**3GPP TSG-WG4 Meeting #111  *R4-2409860***

**Fukuoka, Japan, May 20 - May 24**

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| *CR-Form-v12.3* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.108** | **CR** | **draftCR** | **rev** | **-** | **Current version:** | **18.2.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** |  | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Nokia | | | | | | | | | |
| ***Source to TSG:*** | RAN4 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_NTN\_enh-Perf | | | | |  | ***Date:*** | | | 2024-05-08 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | | Rel-18 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)  Rel-20 (Release 20)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | Introduction of PUCCH requirements | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | New clause 11.3.1.8 | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | Requirements not introduced | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 11.3 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | | **X** |  | Test specifications | | | | TS/TR .38.181.. CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | | Revision of R4-2407146 | | | | | | | | |

***<Start of Change 1>***

## 11.3 Performance requirements for PUCCH

### 11.3.1 Requirements for *SAN type 1-O*

#### 11.3.1.1 DTX to ACK probability

Apply the requirements defined in clause 8.3.1.

#### 11.3.1.2 Performance requirements for PUCCH format 0

Apply the requirements defined in clause 8.3.2 for 1Rx and 2Rx.

#### 11.3.1.3 Performance requirements for PUCCH format 1

Apply the requirements defined in sub-clause 8.3.3 for 1Rx and 2Rx.

#### 11.3.1.4 Performance requirements for PUCCH format 2

Apply the requirements defined in clause 8.3.4 for 1Rx and 2Rx.

#### 11.3.1.5 Performance requirements for PUCCH format 3

Apply the requirements defined in clause 8.3.5 for 1Rx and 2Rx.

#### 11.3.1.6 Performance requirements for PUCCH format 4

Apply the requirements defined in clause 8.3.6 for 1Rx and 2Rx.

#### 11.3.1.7 Performance requirements for multi-slot PUCCH

Apply the requirements defined in clause 8.3.7 for 1Rx and 2Rx.

### 11.3.2 Requirements for *SAN type 2-O*

#### 11.3.2.2 Performance requirements for PUCCH format 0

##### 11.3.2.2.1 General

The ACK missed detection probability is the probability of not detecting an ACK when an ACK was sent.

Table 11.3.2.2.1-1: Test Parameters

|  |  |
| --- | --- |
| **Parameter** | **Value** |
| Number of UCI information bits | 1 |
| Number of PRBs | 1 |
| First PRB prior to frequency hopping | 0 |
| Intra-slot frequency hopping | Enabled |
| First PRB after frequency hopping | The largest PRB index – (Number of PRBs - 1) |
| Group and sequence hopping | neither |
| Hopping ID | 0 |
| Initial cyclic shift | 0 |
| First symbol | 12 for 2 symbols |
| Test metric | 1% of DTX to ACK probability  1% of ACK missed detection probability |

The transient period as specified in TS 38.101-1 [17] clause 6.3.3.1 and TS 38.101-2 [18] clause 6.3.3.1 is not taken into account for performance requirement testing, where the RB hopping is symmetric to the CC centre, i.e. intra-slot frequency hopping is enabled.

##### 11.3.2.2.2 Minimum requirements

The ACK missed detection probability shall not exceed 1% at the SNR given in table 11.3.2.2.2-1.

Table 11.3.2.2.2-1: Minimum requirements for PUCCH format 0 and 120 kHz SCS in FR2-NTN

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Number | Number of | Propagation conditions and | Number of |  |
| of TX antennas | demodulation branches | correlation matrix (Annex D) | OFDM symbols | 50 MHz |
| 1 | 1 | NTN-TDLC5-1200 Low | 2 | [4.8] |
|  | 2 | NTN-TDLC5-1200 Low | 2 | [1.2] |

#### 11.3.2.3 Performance requirements for PUCCH format 1.

##### 11.3.2.3.1 NACK to ACK requirements

###### 11.3.2.3.1.1 General

The NACK to ACK detection probability is the probability that an ACK bit is falsely detected when an NACK bit was sent on the particular bit position, where the NACK to ACK detection probability is defined as follows:



where:

-  denotes the total number of NACK bits transmitted

-  denotes the number of NACK bits decoded as ACK bits at the receiver, i.e. the number of received ACK bits

- NACK bits in the definition do not contain the NACK bits which are mapped from DTX, i.e. NACK bits received when DTX is sent should not be considered.

Random codeword selection is assumed.

Table 11.3.2.3.1.1-1: Test Parameters

|  |  |
| --- | --- |
| **Parameter** | **Value** |
| Number of information bits | 2 |
| Number of PRBs | 1 |
| Number of symbols | 14 |
| First PRB prior to frequency hopping | 0 |
| Intra-slot frequency hopping | enabled |
| First PRB after frequency hopping | The largest PRB index – (nrofPRBs – 1) |
| Group and sequence hopping | neither |
| Hopping ID | 0 |
| Initial cyclic shift | 0 |
| First symbol | 0 |
| Index of orthogonal cover code (*timeDomainOCC*) | 0 |

The transient period as specified in TS 38.101-1 [17] and TS 38.101-2 [18] clause 6.3.3.1 is not taken into account for performance requirement testing, where the RB hopping is symmetric to the CC centre, i.e. intra-slot frequency hopping is enabled.

