**3GPP TSG-RAN WG4 Meeting # 99-e R4-210XXXX**

**Electronic Meeting, 19th – 27th May, 2021**

**Agenda item:** 13.2

**Source:** Moderator (ZTE)

**Title:** Email discussion summary for [99-e][160] NR\_reply\_LS\_RF\_Part2

**Document for:** Information

# Introduction

*Briefly introduce background, the scope of this email discussion (e.g. list of treated agenda items) and provide some guidelines for email discussion if necessary.*

*List of candidate target of email discussion for 1st round and 2nd round*

* 1st round: TBA
* 2nd round: TBA

The following reply LSs are discussed per Chairman’s arrangement:

|  |  |  |
| --- | --- | --- |
| **Tdoc number** | Company | Notes |
| [**R4-2109417**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2109417.zip) | ZTE Wistron Telecom AB | Discussion and Response to R2-2104550 on the intra-band and inter-band (NG)EN-DC or NE-DC Capabilities |
| [**R4-2109687**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2109687.zip) | vivo | Response to R2-2104550 on the intra-band and inter-band (NG)EN-DC or NE-DC Capabilities |
| [**R4-2111450**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2111450.zip) | Huawei,HiSilicon | Response to R2-2104550 on the intra-band and inter-band (NG)EN-DC or NE-DC Capabilities |
|  |  |  |
| [**R4-2109685**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2109685.zip) | vivo | Response to R5-211609 Clarification on exception requirements for Intermodulation due to Dual uplink (IMD) |
| [**R4-2110198**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2110198.zip) | Xiaomi | Discussion and Response to R5-211609 Clarification on exception requirements for Intermodulation due to Dual uplink (IMD) |
| [**R4-2110437**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2110437.zip) | ZTE | Discussion and Response to R5-211609 Clarification on exception requirements for Intermodulation due to Dual uplink (IMD) |
| [**R4-2111105**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2110959.zip) | Ericsson | Discussion on exception requirements for Intermodulation due to Dual uplink (IMD) |
| [**R4-2110806**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2110806.zip) | Oppo | Discussion on exception requirements for Intermodulation due to Dual uplink (IMD) |
| [**R4-2110396**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2110396.zip) | Huawei | Discussion and Response to R5-211609 Clarification on exception requirements for Intermodulation due to Dual uplink (IMD) |
|  |  |  |
| [**R4-2110597**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2110648.zip) | ZTE | Per Chairman’s suggestion, the corresponding SI is closed and no TR is allowed, so the Tdoc will not be discussed. |

# Topic #1: Reply to R2-2104550 on the intra-band and inter-band (NG)EN-DC or NE-DC Capabilities

*Main technical topic overview. The structure can be done based on sub-agenda basis.*

## Companies’ contributions summary

|  |  |  |
| --- | --- | --- |
| **T-doc number** | **Company** | **Proposals / Observations** |
| [**R4-2109417**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2109417.zip) | ZTE Wistron Telecom AB | Discussion and Response to R2-2104550 on the intra-band and inter-band (NG)EN-DC or NE-DC Capabilities |
| [**R4-2109687**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2109687.zip) | vivo | Response to R2-2104550 on the intra-band and inter-band (NG)EN-DC or NE-DC Capabilities |
| [**R4-2111450**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2111450.zip) | Huawei,HiSilicon | Response to R2-2104550 on the intra-band and inter-band (NG)EN-DC or NE-DC Capabilities |

## Open issues summary

*Before e-Meeting, moderators shall summarize list of open issues, candidate options and possible WF (if applicable) based on companies’ contributions.*

