**3GPP TSG-RAN4 Meeting # 111 *R4-2408253***

**Fukuoka City, Fukuoka, Japan, 20th–24th May, 2024**

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| *CR-Form-v12.3* |
| **CHANGE REQUEST** |
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|  | **38.133** | **CR** |  | **rev** |  | **Current version:** | **18.5.0** |  |
|  |
| *For* ***[HE](http://www.3gpp.org/3G_Specs/CRs.htm%22%20%5Cl%20%22_blank)******[LP](http://www.3gpp.org/3G_Specs/CRs.htm%22%20%5Cl%20%22_blank)*** *on using this form: comprehensive instructions can be found at <http://www.3gpp.org/Change-Requests>.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network |  | Core Network |  |

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| ***Title:***  | [Netw\_Energy\_NR-Perf] Draft CR for TC of TRS, A-TRS based SSB-less SCell activation |
|  |  |
| ***Source to WG:*** | ZTE Corporation, Sanechips |
| ***Source to TSG:*** | R4 |
|  |  |
| ***Work item code:*** | Netw\_Energy\_NR-Perf |  | ***Date:*** | 2024-05-09 |
|  |  |  |  |  |
| ***Category:*** | F |  | ***Release:*** | R18 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-17 (Release 17)Rel-18 (Release 18)Rel-19 (Release 19) Rel-20 (Release 20)* |
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| ***Reason for change:*** | In the test case of TRS based SSB-less SCell activation, some error happens in the description of test parameters. Some revision is needed.  |
|  |  |
| ***Summary of change:*** | Revise the incorrect description of test parameters in the test case of TRS based SSB-less SCell activation. |
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| ***Consequences if not approved:*** | Not accurate  |
|  |  |
| ***Clauses affected:*** | A.6.5.3.X2.1 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** | **X** |  |  Test specifications | TS 38.533 |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** | This draft CR is based on the draft big CR R4-2406520 endorsed in 110bis post-meeting |
|  |  |
| ***This CR's revision history:*** |  |

# <Start of Change #1>

#### A.6.5.3.X2 Inter-band SSB-less SCell Activation based on A-TRS

##### A.6.5.3.X2.1 Test Purpose and Environment

The purpose of this test is to verify that the inter-band SSB-less SCell activation times are within the requirements stated in clause 8.3.2.

The supported test configurations are shown in table A.6.5.3.X2.1-1A and A.6.5.3.X2.1-1B below. The test parameters are given in Tables A.6.5.3.X2.1-2 and cell-specific parameters in A.6.5.3.X2.1-3 below. The test consists of two successive time periods, with duration of T1and T2, respectively. There are two NR carriers, each with one cell. Both cells have constant signal levels throughout the test. Before the test starts the UE is connected to PCell(Cell 1), but is not aware of Cell 2. The UE is only monitoring the PCC. The UE shall be continuously scheduled in the PCell throughout the whole test.

At the beginning of T1 the UE receives an RRC message by which the SCell (Cell 2) becomes configured on radio channel 2. The test equipment sends a MAC message for activation of the SCell and triggering the aperiodic CSI-RS for SCell activation.

The point in time at which the MAC message is received at the UE antenna connector, in slot # denoted n (where n mode 20=1), defines the start of time period T2. The UE shall be able to report valid CSI in PCell for the activated SCell at latest in slot $n+\frac{T\_{HARQ}+T\_{activation\\_time}+T\_{CSI\\_Reporting}}{NR slot length}$, as defined in clause 8.3. The UE shall start reporting CSI in PCell after at least one CSI-RS transmission occasion for channel measurement and reporting after slot $n+\frac{T\_{HARQ}+3ms}{NR slot length}$ and shall report CQI index 0 (out-of-range) until the SCell activation has been completed. Any PCell interruption due to activation of SCell shall occur in the slot $n+1+\frac{T\_{HARQ}}{NR slot length}$ to $n+1+\frac{T\_{HARQ}+3ms+T\_{X}}{NR slot length}+N\_{interruption}$, as defined in clause 8.3, where $N\_{interruption}$ is the interruption length given in clause 8.2.

The test equipment verifies that potential interruption is carried out in the correct time span by monitoring ACK/NACK sent in PCell during activation and deactivation of SCell, respectively.

The test equipment verifies the activation time by counting the slots from the time when the SCell activation command is sent until a CSI report with other than CQI index 0 is received.

