**3GPP TSG-RAN4 Meeting #99-e *R4-2108244***

**Electronic Meeting, May 19-27 2021**

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| *CR-Form-v12.1* |
| **CHANGE REQUEST** |
|  |
|  | **38.133** | **CR** | **2144** | **rev** | **1** | **Current version:** | **16.7.0** |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network |  | Core Network |  |

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|  |
| ***Title:***  | Correction to AoA setup and beam assumptions in test on mandatory gaps |
|  |  |
| ***Source to WG:*** | Ericsson |
| ***Source to TSG:*** | R4 |
|  |  |
| ***Work item code:*** | NR\_RRM\_enh-Perf |  | ***Date:*** | 2021-05-24 |
|  |  |  |  |  |
| ***Category:*** | **F** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)* |
|  |  |
| ***Reason for change:*** | To define beam assumptions in FR2 tests cases on measurements with mandatory gaps |
|  |  |
| ***Summary of change:*** | In the tests on event triggered reporting tests (with Rel-16 additional mandatory gap pattern 17) the beam assumption (rough) is defined.  |
|  |  |
| ***Consequences if not approved:*** | OTA setting is unclear in test cases.Incorrect FR2 test setup parameter may be used in RAN5 testing |
|  |  |
| ***Clauses affected:*** | A.7.6.2.9.1 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ... |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

**----------------------START OF CHANGES----------------------------**

#### A.7.6.2.9 SA event triggered reporting tests For FR2 without SSB time index detection when DRX is not used (PCell in FR2) (rel16 additional mandatory gap pattern 17)

##### A.7.6.2.9.1 Test Purpose and Environment

The purpose of this test is to verify that the UE makes correct reporting of an event. This test will partly verify the SA inter-frequency NR cell search requirements in clause 9.3.4.

In this test, there are two cells: NR cell 1 as PCell in FR2 on NR RF channel 1 and NR cell 2 as neighbour cell in FR2 on NR RF channel 2. The test parameters and configurations are given in Tables A.7.6.2.9.1-1, A.7.6.2.9.1-2, and A.7.6.2.9.1-3.

In the measurement control information, it is indicated to the UE that event-triggered reporting with Event A3 is used. The test consists of two successive time periods, with time duration of T1, and T2 respectively. During time duration T1, the UE shall not have any timing information of NR cell 2.

Supported test configurations are shown in table A.7.6.2.9.1-1.

Table A.7.6.2.9.1-1 SA event triggered reporting tests without SSB index reading for FR2-FR2

|  |  |
| --- | --- |
| Config | Description |
| 1 | 120 kHz SSB SCS, 100 MHz bandwidth, TDD duplex mode |
| Note 1: Void. |

Table A.7.6.2.9.1-2: General test parameters for SA inter-frequency event triggered reporting for FR2 without SSB time index detection (GP17)

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Parameter | Unit | Test configuration | Value | Comment |
| NR RF Channel Number |  | Config 1 | 1, 2 | Two FR2 NR carrier frequencies is used. |
| Active cell |  | Config 1 | NR cell 1 (Pcell) | NR Cell 1 is on NR RF channel number 1. |
| Neighbour cell |  | Config 1 | NR cell 2 | NR cell 2 is on NR RF channel number 2. |
| Gap Pattern Id |  | Config 1 | 17 | As specified in clause 9.1.2-1. |
| Measurement gap offset |  | Config 1 | 39 |  |
| SMTC-SSB parameters |  | Config 1 | SSB.3 FR2 | As specified in clause A.3.10.2 |
| A3-Offset | dB | Config 1 | -30 |  |
| Hysteresis | dB | Config 1 | 0 |  |
| CP length |  | Config 1 | Normal |  |
| TimeToTrigger | s | Config 1 | 0 |  |
| Filter coefficient |  | Config 1 | 0 | L3 filtering is not used |
| DRX |  | Config 1 | OFF | DRX is not used |
| Time offset between serving and neighbour cells |  | Config 1 | 3μs | Synchronous cells. |
| T1 | s | Config 1 | 5 |  |
| T2 | s | Config 1 | 6 (PC1)4 (other PC) |  |

