**3GPP TSG-SA3 Meeting #106-e *S3-220215***

e-meeting, 14 – 25 February 2022

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  |  | **CR** |  | **rev** |  | **Current version:** |  |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network | **X** |

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| ***Title:*** | UDM interaction for Anonymous SUCI | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Ericsson | | | | | | | | | |
| ***Source to TSG:*** | S3 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | eNPN | | | | |  | ***Date:*** | | | 2022-02-07 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | |  |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | In Annex I (normative), anonymous SUCI is needed for non-public networks, especially in the cases of Credential Holder and onboarding where there might not be a HN public key available but still need to conceal UE identifier.  This CR proposes a definition of anonymous SUPI/SUCI and specifies the UDM interaction in the case of Credentials holder using AAA server for primary authentication.  Further there is an E.N about UDM involvement in the procedures for authentication using EAP towards AAA ("Editor's Note: It is FFS why the existing UDM service with mandatory IE 'Authentication method' need to be invoked for an authentication based on credentials held by an external entity.")  It has been decided in CT4 (C4-214856) to add a new IE called ‘AuthAAA’ in the Nudm\_UEAU\_Get response that signals to the AUSF to use an external entity for authentication. When this new value is used the 'Authentication method value ('auth type') shall be set to 'NONE'. The procedures are updated to reflect the decision in CT4. By that, the E.N. should no longer be needed and is thus removed. | | | | | | | | |
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| ***Summary of change:*** | | Specification of the term anonymous SUCI and updating procedures in clause I.2.2.2 in order to make UDM interaction optional when anonymous SUCI is used. Aligning UDM response with decision in other groups. | | | | | | | | |
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| ***Consequences if not approved:*** | | Incomplete specification | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 6.12, Annex I.2.2.2.2 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

## \*\*\*\*\*\*\* FIRST CHANGE \*\*\*\*\*\*\*\*\*\*\*\*

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non‑specific.

- For a specific reference, subsequent revisions do not apply.

- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[2] 3GPP TS 23.501: "System Architecture for the 5G System".

[3] 3GPP TS 33.210: "3G security; Network Domain Security (NDS); IP network layer security".

[4] IETF RFC 4303: "IP Encapsulating Security Payload (ESP)".

[5] 3GPP TS 33.310: "Network Domain Security (NDS); Authentication Framework (AF)".

[6] IETF RFC 4301: "Security Architecture for the Internet Protocol".

[7] 3GPP TS 22.261: "Service requirements for next generation new services and markets".

[8] 3GPP TS 23.502: "Procedures for the 5G System".

[9] 3GPP TS 33.102: "3G security; Security architecture".

[10] 3GPP TS 33.401: "3GPP System Architecture Evolution (SAE); Security architecture".

[11] 3GPP TS 33.402: "3GPP System Architecture Evolution (SAE); Security aspects of non-3GPP accesses".

[12] IETF RFC 5448: " Improved Extensible Authentication Protocol Method for 3rd Generation Authentication and Key Agreement (EAP-AKA')".

[13] 3GPP TS 24.301: " Non-Access-Stratum (NAS) protocol for Evolved Packet System (EPS); Stage 3".

[14] 3GPP TS 35.215: " Specification of the 3GPP Confidentiality and Integrity Algorithms UEA2 & UIA2; Document 1: UEA2 and UIA2 specifications".

[15] NIST: "Advanced Encryption Standard (AES) (FIPS PUB 197)".

[16] NIST Special Publication 800-38A (2001): "Recommendation for Block Cipher Modes of Operation".

[17] NIST Special Publication 800-38B (2001): "Recommendation for Block Cipher Modes of Operation: The CMAC Mode for Authentication".

[18] 3GPP TS 35.221: " Specification of the 3GPP Confidentiality and Integrity Algorithms EEA3 & EIA3; Document 1: EEA3 and EIA3 specifications".

[19] 3GPP TS 23.003: "Numbering, addressing and identification".

[20] 3GPP TS 22.101: "Service aspects; Service principles".