Table A.6.5.3.X2.1-1A: Inter-band SSB-less SCell Activation based on A-TRS for NR PCell

|  |  |
| --- | --- |
| Config | Description |
| 1 | NR 15 kHz SSB SCS, ≥10 MHz bandwidth, FDD duplex mode |
| 2 | NR 15 kHz SSB SCS, ≥10 MHz bandwidth, TDD duplex mode |
| 3 | NR 30 kHz SSB SCS, ≥40 MHz bandwidth, TDD duplex mode |
| Note 1: The UE is only required to be tested in one of the supported test configurationsNote 2: The UE is only required to be tested in one with smallest aggregated channel bandwidth from supported band combinations which is composed of CCs ≥ the bandwidth (BWchannel) defined in each test configuration, |

Table A.6.5.3.X2.1-1B: Inter-band SSB-less SCell Activation based on A-TRS for NR SCell

|  |  |
| --- | --- |
| ConfigSCell | Description |
| 1 | NR 15 kHz SCS, ≥10 MHz bandwidth, FDD duplex mode |
| 2 | NR 15 kHz SCS, ≥10 MHz bandwidth, TDD duplex mode |
| 3 | NR 30 kHz SCS, ≥40 MHz bandwidth, TDD duplex mode |
| Note 1: The UE is only required to be tested in one of the supported test configurationsNote 2: The UE is only required to be tested in one with smallest aggregated channel bandwidth from supported band combinations which is composed of CCs ≥ the bandwidth (BWchannel) defined in each test configuration, |

Table A.6.5.3.X2.1-2: General test parameters for inter-band SSB-less SCell Activation based on A-TRS

|  |  |  |  |
| --- | --- | --- | --- |
| Parameter | Unit | Value | Comment |
| RF Channel Number |  | 1,2 | Two NR radio channel (1, 2) are used for this test, where NR radio chanel 1 and 2 are in different NR bands. |
| Active PCell |  | Cell 1 | Primary cell on NR RF channel number 1. |
| Configured deactivated SCell |  | Cell 2 | Configured deactivated secondary cell on NR RF channel number 2 |
| CP length |  | Normal |  |
| DRX |  | OFF | Continuous monitoring of primary cell |
| Cell2 timing offset to cell1 | μs | Length of CP of Cell 2 |  |
| T1 | s | 7 | During this time the PCell shall be known and the SCell configured  |
| T2 | s | 1 | During this time the UE shall activate the SCell. |
| THARQ | ms | Config 1: 2Config 2: 3Config 3: 2.5 | k1$×$NR slot lengthk1 is a number of slots and is indicated by the PDSCH-to-HARQ-timing-indicator field in the DCI format, if present, or provided by *dl-DataToUL-ACK*, the value of k should be the minimum value defined in TS 38.213 [3] that will meet the timing constraints of this test case. |
| TCSI\_Reporting | ms | 15 | the delay (in ms) including uncertainty in acquiring the first available downlink CSI reference resource, UE processing time for CSI reporting (clause 5.2.2.5 in TS 38.214) and uncertainty in acquiring the first available CSI reporting resources as specified in TS 38.331 [2] |

Table A.6.5.3.X2.1-3: Inter-band SSB-less SCell Activation based on A-TRS for NR PCell

