UE initiates service request over non-3GPP access to repond to paging with non-3GPP access type.2. Clarification that back-off timer is stopped for both access, if back-off timer is running when paging request is received. |
|  |  |
| ***Consequences if not approved:*** | 1. One case that UE sends service request over non-3GPP access is missing2. DL traffic that is intended for the non-3GPP access will not be delivered over the non-3GPP access. |
|  |  |
| ***Clauses affected:*** | 5.6.1.1, 5.6.1.2.1, 5.6.2.2.1 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

\* \* \* First Change \* \* \* \*

#### 5.6.1.1 General

The purpose of the service request procedure is to change the 5GMM mode from 5GMM-IDLE to 5GMM-CONNECTED mode. If the UE is not using 5GS services with control plane CIoT 5GS optimization, this procedure is used to request the establishment of user-plane resources for PDU sessions which are established without user-plane resources. In latter case, the 5GMM mode can be the 5GMM-IDLE mode or the 5GMM-CONNECTED mode if the UE requires to establish user-plane resources for PDU sessions. If the UE is using 5GS services with control plane CIoT 5GS optimization, this procedure can be used for UE initiated transfer of user data via the control plane from 5GMM-IDLE mode.

NOTE 1: The lower layer indicates when the user-plane resources for PDU sessions are successfully established or released.

This procedure is used when:

- the network has downlink signalling pending over 3GPP access and the UE is in 5GMM-IDLE mode over 3GPP access;

- the network has downlink signalling pending over non-3GPP access, the UE is in 5GMM-IDLE mode over non-3GPP access and in 5GMM-IDLE or 5GMM-CONNECTED mode over 3GPP access;

- the UE has uplink signalling pending over 3GPP access and the UE is in 5GMM-IDLE mode over 3GPP access;

- the network has downlink user data pending over 3GPP access and the UE is in 5GMM-IDLE mode over 3GPP access;

- the network has downlink user data pending over non-3GPP access, the UE is in 5GMM-IDLE mode over non-3GPP access and in 5GMM-IDLE or 5GMM-CONNECTED mode over 3GPP access;

- the UE has user data pending over 3GPP access and the UE is in 5GMM-IDLE or 5GMM-CONNECTED mode over 3GPP access;

- the UE has user data pending over non-3GPP access and the UE is in 5GMM-CONNECTED mode over non-3GPP access;

- the UE in 5GMM-IDLE mode over non-3GPP access, receives an indication from the lower layers of non-3GPP access, that the access stratum connection is established between UE and network;

- the UE in 5GMM-IDLE or 5GMM-CONNECTED mode over 3GPP access receives a request from the upper layers to perform emergency service fallback and performs emergency services fallback as specified in subclause 4.13.4.2 of 3GPP TS 23.502 [9]; or

- the UE has to request resources for V2X communication over PC5.

This procedure shall not be used for initiating user data transfer or PDU session management related signalling other than for performing UE-requested PDU session release procedure related to a PDU session for LADN when the UE is located outside the LADN service area.

In NB-N1 mode, this procedure shall not be used to request the establishment of user-plane resources:

a) for more than two PDU sessions if there is currently:

1) no user-plane resources established for the UE;

2) user-plane resources established for one PDU session; or

b) for additional PDU sessions, if the UE already has user-plane resources established for two PDU sessions.

The service request procedure is initiated by the UE, however, it can be triggered by the network by means of:

- the paging procedure (see subclause 5.6.2) for the transfer of downlink signalling or user data pending over 3GPP access to a UE in 5GMM-IDLE mode over 3GPP access;

- the paging procedure (see subclause 5.6.2) for the transfer of downlink signalling or user data pending over non-3GPP access to a UE in 5GMM-IDLE mode over 3GPP access and in 5GMM-IDLE mode over non-3GPP access;

- the notification procedure (see subclause 5.6.3) for the transfer of downlink signalling or user data pending over non-3GPP access to a UE in 5GMM-CONNECTED mode over 3GPP access and in 5GMM-IDLE mode over non-3GPP access; or

- the notification procedure (see subclause 5.6.3) for the transfer of downlink signalling or user data pending over 3GPP access to a UE in 5GMM-IDLE mode over 3GPP access and in 5GMM-CONNECTED mode over non-3GPP access.

