**3GPP TSG-RAN WG4 Meeting #111 R4-2408303**

**Fukuoka, Japan, May 20-24, 2024**

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| *CR-Form-v12.2* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  |  | **CR** | 4469 | **rev** | - | **Current version:** |  |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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| ***Title:*** | [NR\_UE\_pow\_sav\_enh-Core] Clarification to RLM/BFD relaxation with short DRX | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Nokia, Nokia Shanghai Bell | | | | | | | | | |
| ***Source to TSG:*** |  | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_UE\_pow\_sav\_enh-Core | | | | |  | ***Date:*** | | | 2024-5-13 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | F |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | According to TS 38.133, UE is not allowed to relax RLM/BFD measurement when configured DRX cycle is longer than 80ms .    **RLM relaxation**  The UE is no longer allowed to relax RLM measurements and apply the relaxed radio link monitoring provided that at least one of the following conditions is met:  - The UE sends out-of sync indications to the higher layers,  - The timer T310 is running.  - No DRX is configured or configured DRX cycle is longer than 80ms  **BFD relaxation:**  The UE is no longer allowed to relax RLM measurements and apply the relaxed radio link monitoring provided that at least one of the following conditions is met:  - The UE sends out-of sync indications to the higher layers,  - The timer T310 is running.  - No DRX is configured or configured DRX cycle is longer than 80ms  It is not clear which “configured DRX cycle” is considered when the UE is configured with a short DRX cycle shorter or equal to 80 ms, and a long DRX cycle longer than 80 ms. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Clarify that the UE is not allowed to relax RLM/BFD measurements if short DRX cycle is longer than 80ms when it is configured with a long DRX cycle. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | The application of relaxed RLM/BFD measurements is not clear. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 8.1.1.1, 8.5.1.1 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

## << Start of changes 1>>

## 8.1 Radio Link Monitoring

### 8.1.1 Introduction

The requirements in clause 8.1 apply for radio link monitoring on:

- PCell in SA NR, NR-DC and NE-DC operation mode,

- PSCell in NR-DC and EN-DC operation mode,

- Deactivated PSCell in NR-DC and EN-DC operation mode, when configured.

The UE shall monitor the downlink radio link quality based on the reference signal configured as RLM-RS resource(s) in order to detect the downlink radio link quality of the PCell, PSCell and deactivated PSCell if configured with *bfd-and-RLM* as specified in TS 38.331[2]with value *true* as specified in TS 38.213 [3]. The configured RLM-RS resources can be all SSBs, or all CSI-RSs, or a mix of SSBs and CSI-RSs. UE is not required to perform RLM outside the active DL BWP.

On each RLM-RS resource, the UE shall estimate the downlink radio link quality and compare it to the thresholds Qout and Qin for the purpose of monitoring downlink radio link quality of the cell.

When a CORESET that the UE uses for monitoring PDCCH includes two TCI states and the UE is provided *sfnSchemePdcch* set to 'sfnSchemeA' or 'sfnSchemeB', the UE shall estimate a single downlink radio link quality to derive single SNR and compare it to the single thresholds Qout and Qin for the purpose of monitoring downlink radio link quality of the cell(s). How to compute the single SNR based on two active TCI states is up to UE implementation.

The threshold Qout is defined as the level at which the downlink radio link cannot be reliably received and shall correspond to the out-of-sync block error rate (BLERout) as defined in Table 8.1.1-1. For SSB based radio link monitoring, Qout\_SSB is derived based on the hypothetical PDCCH transmission parameters listed in Table 8.1.2.1-1. For CSI-RS based radio link monitoring, Qout\_CSI-RS is derived based on the hypothetical PDCCH transmission parameters listed in Table 8.1.3.1-1.

The threshold Qin is defined as the level at which the downlink radio link quality can be received with significantly higher reliability than at Qout and shall correspond to the in-sync block error rate (BLERin) as defined in Table 8.1.1-1. For SSB based radio link monitoring, Qin\_SSB is derived based on the hypothetical PDCCH transmission parameters listed in Table 8.1.2.1-2. For CSI-RS based radio link monitoring, Qin\_CSI-RS is derived based on the hypothetical PDCCH transmission parameters listed in Table 8.1.3.1-2.