###### 11.3.2.3.1.2 Minimum requirements

The NACK to ACK probability shall not exceed 0.1% at the SNR given in Table 11.3.2.3.1.2-1

Table 11.3.2.3.1.2-1: Minimum requirements for PUCCH format 1 with 120 kHz SCS in FR2-NTN

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Number | Number of | Cyclic | Propagation | Channel bandwidth / SNR (dB) |
| of TX antennas | Demodulation Branches | Prefix | conditions and correlation matrix (Annex D) | 50 MHz |
| 1 | 1 | Normal | NTN-TDLC5-1200 Low | [-0.7] |
|  | 2 | Normal | NTN-TDLC5-1200 Low | [-5.3] |

##### 11.3.2.3.2 ACK missed detection requirements

###### 11.3.2.3.2.1 General

The ACK missed detection probability is the probability of not detecting an ACK when an ACK was sent. The test parameters in Table 11.3.2.3.1.1-1 are configured.

The transient period as specified in TS 38.101-1 [17] and TS 38.101-2 [18] clause 6.3.3.1 is not taken into account for performance requirement testing, where the RB hopping is symmetric to the CC centre, i.e. intra-slot frequency hopping is enabled.

###### 11.3.2.3.2.2 Minimum requirements

The ACK missed detection probability shall not exceed 1% at the SNR given in Table 11.3.2.3.2.2-1.

Table 11.3.2.3.2.2-1: Minimum requirements for PUCCH format 1 with 120 kHz SCS in FR2-NTN

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Number | Number of | Cyclic | Propagation | Channel bandwidth / SNR (dB) |
| of TX antennas | Demodulation Branches | Prefix | conditions and correlation matrix (Annex D) | 50 MHz |
| 1 | 1 | Normal | NTN-TDLC5-1200 Low | [-1.4] |
|  | 2 | Normal | NTN-TDLC5-1200 Low | [-5.9] |

#### 11.3.2.4 Performance requirements for PUCCH format 2

##### 11.3.2.4.1 ACK missed detection requirements

###### 11.3.2.4.1.1 General

The ACK missed detection probability is the probability of not detecting an ACK when an ACK was sent.

The ACK missed detection requirement only applies to the PUCCH format 2 with 4 UCI bits.

Table 11.3.2.4.1.1-1: Test Parameters

|  |  |
| --- | --- |
| Parameter | Value |
| Modulation order | QSPK |
| Starting RB location | 0 |
| Intra-slot frequency hopping | N/A |
| Number of PRBs | 4 |
| Number of symbols | 1 |
| The number of UCI information bits | 4 |
| First symbol | 13 |
| DM-RS sequence generation | *NID*0=0 |

###### 11.3.2.4.1.2 Minimum requirements

The ACK missed detection probability shall not exceed 1% at the SNR given in table 11.3.2.4.1.2-1 for 4UCI bits.

Table 11.3.2.4.1.2-1: Minimum requirements for PUCCH format 2 with 120 kHz SCS in FR2-NTN

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Number | Number of | Cyclic | Propagation | Channel bandwidth / SNR (dB) |
| of TX antennas | Demodulation Branches | Prefix | conditions and correlation matrix (Annex D) | 50 MHz |
| 1 | 1 | Normal | NTN-TDLC5-1200 Low | [5.0] |
|  | 2 | Normal | NTN-TDLC5-1200 Low | [0.3] |

##### 11.3.2.4.2 UCI BLER performance requirements

11.3.2.4.2.1 General

The UCI block error probability (BLER) is defined as the probability of incorrectly decoding the UCI information when the UCI information is sent. The UCI information does not contain CSI part 2.

The transient period as specified in TS 38.101-1 [17] and TS 38.101-2 [18] clause 6.3.3.1 is not taken into account for performance requirement testing, where the RB hopping is symmetric to the CC centre, i.e. intra-slot frequency hopping is enabled.

The UCI performance only applies to the PUCCH format 2 with 22 UCI bits.

Table 11.3.2.4.2.1-1: Test Parameters

|  |  |
| --- | --- |
| Parameter | Value |
| Modulation order | QSPK |
| First PRB prior to frequency hopping | 0 |
| Intra-slot frequency hopping | enabled |
| First PRB after frequency hopping | The largest PRB index – (Number of PRBs – 1) |
| Number of PRBs | 9 |
| Number of symbols | 2 |
| The number of UCI information bits | 22 |
| First symbol | 12 |
| DM-RS sequence generation | *NID*0=0 |

###### 11.3.2.4.2.2 Minimum requirements

The UCI block error probability shall not exceed 1% at the SNR given in table 11.3.2.4.2.2-1 for 22 UCI bits.