NOTE 2: In case the UE is in 5GMM-IDLE mode over 3GPP access and in 5GMM-CONNECTED mode over non-3GPP access and downlink signalling or user data pending over 3GPP access needs to be transferred, the AMF can trigger either the notification procedure or the paging procedure based on implementation.

The UE shall invoke the service request procedure when:

a) the UE, in 5GMM-IDLE mode over 3GPP access, receives a paging request from the network;

b) the UE, in 5GMM-CONNECTED mode over 3GPP access, receives a notification from the network with access type indicating non-3GPP access;

c) the UE, in 5GMM-IDLE mode over 3GPP access, has uplink signalling pending (except in case i);

d) the UE, in 5GMM-IDLE mode over 3GPP access, has uplink user data pending (except in case j);

e) the UE, in 5GMM-CONNECTED mode or in 5GMM-CONNECTED mode with RRC inactive indication, has user data pending due to no user-plane resources established for PDU session(s) used for user data transport;

f) the UE in 5GMM-IDLE mode over non-3GPP access, receives an indication from the lower layers of non-3GPP access, that the access stratum connection is established between UE and network;

g) the UE, in 5GMM-IDLE mode over 3GPP access, receives a notification from the network with access type indicating 3GPP access when the UE is in 5GMM-CONNECTED mode over non-3GPP access;

h) the UE, in 5GMM-IDLE, 5GMM-CONNECTED mode over 3GPP access, or 5GMM-CONNECTED mode with RRC inactive indication, receives a request from the upper layers to perform emergency service fallback and performs emergency services fallback as specified in subclause 4.13.4.2 of 3GPP TS 23.502 [9];

i) the UE, in 5GMM-CONNECTED mode over 3GPP access or in 5GMM-CONNECTED mode with RRC inactive indication, receives a fallback indication from the lower layers (see subclauses 5.3.1.2 and 5.3.1.4) and the UE has a pending NAS procedure other than a registration, service request, or de-registration procedure;

j) the UE, in 5GMM-CONNECTED mode over 3GPP access or in 5GMM-CONNECTED mode with RRC inactive indication, receives a fallback indication from the lower layers (see subclauses 53.1.2 and 5.3.1.4) and the UE has pending uplink user data for PDU session(s) with user-plane resources already established but no pending NAS procedure;

k) the UE, in 5GMM-CONNECTED mode and has a NAS signalling connection only, is using 5GS services with control plane CIoT 5GS optimization and has pending user data to be sent via user-plane resources; or

l) the UE in 5GMM-IDLE mode over 3GPP access has to request resources for V2X communication over PC5 (see 3GPP TS 23.287 [6C]); or

a1) the UE, in 5GMM-IDLE mode over non-3GPP access, receives over 3GPP access a paging request from the network with access type indicating non-3GPP access and non-3GPP access is avaialble.

If one of the above criteria to invoke the service request procedure is fulfilled, then the service request procedure shall only be initiated by the UE when the following conditions are fulfilled:

- its 5GS update status is 5U1 UPDATED, and the TAI of the current serving cell is included in the TAI list; and

- no 5GMM specific procedure is ongoing.

The UE shall not invoke the service request procedure when the UE is in the state 5GMM-SERVICE-REQUEST-INITIATED.



Figure 5.6.1.1.1: Service Request procedure (Part 1)



Figure 5.6.1.1.2: Service Request procedure (Part 2)

A service request attempt counter is used to limit the number of service request attempts and no response from the network. The service request attempt counter shall be incremented as specified in subclause 5.6.1.7.

The service request attempt counter shall be reset when:

- a registration procedure for mobility and periodic registration update is successfully completed;

- a service request procedure is successfully completed; or

- a service request procedure is rejected as specified in subclause 5.6.1.5 or subclause 5.3.20.

\* \* \* Second Change \* \* \* \*

##### 5.6.1.2.1 UE is not using 5GS services with control plane CIoT 5GS optimization

The UE initiates the service request procedure by sending a SERVICE REQUEST message to the AMF and starts timer T3517.

If the UE is sending the SERVICE REQUEST message from 5GMM-IDLE mode and the UE needs to send non-cleartext IEs, the UE shall send the SERVICE REQUEST message including the NAS message container IE as described in subclause 4.4.6.

