3GPP TSG-RAN WG4 Meeting #111 R4-2410206

Fukuoka City, Japan, 20th – 24th May, 2024

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| *CR-Form-v12.3* |
| **CHANGE REQUEST** |
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|  | **38.133** | **CR** | 4418 | **rev** | **1** | **Current version:** | **18.5.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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| ***Title:***  | Maintenance CR for BFD and CBD related requirements of R18 multi-Rx DL |
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| ***Source to WG:*** | OPPO |
| ***Source to TSG:*** | R4 |
|  |  |
| ***Work item code:*** | NR\_FR2\_multiRX\_DL-Core |  | ***Date:*** | 2024-05-08 |
|  |  |  |  |  |
| ***Category:*** | F |  | ***Release:*** | Rel-18 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)Rel-19 (Release 19)* |
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| ***Reason for change:*** | Maintenance for BFD and CBD related requirements of R18 multi-Rx DL |
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| ***Summary of change:*** | 1. Capture the changes from endorsed draft CR R4-2406342 in RAN4#110bis.

Change#1: update UE capability to align with the agreed UE feature list* [30-1] Supports scheduling restriction relaxation and measurement restriction relaxation
* [30-2] Fast beam sweeping for layer 1 measurement

Change#2: For fast beam sweeping, the UE is in multi-Rx operation if following condition is met:* + UE is configured with group-based beam reporting (GBBR) report.

Change#3: For scheduling restriction, remove “UE is multi-Rx operation” from the spec.Change#4: Keep in bracket [The two CSI-RS resources and both PDSCHs are overlapped on the same OFDM symbol] for measurement and scheduling restriction1. Remove the enhanced measurement restriction for CSI-RS based CBD
2. Remove “provided UE is configured with group-based beam reporting (GBBR) report” since it’s already captured in the conditions in clause 3.6.x
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| ***Consequences if not approved:*** | The RRM requirements for BFD and CBD of R18 multi-Rx DL are incorrect. |
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| ***Clauses affected:*** | 8.5.2, 8.5.3, 8.5.5, 8.5.6, 8.5.7 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** | **X** |  |  Test specifications | TS 38.521-3 |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** | R4-2407869  |

Start of Change

## 8.5 Link Recovery Procedures

**--- Unchanged clauses omitted ---**

### 8.5.2 Requirements for SSB based beam failure detection

**--- Unchanged clauses omitted ---**

8.5.2.2 Minimum requirement

UE shall be able to evaluate whether the downlink radio link quality on the configured SSB resource in set  estimated over the last TEvaluate\_BFD\_SSB ms period becomes worse than the threshold Qout\_LR\_SSB within TEvaluate\_BFD\_SSB ms period.

The value of TEvaluate\_BFD\_SSB is defined in Table 8.5.2.2-1 or Table 8.5.2.2-4 (deactivated PSCell) for FR1.

The value of TEvaluate\_BFD\_SSB is defined in Table 8.5.2.2-2 or Table 8.5.2.2-5 (deactivated PSCell) for FR2 with scaling factor N, where

N = [*2,4,6*] for PCell in FR2-1 for UE supporting [*capability of fast beam sweeping for layer 1 measurement*] according to the conditions in clause 3.6.x,

N=8 for other cases in FR2-1, and

N=12 for FR2-2,

for FR2 power classes other than power class 6 or for FR2 power class 6 when *highSpeedMeasFlagFR2-r17* is not configured.

**--- Unchanged text in this clause is omitted ---**

### 8.5.3 Requirements for CSI-RS based beam failure detection

**--- Unchanged clauses omitted ---**

8.5.3.3 Measurement restrictions for CSI-RS beam failure detection

The SSB mentioned in this clause can be associated with either the serving cell PCI or a PCI different from serving cell PCI.

The UE is required to be capable of measuring CSI-RS for BFD without measurement gaps. The UE is required to perform the CSI-RS measurements with measurement restrictions as described in the following scenarios.

