3GPP TSG-RAN Meeting #104 RP-24xxxx

June 17th ‒ 20th, 2024

Shanghai, CN

**Agenda item:** 9.1.5

**Source:** Moderator (RAN4 vice chair, Qualcomm Incorporated)

**Title:** Moderator's summary for Ku band

**Document for:** Information

# Introduction

This document provides a summary of discussion for initiating a work item to define specifications for a new NR frequency band in the Ku frequency range.

# Issues

A proposed work item description was provided in [1]. The objectives are copied below

The objectives are:

Phase 1

* Update coexistence study if needed [RAN4]
* Use the regulation requirements in ITU Regions 1, 2 and 3 to identify relevant adjacent band co-existence scenarios for NTN Ku Band covering the following frequency ranges, considering targeted deployment scenarios [RAN4]:
  + Downlink 10.70 – 12.70/12.75 GHz
  + Uplink 12.75-13.25 GHz (excluding US in region 2) & 13.75-14.5 GHz
* Specify RF requirements for satellite access node and relevant NTN VSAT types considering existing regulations on antenna sizes for certain parts of the Ku band. [RAN4].
* Specify RRM requirements to cover the Ku band. [RAN4]
* In addition to legacy channel bandwidths, support new channel bandwidths to align with typical existing Ku band operational constraints [RAN4]
* Study and specify enablers for half duplex FDD mode [RAN1]
  + Rational: Interference mitigation for uplink 12.70 GHz – 13.25 GHz being adjacent to 10.70 GHz– 12.70 GHz downlink

Phase 2

* Extend the phase 1 normative work to include uplink band 12.70 GHz – 13.25 GHz for the US in Region 2

## Coexistence

Is a coexistence study needed in additional to the coexistence study already conducted for Ka band? If additional study is needed, what aspects different from the Ka band study should be considered?

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| Company | Comment |
| Charter Comm Inc | From our perspective, we would like to suggest waiting until the FCC rules before approving the WID. There is a current an FCC NOI considering 12.75-13.25 GHz as Mobile Wireless Spectrum. Until this NOI is completed, we should hold off in this work. Furthermore, in RAN3101, Intelsat provided a tdoc, RP231886 which proposed to hold this work until RAN#105 (Sept 2024). In this meeting, there was also a tdoc which was approved, RP232668, RAN4 New Proposals for Rel-19 in which RP231886 was withdrawn. |
| Ericsson | Yes, co-existence study should be considered as the frequency is substantially different to Ka band. |
| Huawei/HiSilicon | *Update coexistence study if needed [RAN4]*  In the above objective, it is not clear which co-ex is supposed to be updated – this should be clarified in the WID. |
| T-Mobile USA | It is difficult to say what coexistence work would be needed since the regulations for 12.75-13.25 are not finalized for the US. We agree with Charter that it would be best to wait for US regulations. Also, if one operator is using the UL above 12.7GHz and another the DL below 12.7 GHz, UL-DL coexistence is problematic, and something that RAN4 has in the past not addressed. The half-duplex proposal doesn’t really address this unless there is tight coordination between the satellite operators. |
| Nokia | We do not have the coexistence to update in RAN4. The scope of new coexistence study should be further clarified. |
| Intelsat | Coexistence studies should be conducted with terrestrial services close to and adjacent to the Ku band’s DL an UL Band |
| Samsung | We can leverage Ka band co-existence study in Rel-18 as much as possible i.e., simulation assumption (basic parameters) and methodology.  But additional co-existence study is still required due to the frequency range difference compared to Ka band.   * Ka band: 17GHz/DL, 27GHz/UL (within FR2 range) used for co-existence evaluation * Now Ku band is totally with in FR3 range for DL(~12GHz) and UL(~14GHz).   The expected assumption on TN ACLR/ACS can also be different compared to Ka band especially for UL part. |
| ESA | At the moment, there are no adjacent TN bands defined, thus in our view very light co-existence study shall be performed. Similar Ka-band assumptions can be done to speed up the work. |
| Thales | Given that there are no defined adjacent TN bands, it is difficult to determine which coexistence study shall be conducted. |

Proposed way forward:

## Regional applicability

Recognizing the ongoing consultation in the US regarding the frequency range 12.70 – 13.25 GHz in the US, the proponents of [1] have suggested to structure the work item in two phases. The first phase of the work item would define requirements only for Region 1 and Region 3 countries as well as Region 2 countries not including the US. The second phase commencing after conclusion from the FCC would potentially extend the 12.70 – 13.25 GHz to the US as well. Yet, other companies preferred to discuss the 12.70 – 13.25 GHz altogether for all regions, only after conclusion from the FCC to allow the possibility of enabling a common band and/or common requirements worldwide.