For cases a), b), g) and m) in subclause 5.6.1.1, the service type IE in the SERVICE REQUEST message shall be set to "mobile terminated services".

For cases c), d), e), f), i) and j) in subclause 5.6.1.1, if the UE is a UE configured for high priority access in selected PLMN, the service type IE in the SERVICE REQUEST message shall be set to "high priority access".

For case a) in subclause 5.6.1.1:

a) if the paging request includes an indication for non-3GPP access type except if conditions of case a1) in subclause 5.6.1.1 are met, the Allowed PDU session status IE shall be included in the SERVICE REQUEST message. If the UE has established the PDU session(s) associated with the S-NSSAI(s) which are included in the allowed NSSAI for 3GPP access, the UE shall indicate the PDU session(s) for which the UE allows the user-plane resources to be re-established over 3GPP access in the Allowed PDU session status IE. Otherwise, the UE shall not indicate any PDU session(s) in the Allowed PDU session status IE;

b) if the UE has uplink user data pending to be sent over 3GPP access, the Uplink data status IE shall be included in the SERVICE REQUEST message to indicate the PDU session(s) for which the UE has pending user data to be sent; or

c) otherwise, the Uplink data status IE shall not be included in the SERVICE REQUEST message.

For case b) in subclause 5.6.1.1:

a) the Allowed PDU session status IE shall be included in the SERVICE REQUEST message. If the UE has the PDU session(s) associated with the S-NSSAI(s) which are included in the allowed NSSAI for 3GPP access, the UE shall indicate the PDU session(s) for which the UE allows the user-plane resources to be re-established over 3GPP access in the Allowed PDU session status IE. Otherwise, the UE shall not indicate any PDU session(s) in the Allowed PDU session status IE;

b) if the UE has uplink user data pending to be sent over 3GPP access, the Uplink data status IE shall be included in the SERVICE REQUEST message to indicate the PDU session(s) for which the UE has pending user data to be sent;

c) otherwise, the Uplink data status IE shall not be included in the SERVICE REQUEST message.

When the Allowed PDU session status IE is included in the SERVICE REQUEST message, the UE shall indicate that a PDU session is not allowed to be transferred to the 3GPP access if the 3GPP PS data off UE status is "activated" for the corresponding PDU session and the UE is not using the PDU session to send uplink IP packets for any of the 3GPP PS data off exempt services (see subclause 6.2.10).

For case c) in subclause 5.6.1.1, the Uplink data status IE shall not be included in the SERVICE REQUEST message except if the UE has one or more active always-on PDU sessions associated with the access type over which the SERVICE REQUEST message is sent. If the UE is not a UE configured for high priority access in selected PLMN and:

a) if the SERVICE REQUEST message is triggered by a request for emergency services from the upper layer, the UE shall set the service type IE in the SERVICE REQUEST message to "emergency services"; or

b) otherwise, the UE shall set the service type IE to "signalling".

When the UE is in a non-allowed area or is not in an allowed area as specified in subclause 5.3.5 and:

a) if the uplink signalling pending is to indicate a change of 3GPP PS data off UE status for a PDU session, the UE shall set the service type IE in the SERVICE REQUEST message to "elevated signalling", and shall not include the Uplink data status IE in the SERVICE REQUEST message even if the UE has one or more active always-on PDU sessions associated with the access type over which the SERVICE REQUEST message is sent; or

b) otherwise, the UE shall not initiate service request procedure except for emergency services, high priority access or responding to paging or notification.

For cases d) and e) in subclause 5.6.1.1, the Uplink data status IE shall be included in the SERVICE REQUEST message to indicate the PDU session(s) the UE has pending user data to be sent. If the UE is not a UE configured for high priority access in selected PLMN:

a) if there exists an emergency PDU session which is indicated in the Uplink data status IE the service type IE in the SERVICE REQUEST message shall be set to "emergency services"; or

b) otherwise, the service type IE in the SERVICE REQUEST message shall be set to "data".

NOTE 1: For a UE in NB-N1 mode, the Uplink data status IE cannot be used to request the establishment of user-plane resources such that there will be user-plane resources established for more than two PDU sessions.