For both FR1 and FR2, when the CSI-RS for BFD measurement is in the same OFDM symbol as SSB for RLM, BFD, CBD or L1-RSRP measurement, UE is not required to receive CSI-RS for BFD measurement in the PRBs that overlap with an SSB.

For FR1, when the SSB for RLM, BFD, CBD or L1-RSRP measurement is within the active BWP and has same SCS than CSI-RS for BFD measurement, the UE shall be able to perform CSI-RS measurement without restrictions.

For FR1, when the SSB for RLM, BFD, CBD or L1-RSRP measurement is within the active BWP and has different SCS than CSI-RS for BFD measurement, the UE shall be able to perform CSI-RS measurement with restrictions according to its capabilities:

- If the UE supports *simultaneousRxDataSSB-DiffNumerology* the UE shall be able to perform CSI-RS measurement without restrictions.

- If the UE does not support *simultaneousRxDataSSB-DiffNumerology*, UE is required to measure one of but not both CSI-RS for BFD measurement and SSB. Longer measurement period for CSI-RS based BFD measurement is expected, and no requirements are defined.

For FR1, when the CSI-RS for BFD measurement is in the same OFDM symbol as another CSI-RS for RLM, BFD, CBD or L1-RSRP measurement, UE shall be able to measure the CSI-RS for BFD measurement without any restriction.

For FR2, when the CSI-RS for BFD measurement on one CC is in the same OFDM symbol as SSB for RLM, BFD or L1-RSRP measurement on the same CC or different CCs in the same band, or in the same symbol as SSB for CBD measurement on the same CC or different CCs in the same band when beam failure is detected, UE is required to measure one of but not both CSI-RS for BFD measurement and SSB. Longer measurement period for CSI-RS based BFD measurement is expected, and no requirements are defined.

For UE incapable of [*capability of measurement with RTD>CP*] and for UE capable of [*capability of measurement with RTD>CP*],

- For both FR1 and FR2, when the CSI-RS for BFD measurement fully or partially overlaps with the OFDM symbol as SSB from candidate LTM neighbor cell for intra-frequency L1-RSRP measurement or inter-frequency L1-RSRP measurement without gap, UE is not required to receive CSI-RS for BFD measurement in the PRBs that overlap with an SSB.

- For FR1, when the CSI-RS for BFD measurement fully or partially overlaps with the OFDM symbol as SSB from candidate LTM neighbor cell for intra-frequency L1-RSRP measurement or inter-frequency L1-RSRP measurement without gap, if CSI-RS and SSB have different SCS and UE does not support simultaneousRxDataSSB-DiffNumerology, UE is required to measure one of but not both CSI-RS for BFD measurement and SSB. Longer measurement period for CSI-RS based BFD is expected, and no requirements are defined.

- For FR2, when the CSI-RS for BFD measurement on one CC fully or partially overlaps with the OFDM symbol as SSB from candidate LTM neighbor cell for intra-frequency L1-RSRP measurement or inter-frequency L1-RSRP measurement without gap in the same band, UE is required to measure one of but not both CSI-RS for BFD measurement and SSB. Longer measurement period for CSI-RS based BFD is expected, and no requirements are defined.

For FR2, when the CSI-RS for BFD measurement on one CC is in the same OFDM symbol as another CSI-RS for RLM, BFD, CBD or L1-RSRP measurement on the same CC or different CCs in the same band,

- In the following cases, UE is required to measure one of but not both CSI-RS for BFD measurement and the other CSI-RS. Longer measurement period for CSI-RS based BFD measurement is expected, and no requirements are defined.

- The CSI-RS for BFD measurement or the other CSI-RS in a resource set configured with repetition ON, or

- The other CSI-RS is configured in set  and beam failure is detected, or

- The two CSI-RS-es are not QCL-ed w.r.t. QCL-TypeD, or the QCL information is not known to UE,

- Otherwise, UE shall be able to measure the CSI-RS for BFD measurement without any restriction.