[21] IETF RFC 4187: "Extensible Authentication Protocol Method for 3rd Generation Authentication and Key Agreement (EAP-AKA)".

[22] 3GPP TS 38.331: "NR; Radio Resource Control (RRC); Protocol specification".

[23] 3GPP TS 38.323: "NR; Packet Data Convergence Protocol (PDCP) specification".

[24] 3GPP TS 33.117: "Catalogue of general security assurance requirements".

[25] IETF RFC 7296: "Internet Key Exchange Protocol Version 2 (IKEv2)"

[26] Void

[27] IETF RFC 3748: "Extensible Authentication Protocol (EAP)".

[28] 3GPP TS 33.220: "Generic Authentication Architecture (GAA); Generic Bootstrapping Architecture (GBA)".

[29] SECG SEC 1: Recommended Elliptic Curve Cryptography, Version 2.0, 2009. Available <http://www.secg.org/sec1-v2.pdf>

[30] SECG SEC 2: Recommended Elliptic Curve Domain Parameters, Version 2.0, 2010. Available at <http://www.secg.org/sec2-v2.pdf>

[31] 3GPP TS 38.470: "NG-RAN; F1 General aspects and principles".

[32] 3GPP TS 38.472: "NG-RAN; F1 signalling transport".

[33] 3GPP TS 38.474: "NG-RAN; F1 data transport".

[34] 3GPP TS 38.413: "NG-RAN; NG Application Protocol (NGAP)"

[35] 3GPP TS 24.501: "Non-Access-Stratum (NAS) protocol for 5G System (5GS); Stage 3".

[36] 3GPP TS 35.217: "Specification of the 3GPP Confidentiality and Integrity Algorithms UEA2 & UIA2; Document 3: Implementors' test data".

[37] 3GPP TS 35.223: "Specification of the 3GPP Confidentiality and Integrity Algorithms EEA3 & EIA3; Document 3: Implementors' test data".

[38] IETF RFC 5216: "The EAP-TLS Authentication Protocol".

[39] IETF RFC 4346: "The Transport Layer Security (TLS) Protocol Version 1.1".

[40] IETF RFC 5246: "The Transport Layer Security (TLS) Protocol Version 1.2".

[41] 3GPP TS 38.460: "NG-RAN; E1 general aspects and principles".

[42] Void.

[43] IETF RFC 6749: "OAuth2.0 Authorization Framework".

[44] IETF RFC 7519: "JSON Web Token (JWT)".

[45] IETF RFC 7515: "JSON Web Signature (JWS)".

[46] IETF RFC 7748: "Elliptic Curves for Security".

[47] IETF RFC 7540: " Hypertext Transfer Protocol Version 2 (HTTP/2)".

[48] IETF RFC 5280: "Internet X.509 Public Key Infrastructure Certificate and Certificate Revocation List (CRL) Profile".

[49] IETF RFC 6960: "X.509 Internet Public Key Infrastructure Online Certificate Status Protocol - OCSP".

[50] IETF RFC 6066: "Transport Layer Security (TLS) Extensions: Extension Definitions".

[51] 3GPP TS 37.340: "Evolved Universal Terrestrial Radio Access (E-UTRA) and NR; Multi-connectivity; Stage 2".

[52] 3GPP TS 38.300: "NR; NR and NG-RAN Overall Description; Stage 2".

[53] 3GPP TS 33.122: "Security Aspects of Common API Framework for 3GPP Northbound APIs".

[54] 3GPP TS28.533: " Management and orchestration; Architecture framework".

[55] 3GPP TS28.531: "Management and orchestration of networks and network slicing; Provisioning".

[56] Void

[57] IETF RFC 7542: "The Network Access Identifier".

[58] IETF RFC 6083: " Datagram Transport Layer Security (DTLS) for Stream Control Transmission Protocol (SCTP)".

[59] IETF RFC 7516: "JSON Web Encryption (JWE)".

[60] IETF RFC 8446: "The Transport Layer Security (TLS) Protocol Version 1.3".

[61] IETF RFC 5705,"Keying Material Exporters for Transport Layer Security (TLS)".

