**3GPP TSG-SA3 Meeting #105-e *draft\_S3-213995-r1***

**e-meeting, 8 - 19 November 2021** Revision of S3-21xxxx

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **33.501** | **CR** | **DraftCR** | **rev** |  | **Current version:** | **17.2.1** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network |  | Core Network | **X** |

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| ***Title:*** | Living CR for UC3S in TS 33.501 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Huawei, HiSilicon, Nokia, Nokia Shanghai Bell, Ericsson | | | | | | | | | |
| ***Source to TSG:*** | S3 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | UC3S\_SEC | | | | |  | ***Date:*** | | | 2021-11-8 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | B |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | Adding the security requirements for the user consent handling in 3GPP services. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | The changes include:   * Generic security requirements, services and guidance for user consent check and revocation.   (To be removed when the document is converted to a CR) Change history of the living document:  SA3#104-e:   * S3-212706 (Draft skeleton for UC3S WID)   SA3#104bis-e:   * S3-213681 (User consent related requirements) * S3-213682 (General for Generic Requirements for adapting procedures related to user consent)   SA3#105-e:   * S3-213862 (Baseline of user conent living CR for SA3#105-e) * S3-214478 (User consent check) * S3-214479 (User consent revocation) * S3-214480 (User Consent Requirement) * S3-214434 (Validity and revocation of consent) | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | No security requirements for the user consent handling in 3GPP services | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 2, Annex X (new) | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

\*\*\*\*\*\*\*\*\*\*\*\*\*\*\* Start of 1st Change \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non‑specific.

- For a specific reference, subsequent revisions do not apply.

- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[2] 3GPP TS 23.501: "System Architecture for the 5G System".

[3] 3GPP TS 33.210: "3G security; Network Domain Security (NDS); IP network layer security".

[4] IETF RFC 4303: "IP Encapsulating Security Payload (ESP)".

[5] 3GPP TS 33.310: "Network Domain Security (NDS); Authentication Framework (AF)".

[6] IETF RFC 4301: "Security Architecture for the Internet Protocol".

[7] 3GPP TS 22.261: "Service requirements for next generation new services and markets".

[8] 3GPP TS 23.502: "Procedures for the 5G System".

[9] 3GPP TS 33.102: "3G security; Security architecture".

[10] 3GPP TS 33.401: "3GPP System Architecture Evolution (SAE); Security architecture".

[11] 3GPP TS 33.402: "3GPP System Architecture Evolution (SAE); Security aspects of non-3GPP accesses".

[12] IETF RFC 5448: " Improved Extensible Authentication Protocol Method for 3rd Generation Authentication and Key Agreement (EAP-AKA')".

[13] 3GPP TS 24.301: " Non-Access-Stratum (NAS) protocol for Evolved Packet System (EPS); Stage 3".

[14] 3GPP TS 35.215: " Specification of the 3GPP Confidentiality and Integrity Algorithms UEA2 & UIA2; Document 1: UEA2 and UIA2 specifications".

[15] NIST: "Advanced Encryption Standard (AES) (FIPS PUB 197)".

[16] NIST Special Publication 800-38A (2001): "Recommendation for Block Cipher Modes of Operation".

[17] NIST Special Publication 800-38B (2001): "Recommendation for Block Cipher Modes of Operation: The CMAC Mode for Authentication".

[18] 3GPP TS 35.221: " Specification of the 3GPP Confidentiality and Integrity Algorithms EEA3 & EIA3; Document 1: EEA3 and EIA3 specifications".

[19] 3GPP TS 23.003: "Numbering, addressing and identification".

[20] 3GPP TS 22.101: "Service aspects; Service principles".

[21] IETF RFC 4187: "Extensible Authentication Protocol Method for 3rd Generation Authentication and Key Agreement (EAP-AKA)".

[22] 3GPP TS 38.331: "NR; Radio Resource Control (RRC); Protocol specification".

[23] 3GPP TS 38.323: "NR; Packet Data Convergence Protocol (PDCP) specification".

[24] 3GPP TS 33.117: "Catalogue of general security assurance requirements".

[25] IETF RFC 7296: "Internet Key Exchange Protocol Version 2 (IKEv2)"

[26] Void

[27] IETF RFC 3748: "Extensible Authentication Protocol (EAP)".

[28] 3GPP TS 33.220: "Generic Authentication Architecture (GAA); Generic Bootstrapping Architecture (GBA)".

[29] SECG SEC 1: Recommended Elliptic Curve Cryptography, Version 2.0, 2009. Available <http://www.secg.org/sec1-v2.pdf>

[30] SECG SEC 2: Recommended Elliptic Curve Domain Parameters, Version 2.0, 2010. Available at <http://www.secg.org/sec2-v2.pdf>

[31] 3GPP TS 38.470: "NG-RAN; F1 General aspects and principles".

[32] 3GPP TS 38.472: "NG-RAN; F1 signalling transport".

[33] 3GPP TS 38.474: "NG-RAN; F1 data transport".