For case f) in subclause 5.6.1.1:

a) if the UE has uplink user data pending to be sent, the Uplink data status IE shall be included in the SERVICE REQUEST message to indicate the PDU session(s) the UE has pending user data to be sent. If the UE is not a UE configured for high priority access in selected PLMN, the service type IE in the SERVICE REQUEST message shall be set to "data";

b) otherwise, if the UE is not a UE configured for high priority access in selected PLMN, the service type IE in the SERVICE REQUEST message shall be set to "signalling".

For case g) in subclause 5.6.1.1, if the UE has uplink user data pending to be sent, the Uplink data status IE shall be included in the SERVICE REQUEST message to indicate the PDU session(s) the UE has pending user data to be sent.

For case h) in subclause 5.6.1.1, the UE shall send a SERVICE REQUEST message with service type set to "emergency services fallback".

For case i) in subclause 5.6.1.1, if the UE is not configured for high priority access in selected PLMN, the UE shall set the Service type IE in the SERVICE REQUEST message as follows:

a) if the pending message is an UL NAS TRANSPORT message with the Request type IE set to "initial emergency request" or "existing emergency PDU session", the UE shall set the Service type IE in the SERVICE REQUEST message to "emergency services"; or

b) otherwise, the UE shall set the Service type IE in the SERVICE REQUEST message to "signalling".

For case j) in subclause 5.6.1.1:

a) the UE shall include the Uplink data status IE in the SERVICE REQUEST message indicating the PDU session(s) for which user-plane resources were active prior to receiving the fallback indication, if any; and

b) if the UE is not a UE configured for high priority access in selected PLMN, the UE shall set the Service type IE in the SERVICE REQUEST message as follows:

1) if there is an emergency PDU session which is indicated in the Uplink data status IE, the UE shall set the Service type IE in the SERVICE REQUEST message to "emergency services"; or

2) if there is no emergency PDU session which is indicated in the Uplink data status IE, the UE shall set the Service type IE in the SERVICE REQUEST message to "data".

For case a1) in subclause 5.6.1.1:

a) if the UE has uplink user data pending to be sent over non-3GPP access, the Uplink data status IE shall be included in the SERVICE REQUEST message to indicate the PDU session(s) for which the UE has pending user data to be sent; or

b) otherwise, the Uplink data status IE shall not be included in the SERVICE REQUEST message.

The UE shall include a valid 5G-S-TMSI in the 5G-S-TMSI IE of the SERVICE REQUEST message.

If the UE has one or more active always-on PDU sessions associated with the access type over which the SERVICE REQUEST message is sent and the user-plane resources for these PDU sessions are not established, the UE shall include the Uplink data status IE in the SERVICE REQUEST message and indicate that the UE has pending user data to be sent for those PDU sessions.

If the UE has one or more active PDU sessions which are not accepted by the network as always-on PDU sessions and no uplink user data pending to be sent for those PDU sessions, the UE shall not include those PDU sessions in the Uplink data status IE in the SERVICE REQUEST message.

The Uplink data status IE may be included in the SERVICE REQUEST message to indicate which PDU session(s) associated with the access type the SERVICE REQUEST message is sent over have pending user data to be sent.

The PDU session status information element may be included in the SERVICE REQUEST message to indicate the PDU session(s) available in the UE associated with the access type the SERVICE REQUEST message is sent over.

If the SERVICE REQUEST message includes a NAS message container IE, the AMF shall process the SERVICE REQUEST message that is obtained from the NAS message container IE as described in subclause 4.4.6.

If the UE has an emergency PDU session over the non-current access, it shall not initiate the SERVICE REQUEST message with the service type IE set to "emergency services" over the current access, unless the SERVICE REQUEST message has to be initiated to perform handover of an existing emergency PDU session from the non-current access to the current access.

NOTE 2: Transfer of an existing emergency PDU session between 3GPP access and non-3GPP access is needed e.g. if the UE determines that the current access is no longer available.

\* \* \* Third Change \* \* \* \*

##### 5.6.2.2.1 General

The network shall initiate the paging procedure for 5GS services when NAS signalling messages or user data is pending to be sent to the UE in 5GMM-IDLE mode over 3GPP access (see example in figure 5.6.2.2.1.1).



Figure 5.6.2.2.1.1: Paging procedure

To initiate the procedure the 5GMM entity in the AMF requests the lower layer to start paging and shall start timer T3513.