*In RAN2’s LS R2-2104550, 5 types of band combinations are defined:*

* Type 1: Intra-band (NG)EN-DC/NE-DC combination without additional inter-band NR and LTE CA component, e.g. DC **41A\_n41A**
* Type 2: Intra-band (NG)EN-DC/NE-DC combination supporting both UL and DL intra-band (NG)EN-DC/NE-DC parts with additional inter-band NR/LTE CA component, e.g. *DC\_25A\_****41A\_n41A***
* Type 3: Intra-band (NG)EN-DC/NE-DC combination without supporting UL in both the bands of the intra-band (NG)EN-DC/NE-DC UL part, e.g. DC\_**25A**\_41A\_**n41A**
* Type 4: Inter-band (NG)EN-DC/NE-DC combination without Intra-band component, in short we call it as Inter-band (NG)EN-DC/NE-DC combination.
* Type 5: Inter-band (NG)EN-DC combination configurations where the frequency range of the E-UTRA band is a subset of the frequency range of the NR band, e.g., DC\_B42\_n77 and DC\_B42\_n78.



*And there are IEs in the questions where two of them are RAN1 capabilities, and the rest three are RAN4 capabilities:*

| R1: 6-24 | Applying the same UL timing between NR and LTE | ***ul-TimingAlignmentEUTRA-NR***  ***Indicates whether to apply the same UL timing between NR and LTE for dynamic power sharing capable UE operating in a synchronous intra-band contiguous (NG)EN-DC. If this field is absent, UE shall be capable of handling a timing difference up to applicable MTTD requirements when operating in a synchronous intra-band contiguous (NG)EN-DC network, as specified in TS 38.133 [5]. If this capability is included in an inter-band (NG)EN-DC BC with an intra-band (NG)EN-DC BC part, this capability is used to indicate the restriction to the intra-band (NG)EN-DC BC part.*** |
| --- | --- | --- |
| R1: 6-23 | Incapability motivated by impacts of PA phase discontinuity with overlapping transmissions with non-aligned starting or ending times or hop boundaries across carriers for intra-band EN-DC, intra-band CA, and FDM based ULSUP | ***pa-PhaseDiscontinuityImpacts***  ***Indicates incapability motivated by impacts of PA phase discontinuity with overlapping transmissions with non-aligned starting or ending times or hop boundaries across carriers for intra-band (NG)EN-DC/NE-DC, intra-band CA and FDM based ULSUP.*** |
| R4: 2-16 | PA architectures for intra-band EN-DC | ***dualPA-Architecture***  ***For an intra-band band combination, this field indicates the support of dual PAs. If absent in an intra-band band combination, the UE supports single PA for all the ULs in the intra-band band combination. For other band combinations, this field is not applicable.*** |
| R4:2-4 | Simultaneous reception and transmission for inter-band EN-DC (TDD-TDD or TDD-FDD) | ***simultaneousRxTxInterBandENDC***  ***Indicates whether the UE supports simultaneous transmission and reception in TDD-TDD and TDD-FDD inter-band (NG)EN-DC/NE-DC. It is mandatory for certain TDD-FDD and TDD-TDD band combinations defined in TS 38.101-3 [4].*** |
| R4:2-6 | Asynchronous FDD-FDD intra-band EN-DC DC | ***asyncIntraBandENDC***  ***Indicates whether the UE supports asynchronous FDD-FDD intra-band (NG)EN-DC with MRTD and MTTD as specified in clause 7.5 and 7.6 of TS 38.133 [5]. If asynchronous FDD-FDD intra-band (NG)EN-DC is not supported, the UE supports only synchronous FDD-FDD intra-band (NG)EN-DC.*** |

### Sub-topic 1-1

*Sub-topic description:*

*Applicability of capability IEs for different types of band combinations corresponding to Question 1 in the LS.*

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  | Type 1 | Type 2 | Type 3 | Type 4 | Type 5 |
| ***dualPA-Architecture*** |  |  |  |  |  |
| ***simultaneousRxTxInterBandENDC*** |  |  |  |  |  |
| ***asyncIntraBandENDC*** |  |  |  |  |  |
| ***ul-TimingAlignmentEUTRA-NR*** |  |  |  |  |  |
| ***pa-PhaseDiscontinuityImpacts*** |  |  |  |  |  |