The out-of-sync block error rate (BLERout) and in-sync block error rate (BLERin) are determined from the network configuration via parameter *rlmInSyncOutOfSyncThreshold* signalled by higher layers. When UE is not configured with *rlmInSyncOutOfSyncThreshold* from the network, UE determines out-of-sync and in-sync block error rates from Configuration #0 in Table 8.1.1-1 by default. All requirements in clause 8.1 are applicable for BLER Configuration #0 in Table 8.1.1-1.

Table 8.1.1-1: Out-of-sync and in-sync block error rates

|  |  |  |
| --- | --- | --- |
| Configuration | BLERout | BLERin |
| 0 | 10% | 2% |

UE shall be able to monitor up to NRLM RLM-RS resources of the same or different types in each corresponding carrier frequency range, depending on a maximum number  of SSBs per half frame according to TS 38.213 [3], where NRLM is specified in Table 8.1.1-2 according TS 38.213 [3], and meet the requirements as specified in clause 8.1. UE is not required to meet the requirements in clause 8.1 if RLM-RS is not configured and no TCI state for PDCCH is activated.

Table 8.1.1-2: Maximum number of RLM-RS resources NRLM

|  |  |  |
| --- | --- | --- |
| Carrier frequency range of PCell/PSCell |  | Maximum number of RLM-RS resources, NRLM |
| FR1, ≤ 3 GHzNote | 4 | 2 |
| FR1, > 3 GHzNote | 8 | 4 |
| FR2 | 64 | 8 |
| NOTE: For unpaired spectrum operation with Case C - 30 kHz SCS, 3GHz is replaced by 1.88GHz, as specified in clause 4.1 in TS 38.213 [3]. | | |

#### 8.1.1.1 Introduction of Requirement on Radio Link Monitoring for UE Configured with Relaxed Measurement Criteria

For the UE supports *rlm-Relaxation-r17*and configured with explicit signaling *goodServingCellEvaluationRLM*, which is always configured to the UE when the network enables RLM relaxation for the UE as specified in TS 38.331 [2], the relaxed requirements defined in clause 8.1.2.4 for SSB based radio link monitoring and the relaxed requirements defined in clause 8.1.3.4 for CSI-RS based radio link monitoring are allowed to apply to the relaxed RLM measurements on SpCell after fulfilling the following conditions:

- for the serving cells in intra-band carrier aggregation configured with SSB-based or CSI-RS based RLM on SpCell together with CSI-RS based BFD on SCell, when

- the good serving cell quality criterion defined in clause 5.7.13.2 of TS 38.331 [2] is fulfilled for the serving cell based on the measurements that are configured for SSB-based or CSI-RS based RLM on SpCell together with CSI-RS based BFD on SCell in the intra-band carrier aggregation if the *lowMobilityEvaluationConnected* is not configured, or

- the UE is also configured with *lowMobilityEvaluationConnected* and both low mobility criterion defined in clause 5.7.13.1 of TS 38.331 [2] is fulfilled for a period of *TSearchDeltaP-Connected* and good serving cell quality criterion defined in clause 5.7.13.2 of TS 38.331 [2] is fulfilled for the serving cell based on the measurements that are configured for SSB-based or CSI-RS based RLM on SpCell together with CSI-RS based BFD on SCell in the intra-band carrier aggregation.