Table 11.3.2.4.2.2-1: Minimum requirements for PUCCH format 2 with 120 kHz SCS in FR2-NTN

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Number | Number of | Cyclic | Propagation | Channel bandwidth / SNR (dB) |
| of TX antennas | Demodulation Branches | Prefix | conditions and correlation matrix (Annex D) | 50 MHz |
| 1 | 1 | Normal | NTN-TDLC5-1200 Low | [3.7] |
|  | 2 | Normal | NTN-TDLC5-1200 Low | [-1.6] |

#### 11.3.2.5 Performance requirements for PUCCH format 3

##### 11.3.2.5.1 General

The performance is measured by the required SNR at UCI block error probability not exceeding 1%.

The UCI block error probability is defined as the conditional probability of incorrectly decoding the UCI information when the UCI information is sent. The UCI information does not contain CSI part 2.

The transient period as specified in TS 38.101-2 [18] clause 6.3.3.1 is not taken into account for performance requirement testing, where the RB hopping is symmetric to the CC centre, i.e. intra-slot frequency hopping is enabled.

Table 11.3.2.5.1-1: Test parameters

|  |  |
| --- | --- |
| Parameter | Value |
| Modulation order | QPSK |
| First PRB prior to frequency hopping | 0 |
| Intra-slot frequency hopping | enabled |
| First PRB after frequency hopping | The largest PRB index – (Number of PRBs - 1) |
| Group and sequence hopping | neither |
| Hopping ID | 0 |
| Number of PRBs | 1 |
| Number of symbols | 14 |
| The number of UCI information bits | 16 |
| First symbol | 0 |

##### 11.3.2.5.2 Minimum requirements

The UCI block error probability shall not exceed 1% at the SNR given in Table 11.3.2.5.2-1.

Table 11.3.2.5.2-2: Required SNR for PUCCH format 3 with 120kHz SCS in FR2-NTN

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Number of TX | Number of demodulation | Cyclic Prefix | Propagation conditions and | Additional DM‑RS | Channel Bandwidth / SNR (dB) |
| antennas | branches |  | correlation matrix (Annex D) | configuration | 50 MHz |
|  | 1 | Normal | NTN-TDLC5-1200 Low | No additional DM-RS | [2.5] |
|  |  |  |  | Additional DM-RS | [2.0] |
| 1 | 2 | Normal | NTN-TDLC5-1200 Low | No additional DM-RS | [-2.0] |
|  |  |  |  | Additional DM-RS | [-2.6] |

#### 11.3.2.6 Performance requirements for PUCCH format 4

##### 11.3.2.6.1 General

The performance is measured by the required SNR at UCI block error probability not exceeding 1%.

The UCI block error probability is defined as the conditional probability of incorrectly decoding the UCI information when the UCI information is sent. The UCI information does not contain CSI part 2.

The transient period as specified in TS 38.101-2 [18] clause 6.3.3.1 is not taken into account for performance requirement testing, where the RB hopping is symmetric to the CC centre, i.e. intra-slot frequency hopping is enabled.

Table 11.3.2.6.1-1: Test parameters

|  |  |
| --- | --- |
| Parameter | Value |
| Modulation order | QPSK |
| First PRB prior to frequency hoppingstartingPRB | 0 |
| Number of PRBs | 1 |
| Intra-slot frequency hopping | enabled |
| First PRB after frequency hopping | The largest PRB index – (Number of PRBs – 1) |
| Group and sequence hopping | neither |
| Hopping ID | 0 |
| Number of symbols | 14 |
| The number of UCI information bits | 22 |
| First symbol | 0 |
| Length of the orthogonal cover code | n2 |
| Index of the orthogonal cover code | n0 |

##### 11.3.2.6.2 Minimum requirements

The UCI block error probability shall not exceed 1% at the SNR given in Table 11.3.2.6.2-1.

Table 11.3.2.6.2-1: Required SNR for PUCCH format 4 with 120 kHz SCS in FR2-NTN

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Number of TX | Number of demodulation | Cyclic Prefix | Propagation conditions and | Additional DM‑RS | Channel Bandwidth / SNR (dB) |
| antennas | branches |  | correlation matrix (Annex D) | configuration | 50 MHz |
|  | 1 | Normal | NTN-TDLC5-1200 Low | No additional DM-RS | [3.3] |
|  |  |  |  | Additional DM-RS | [3.0] |
| 1 | 2 | Normal | NTN-TDLC5-1200 Low | No additional DM-RS | [-0.6] |
|  |  |  |  | Additional DM-RS | [-1.2] |

***<End of Change 1>***