*Open issues and candidate options before e-meeting:*

**Issue 1-1-1: Whether or not to include the two RAN1 capabilities, i.e.,** **ul-TimingAlignmentEUTRA-NR and pa-PhaseDiscontinuityImpacts in the RAN4’s reply LS?**

* Proposals
  + Option 1: Yes
  + Option 2: No
* Recommended WF
  + TBA

**Issue 1-1-2: Which type(s) of band combination is *dualPA-Architecture* applicable to?**

* Proposals
  + Option 1: Type 1, Type 2 and Type 5
  + Option 2: Type 1 and Type 2
* Recommended WF
  + TBA

**Issue 1-1-3: Which type(s) of band combination is *simultaneousRxTxInterBandENDC* applicable to?**

* Proposals
  + Option 1: Type 2, Type 3 and Type 4
  + Option 2: Type 2, Type 3, Type 4 and Type 5
  + Option 3: Type 3 and Type 4
* Recommended WF
  + TBA

**Issue 1-1-4: Which type(s) of band combination is *asyncIntraBandENDC* applicable to?**

* Proposals
  + Option 1: Type 1, Type 2, Type 3 and Type 5
  + Option 2: Type 1, Type 2 and Type 5
  + Option 3: Type 1 and Type 2
  + Option 4: Type 1, Type 2, Type 3
* Recommended WF
  + TBA

**Issue 1-1-5: If included in the RAN4’s reply LS, which type(s) of band combination is *ul-TimingAlignmentEUTRA-NR* applicable to?**

* Proposals
  + Option 1: Type 1, Type 2 and Type 5
  + Option 2: Type 1 and Type 2
* Recommended WF
  + TBA

**Issue 1-1-6: If included in the RAN4’s reply LS, which type(s) of band combination is *ul-*** ***pa-PhaseDiscontinuityImpacts* applicable to?**

* Proposals
  + Option 1: Type 1 and Type 2
  + Option 2: Type 1 and Type only for E-UTRA FDD-NR FDD intra-band EN-DC
* Recommended WF
  + TBA

### Sub-topic 1-2

*Sub-topic description*

*If capabilities (ul-TimingAlignmentEUTRA-NR/ pa-PhaseDiscontinuityImpacts /**ul-dualPA-Architecture/ asyncIntraBandENDC) are applicable to Type1/2/3, whether or not are they used to indicate the restriction to the intra-band part (corresponding to Question 2 in the LS)?*

*Open issues and candidate options before e-meeting:*

**Issue 1-2-1: If *ul-dualPA-Architecture* is applicable to Type 1/2/3, is it used to indicate the restriction to the intra-band (NG)EN-DC/NE-DC BC part?**

* Proposals
  + Option 1: Yes
  + Option 2: No
* Recommended WF
  + TBA

**Issue 1-2-2: If *asyncIntraBandENDC* is applicable to Type 1/2/3, is it used to indicate the restriction to the intra-band (NG)EN-DC/NE-DC BC part?**

* Proposals
  + Option 1: Yes
  + Option 2: No
* Recommended WF
  + TBA

**Issue 1-2-3: If *ul-TimingAlignmentEUTRA-NR* is included in the RAN4’s reply LS and applicable to Type 1/2/3, is it used to indicate the restriction to the intra-band (NG)EN-DC/NE-DC BC part?**

* Proposals
  + Option 1: Yes
  + Option 2: No
* Recommended WF
  + TBA

**Issue 1-2-4: If *pa-PhaseDiscontinuityImpacts* is included in the RAN4’s reply LS and applicable to Type 1/2/3, is it used to indicate the restriction to the intra-band (NG)EN-DC/NE-DC BC part?**

* Proposals
  + Option 1: Yes
  + Option 2: No
* Recommended WF
  + TBA

## Companies views’ collection for 1st round

### Open issues

Sub topic 1-1

**Issue 1-1-1: Whether or not to include the two RAN1 capabilities, i.e.,** **ul-TimingAlignmentEUTRA-NR and pa-PhaseDiscontinuityImpacts in the RAN4’s reply LS?**