If downlink signalling or user data is pending to be sent over non-3GPP access, the 5GMM entity in the AMF shall indicate to the lower layer that the paging is associated to non-3GPP access.

The 5GMM entity in the AMF may provide the lower layer with the "allowed CAG list" and an "indication that the UE is only allowed to access 5GS via CAG cells" for the current PLMN, if available. If there is an active emergency PDU session, the 5GMM entity in the AMF shall not provide the lower layer with the "allowed CAG list" and an "indication that the UE is only allowed to access 5GS via CAG cells" for the current PLMN, even if available, to the lower layer.

Upon reception of a paging indication, the UE shall stop the timer T3346 over both 3GPP access and non-3GPP access, if running, and:

a) if control plane CIoT 5GS optimization is not used by the UE, the UE shall initiate:

1) a service request procedure over 3GPP access to respond to the paging as specified in subclauses 5.6.1;

2) a registration procedure for mobility and periodic registration update over 3GPP access to respond to the paging as specified in subclauses 5.5.1.3.2;

3) a service request procedure over non-3GPP access to respond to the paging as specified in subclauses 5.6.1; or

4) a registration procedure for mobility and periodic registration update over non-3GPP access to respond to the paging as specified in subclauses 5.5.1.3.2,

 and additionally if the UE is in the 5GMM-IDLE mode with suspend indication, resume the suspended N1 NAS signalling connection to the AMF as specified in subclause 5.3.1.5; or

b) if control plane CIoT 5GS optimization is used by the UE, the UE shall:

1) initiate a service request procedure as specified in subclause 5.6.1.2.2 if the UE is in the 5GMM-IDLE mode without suspend indication;

2) initiate a registration procedure for mobility and periodic registration update over 3GPP access as specified in subclauses 5.5.1.3.2; or

3) proceed as specified in subclause 5.3.1.5 if the UE is in the 5GMM-IDLE mode with suspend indication.

NOTE: If the UE is in the 5GMM-IDLE mode without suspend indication and has an uplink user data to be sent to the network using control plane CIoT 5GS optimization when receiving the paging indication, the UE can piggyback the uplink user data during the service request procedure initiated to respond to the paging, as specified in subclause 5.6.1.2.2.

The network shall stop timer T3513 for the paging procedure when an integrity-protected response is received from the UE and successfully integrity checked by the network or when the 5GMM entity in the AMF receives an indication from the lower layer that it has received the NGAP UE context resume request message as specified in 3GPP TS 38.413 [31]. If the response received is not integrity protected, or the integrity check is unsuccessful, timer T3513 for the paging procedure shall be kept running.

Upon expiry of timer T3513, the network may reinitiate paging.

If the network, while waiting for a response to the paging sent without paging priority, receives downlink signalling or downlink data associated with priority user-plane resources for PDU sessions, the network shall stop timer T3513, and then initiate the paging procedure with paging priority.

\* \* \* Fourth Change \* \* \* \*

#### 5.6.1.7 Abnormal cases in the UE

The following abnormal cases can be identified:

a) T3517 expired.

 The UE shall enter the state 5GMM-REGISTERED.

 If the UE triggered the service request procedure in 5GMM-IDLE mode and the service type of the SERVICE REQUEST message was not set to "emergency services fallback", then the 5GMM sublayer shall increment the service request attempt counter, abort the procedure and release locally any resources allocated for the service request procedure. The service request attempt counter shall not be incremented, if:

1) the service request procedure is initiated to establish an emergency PDU session;

2) the UE has an emergency PDU session established;

3) the UE is a UE configured for high priority access in selected PLMN;

4) the service request is initiated in response to paging or notification from the network;or

5) the UE in NB-N1 mode is requested by the upper layer to transmit user data related to an exceptional event and the UE is allowed to use exception data reporting (see the ExceptionDataReportingAllowed leaf of the NAS configuration MO in 3GPP TS 24.368 [17] or the USIM file EFNASCONFIG in 3GPP TS 31.102 [22]).

 If the service request attempt counter is greater than or equal to 5, the UE shall start timer T3525. Additionally, if the service request was initiated for an MO MMTEL voice call or for an MO IMS registration related signalling, a notification that the service request was not accepted due to the UE having started timer T3525 shall be provided to the upper layers.