- for other serving cells, when

- the good serving cell quality criterion defined in clause 5.7.13.2 of TS 38.331 [2] is fulfilled for the SpCell if the *lowMobilityEvaluationConnected* is not configured, or

- the UE is also configured with *lowMobilityEvaluationConnected*  and both low mobility criterion defined in clause 5.7.13.1 of TS 38.331 [2] is fulfilled for a period of TSearchDeltaP-Connected and good serving cell quality criterion defined in clause 5.7.13.2 of TS 38.331 [2] is fulfilled for the SpCell.

otherwise, UE shall apply the requirements defined in clause 8.1.2.2 for SSB based radio link monitoring and the requirements defined in clause 8.1.3.2 for CSI-RS based radio link monitoring. Note that when multiple resources are configured on a serving cell for RLM or BFD evaluation, the good serving cell quality critierion is considered as fulfilled for the serving cell when any resource configured for the cell fulfills the good serving defined in clause 5.7.13.2 of TS 38.331 [2].

The UE is no longer allowed to relax RLM measurements and apply the relaxed radio link monitoring provided that at least one of the following conditions is met:

- The UE sends out-of sync indications to the higher layers,

- The timer T310 is running.

- No DRX is configured or configured DRX cycle(s) are longer than 80ms

-   *drx-ShortCycleTimer* expires when *drx-ShortCycle* is smaller or equal to 80 ms and *drx-LongCycle* is larger than 80 ms.

## << End of changes 1>>

## << Start of changes 2>>

## 8.5 Link Recovery Procedures

### 8.5.1 Introduction

The UE shall assess the downlink radio link quality of a serving cell based on the reference signal in the set  as specified in TS 38.213 [3] in order to detect beam failure on:

- PCell in SA, NR-DC, or NE-DC operation mode,

- PSCell in NR-DC and EN-DC operation mode,

- SCell in SA, NR-DC, NE-DC or EN-DC operation mode,

- Deactivated PSCell in NR-DC and EN-DC operation mode

The RS resource configurations in the set  on PCell, PSCell or deactivated PSCell (if configured with *bfd-and-RLM* with value *true*) can be periodic CSI-RS resources and/or SSBs. RS resource configuration in the set  on SCell shall be periodic CSI-RS. UE is not required to perform beam failure detection outside the active DL BWP. UE is not required to meet the requirements in clause 8.5.2 and 8.5.3 if UE does not have set . UE is not required to perform beam failure detection on a deactivated SCell, and also not required to perform beam failure detection on resources which is implicitly configured for a deactivated SCell. When more than 2 periodic CSI-RS resources on a CC are configured in the set  for current SCell or implicitly configured in the set  for other SCell, it is up to UE implementation to select two of CSI-RS resources in active BWP in current CC to perform beam failure detection. UE is not required to perform beam failure detection on a SCell on which  is not configured.

On each RS resource configuration in the set , the UE shall estimate the radio link quality and compare it to the threshold Qout\_LR for the purpose of accessing downlink radio link quality of the serving cell beams.

When a CORESET that the UE uses for monitoring PDCCH includes two TCI states and the UE is provided *sfnSchemePdcch* set to 'sfnSchemeA' or 'sfnSchemeB', the UE shall estimate a single downlink radio link quality to derive a single SNR and compare it to the single thresholds Qout\_LR for the purpose of accessing downlink radio link quality of the serving cell beams. How to compute the single SNR based on two active TCI states is up to UE implementation.

The threshold Qout\_LR is defined as the level at which the downlink radio level link of a given resource configuration on set  cannot be reliably received and shall correspond to the BLERout = 10% block error rate of a hypothetical PDCCH transmission. For SSB based beam failure detection, Qout\_LR\_SSB is derived based on the hypothetical PDCCH transmission parameters listed in Table 8.5.2.1-1. For CSI-RS based beam failure detection, Qout\_LR\_CSI-RS is derived based on the hypothetical PDCCH transmission parameters listed in Table 8.5.3.1-1.

Upon request the UE shall deliver configuration indexes from the set as specified in TS 38.213 [3] , to higher layers, and the corresponding L1-RSRP measurement provided that the measured L1-RSRP is equal to or better than the threshold Qin\_LR, which is indicated by higher layer parameter *rsrp-ThresholdSSB*. The UE applies the Qin\_LR threshold to the L1-RSRP measurement obtained from an SSB. The UE applies the Qin\_LR threshold to the L1-RSRP measurement obtained for a CSI-RS resource after scaling a respective CSI-RS reception power with a value provided by higher layer parameter *powerControlOffsetSS*. The RS resource configurations in the set  can be periodic CSI-RS resources or SSBs or both SSB and CSI-RS resources. UE is not required to perform candidate beam detection outside the active DL BWP. UE is not required to perform candidate beam detection on a SCell on which  is not configured.