* Proposals
  + Option 1: Yes
  + Option 2: No

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Huawei | Option 1 |
|  |  |

**Issue 1-1-2: Which type(s) of band combination is *dualPA-Architecture* applicable to?**

* Proposals
  + Option 1: Type 1, Type 2 and Type 5
  + Option 2: Type 1 and Type 2

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Huawei | Option 2 |
|  |  |

**Issue 1-1-3: Which type(s) of band combination is *simultaneousRxTxInterBandENDC* applicable to?**

* Proposals
  + Option 1: Type 2, Type 3 and Type 4
  + Option 2: Type 2, Type 3, Type 4 and Type 5
  + Option 3: Type 3 and Type 4

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Huawei | Option 2 |
|  |  |

**Issue 1-1-4: Which type(s) of band combination is *asyncIntraBandENDC* applicable to?**

* Proposals
  + Option 1: Type 1, Type 2, Type 3 and Type 5
  + Option 2: Type 1, Type 2 and Type 5
  + Option 3: Type 1 and Type 2
  + Option 4: Type 1, Type 2, Type 3

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Huawei | Option 3 or option 4. There is ambiguity based on previous RAN4 discussion, whether intra-band combination without UL support can be considered as intra-band EN-DC. |
|  |  |

**Issue 1-1-5: If included in the RAN4’s reply LS, which type(s) of band combination is *ul-TimingAlignmentEUTRA-NR* applicable to?**

* Proposals
  + Option 1: Type 1, Type 2 and Type 5
  + Option 2: Type 1 and Type 2

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Huawei | Option 2 |
|  |  |

**Issue 1-1-6: If included in the RAN4’s reply LS, which type(s) of band combination is *ul-*** ***pa-PhaseDiscontinuityImpacts* applicable to?**

* Proposals
  + Option 1: Type 1 and Type 2
  + Option 2: Type 1 and Type only for E-UTRA FDD-NR FDD intra-band EN-DC

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Huawei | Option 1 |
|  |  |

Sub topic 1-2

**Issue 1-2-1: If *ul-dualPA-Architecture* is applicable to Type 1/2/3, is it used to indicate the restriction to the intra-band (NG)EN-DC/NE-DC BC part?**

* Proposals
  + Option 1: Yes
  + Option 2: No

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Huawei | Option 1. Since this capability for intra-band MR-DC, for the band combination which is inter+intra, the capability is also applicable for the intra part. |
|  |  |

**Issue 1-2-2: If *asyncIntraBandENDC* is applicable to Type 1/2/3, is it used to indicate the restriction to the intra-band (NG)EN-DC/NE-DC BC part?**

* Proposals
  + Option 1: Yes
  + Option 2: No

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Huawei | Option 1. Since this capability for intra-band MR-DC, for the band combination which is inter+intra, the capability is also applicable for the intra part. For type 3 UE, the condition is that if there is no UL support for the intra part, the intra combination can still be considered as intra-band EN-DC. |
|  |  |

**Issue 1-2-3: If *ul-TimingAlignmentEUTRA-NR* is included in the RAN4’s reply LS and applicable to Type 1/2/3, is it used to indicate the restriction to the intra-band (NG)EN-DC/NE-DC BC part?**

* Proposals
  + Option 1: Yes
  + Option 2: No

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Huawei | Option 1. Since this capability for intra-band MR-DC, for the band combination which is inter+intra, the capability is also applicable for the intra part. |
|  |  |

**Issue 1-2-4: If *pa-PhaseDiscontinuityImpacts* is included in the RAN4’s reply LS and applicable to Type 1/2/3, is it used to indicate the restriction to the intra-band (NG)EN-DC/NE-DC BC part?**