NOTE 1: This can result in the upper layers requesting implementation specific mechanisms, e.g. the MMTEL voice call being attempted to another IP-CAN, or establishment of a CS voice call (if supported and not already attempted in the CS domain).

 The UE shall not attempt service request until expiry of timer T3525 unless:

1) the service request is initiated in response to paging or notification from the network;

2) the UE is a UE configured for high priority access in selected PLMN;

3) the service request is initiated to establish an emergency PDU session;

4) the UE has an emergency PDU session established;

5) the service request is initiated for emergency services fallback;

6) the UE is registered in a new PLMN; or

7) the UE in NB-N1 mode is requested by the upper layer to transmit user data related to an exceptional event and the UE is allowed to use exception data reporting (see the ExceptionDataReportingAllowed leaf of the NAS configuration MO in 3GPP TS 24.368 [17] or the USIM file EFNASCONFIG in 3GPP TS 31.102 [22]).

NOTE 2: The NAS signalling connection can also be released if the UE deems that the network has failed the authentication check as specified in subclause 5.4.1.3.7.

 If the UE triggered the service request procedure in 5MM-CONNECTED mode and the service type of the SERVICE REQUEST message was not set to "emergency services fallback", the 5GMM sublayer shall abort the procedure, and stay in 5GMM-CONNECTED mode.

 If the service type of the SERVICE REQUEST message was set to "emergency services fallback" and:

1) the service request procedure was triggered in 5GMM-IDLE mode, the 5GMM sublayer shall abort the procedure, release locally any resources allocated for the service request procedure, and inform the upper layers of the failure of the service request procedure (see 3GPP TS 24.229 [14]); or

2) the service request procedure was triggered in 5GMM-CONNECTED mode, the 5GMM sublayer shall abort the procedure, stay in 5GMM-CONNECTED mode, and inform the upper layers of the failure of the service request procedure (see 3GPP TS 24.229 [14]).

b) The lower layers indicate that the access attempt is barred.

 The UE shall not start the service request procedure. The UE stays in the current serving cell and applies the normal cell reselection process. Receipt of the access barred indication shall not trigger the selection of a different core network type (EPC or 5GCN).

 The service request procedure is started, if still needed, when the lower layers indicate that the barring is alleviated for the access category with which the access attempt was associated.

ba) The lower layers indicate that access barring is applicable for all access categories except categories 0 and 2 and the access category with which the access attempt was associated is other than 0 and 2.

 If the SERVICE REQUEST message has not been sent, the UE shall proceed as specified for case b.

 If the SERVICE REQUEST message has been sent:

1) the UE shall abort the service request procedure and stop timer T3517. The UE stays in the current serving cell and applies the normal cell reselection process; and

2) the service request procedure is started, if still needed, when the lower layers indicate that the barring is alleviated for the access category with which the access attempt was associated.

 For additional UE requirements for both cases see subclause 4.5.5.

c) Timer T3346 is running and the service request is initiated over 3GPP access.

 The UE shall not start the service request procedure unless:

1) the UE receives a paging request;

2) the UE receives a NOTIFICATION message over non-3GPP access when the UE is in 5GMM-CONNECTED mode over non-3GPP access and in 5GMM-IDLE mode over 3GPP access;

3) the UE receives a NOTIFICATION message over 3GPP access when the UE is in 5GMM-CONNECTED mode over 3GPP access and in 5GMM-IDLE mode over non-3GPP access;

4) the UE is a UE configured for high priority access in selected PLMN;

5) the UE has an emergency PDU session established or is establishing an emergency PDU session;

6) the service request is initiated for emergency services fallback;

7) the service request procedure is initiated for elevated signalling; or

8) the UE in NB-N1 mode is requested by the upper layer to transmit user data related to an exceptional event and:

- the UE is allowed to use exception data reporting (see the ExceptionDataReportingAllowed leaf of the NAS configuration MO in 3GPP TS 24.368 [17] or the USIM file EFNASCONFIG in 3GPP TS 31.102 [22]); and

- timer T3346 was not started when N1 NAS signalling connection was established with RRC establishment cause set to "mo-ExceptionData".

 If the UE is in 5GMM-IDLE mode, the UE stays in the current serving cell and applies normal cell reselection process. The service request procedure is started, if still necessary, when timer T3346 expires or is stopped.