[34] 3GPP TS 38.413: "NG-RAN; NG Application Protocol (NGAP)"

[35] 3GPP TS 24.501: "Non-Access-Stratum (NAS) protocol for 5G System (5GS); Stage 3".

[36] 3GPP TS 35.217: "Specification of the 3GPP Confidentiality and Integrity Algorithms UEA2 & UIA2; Document 3: Implementors' test data".

[37] 3GPP TS 35.223: "Specification of the 3GPP Confidentiality and Integrity Algorithms EEA3 & EIA3; Document 3: Implementors' test data".

[38] IETF RFC 5216: "The EAP-TLS Authentication Protocol".

[39] IETF RFC 4346: "The Transport Layer Security (TLS) Protocol Version 1.1".

[40] IETF RFC 5246: "The Transport Layer Security (TLS) Protocol Version 1.2".

[41] 3GPP TS 38.460: "NG-RAN; E1 general aspects and principles".

[42] Void.

[43] IETF RFC 6749: "OAuth2.0 Authorization Framework".

[44] IETF RFC 7519: "JSON Web Token (JWT)".

[45] IETF RFC 7515: "JSON Web Signature (JWS)".

[46] IETF RFC 7748: "Elliptic Curves for Security".

[47] IETF RFC 7540: " Hypertext Transfer Protocol Version 2 (HTTP/2)".

[48] IETF RFC 5280: "Internet X.509 Public Key Infrastructure Certificate and Certificate Revocation List (CRL) Profile".

[49] IETF RFC 6960: "X.509 Internet Public Key Infrastructure Online Certificate Status Protocol - OCSP".

[50] IETF RFC 6066: "Transport Layer Security (TLS) Extensions: Extension Definitions".

[51] 3GPP TS 37.340: "Evolved Universal Terrestrial Radio Access (E-UTRA) and NR; Multi-connectivity; Stage 2".

[52] 3GPP TS 38.300: "NR; NR and NG-RAN Overall Description; Stage 2".

[53] 3GPP TS 33.122: "Security Aspects of Common API Framework for 3GPP Northbound APIs".

[54] 3GPP TS28.533: " Management and orchestration; Architecture framework".

[55] 3GPP TS28.531: "Management and orchestration of networks and network slicing; Provisioning".

[56] Void

[57] IETF RFC 7542: "The Network Access Identifier".

[58] IETF RFC 6083: " Datagram Transport Layer Security (DTLS) for Stream Control Transmission Protocol (SCTP)".

[59] IETF RFC 7516: "JSON Web Encryption (JWE)".

[60] IETF RFC 8446: "The Transport Layer Security (TLS) Protocol Version 1.3".

[61] IETF RFC 5705,"Keying Material Exporters for Transport Layer Security (TLS)".

[62] IETF RFC 5869 "HMAC-based Extract-and-Expand Key Derivation Function (HKDF)".

[63] NIST Special Publication 800-38D: "Recommendation for Block Cipher Modes of Operation: Galois Counter Mode (GCM) and GMAC".

[64] IETF RFC 6902: "JavaScript Object Notation (JSON) Patch".

[65] 3GPP TS 31.115: "Secured packet structure for (Universal) Subscriber Identity Module (U)SIM Toolkit applications.

[66] 3GPP TS 31.111: "Universal Subscriber Identity Module (USIM), Application Toolkit (USAT)".

[67] Internet draft draft-ietf-emu-rfc5448bis: "Improved Extensible Authentication Protocol Method for 3rd Generation Authentication and Key Agreement (EAP-AKA')".

[68] 3GPP TS 29.510: "5G System; Network function repository services".

[69] 3GPP TS 36.331: "Radio Resource Control (RRC); Protocol specification".

[70] 3GPP TS 29.505: "5G System; Usage of the Unified Data Repository services for Subscription Data; Stage 3".

[71] 3GPP TS 24.302: "Access to the 3GPP Evolved Packet Core (EPC) via non-3GPP access networks; Stage 3".

[72] 3GPP TS 23.216: "Single Radio Voice Call Continuity (SRVCC)".

[73] 3GPP TS 29.573: " Public Land Mobile Network (PLMN) Interconnection; Stage 3".

[74] 3GP TS 29.500: "5G System; Technical Realization of Service Based Architecture; Stage 3".

[75] IEEE TSN network aspects: see 3GPP TS 23.501 [2] references [95], [96], [97], [98], [104], and [107].

[76] Internet draft draft-ietf-emu-eap-tls13: "Using EAP-TLS with TLS 1.3"

[77] IETF RFC 8446: "The Transport Layer Security (TLS) Protocol Version 1.3".

[78] 3GPP TS 38.401: "NG-RAN; Architecture description".

[79] 3GPP TS 23.316: "Wireless and wireline convergence access support for the 5G System (5GS)"

[80] IEEE Std 802.11-2016 (Revision of IEEE Std 802.11-2012) - IEEE Standard for Information technology—Telecommunications and information exchange between systems Local and metropolitan area networks—Specific requirements - Part 11: Wireless LAN Medium Access Control (MAC) and Physical Layer (PHY) Specifications.