[62] IETF RFC 5869 "HMAC-based Extract-and-Expand Key Derivation Function (HKDF)".

[63] NIST Special Publication 800-38D: "Recommendation for Block Cipher Modes of Operation: Galois Counter Mode (GCM) and GMAC".

[64] IETF RFC 6902: "JavaScript Object Notation (JSON) Patch".

[65] 3GPP TS 31.115: "Secured packet structure for (Universal) Subscriber Identity Module (U)SIM Toolkit applications.

[66] 3GPP TS 31.111: "Universal Subscriber Identity Module (USIM), Application Toolkit (USAT)".

[67] Internet draft draft-ietf-emu-rfc5448bis: "Improved Extensible Authentication Protocol Method for 3rd Generation Authentication and Key Agreement (EAP-AKA')".

[68] 3GPP TS 29.510: "5G System; Network function repository services".

[69] 3GPP TS 36.331: "Radio Resource Control (RRC); Protocol specification".

[70] 3GPP TS 29.505: "5G System; Usage of the Unified Data Repository services for Subscription Data; Stage 3".

[71] 3GPP TS 24.302: "Access to the 3GPP Evolved Packet Core (EPC) via non-3GPP access networks; Stage 3".

[72] 3GPP TS 23.216: "Single Radio Voice Call Continuity (SRVCC)".

[73] 3GPP TS 29.573: " Public Land Mobile Network (PLMN) Interconnection; Stage 3".

[74] 3GP TS 29.500: "5G System; Technical Realization of Service Based Architecture; Stage 3".

[75] IEEE TSN network aspects: see 3GPP TS 23.501 [2] references [95], [96], [97], [98], [104], and [107].

[76] Internet draft draft-ietf-emu-eap-tls13: "Using EAP-TLS with TLS 1.3"

[77] IETF RFC 8446: "The Transport Layer Security (TLS) Protocol Version 1.3".

[78] 3GPP TS 38.401: "NG-RAN; Architecture description".

[79] 3GPP TS 23.316: "Wireless and wireline convergence access support for the 5G System (5GS)"

[80] IEEE Std 802.11-2016 (Revision of IEEE Std 802.11-2012) - IEEE Standard for Information technology—Telecommunications and information exchange between systems Local and metropolitan area networks—Specific requirements - Part 11: Wireless LAN Medium Access Control (MAC) and Physical Layer (PHY) Specifications.

[81] IETF RFC 2410 "The NULL Encryption Algorithm and Its Use With IPsec".

[82] Void

[83] RFC 7858: "Specification for DNS over Transport Layer Security (TLS)".

[84] RFC 8310: "Usage Profiles for DNS over TLS and DNS over DTLS".

[85] RFC 4890: "Recommendations for Filtering ICMPv6 Messages in Firewalls".

[86] 3GPP TS 23.273: "5G System (5GS) Location Services (LCS); Stage 2".

[87] 3GPP TS 38.305: "Stage 2 functional specification of User Equipment (UE) positioning in NG-RAN".

[88] 3GPP TS 36.300: "Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Universal Terrestrial Radio Access (E-UTRAN); Overall description; Stage 2".

[89] IANA: "Transport Layer Security (TLS) Parameters".

[90] RFC 2818: "HTTP Over TLS".

[91] 3GPP TS 33.535: "Authentication and key management for applications based on 3GPP credentials in the 5G System (5GS)".

[92] 3GP TS 29.573: "5G System; Public Land Mobile Network (PLMN) Interconnection".

[93] 3GPP TS 29.503: "5G System; Unified Data Management Services".

[94] 3GPP TS 29.501: "5G System; Principles and Guidelines for Services Definition".

[95] 3GPP TS 29.502: "5G System; Session Management Services".

[96] 3GPP TS 29.526: "5G System; Network Slice-Specific Authentication and Authorization (NSSAA) services".

[97] 3GPP TS 23.402: "Authentication enhancements for non-3GPP accesses".

[98] 3GPP TS 23.548: "5G System Enhancements for Edge Computing; Stage 2".