 If the service request procedure was triggered for an MO MMTEL voice call (i.e. access category 4) or for an MO IMS registration related signalling (i.e. access category 9), a notification that the service request procedure was not initiated due to congestion shall be provided to the upper layers.

c1) Timer T3346 is running and the service request is initiated over non-3GPP access.

The UE shall not start the service request procedure unless the UE received a paging request including an indication for non-3GPP access type and non-3GPP access is available.

d) Registration procedure for mobility and periodic registration update is triggered.

 The UE shall abort the service request procedure, stop timer T3517, if running and perform the registration procedure for mobility and periodic registration update. The Follow-on request indicator shall be set to "Follow-on request pending" in the REGISTRATION REQUEST message.

e) Switch off.

 If the UE is in state 5GMM-SERVICE-REQUEST-INITIATED at switch off, the de-registration procedure shall be performed.

f) De-registration procedure collision.

 If the UE receives a DEREGISTRATION REQUEST message from the network in state 5GMM-SERVICE-REQUEST-INITIATED, the UE shall progress the DEREGISTRATION REQUEST message and the service request procedure shall be aborted.

NOTE 3: The above collision case is valid if the DEREGISTRATION REQUEST message indicates the access type over which the service request procedure is attempted otherwise both the procedures are progressed.

g) Transmission failure of SERVICE REQUEST message indication with TAI change from lower layers.

 If the current TAI is not in the TAI list, UE shall abort the service request procedure to perform the registration procedure for mobility and periodic registration update as specified in subclause 5.5.1.3.2. If the current TAI is part of the TAI list, the UE shall restart the service request procedure.

h) Transmission failure of SERVICE REQUEST message indication without TAI change from lower layers.

 The UE shall restart the service request procedure.

i) SERVICE REJECT message received with other 5GMM cause values than those treated in subclause 5.6.1.5, and cases of 5GMM cause values #11, #22, #72, #73, #74, #75, #76 and #77 that are considered as abnormal cases according to subclause 5.6.1.5.

 The UE shall enter state 5GMM-REGISTERED.

 The UE shall abort the service request procedure, stop timer T3517 and locally release any resources allocated for the service request procedure.

j) The UE in 5GMM-CONNECTED mode with RRC inactive indication over the 3GPP access, and in 5GMM-CONNECTED mode over the non-3GPP access, receives a NOTIFICATION message over the non-3GPP access with access type indicating 3GPP access.

 The UE shall transition from 5GMM-CONNECTED mode with RRC inactive indication to 5GMM-IDLE mode over 3GPP access and initiate the service request procedure over the 3GPP access.

k) Timer T3447 is running

 The UE shall not start any service request procedure unless:

1) the UE in 5GMM-IDLE receives a paging request;

2) the UE is a UE configured for high priority access;

3) the UE has a PDU session for emergency services established or is establishing a PDU session for emergency services;

4) the service request is initiated for emergency services fallback;

5) the UE in 5GMM-CONNECTED mode receives mobile terminated signaling or downlink data over the user-plane; or

6) the service request procedure is initiated for elevated signalling.

 The UE stays in the current serving cell and applies the normal cell reselection process. The service request procedure is started, if still necessary, when timer T3447 expires.

l) Lower layer failure, release of the N1 signalling connection received from lower layers or the lower layers indicate that the RRC connection has been suspended before the service request procedure is completed or SERVICE REJECT message is received.

 The UE shall abort the service request procedure, stop timer T3517, locally release any resources allocated for the service request procedure and enters state 5GMM-REGISTERED.

m) Timer T3448 is running

 The UE in 5GMM-IDLE mode shall not initiate the service request procedure for transport of user data via the control plane unless:

1) the UE is a UE configured for high priority access in selected PLMN;

2) the UE which is only using 5GS services with control plane CIoT 5GS optimization received a paging request; or

3) the UE in NB-N1 mode is requested by the upper layer to transmit user data related to an exceptional event and the UE is allowed to use exception data reporting (see the ExceptionDataReportingAllowed leaf of the NAS configuration MO in 3GPP TS 24.368 [17] or the USIM file EFNASCONFIG in 3GPP TS 31.102 [22]).

 The UE stays in the current serving cell and applies the normal cell reselection process. The service request procedure is started, if still necessary, when timer T3448 expires.

\* \* \* End of Changes \* \* \* \*