**3GPP TSG- Meeting #9R4-21xxxxx**

**Online, 19 – 27 May, 2021**

**Agenda item:** 5.1.3

**Source:** Moderator (Apple)

**Title:** Email discussion summary for [99e][208] NR\_RRM\_Enh\_RRM\_3

**Document for:** Information

# Introduction

This email discussion summary includes multiple Scell activation/deactivation, 5.1.3.2.2.5 Inter-frequency measurement requirement without MG, UE-specific CBW change and 5.1.3.2.2.9 Inter-band CA requirement for FR2 UE measurement capability of independent Rx beam, in AI 5.1.3.1, 5.1.3.2.2.2/5/7/9.

# Topic #1: Core maintenance (5.1.3, 5.1.3.1)

*Main technical topic overview. The structure can be done based on sub-agenda basis.*

## Companies’ contributions summary

|  |  |  |  |
| --- | --- | --- | --- |
| **T-doc number** | **Title** | **Company** | **Proposals / Observations** |
| [**R4-2109523**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2109523.zip) | CR on inter-frequency measurement without measurement gap | CMCC | Correct the table caption. |
| [**R4-2109524**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2109524.zip) | CR on inter-frequency measurement without measurement gap | CMCC |  |
| [**R4-2109883**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2109883.zip) | CR on TS38.133 for typo modifications on intra frequency and inter frequency measurement requirement | MediaTek inc. | * Add a missing CSSFintra factor in Table 9.2.5.2-5. * Correct the typo on the title of table:   + From “Table 9.3.4-1” to “Table 9.3.9-1”   + From “Table 9.3.4-2” to “Table 9.3.9-2”   + From “Table 9.3.4-3” to “Table 9.3.9-3” * Correct the typo on Table 9.3.9-3   + From “TSSB\_time\_index\_intra” to “TSSB\_time\_index\_inter” * Correct the typo on the title of Table 9.3.9-1 and 9.3.9-2   + From “with gaps” to “without gaps”   + From “T SSB\_measurement\_period\_intra” to “T SSB\_measurement\_period\_inter” |
| R4-2109884 | CR on TS38.133 for typo modifications on intra frequency and inter frequency measurement requirement | MediaTek inc. |  |
| [**R4-2109988**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2109988.zip) | Remaining issues on Multiple SCell activation | Ericsson | ***Observation 1: Aligning SMTC offset cannot solve the performance degradation on active serving cells when active serving cells have the different SMTC periodicity with SCells being activated in the same band.***  ***Proposal 1: There is no performance degradation issue for active serving cells* due to AGC *retuning for SCell being activated when***   * *to-be-activated unknown SCells have active serving cell(s) or known SCell being activated(s) on the same band in FR2, or* * *all SCells being activated don’t need AGC retuning, or* * ***no active serving cell in the same band with the SCell being activated which needs AGC retuning***   ***Proposal 2: To avoid the performance degradation for active serving cell, RF retuning occasion shall base on the SCell(s) which has active serving cells in the same band other than any SCell which has the earliest SMTC occasion after THARQ+3ms.***  ***Proposal 3: Define ‘common SMTC occasion’ when two or more bands have the SCells being activated and active serving cells in the same band as follow.***  ***there for K SCells being activated exists a set of non-zero integers N1, ..., NK and a value X fulfilling the following:***  ***- SMTC offset#m + Nm×SMTC period#m = X, for m=1,...,K, where SMTC offset#m and SMTC period#m are SMTC offset and SMTC period, respectively, for the m-th Scell in the set of K SCells to be activated.***  ***Proposal 4: When common SMTC occasion exists for all SCells being activated and more than one band has the SCell being activated and the active serving cell(s) in the same band, the RF retuning occasionshall base on the common SMTC occasion for all SCells being activated.***  ***Proposal 5: When common SMTC occasion non-exists for SCells being activated and more than band has the SCell(s) being activated and active serving cell(s) in the same band, the performance degradation for active serving cells which are in the same band with latter SCells being activated is expected. RAN4 should further enhance this scenario in the latter release.