A diagram of a network

Description automatically generated with medium confidence

**Figure 3. Phase 1: Normative work for ITU regions excluding US**

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Description automatically generated

**Figure 4. Phase 2: Additional normative work for US**

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| Company | Comment |
| Ericsson | UL A for Region 2 should only be started when the FCC consultation has progressed. It may be preferable for all regions to work on DL and UL B first in order to end up with a harmonized band plan if possible. |
| Huawei/HiSilicon | One practical approach to decide which way to go, may be to compare how many new bands would be need to be introduced for each of the two options on table (2 phases, vs postponing till FCC conclusion for 12.70 – 13.25 GHz). |
| T-Mobile USA | We think it is premature to propose additional normative work for the US. We also don’t understand the Figures above. If Figure 3 includes Normative work for the ITU regions excluding the US, and Phase 2 is additional normative work for the US, does that mean that the US will consist of only an uplink from 12.70-13.25 with no downlink? I don’t think that is the intention, because it is different than what is said in the objectives. It seems like Figure 3 is really two figures. The top one would be the Normative work for ITU regions excluding the US, and the second figure would represent the Normative work for the US, and Figure 4 represents the additional normative work for the US. Is this correct?  We would prefer that the work only include Region 1 and Region 3, and wait for Region 2 until the regulatory situation is clear. |
| Nokia | As the regulatory requirement is unclear for now, Phase 2 (Region 2 work) should be removed from the objective. |
| Intelsat | Standardization work on UL Band A should commence once FCC’s position is clarified in due course. A two-phase approach is proposed to accommodate for FCC’s considerations.  Removal of the entire region 2 is unreasonable and unnecessary as the FCC public consultation is only on small part of the Ku band and in US only not in the entire Region 2 |
| Samsung | We aware the concern from US operators due to FCC situation on 12.70-13.25 GHz. Phase by phase approach could be good way to address this. One possible way as suggested by Ericsson, we first focus on common frequency ranges across all regions and countries i.e. DL 10.7-12.7 GHz and UL 13.75 -14.5 GHz . With above band definition, FDD mode also possible with enough Tx-Rx separation. |
| ESA | In line with the proponents, the phase 1 shall not be impacted and start as soon as possible. Phase 2 will start when FCC would have provided guidelines. |
| Thales | Phase 2 may be carried out later, however this sub-band is allocated to satellite services in other ITU regions. Therefore, the use of these bands in the rest of the world shall not be penalized. |

Proposed way forward:

## Channel bandwidths

The WID [1] suggests that new channel bandwidths aligned with typical existing Ku band operational constraints is needed. The addition of new channel bandwidths may require work outside of RAN4 as well as potential additional work inside RAN4. Moreover, the channel bandwidths have not been identified by the proponents.

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| Company | Comment |
| Ericsson | They could potentially be added in a second stage |
| Huawei/HiSilicon | Both “legacy” and new channel bandwidths shall be explicitly listed in the WID, so RAN4 is aware of the underlying work. It is not obvious what does the “legacy” mean in the current WID wording. Same for “*typical existing Ku band operational constraints*”. |
| T-Mobile USA | We think that the new channel bandwidths would make this work not spectrum related because generic requirements would be needed for the new channel bandwidths. We think the new channel bandwidths should be removed from the WID, and they can be added later.  In offline discussions with Intelsat we heard that the new channel bandwidth of interest is 36 MHz. Since we have not been able to get any new channel bandwidths that are not a multiple of 5 MHz for terrestrial (except for the almighty railroad interests who were able to get the 3 MHz channel bandwidth) we would suggest using the existing FR1 35 MHz channel bandwidth for the Ku Band. |
| Nokia | The required channel bandwidths must be clarified in the objectives. |
| Intelsat | FR1 and FR2 bandwidths can be used/re-used , above comment from T-Mobile can be explained further. |
| Samsung | To control overall workload in RAN4 and avoid potential impact to RAN1, we would like proponent companies clarify the target CHBW/SCS.sets.  We suggest to follow the same approach as Ka band, reusing existing TN FR2 CHBW/SCS sets.  Any new CHBW/SCS combination out of existing FR2/FR1 CHBW/SCS may bring additional work in RAN4/RAN1 e.g.   * New CHBW values within existing FR2 CHBW range e.g., within 50MHz ~200MHz (60kHz SCS), require additional work in RAN4 to specify reasonable SU * New CHBW outside of existing FR2 CHBW range e.g. >200MHz or <50MHz for 60kHz will bring impact to both RAN1 and RAN4 (shall be avoided) |
| ESA | The idea is to introduce channel bandwidth compatible with the existing satellite payload. Specific values can be defined in the objective. For instance, 30 MHz and 30kHz SCS. |
| Thales | The WID shall consider in priority the most relevant channel bandwidth, in any case shall be multiple of 5 MHz. |

Proposed way forward:

## Half duplex

The WID [1] proposes an objective to introduce a half duplex FDD mode for NR NTN. It is acknowledged that this would require participation from RAN1 and therefore extends the scope of this work item beyond spectrum.