For FR2-1, when the first CSI-RS for BFD measurement is in the same OFDM symbol as the second CSI-RS for RLM, BFD, CBD or L1-RSRP measurement on the same PCell or PSCell according to the conditions in clause 3.6.x, the UE supporting [*capability of scheduling restriction relaxation and measurement restriction relaxation*] is required to measure both the first and the second CSI-RSs without measurement restrictions, provided the following conditions are met:

- Both CSI-RSs are not in any CSI-RS resource set with repetition ON, and

- One CSI-RS has same QCL source as the active TCI state of one PDSCH, and the other CSI-RS has same QCL source as the active TCI state of the other PDSCH, and

- [The two CSI-RS resources and both PDSCHs are overlapped on the same OFDM symbol], and

- Resources of the active TCI states for the two PDSCHs have been reported as a resource group in Rel-17 group-based RSRP report.

Editor’s note 1: FFS remove the condition [The two CSI-RS resources and both PDSCHs are overlapped on the same OFDM symbol]

### 8.5.5 Requirements for SSB based candidate beam detection

#### 8.5.5.1 Introduction

The requirements in this clause apply for each SSB resource in the set  configured for a serving cell, provided that the SSBs configured for candidate beam detection are actually transmitted within UE active DL BWP during the entire evaluation period specified in clause 8.5.5.2. The requirements in this clause apply when UE is required to perform beam failure detection on no more than 1 serving cell per band unless otherwise specified. For UE supporting *intraBandNR-CA-non-collocated-r18* and if *nonCollocatedTypeNR-CA-r18* is not provided for the configured FR1 intra-band non-contiguous CA, the requirements in this clause apply when UE is required to perform beam failure detection on no more than 2 serving cells per band if these 2 serving cells are in non-contiguous carriers, and no more than 1 serving cell per band otherwise.

#### 8.5.5.2 Minimum requirement

Upon request the UE shall be able to evaluate whether the L1-RSRP measured on the configured SSB resource in set  estimated over the last TEvaluate\_CBD\_SSB ms period becomes better than the threshold Qin\_LR provided SSB\_RP and SSB Ês/Iot are according to Annex Table B.2.4.1 for a corresponding band.

The UE shall monitor the configured SSB resources using the evaluation period in table 8.5.5.2-1 and 8.5.5.2-2 corresponding to the non-DRX mode, if the configured DRX cycle ≤ 320ms.

The value of TEvaluate\_CBD\_SSB is defined in Table 8.5.5.2-1 for FR1.

The value of TEvaluate\_CBD\_SSB is defined in Table 8.5.5.2-2 for FR2 with scaling factor N, where

N = [*2,4,6*] for PCell in FR2-1 if the UE supports [*capability of fast beam sweeping for layer 1 measurement*] according to the conditions in clause 3.6.x,

N=8 for other cases in FR2-1, and

N=12 for FR2-2.

**--- Unchanged test in this clause is omitted ---**

**--- Unchanged clauses omitted ---**

### 8.5.6 Requirements for CSI-RS based candidate beam detection

#### 8.5.6.1 Introduction

The requirements in this clause apply for each CSI-RS resource in the set  configured for a serving cell, provided that the CSI-RS resources configured for candidate beam detection are actually transmitted within UE active DL BWP during the entire evaluation period specified in clause 8.5.6.2. The requirements in this clause apply when UE is required to perform beam failure detection on no more than 1 serving cell per band unless otherwise specified. For UE supporting *intraBandNR-CA-non-collocated-r18* and if *nonCollocatedTypeNR-CA-r18* is not providedfor the configured FR1 intra-band non-contiguous CA, the requirements in this clause apply when UE is required to perform beam failure detection on no more than 2 serving cells per band if these 2 serving cells are in non-contiguous carriers, and no more than 1 serving cell per band otherwise.

#### 8.5.6.2 Minimum requirement

Upon request the UE shall be able to evaluate whether the L1-RSRP measured on the configured CSI-RS resource in set  estimated over the last TEvaluate\_CBD\_CSI-RS [ms] period becomes better than the threshold Qin\_LR within TEvaluate\_CBD\_CSI-RS [ms] period provided CSI-RS Ês/Iot is according to Annex Table B.2.4.2 for a corresponding band.