***  ***Proposal 6: The TFirstSSB\_MAX\_multiple\_scells definition for multiple SCell activation requirement shall be updated as follows.***   |  | | --- | | *TFirstSSB\_MAX\_multiple\_scells: is the time*  *- TFirstSSB\_MAX, band #k, when only one band’s SCell(s) being activated has active serving cell(s) in the same band;*  *- max {TFirstSSB\_MAX, band #i} i = 1, 2, …, maxBands, when common SMTC occasion exists for all SCells being activated and more than one band’s SCell(s) being activated have active serving cell(s) in the same band;*  *Where, the common SMTC occasion can be defined as*  *there for K SCells being activated exists a set of non-zero integers N1, ..., NK and a value X fulfilling the following:*  *- SMTC offset#m + Nm×SMTC period#m = X, for m=1,...,K, where SMTC offset#m and SMTC period#m are SMTC offset and SMTC period, respectively, for the m-th Scell in the set of K SCells to be activated.*  *- min {TFirstSSB\_MAX, band #i} i = 1, 2, …, maxBands, when*  *- all SCells being activated are on FR2, or*  *- no additional AGC retuning is needed for all SCells being activated, or*  *- no active serving cell(s) in the same band with the SCells being activated which need AGC retuning, or*  *- SMTC offset is different for all SCells being activated and more than one band’s SCell(s) being activated have active serving cell(s) in the same band*  *When more than one bands’ SCell(s) being activated have active serving cell(s) in the same band and SMTC offset is different, performance degradation can be expected for active serving cell(s) with SCell(s) being activated in the same band #i after min{TFirstSSB\_MAX, band #i} to the TFirstSSB\_MAX, band #i.*  *Where,*  *maxBands is the maximum number of UE supported bands which have SCells being activated.*  *TFirstSSB\_MAX, band #k is the TFirstSSB\_MAX, band #i time for the band #k which has active serving cell(s) and to-be-activated SCell(s).*  *TFirstSSB\_MAX, band #i is the time to the end of the first complete SSB burst indicated by the SMTC after slot n + for the SCell(s) being activated in band #i, further fulfilling:*  *- In FR1, in case of active serving cell(s) in the same band with SCell(s) being activated, the occasion when all active serving cell(s) and SCell(s) being activated or released are transmitting SSB bursts in the same slot; otherwise, the first SMTC occasion when the SCell(s) being activated are transmitting SSB burst.*  *- In FR2, the occasion when all active serving cell(s) and SCell(s) being activated or released are transmitting SSB bursts in the same slot.* | |
| [**R4-2109989**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2109989.zip) | CR on TS38.133 multiple SCell activation - r16 | Ericsson | CR based on discussion paper [**R4-2109988**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2109988.zip) |
| R4-2109990 | CR on TS38.133 multiple SCell activation - r17 | Ericsson |  |
| [**R4-2110900**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2110900.zip) | Discussion on remaining issues in multiple SCell activation | Huawei, HiSilicon | **Proposal 1: If SMTC offset is different among**   * **SCells in different bands activated by the same MAC CE if UE does not support per FR gap, or** * **SCells in different FR1 bands activated by the same MAC CE if UE supports per FR gap,**   **for activated FR1 serving cells in the same band as an unknown to-be-activated SCell, there may be more interruption than allowed in clause 8.2 due to multiple SCell activation.** |
| [**R4-2110901**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2110901.zip) | CR on SMTC alignment in multiple SCell activation | Huawei, HiSilicon | CR based on discussion paper [**R4-2110900**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2110900.zip) |
| R4-2110902 | CR on SMTC alignment in multiple SCell activation R17 | Huawei, HiSilicon |  |