[99] RFC 5281: "Extensible Authentication Protocol Tunneled Transport Layer Security Authenticated Protocol Version 0 (EAP-TTLSv0)".

[100] RFC 6678: "Requirements for a Tunnel-Based Extensible Authentication Protocol (EAP) Method".

[101] General Data Protection Regulation, <https://eur-lex.europa.eu/legal-content/EN/TXT/HTML/?uri=CELEX:02016R0679-20160504&from=EN>.

[102] 3GPP TS 33.246: "Security of Multimedia Broadcast/Multicast Service (MBMS)".

[103] 3GPP TS 23.247: "Architectural enhancements for 5G multicast-broadcast services".

[104] 3GPP TS 33.535: "Authentication and Key Management for Applications (AKMA) based on 3GPP credentials in the 5G System (5GS)".

[105] 3GPP TS 23.288: "Architecture enhancements for 5G System(5GS) to support network data analytics services".

[106] 3GPP TS 23.554 Application architecture for MSGin5G Service; Stage 2.

[107] 3GPP TS 22.262 Message service with the 5G System (5GS); Stage 1.

[XX] RFC 7542: "The Network Access Identifier"

## \*\*\*\*\*\*\* NEXT CHANGE \*\*\*\*\*\*\*\*\*\*\*\*

### 6.12.X    Anonymous Subscription Identifiers

In scenarios where the subscription identifier privacy protection mechanism defined in this section cannot be used (e.g. private network deployments as described in Annex I), then the ME may generate an anonymous SUPI as defined in RFC 7542 [XX] (i.e. using a subscription identifier in NAI format for which the "username" part of the SUPI is "anonymous" or omitted). The ME generates the anonymous SUCI based on the anonymous SUPI using null-scheme. The ME decides to use anonymous SUCI based on local configuration.

The anonymous SUCI is transported over NAS layer and managed within the 5GC as a regular SUCI until de-concealment at the corresponding 5GC NF. The de-concealment of an anonymous SUCI results in an anonymous SUPI in NAI format for which the "username" part of the SUPI is "anonymous" or omitted.

NOTE: Since anonymous SUCI is using null-scheme, the de-concealment can be performed by either AMF or AUSF.

## \*\*\*\*\*\*\* NEXT CHANGE \*\*\*\*\*\*\*\*\*\*\*\*

#### I.2.2.2.2 Procedure

 Figure: I.2.2.2.2-1: Primary authentication with external domain

0. The UE shall be configured with credentials from the Credentials holder e.g. SUPI containing a network-specific identifier and credentials for the key-generating EAP-method used. As part of configuration of the credentials, the UE shall also be configured with an indication that the UE shall use MSK for the derivation of KAUSF after the success of the primary authentication. The exact procedures used to configure the UE are not specified in this document.

It is further assumed that there exists a trust relation between the SNPN and the Credentials holder AAA Server. These entities need to be mutually authenticated, and the information transferred on the interface need to be confidentiality, integrity and replay protected.

1. The UE shall select the SNPN and initiate UE registration in the SNPN.

For construction of the SUCI, existing methods in clause 6.12 can be used. If the home network public key of the SNPN is not provisioned in the UE, the UE shall create a SUCI using null scheme with anonymised SUPI as described in Annex B.

Editor's Note: It is FFS if only SUCI using null scheme with anonymised SUPI should be supported for this use case.

2. The AMF within the SNPN shall initiate a primary authentication for the UE using a Nausf\_UEAuthentication\_Authenticate service operation with the AUSF. The AMF shall select an AUSF based on the HNI of the SUCI (*i.e. realm for NSI SUPI type*) presented by the UE as specified in TS 23.501 [2].

Editor’s Note: It is FFS how does the AMF selects AUSF in step 2 using realm part of SUPI which is also used for NSSAAF to select AAA server in step 7, since the AUSF and AAA server is located in different domain.

3. If the received SUCI or SUPI is anonymous as defined in clause 6.12.X, steps 3-5 are omitted. Otherwise, the AUSF shall initiate a Nudm\_UEAuthentication\_Get service operation. The AUSF shall select a UDM also using the SUCI/SUPI provided by the AMF as specified in TS 23.501 [2].

