**3GPP TSG-RAN WG1 Meeting #117R1-2404250**

**Fukuoka City, Fukuoka, Japan, May 20th – 24th, 2024**

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| *CR-Form-v12.2* |
| **DRAFT CHANGE REQUEST** |
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|  | **38.214** | **CR** |   **xxxx** | **rev** | **-** | **Current version:** | **17.9.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:***  | Draft CR on joint TCI configuration for SRS not sharing indicated TCI |
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| ***Source to WG:*** | ZTE |
| ***Source to TSG:*** | RAN1 |
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| ***Work item code:*** | NR\_FeMIMO-Core |  | ***Date:*** | 2024-05-10 |
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| ***Category:*** | F |  | ***Release:*** | Rel-17 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)Rel-19 (Release 19)* |
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| ***Reason for change:*** | According to above spec, we have the following interpretation of whether SSB can be used as reference RS in joint TCI state while being used as determining spatial filter for SRS not sharing indicating TCI state.* Case-1 (using MAC-CE to update spatial filter of AP/SP-SRS not sharing indicated TCI state): For joint TCI sate, it has NOT been specified whether SS/PBCH block can be used.
* Case-2 (Using RRC to configure the spatial filter of SRS not sharing indicated TCI state): For joint TCI sate, SS/PBCH block can be used as a reference RS.

Then, after reviewing the previous agreements in RAN1, it is clearly that for determining SRS spatial filtering by joint TCI state, SS/PBCH can be used as a reference RS without any additional condition. Some more details can be found in our companion contribution R1-2404249. |
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| ***Summary of change:*** | Clarifying that, for unified TCI framework, SS/PBCH can be used as a reference RS in a joint TCI state for determining spatial filter of the SRS |
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| ***Consequences if not approved:*** | UE behavior of supporting SSB as a reference RS for joint TCI state or not is unclear, while using MAC-CE to update spatial filter of AP/SP-SRS not sharing indicated TCI state.  |
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| ***Clauses affected:*** | 6.2.1 |
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|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
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| ***Other comments:*** | Isolated impact analysis:The CR is aiming to clarify the UE requirement of joint TCI configuration while being used for determining spatial filter for SRS not sharing indicating TCI state. It is expected UEs and networks are implemented with the same understanding and therefore no change is required on UE and network implementation. |
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| ***This CR's revision history:*** |  |

### 6.2.1 UE sounding procedure

**<Unchanged parts are omitted>**

When the UE is configured *dl-OrJointTCI-StateList* or *ul-TCI-StateList,* the UE can assume that SRS resource(s) in any SRS resource set, except SRS resource set for positioning and an SRS resource set configured with *followUnifiedTCI-StateSRS*, can be configured with *TCI-State* or *TCI-UL-State* or updated as described in clause 6.1.3.59 or 6.1.3.60 of [10, TS 38.321]. The reference RS in the *TCI-State* can be a CSI-RS resource in a *NZP-CSI-RS-ResourceSet* configured with higher layer parameter *repetition*, a CSI-RS resource in an *NZP-CSI-RS-ResourceSet* configured with higher layer parameter *trs-Info*,or SS/PBCH block associated with the same or different PCI from the PCI of the serving cell. The reference RS in the *TCI-UL-State*(s) can be a CSI-RS resource in a *NZP-CSI-RS-ResourceSet* configured with higher layer parameter *repetition*, a CSI-RS resource in an *NZP-CSI-RS-ResourceSet* configured with higher layer parameter *trs-Info*, an SRS resource with the higher layer parameter *usage* set to 'beamManagement', or SS/PBCH block associated with the same or different PCI from the PCI of the serving cell.

**<Unchanged parts are omitted>**