## Open issues summary

*Before e-Meeting, moderators shall summarize list of open issues, candidate options and possible WF (if applicable) based on companies’ contributions.*

### Sub-topic 1-1: Inter-frequency measurement without MG

*Sub-topic description:*

*Open issues and candidate options before e-meeting:*

**Issue 1-1: There are many same revision between R4-2109523(CMCC) and R4-2109883(MTK), which one could be used as baseline?**

* + Option 1: use R4-2109523(CMCC), and merge R4-2109883(MTK) into R4-2109523(CMCC)
  + Option 2: use R4-2109883(MTK), and merge R4-2109523(CMCC) into R4-2109883(MTK)
* Recommended WF
  + Moderator: suggest CMCC and MTK to coordinate with each other to choose one CR as baseline.
* 1st round Comment collection:

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| **Company** | **Comments** |
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### Sub-topic 1-2: Multiple Scell activation

*Sub-topic description*

*Open issues and candidate options before e-meeting:*

**Issue 1-2: Condition of SMTC configuration to apply multiple SCell activation requirement**

* Proposals
  + Option 1 (Ericsson):
    - Define ‘common SMTC occasion’ when two or more bands have the SCells being activated and active serving cells in the same band as follow.
      * there for K SCells being activated exists a set of non-zero integers N1, ..., NK and a value X fulfilling the following:
      * SMTC offset#m + Nm×SMTC period#m = X, for m=1,...,K, where SMTC offset#m and SMTC period#m are SMTC offset and SMTC period, respectively, for the m-th Scell in the set of K SCells to be activated.
    - When only one band has the SCell being activated and the active serving cell(s) in the same band, the RF retuning occasion shall base on the SCell(s) which has active serving cells in the same band.
    - When common SMTC occasion exists for all SCells being activated and more than one band has the SCell being activated and the active serving cell(s) in the same band, the RF retuning occasion shall base on the common SMTC occasion for all SCells being activated.
    - When common SMTC occasion non-exists for SCells being activated and more than band has the SCell(s) being activated and active serving cell(s) in the same band, the performance degradation for active serving cells which are in the same band with latter SCells being activated is expected. RAN4 should further enhance this scenario in the latter release.
  + Option 2 (Huawei):
    - If SMTC offset is different among
      * SCells in different bands activated by the same MAC CE if UE does not support per FR gap, or
      * SCells in different FR1 bands activated by the same MAC CE if UE supports per FR gap,
    - for activated FR1 serving cells in the same band as an unknown to-be-activated SCell, there may be more interruption than allowed in clause 8.2 due to multiple SCell activation.
* Recommended WF
  + TBA.
* 1st round Comment collection:

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| **Company** | **Comments** |
| Apple | We acknowledge the observation from Ericsson that the issue cannot be addressed by aligning the SMTC offset only. But option 2 might be an easier way compared with option 1. Our suggestion is to revise option 2 to a new option that (wording could be further discussed):   * + Option 3 (Apple):     - Upon receiving SCell activation command in slot *n, i*f the closest SMTC after *n*+THARQ+3ms is not aligned on time domain among       * SCells in different bands activated by the same MAC CE if UE does not support per FR gap, or       * SCells in different FR1 bands activated by the same MAC CE if UE supports per FR gap,       * At least one target SCell which needs AGC estimation is in the same band as an active serving cell     - The multiple SCell activation and corresponding interruption requirement cannot apply. |
| Qualcomm | We also acknowledge the observation provided in Ericsson contribution. But we are not sure if all those cases need to be explicitly addressed in requirement spec given that UE performance degradation for those cases is anyway not going to be evaluated in certification tests. Besides, if the change is effectively to extend the activation latency, we’re wondering if there won’t any unwanted side effect, e.g. latency, UE power consumption, etc.  Option 3 is okay with us in principle, if it’s acceptable to Ericsson. |
|  |  |

## Companies views’ collection for 1st round

### Open issues

Comments are collected in section 1.2

### CRs/TPs comments collection

*Major close-to-finalize WIs and Rel-15 maintenance, comments collections can be arranged for TPs and CRs. For Rel-16 on-going Wis, suggest to focus on open issues discussion on 1st round.*

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| --- | --- |
| **CR/TP number** | **Comments collection** |
| [R4-2109523](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2109524.zip)  (CMCC CR) | Company A |
| Company B |
|  |
| [R4-2109883](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2109883.zip)  (MTK CR) | Company A |
| Company B |
|  |
| [R4-2109989](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2109989.zip)  (Ericsson CR) | Apple: according to conclusion in issue 1-2 |
| Company B |
|  |
| [R4-2110901](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2110901.zip)  (HW CR) | Apple: according to conclusion in issue 1-2 |
| Company B |
|  |
| R4-2109984  (Ericsson CR, endorsed in RAN4 #98bise) | Apple: agree |
| Company B |
|  |
| R4-2110898  (HW CR, endorsed in RAN4 #98bise) | Apple: agree |
| Company B |
|  |

## Summary for 1st round

### Open issues

*Moderator tries to summarize discussion status for 1st round, list all the identified open issues and tentative agreements or candidate options and suggestion for 2nd round i.e. WF assignment.*

### CRs/TPs

*Moderator tries to summarize discussion status for 1st round and provides recommendation on CRs/TPs Status update*