[81] IETF RFC 2410 "The NULL Encryption Algorithm and Its Use With IPsec".

[82] Void

[83] RFC 7858: "Specification for DNS over Transport Layer Security (TLS)".

[84] RFC 8310: "Usage Profiles for DNS over TLS and DNS over DTLS".

[85] RFC 4890: "Recommendations for Filtering ICMPv6 Messages in Firewalls".

[86] 3GPP TS 23.273: "5G System (5GS) Location Services (LCS); Stage 2".

[87] 3GPP TS 38.305: "Stage 2 functional specification of User Equipment (UE) positioning in NG-RAN".

[88] 3GPP TS 36.300: "Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Universal Terrestrial Radio Access (E-UTRAN); Overall description; Stage 2".

[89] IANA: "Transport Layer Security (TLS) Parameters".

[90] RFC 2818: "HTTP Over TLS".

[91] 3GPP TS 33.535: "Authentication and key management for applications based on 3GPP credentials in the 5G System (5GS)".

[92] 3GP TS 29.573: "5G System; Public Land Mobile Network (PLMN) Interconnection".

[93] 3GPP TS 29.503: "5G System; Unified Data Management Services".

[94] 3GPP TS 29.501: "5G System; Principles and Guidelines for Services Definition".

[95] 3GPP TS 29.502: "5G System; Session Management Services".

[96] 3GPP TS 29.526: "5G System; Network Slice-Specific Authentication and Authorization (NSSAA) services".

[xx] General Data Protection Regulation, <https://eur-lex.europa.eu/legal-content/EN/TXT/HTML/?uri=CELEX:02016R0679-20160504&from=EN>

\*\*\*\*\*\*\*\*\*\*\*\*\*\*\* End of 1st Change \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

\*\*\*\*\*\*\*\*\*\*\*\*\*\*\* Start of 2nd Change \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

Annex X (normative): User consent requirements and mechanisms

X.1 General

### X.1.1 Scope

User consent can be required for 3GPP features depending on local regulations. Therefore, this annex describes the generic security requirements and procedures to support user consent enforcement in 3GPP services. While the use cases can differ, the annex focuses on the common and generic aspects related to the storage, checking and revocation of the user consent.

The user consent related requirements and mechanism in the present document are applicable only when it is required by regional regulations or operator’s local policy, not otherwise.

The term *data processing* in this annex is used to convey the same meaning as in [XX].

### X.1.2 Relationship between end-users and subscriber

It is assumed that the user consent is obtained from the end-users. The end-user(s) is the subscriber itself or authorize the subscriber to provide consent on behalf of the end-users. Alternatively, the end-users are authorized by the subscriber to provide the consent. That means user consent is always tied to the subscription information. How authorization is provided between the subscriber and the end-users is out-of-scope of this specification.

NOTE: The term end-user is defined in TR 21.905 [1].

X.2 Requirements

The UDM shall support the following services related to the user consent.

- Retrieval of user consent parameters.

- Notification of user consent parameters change.

The user consent parameters shall be stored in the UDM/UDR as subscription data.

The user consent parameters shall be bound to a SUPI/GPSI.

The user consent parameters shall be bound to the purpose of data processing.

The user consent parameters shall include whether the user consent is granted or not.

The user consent parameters shall be effective only after the point in time that user consent was given, and they shall be effective until they are revoked.

The user consent parameters shall be effective until revoked. It means that there is no expiry/validity timer for the user consent parameters.

NOTE: UDM does not provide revocation service, it only provides notification.

X.3 User consent check

Any NF that is deemed an enforcement point for user consent shall support to retrieve the user consent parameters from the UDM.

Any NF that is deemed an enforcement point for user consent shall not accept any services or requests for data processing unless user consent is granted.

NFs obtaining or checking the user consent parameters shall consider the user consent parameters as effective until revoked when obtaining or checking the user consent parameters.

Editor’s Note: Details to be added on how the enforcement NF is informed of the purpose.

X.4 User consent revocation

Any NF that is deemed an enforcement point for user consent shall support subscription to the user consent parameter change notification provided by the UDM.

Following a notification event, any NF that is deemed an enforcement point for user consent shall no longer accept any service request for data processing subject to a revoked user consent.

Following a notification event, any NF that is deemed an enforcement point for user consent may notify other NFs to halt the processing of the data subject to the revoked user consent.

Upon notification of consent revocation, NFs (possessing the data pertaining to the revoked consent) shall halt processing and collection of the data.

Upon notification of consent revocation, the data may have to be deleted, or quarantined, or temporarily retained.

Editor’s Note: Whether keeping a record of all NFs having received data subject to user consent and actively informing the NFs in case of user consent revocation is FFS if the user consent parameter change notification provided by the UDM is not used.

\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\* End of 2nd Change \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*