Table A.7.6.2.9.1-3: Cell specific test parameters for SA inter-frequency event triggered reporting for FR2 without SSB time index detection (GP17)

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Parameter | Unit | Test configuration | Cell 1 | Cell 1 |
| T1 | T2 | T1 | T2 |
| AoA setup |  | Config 1 | Setup 3 as specified in clause A.3.15 |
| AoA1 | AoA2 |
| Beam assumptionNote 7 |  | Config 1 | Rough | Rough |
| NR RF Channel Number |  | Config 1 | 1 | 2 |
| Duplex mode |  | Config 1 | TDD | TDD |
| TDD configuration |  | Config 1 | TDDConf.3.1 | TDDConf.3.1 |
| BWchannel | MHz | Config 1 | 100: NRB,c = 66 | 100: NRB,c = 66 |
| BWP BW | MHz | Config 1 | 100: NRB,c = 66 | 100: NRB,c = 66 |
| BWP configuration | Initial DL BWP |  | Config 1 | DLBWP.0.1 | N/A |
| Initial UL BWP |  | ULBWP.0.1 | N/A |
| Dedicated DL BWP |  | DLBWP.1.1 | N/A |
| Dedicated UL BWP |  | ULBWP.1.1 | N/A |
| OCNG Patterns defined in A.3.2.1.1 (OP.1)  |  | Config 1 | OP.1  | OP.1 |
| PDSCH Reference measurement channel |  | Config 1 | SR.3.1 TDD | - |
| CORESET Reference Channel |  | Config 1 | CR.3.1 TDD | - |
| SMTC configuration defined in A.3.11.1 and A.3.11.2 |  | Config 1 | SMTC.1 | SMTC.1 |
| PDSCH/PDCCH subcarrier spacing | kHz | Config 1 | 120 | 120 |
| TRS configuration |  | Config 1 | TRS.2.1 TDD | N/A |
| TCI configuration |  | Config 1 | CSI-RS.Config.0 | N/A |
| EPRE ratio of PSS to SSS |  | Config 1 | 0 | 0 |
| EPRE ratio of PBCH DMRS to SSS |  |
| EPRE ratio of PBCH to PBCH DMRS |  |
| EPRE ratio of PDCCH DMRS to SSS |  |
| EPRE ratio of PDCCH to PDCCH DMRS |  |
| EPRE ratio of PDSCH DMRS to SSS  |  |
| EPRE ratio of PDSCH to PDSCH  |  |
| EPRE ratio of OCNG DMRS to SSS(Note 1) |  |
| EPRE ratio of OCNG to OCNG DMRS (Note 1) |  |
| Note2 | dBm/15kHz Note5 |  | N/A | N/A |
| Note2 | dBm/SCS Note4 | Config 1 | N/A | N/A |
| SS-RSRP Note 3 | dBm/SCS Note5 | Config 1 | -87 | -87 | -Infinity | -87 |
|  | dB | Config 1 | N/A | N/A | -Infinity | N/A |
|  | dB | Config 1 | N/A | N/A | -Infinity | N/A |
| IoNote3 | dBm/95.04 MHz Note5 | Config 1 | -58.01 | -58.01 | -Infinity | -58.01 |
| Propagation Condition  |  | Config 1 | AWGN |
| Note 1: OCNG shall be used such that both cells are fully allocated and a constant total transmitted power spectral density is achieved for all OFDM symbols.Note 2: Interference from other cells and noise sources not specified in the test is assumed to be constant over subcarriers and time and shall be modelled as AWGN of appropriate power for  to be fulfilled.Note 3: SS-RSRP and Io levels have been derived from other parameters for information purposes. They are not settable parameters themselves.Note 4: SS-RSRP minimum requirements are specified assuming independent interference and noise at each receiver antenna port.Note 5: Equivalent power received by an antenna with 0 dBi gain at the centre of the quiet zoneNote 6: As observed with 0 dBi gain antenna at the centre of the quiet zoneNote 7: Information about types of UE beam is given in B.2.1.3, and does not limit UE implementation or test system implementation |

**----------------------END OF CHANGES----------------------------**