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| **CR/TP number** | **CRs/TPs Status update recommendation** |
| XXX | *Based on 1st round of comments collection, moderator can recommend the next steps such as “agreeable”, “to be revised”* |

## Discussion on 2nd round (if applicable)

## Summary on 2nd round (if applicable)

*Moderator tries to summarize discussion status for 2nd round and provided recommendation on CRs/TPs/WFs/LSs Status update suggestion*

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| --- | --- |
| **CR/TP/LS/WF number** | **T-doc Status update recommendation** |
| XXX | *Based on 2nd round of comments collection, moderator can recommend the next steps such as “agreeable”, “to be revised”* |

# Topic #2: Performance maintenance (5.1.3.2.2.2/5/7/9)

*Main technical topic overview. The structure can be done based on sub-agenda basis.*

## Companies’ contributions summary

|  |  |  |  |
| --- | --- | --- | --- |
| **T-doc number** | **Title** | **Company** | **Proposals / Observations** |
| [**R4-2110289**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2110289.zip) | CR on maintaining SCell activation and deactication delay test for FR2 inter-band CA R16 | Huawei, HiSilicon | To remove the square brackets in SCell activation and deactication delay test for FR2 inter-band CA. |
| R4-2110290 | CR on maintaining SCell activation and deactication delay test for FR2 inter-band CA R17 | Huawei, HiSilicon |  |

## Open issues summary

*Before e-Meeting, moderators shall summarize list of open issues, candidate options and possible WF (if applicable) based on companies’ contributions.*

### Sub-topic 1-1: Inter-frequency measurement without MG

*Sub-topic description:*

*Open issues and candidate options before e-meeting:*

## Companies views’ collection for 1st round

### Open issues

Comments are collected in section 2.2

### CRs/TPs comments collection

*Major close-to-finalize WIs and Rel-15 maintenance, comments collections can be arranged for TPs and CRs. For Rel-16 on-going Wis, suggest to focus on open issues discussion on 1st round.*

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| --- | --- |
| **CR/TP number** | **Comments collection** |
| [R4-2110289](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2110289.zip)  (HW’s CR) | Apple: fine |
| Company B |
|  |
| R4-2110290  (Cat-A) | Company A |
| Company B |
|  |

## Summary for 1st round

### Open issues

*Moderator tries to summarize discussion status for 1st round, list all the identified open issues and tentative agreements or candidate options and suggestion for 2nd round i.e. WF assignment.*

### CRs/TPs

*Moderator tries to summarize discussion status for 1st round and provides recommendation on CRs/TPs Status update*

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| --- | --- |
| **CR/TP number** | **CRs/TPs Status update recommendation** |
| XXX | *Based on 1st round of comments collection, moderator can recommend the next steps such as “agreeable”, “to be revised”* |

## Discussion on 2nd round (if applicable)

## Summary on 2nd round (if applicable)

*Moderator tries to summarize discussion status for 2nd round and provided recommendation on CRs/TPs/WFs/LSs Status update suggestion*

|  |  |
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| **CR/TP/LS/WF number** | **T-doc Status update recommendation** |
| XXX | *Based on 2nd round of comments collection, moderator can recommend the next steps such as “agreeable”, “to be revised”* |

# Recommendations for Tdocs

## 1st round

**New tdocs**

|  |  |  |
| --- | --- | --- |
| **Title** | **Source** | **Comments** |
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**Existing tdocs**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Tdoc number** | **Title** | **Source** | **Recommendation** | **Comments** |
| R4-210xxxx | CR on … | XXX | Agreeable, Revised, Merged, Postponed, Not Pursued |  |
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Notes:

1. Please include the summary of recommendations for all tdocs across all sub-topics incl. existing and new tdocs.
2. For the Recommendation column please include one of the following:
   1. CRs/TPs: Agreeable, Revised, Merged, Postponed, Not Pursued
   2. Other documents: Agreeable, Revised, Noted
3. For new LS documents, please include information on To/Cc WGs in the comments column
4. Do not include hyper-links in the documents

## 2nd round

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| **Tdoc number** | **Title** | **Source** | **Recommendation** | **Comments** |
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Notes:

1. Please include the summary of recommendations for all tdocs across all sub-topics.
2. For the Recommendation column please include one of the following:
   1. CRs/TPs: Agreeable, Revised, Merged, Postponed, Not Pursued
   2. Other documents: Agreeable, Revised, Noted
3. Do not include hyper-links in the documents