The UE shall monitor the configured CSI-RS resources using the evaluation period in table 8.5.6.2-1 and 8.5.6.2-2 corresponding to the non-DRX mode, if the configured DRX cycle ≤ 320ms.

The value of TEvaluate\_CBD\_CSI-RS is defined in Table 8.5.6.2-1 for FR1.

The value of TEvaluate\_CBD\_CSI-RS is defined in Table 8.5.6.2-2 for FR2 with scaling factor N, where

N = [*2,4,6*] for PCell in FR2-1 if the UE supports [*capability of fast beam sweeping for layer 1 measurement*] according to the conditions in clause 3.6.x,

N=8 for other cases in FR2-1, and

N=12 for FR2-2.

**--- Unchanged text in this clause is omitted ---**

**--- Unchanged clauses omitted ---**

#### 8.5.6.3 Measurement restriction for CSI-RS based candidate beam detection

The SSB mentioned in this clause can be associated with either the serving cell PCI or a PCI different from serving cell PCI.

For both FR1 and FR2, when the CSI-RS for CBD measurement is in the same OFDM symbol as SSB for RLM, BFD, CBD or L1-RSRP measurement, UE is not required to receive CSI-RS for CBD measurement in the PRBs that overlap with an SSB.

For FR1, when the SSB for RLM, BFD, CBD or L1-RSRP measurement is within the active BWP and has same SCS than CSI-RS for CBD measurement, the UE shall be able to perform CSI-RS based CBD measurement without restrictions.

For FR1, when the SSB for RLM, BFD, CBD or L1-RSRP measurement is within the active BWP and has different SCS than CSI-RS for CBD measurement, the UE shall be able to perform CSI-RS based CBD measurement with restrictions according to its capabilities:

- If the UE supports *simultaneousRxDataSSB-DiffNumerology* the UE shall be able to perform CSI-RS based CBD measurement for without restrictions.

- If the UE does not support *simultaneousRxDataSSB-DiffNumerology*, UE is required to measure one of but not both CSI-RS for CBD measurement and SSB. Longer measurement period for CSI-RS based CBD measurement is expected, and no requirements are defined.

For FR1, when the CSI-RS for CBD measurement is in the same OFDM symbol as another CSI-RS for RLM, BFD, CBD or L1-RSRP measurement, UE shall be able to measure the CSI-RS for CBD measurement without any restriction.

For FR2, when the CSI-RS for CBD measurement on one CC is in the same OFDM symbol as SSB for RLM, BFD, CBD or L1-RSRP measurement on the same CC or different CCs in the same band, UE is required to measure one of but not both CSI-RS for CBD measurement and SSB. Longer evaluation period for CSI-RS based CBD measurement is expected, and no requirements are defined.

For UE incapable of [capability of measurement with RTD>CP] and for UE capable of [capability of measurement with RTD>CP],

- For both FR1 and FR2, when the CSI-RS for CBD measurement fully or partially overlaps with the OFDM symbol as SSB from candidate LTM neighbor cell for intra-frequency L1-RSRP measurement or inter-frequency L1-RSRP measurement without gap, UE is not required to receive CSI-RS for CBD measurement in the PRBs that overlap with an SSB.

- For FR1, when the CSI-RS for CBD measurement fully or partially overlaps with the OFDM symbol as SSB from candidate LTM neighbor cell for intra-frequency L1-RSRP measurement or inter-frequency L1-RSRP measurement without gap, if CSI-RS and SSB have different SCS and UE does not support simultaneousRxDataSSB-DiffNumerology, UE is required to measure one of but not both CSI-RS for CBD measurement and SSB. Longer measurement period for CSI-RS based CBD is expected, and no requirements are defined.

- For FR2, when the CSI-RS for CBD measurement on one CC fully or partially overlaps with the OFDM symbol as SSB from candidate LTM neighbor cell for intra-frequency L1-RSRP measurement or inter-frequency L1-RSRP measurement without gap in the same band, UE is required to measure one of but not both CSI-RS for CBD measurement and SSB. Longer measurement period for CSI-RS based CBD is expected, and no requirements are defined.