|  |  |  |
| --- | --- | --- |
| Parameter | Unit | Cell 1 |
| T1 | T2 |
| Duplex mode | Config 1 |  | FDD |
| Config 2,3 | TDD |
| TDD configuration | Config 1 |  | Not applicable |
| Config 2 | TDDConf.1.1 |
| Config 3 | TDDConf.2.1 |
| BWchannel | Config 1,2 | MHz | Note 7 |
| Config 3 | Note 7 |
| BWoccupied | Config 1,2 | RB | 52 Note 5 |
|  | Config 3 |  | 106 Note 6 |
| Initial BWP configuration |  | DLBWP.0.1 |
| TCI state |  | TCI.State.0 |
| TRS Configuration  | Config 1 |  | TRS.1.1 FDD |
| Config 2 | TRS.1.1 TDD |
| Config 3 | TRS.1.2 TDD |
| PDSCH Reference measurement channel | Config 1 |  | SR.1.1 FDD |
| Config 2 | SR.1.1 TDD |
| Config 3 | SR.2.1 TDD |
| Dedicated CORESET parameters | Config 1 |  | CCR.1.1 FDD |
| Config 2 | CCR.1.1 TDD |
| Config 3 | CCR.2.1 TDD |
| RMSI CORESET parameters | Config 1 |  | CR.1.1 FDD |
| Config 2 | CR.1.1 TDD |
| Config 3 | CR.2.1 TDD |
| OCNG Patterns | Config 1,2 |  | OP.1Note 5 |
|  | Config 3, |  | OP.1 Note 6 |
| SSB Configuration | Config 1,2 |  | SSB.1 FR1 |
| Config 3 | SSB.2 FR1 |
| CSI-RS configuration for CSI reporting (Note 8) | Config 1 |  | CSI-RS.1.1 FDD |
| Config 2 |  | CSI-RS.1.1 TDD |
| Config 3 |  | CSI-RS.2.1 TDD |
| SMTC configuration |  | SMTC.1 |
| reportConfigType |  | periodic |
| reportQuantity |  | cri-RI-PMI-CQI |
| CSI reporting periodicity | Config 1,2 | slot | 5 |
| Config 3 | 10 |
| CSI reporting offset | Config 1,2 | slot | 3 |
| Config 3 | 5 |
| EPRE ratio of PSS to SSS | dB | 0 |
| EPRE ratio of PBCH DMRS to SSS |
| EPRE ratio of PBCH to PBCH DMRS |
| EPRE ratio of PDCCH DMRS to SSS |
| EPRE ratio of PDCCH to PDCCH DMRS |
| EPRE ratio of PDSCH DMRS to SSS  |
| EPRE ratio of PDSCH to PDSCH  |
| EPRE ratio of OCNG DMRS to SSS Note 1 |
| EPRE ratio of OCNG to OCNG DMRS Note 1 |
| Note2 | Config 1,2 | dBm/SCS | -104 |
| Config 3 | -101 |
|  | dB | 10 |
|  | dB | 10 |
| SS-RSRPNote3 | Config 1,2 | dBm/SCS | -94 |
| Config 3 | -91 |
| SCH\_RP Note 3 | dBm/15 kHz | -91 |
| Io Note3 | Config 1,2 | dBm/9.36MHz | -65.63 |
| Config 3 | dBm/38.16MHz | -59.54 |
| Propagation condition | - | AWGN |
| Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for  to be fulfilled within BWoccupied.Note 3: Io, SS-RSRP and SCH\_RP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.Note 4: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T2.Note 5: All UL/DL transmission shall be confined within BWoccupied (i.e. 10 MHz, 52 RBs) from FC,low, and Io is independent of the BWchannel configured.Note 6: All UL/DL transmission shall be confined within BWoccupied (i.e. 40 MHz, 106 RBs) from FC,low, and Io is independent of the BWchannel configured.Note 7: NRB,c. is derived from Table 5.3.2-1 in TS38.101-1[2] with configured BWchannel.Note 8: On top of the reference configurations, CSI-RS offset should be set to meet the CSI reference resource timing definition in TS 38.214 cl. 5.2.2.5. |

Table A.6.5.3.X2.1-4: Inter-band SSB-less SCell Activation based on A-TRS for NR SCell