For a deactivated SCG, the UE may be provided via an RRC reconfiguration message with *tci-info* for PDCCH/PDSCH reception at the transition from deactivated SCG to activated SCG while the SCG is deactivated. After the reception of the RRC reconfiguration message the UE shall perform the BFD on the PSCellof the deactivated SCG using the TCI states accroding to *tci-info* specified in clause 6.3.2 in TS38.331[2]*.*

#### 8.5.1.1 Introduction of Requirement on Link Recovery Procedures for UE configured with relaxed measurement criteria

For the UE supports *bfd-Relaxation-r17* and configured with dedicated signaling *goodServingCellEvaluationBFD*, which is always configured to the UE when the network enables BFD relaxation for the UE as specified in TS 38.331[2], the relaxed requirements defined in clause 8.5.2.4 for SSB based beam failure detection and the relaxed requirements defined in clause 8.5.3.4 for CSI-RS based beam failure detection are allowed to apply to the relaxed BFD measurements on the serving cell after fulfilling the following conditions:

- for the serving cells in intra-band carrier aggregation configured with SSB-based or CSI-RS based RLM on SpCell together with CSI-RS based BFD on SCell, when

- the good serving cell quality criterion defined in clause 5.7.13.2 of TS 38.331 [2] is fulfilled for the serving cell based on the measurements that are configured for SSB-based or CSI-RS based RLM on SpCell together with CSI-RS based BFD on SCell in the intra-band carrier aggregation if the *lowMobilityEvaluationConnected* is not configured, or

- the UE is also configured with *lowMobilityEvaluationConnected* and both low mobility criterion defined in clause 5.7.13.1 of TS 38.331 [2] is fulfilled for a period of TSearchDeltaP-Connected and good serving cell quality criterion defined in clause 5.7.13.2 of TS 38.331 [2] is fulfilled for the serving cell based on the measurements that are configured for SSB-based or CSI-RS based RLM on SpCell together with CSI-RS based BFD on SCell in the intra-band carrier aggregation.

- for other serving cells, when

- the good serving cell quality criterion defined in clause 5.7.13.2 of TS 38.331 [2] is fulfilled for the serving cell configured with BFD-RS if the *lowMobilityEvaluationConnected* is not configured, or

- the UE is also configured with *lowMobilityEvaluationConnected*, and both low mobility criterion defined in clause 5.7.13.1 of TS 38.331 [2] is fulfilled for a period of TSearchDeltaP-Connected and good serving cell quality criterion defined in clause 5.7.13.2 of TS 38.331 [2] is fulfilled for the serving cell configured with BFD-RS.

otherwise, UE shall apply the requirements defined in clause 8.5.2.2 for SSB based beam failure detection and the requirements defined in clause 8.5.3.2 for CSI-RS based beam failure detection. Note that when multiple resources are configured on a serving cell for RLM or BFD evaluation, the good serving cell quality critierion is considered as fulfilled for the serving cell when any resource configured for the cell fulfills the good serving defined in clause 5.7.13.2 of TS 38.331 [2].

The scenario and RS resource configurations in the set  defined in section 8.5.1 apply for this section.

The UE is no longer allowed to relax BFD measurements and apply the relaxed link recovery procedures provided that at least one of the following conditions is met:

- The timer *beamFailureDetectionTimer* is running.

- No DRX is configured or configured DRX cycle(s) are longer than 80ms

-   *drx-ShortCycleTimer* expires, when *drx-ShortCycle* is smaller or equal to 80 ms and *drx-LongCycle* is larger than 80 ms.

## << End of changes 2>>