For FR2, when the CSI-RS for CBD measurement on one CC is in the same OFDM symbol as another CSI-RS for RLM, BFD, CBD or L1-RSRP measurement on the same CC or different CCs in the same band, UE is required to measure one of but not both CSI-RS for CBD measurement and the other CSI-RS. Longer evaluation period for CSI-RS based CBD measurement is expected, and no requirements are defined.

**--- Unchanged clauses omitted ---**

### 8.5.7 Scheduling availability of UE during beam failure detection

**--- Unchanged clauses omitted ---**

8.5.7.3 Scheduling availability of UE performing beam failure detection on FR2

The following scheduling restriction applies due to beam failure detection.

- For the case where no RSs are provided for BFD, or when CSI-RS is configured for BFD is explicitly configured and is type-D QCLed with active TCI state for PDCCH or PDSCH, and the CSI-RS is not in a CSI-RS resource set with repetition ON

- There are no scheduling restrictions due to beam failure detection performed based on the CSI-RS.

- For the case when UE supporting [*capability of scheduling restriction relaxation and measurement restriction relaxation*] in FR2-1 is configured to receive two PDSCH transmission occasions from two different QCL sources on the cell according to the conditions in clause 3.6.x,, there are no scheduling restrictions for the PDSCHs due to beam failure detection performed based on the CSI-RS, when following conditions are met:

- the CSI-RS configured for BFD is not in a CSI-RS resource set with repetition ON, and

- the CSI-RS configured for BFD has same QCL source as the active TCI state of one of PDSCHs and has different QCL-TypeD from the other PDSCH, and

- [The two CSI-RS resources and both PDSCHs are overlapped on the same OFDM symbol], and

- Resources of the active TCI states for the two PDSCHs have been reported as a resource group in Rel-17 group-based RSRP report

- Otherwise

- For FR2-1 or the BFD-RS is not using 480 kHz SCS or 960 kHz SCS on FR2-2, the UE is not expected to transmit PUCCH, PUSCH or SRS or receive PDCCH, PDSCH or CSI-RS for tracking or CSI-RS for CQI on BFD-RS resource symbols to be measured for beam failure detection.

- For FR2-2 and the BFD-RS is using 480 kHz SCS or 960 kHz SCS, the UE is not expected to transmit PUCCH, PUSCH or SRS or receive PDCCH, PDSCH or CSI-RS for tracking or CSI-RS for CQI on BFD-RS resource symbols to be measured for beam failure detection, and on one data symbol before each BFD-RS symbol to be measured and one data symbol after each BFD-RS symbol to be measured.

When intra-band carrier aggregation in FR2 is performed, the scheduling restrictions on FR2 serving PCell or PSCell apply to all serving cells in the same band on the symbols that fully or partially overlap with restricted symbols.

When inter-band carrier aggregation in FR2 is performed, there are no scheduling restrictions on FR2 serving cells in the bands due to beam failure detection performed on FR2 serving cell(s) in different band(s), provided that UE is capable of independent beam management on this FR2 band pair. Additionally, there is no scheduling restriction if the UE is configured with different numerology between SSB on one FR2 band and data on the other FR2 band provided the UE is configured for IBM operation for the band pair.

For FR2, if following conditions are met,

- UE has been notified about system information update through paging,

- The gap between UE’s reception of PDCCH that UE monitors in the Type2-PDCCH CSS set and that notifies system information update, and the PDCCH that UE monitors in the Type0-PDCCH CSS set, is greater than 2 slots,

For the SSB and CORESET for RMSI scheduling multiplexing patterns 3, UE is expected to receive the PDCCH that UE monitors in the Type0-PDCCH CSS set, and the corresponding PDSCH, on SSB symbols to be measured for BFD mesurement; and

For the SSB and CORESET for RMSI scheduling multiplexing patterns 2, UE is expected to receive PDSCH that corresponds to the PDCCH that UE monitors in the Type0-PDCCH CSS set, on SSB symbols to be measured for BFD mesurement.

End of Change