NOTE 1: SUPI will be used instead of SUCI in the case of a re-authentication.

4. In case the UDM receives a SUCI, the UDM shall resolve the SUCI to the SUPI before checking the authentication method applicable for the SUPI. The UDM decides to run primary authentication with an external entity based on subscription data.

5. The UDM shall provide the AUSF with the SUPI and shall indicate to the AUSF to run primary authentication with a AAA Server in an external Credentials holder.

When a Credentials Holder using AAA Server is used for primary authentication, the AUSF uses the MSK to derive KAUSF. It is strongly recommended that the same credentials that are used for authentication between UE and the 5G SNPN are not used for the authentication between the UE and a non-5G network, assuming that 5G SNPN and non-5G network are in different security domains.

NOTE 2: MSKs obtained from the non-5G network could be used to impersonate the 5G SNPN towards the UE.

6. Based on the indication from the UDM, or decided based on realm part of anonymous SUPI/SUCI, the AUSF initiates a Nnssaaf\_AIWF\_Authenticate service operation towards that NSSAAF as defined in clause 14.4.2. The AUSF selects NSSSAAF as defined in 23.501 [2].

7. The NSSAAF shall select AAA Server based on the domain name corresponding to the realm part of the SUPI. The NSSAAF shall perform related protocol conversion and relay EAP messages to the AAA Server.

Editor's Note: It is FFS if the SUPI needs to be sent to the external entity (AAA).

Editor's Note: The details of the interface and protocol between AUSF and AAA are FFS.

8. The UE and AAA Server shall perform mutual authentication. The AAA Server shall act as the EAP Server for the purpose of primary authentication. The EAP Identity received by the AAA Server in the EAP-Response/Identity message in step 7 may contain anonymised SUPI. In such cases, AAA Server uses the EAP-method specific EAP Identity request/response messages to obtain the UE identifier as part of the EAP authentication between the UE and the AAA Server.

9. After successful authentication, the MSK and the SUPI (i.e., the UE identifier that is used for the successful EAP authentication) shall be provided from the AAA Server to the NSSAAF.

10. The NSSAAF returns the MSK and the SUPI to the AUSF using the Nnssaaf\_AIWF\_Authenticate service operation response message. The SUPI received from the AAA shall be used when deriving 5G keys (e.g., KAMF) that requires SUPI as an input for the key derivation.

11-13. The AUSF verifies that the SUPI corresponds to a valid subscription in the SNPN by either:

1. If a SUPI was received from UDM in step 5, the AUSF shall verify that it is identical to the authenticated SUPI received from NSSAAF. If the verification is successful, the AUSF may inform about the authentication result for the received SUPI to the UDM using a Nudm\_UEAuthentication\_ResultConfirmation Request service operation if needed.
2. If SUPI was anonymous and steps 3-5 with UDM were omitted, the AUSF shall inform the UDM about the authentication result for the received SUPI using a Nudm\_UEAuthentication\_ResultConfirmation Request service operation. The UDM stores the authentication state for the SUPI and if there is not a subscription corresponding to the SUPI, the UDM shall return an error.

If the verification of the SUPI is not successful, then the AUSF rejects the UE access to the SNPN based on a failed authorization.

Editor's Note: The details of the interface and protocol between AUSF and AAA are FFS.

14. The AUSF shall use the most significant 256 bits of MSK as the KAUSF. The AUSF shall also derive KSEAF from the KAUSF as defined in Annex A.6.

15. The AUSF shall send the successful indication together with the SUPI of the UE to the AMF together with the resulting KSEAF.

16. The AMF shall send the EAP success in a NAS message.

17. The UE shall derive the KAUSF from MSK as described in step 11 according to the pre-configured indication as described in step 0.

Editor's note: It is FFS if and how clause 1.2.2.3 aligns with TS 23.501 5.30.2.9.2 Credentials Holder using AAA Server for primary authentication and authorization.

## \*\*\*\*\*\*\* END OF CHANGES \*\*\*\*\*\*\*\*\*\*\*\*