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| Company | Comment |
| Ericsson | This is connected to the 12.75-13.25 range so could be delayed/removed, returned to later as needed. There may be some other RAN1/2 objectives as below. |
| Huawei/HiSilicon | It is not clear whether half duplex FDD applies for UE only or it applies to network as well. According to the description of rational, it seems half duplex FDD is needed for network. But it is not clear how Ku spectrum are assigned among satellite operators, whether DL spectrum and UL spectrum assigned to an operator are adjacent to each other or not, hence it is not clear whether some duplex enhancement for network is needed or not.  Before the scenario can be clarified, we would prefer RAN4 to study and conclude on the spectrum scenario before RAN1 proceed with any study/work. |
| T-Mobile USA | We think introducing a half-duplex mode to handle the uplink adjacent to the downlink that includes RAN1 work would make this a non-spectrum related Work Item and should be removed from the WID. It might be best to remove the 12.70-13.75 uplink from this WID. That and the deferral of new channel bandwidths would allow this to be a spectrum related Work Item. |
| Nokia | RAN1 impact should be removed and discussed separately. |
| Intelsat | Half duplex solution is required for low-end terminals, We already have half duplex terminals which are running in proprietary systems and we like to replace them with 5G/NR solutions |
| Samsung | We’d like to first clarify the deployment scenarios first:  -Whether only UE works in a HD-FDD mode, or both UE and NW work in HD-FDD mode?  -For the Regions support both UL A and UL B, whether UE treat UL A + UL B as one cell with non-contiguous carriers? Or UL A and UL B only can be treated as separate bands?  Before the usage and deployment scenarios especially on UL block A + UL block B to be clarified, it's hard to judge the expected RAN1 work and impact. |
| ESA | This topic can be deprioritized. |
| Thales | This topic can be postponed to future release. |

Proposed way forward:

## Other issues

Any other issues?

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| Company | Comment |
| Ericsson | There is a need to check and decide whether the new band should inherit characteristics of FR1 FR2 or a newly defined range. This should consider not just RAN1, but also RAN1 aspects such as SCS, SSB, PRACH etc. If the range is not FR1 or FR2, some RAN1/2 spec and signaling changes are needed.  Suggest to add two objectives:  Check and confirm which FR the band is part of [RAN4, RAN1]  IF necessary, specify new NTN specific FR [RAN1, RAN2, RAN4]  Note: NTN FR does not impact decisions for TN FR for the 7-24GHz frequency range.  We that that we have an RRM objective in the WID. Its not clear what to do in RRM. Maybe better to come back later with RRM. |
| Huawei/HiSilicon | Reuse of the TR 38.863 may not be allowed by RAN Secretary. Please check. New TR may be needed instead (even if we would also prefer to reuse some of the existing TRs to capture Ku aspects). |
| Huawei/HiSilicon | Remove the following wording from the Justification:  *Note: Further to the Release 18 NTN scope for operation above 10 GHz, Mobile VSATs (ESIMs) connected to NGSO Satellites may be considered at a later date.*  Mobile VSAT for both Ka and Ku can be considered as non-spectrum item for Dec checkpoint. Besides, related Note is already captured in TS 38.101-5. |
| Huawei/HiSilicon | *Specify RF requirements for satellite access node and relevant NTN VSAT types considering existing regulations on antenna sizes for certain parts of the Ku band. [RAN4].*   * We suggest to clarify what are “*relevant NTN VSAT types*” to avoid unnecessary ambiguities. * Replace “existing regulations” with “applicable regulations” as the US regulation is not yet existing, while it is expected to be also applicable. * As “*certain parts*” is not very precise, suggest to simply remove it and keep “*for Ku band*”.   Is there any specific reason why we need to mention “*antenna sizes*” here? All applicable NTN VSAT regulations shall be reflected, i.e. not just those related to antenna sizes. |
| Nokia | RAN1/2 impact should be removed and discussed separately. |
| Intelsat | Mobile VSAT connectivity solution to NGSO can be considered at a later stage |
| Samsung | For RRM requirements, to control overall workload s, we suggest to follow the same assumption on RRM scope from Ka band in WF RP- 232694 given same VSAT types from Ka band will be applied Ku band as well. |
| Thales | It is important to start the standardization activities on Ku-band in this RAN meeting. |

# Moderator recommendation

# Reference

1. RP-240938, “New WID on Introduction of Ku Band for NR NTN,” Intelsat, Eutelsat Group, Thales