|  |  |  |
| --- | --- | --- |
| Parameter | Unit | Cell 2 |
| T1 | T2 |
| Duplex mode | ConfigSCell 1 |  | FDD |
| ConfigSCell 2,3 | TDD |
| TDD configuration | ConfigSCell 1 |  | Not applicable |
| ConfigSCell 2 | TDDConf.1.1 |
| ConfigSCell 3 | TDDConf.2.1 |
| referenceCell | ConfigSCell 1,2,3 |  | Cell 1 |
| BWchannel | ConfigSCell 1,2 | MHz | Note 7 |
| ConfigSCell 3 | Note 7 |
| BWoccupied | ConfigSCell 1,2 | RB | 52 Note 5 |
|  | ConfigSCell 3 |  | 106 Note 6 |
| Initial BWP configuration |  | DLBWP.0.1 |
| TCI state |  | TCI.State.0 Note 9 |
| TRS Configuration  | ConfigSCell 1 |  | TRS.1.1 FDD |
| ConfigSCell 2 | TRS.1.1 TDD |
| ConfigSCell 3 | TRS.1.2 TDD |
| PDSCH Reference measurement channel | ConfigSCell 1 |  | - |
| ConfigSCell 2 | - |
| ConfigSCell 3 | - |
| Dedicated CORESET parameters | ConfigSCell 1 |  | - |
| ConfigSCell 2 | - |
| ConfigSCell 3 | - |
| RMSI CORESET parameters | ConfigSCell 1 |  | - |
| ConfigSCell 2 | - |
| ConfigSCell 3 | - |
| OCNG Patterns | ConfigSCell 1,2 |  | OP.1Note 5 |
|  | ConfigSCell 3 |  | OP.1 Note 6 |
| SSB Configuration | ConfigSCell 1,2,3 |  | Not provided |
| Aperiodic CSI-RS for Scell activation | ConfigSCell 1 |  | TRS.1.3 FDD |
| ConfigSCell 2 |  | TRS.1.3 TDD |
| ConfigSCell 3 |  | TRS.1.4 TDD |
| gapBetweenBursts | ConfigSCell 1,2 | slot | 2 |
| ConfigSCell 3 | slot | 3 |
| CSI-RS configuration for CSI reporting (Note 8) | ConfigSCell 1 |  | CSI-RS.1.1 FDD |
| ConfigSCell 2 |  | CSI-RS.1.1 TDD |
| ConfigSCell 3 |  | CSI-RS.2.1 TDD |
| SMTC configuration |  | Not provided |
| reportConfigType |  | periodic |
| reportQuantity |  | cri-RI-PMI-CQI |
| CSI reporting periodicity | ConfigSCell 1,2 | slot | - |
| ConfigSCell 3 | - |
| CSI reporting offset | ConfigSCell 1,2 | slot | - |
| ConfigSCell 3 | - |
| EPRE ratio of PSS to SSS | dB | 0 |
| EPRE ratio of PBCH DMRS to SSS |
| EPRE ratio of PBCH to PBCH DMRS |
| EPRE ratio of PDCCH DMRS to SSS |
| EPRE ratio of PDCCH to PDCCH DMRS |
| EPRE ratio of PDSCH DMRS to SSS  |
| EPRE ratio of PDSCH to PDSCH  |
| EPRE ratio of OCNG DMRS to SSS Note 1 |
| EPRE ratio of OCNG to OCNG DMRS Note 1 |
| Note2 | Config 1,2 | dBm/SCS | -104 |
| Config 3 | -101 |
|  | dB | 19 + [ΔEPRENote 10] |
|  | dB | 19 + [ΔEPRENote 10] |
| SS-RSRPNote3 | Config 1,2 | dBm/SCS | - |
| Config 3 | - |
| SCH\_RP Note 3 | dBm/15 kHz | Note 3 |
| Io Note3 | Config 1,2 | dBm/9.36MHz | Note 3 |
| Config 3 | dBm/38.16MHz | Note 3 |
| Propagation condition | - | AWGN |
| Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for  to be fulfilled within BWoccupied.Note 3: Io, SS-RSRP and SCH\_RP levels have been derived from other parameters for information purposes. They are not settable parameters themselves.Note 4: The uplink resources for CSI reporting are assigned to the UE prior to the start of time period T2.Note 5: All UL/DL transmission shall be confined within BWoccupied (i.e. 10 MHz, 52 RBs) from FC,low, and Io is independent of the BWchannel configured.Note 6: All UL/DL transmission shall be confined within BWoccupied (i.e. 40 MHz, 106 RBs) from FC,low, and Io is independent of the BWchannel configured.Note 7: NRB,c. is derived from Table 5.3.2-1 in TS38.101-1[2] with configured BWchannel.Note 8: On top of the reference configurations, CSI-RS offset should be set to meet the CSI reference resource timing definition in TS 38.214 cl. 5.2.2.5.Note 9: The SSB in referenceSignal in the TCI state is configured as the SSB in Cell 1.[Note 10: ΔEPRE is equal to 20\*log(f1/f2), where f1 and f2 are the frequency radio channel 1 and radio channel 2] |

Editor’s note: Whether and how to define ΔEPRE due to frequency difference.

##### A.6.5.3.X2.2 Test Requirements

During T2 the UE shall send the first CSI report for SCell in the first available uplink resource after at least one CSI-RS transmission occasion for channel measurement and reporting after slot ($n+1+\frac{T\_{HARQ}+3ms}{NR slot lengtℎ}$). UE is allowed to postpone CSI report to next available UL resource if an available uplink resource is subject to interruption.

During T2 the UE shall start sending CSI reports for SCell with non-zero CQI index at latest in a slot $n+\frac{T\_{HARQ}+T\_{activtion\\_time}+T\_{CSI\\_Reporting}}{NR slot lengtℎ}$, Tactivation\_time = Tfirst\_ATRS + Tgap + TATRS + 5 ms, as defined in clause 8.3.2.

During T2 interruption of PCell during SCell activation shall not happen outside the slot $n+1+\frac{T\_{HARQ}}{NR slot length}$ to $n+1+\frac{T\_{HARQ}+3ms+T\_{X}}{NR slot length}+N\_{interruption}$, as defined in clause 8.3.2.

The interruption on any activated serving cell shall not be more than the values specified for SA in clause 8.2.2.2.2.

All of the above test requirements shall be fulfilled in order for the observed SCell activation delay. The rate of correct observed SCell activation delay during repeated tests shall be at least 90%.

NOTE: During T2 if there are no uplink resources for reporting the valid CSI in a slot $\frac{T\_{HARQ}+T\_{activtion\\_time}+T\_{CSI\\_Reporting}}{NR slot lengtℎ}$ as defined in clause 8.3 then the UE shall use the next available uplink resource for reporting the corresponding valid CSI.

# <End of Change #1>