* Proposals
  + Option 1: Yes
  + Option 2: No

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Huawei | Option 1. Since this capability for intra-band MR-DC, for the band combination which is inter+intra, the capability is also applicable for the intra part. |
|  |  |

### CRs/TPs comments collection

*For close-to-finalize WIs and maintenance work, comments collections can be arranged for TPs and CRs. For ongoing WIs, suggest to focus on open issues discussion on 1st round.*

|  |  |
| --- | --- |
| **CR/TP number** | **Comments collection** |
| XXX | Company A |
| Company B |
|  |
| YYY | Company A |
| Company B |
|  |

## Summary for 1st round

### Open issues

*Moderator tries to summarize discussion status for 1st round, list all the identified open issues and tentative agreements or candidate options and suggestion for 2nd round i.e. WF assignment.*

|  |  |
| --- | --- |
|  | **Status summary** |
| **Sub-topic #1** | *Tentative agreements:*  *Candidate options:*  *Recommendations for 2nd round:* |

### CRs/TPs

*Moderator tries to summarize discussion status for 1st round and provides recommendation on CRs/TPs Status update*

*Note: The tdoc decisions shall be provided in Section 3 and this table is optional in case moderators would like to provide additional information.*

|  |  |
| --- | --- |
| **CR/TP number** | **CRs/TPs Status update recommendation** |
| XXX | *Based on 1st round of comments collection, moderator can recommend the next steps such as “agreeable”, “to be revised”* |

## Discussion on 2nd round (if applicable)

# Topic #2: Response to R5-211609 Clarification on exception requirements for Intermodulation due to Dual uplink (IMD)

*Main technical topic overview. The structure can be done based on sub-agenda basis.*

## Companies’ contributions summary

|  |  |  |
| --- | --- | --- |
| **T-doc number** | **Company** | **Proposals / Observations** |
| [**R4-2109685**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2109685.zip) | vivo | Response to R5-211609 Clarification on exception requirements for Intermodulation due to Dual uplink (IMD) |
| [**R4-2110198**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2110198.zip) | Xiaomi | Discussion and Response to R5-211609 Clarification on exception requirements for Intermodulation due to Dual uplink (IMD) |
| [**R4-2110437**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2110437.zip) | ZTE | Discussion and Response to R5-211609 Clarification on exception requirements for Intermodulation due to Dual uplink (IMD) |
| [**R4-2111105**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2110959.zip) | Ericsson | Discussion on exception requirements for Intermodulation due to Dual uplink (IMD) |
| [**R4-2110806**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2110806.zip) | Oppo | Discussion on exception requirements for Intermodulation due to Dual uplink (IMD) |
| [**R4-2110396**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_99-e/Docs/R4-2110396.zip) | Huawei | Discussion and Response to R5-211609 Clarification on exception requirements for Intermodulation due to Dual uplink (IMD) |

## Open issues summary

*Before e-Meeting, moderators shall summarize list of open issues, candidate options and possible WF (if applicable) based on companies’ contributions.*

### Sub-topic 2-1

*Sub-topic description:*

*This sub-topic addresses the answer to Q1 in RAN5 LS R5-211609:*

*RAN4 to clarify if the EN-DC IMD exceptions are applicable only when the IMD product falls into the victim carrier, and if SA requirements apply otherwise in the case of 2UL.*

*And options to this question listed in R4-2105438 are:*

* *Option 1: Yes, SA requirements shall be applied for dual UL carrier frequency combinations when no IMD product (up to 5th orders) falls into the victim’s RX CBW*
* *Option 2: No, the EN-DC IMD exceptions are defined as worse case among all IMD orders, which means if having other orders IMD product (up to 5th orders) falls into the victim RX CBW, the SA requirements still can’t be applied.*
* *Option 3: Others*

*Open issues and candidate options before e-meeting:*

**Issue 2-1-1: Is Option 2 to Question 1 in R4-2105438 a correct description?**

* Proposals
  + Option 1: Yes
  + Option 2: No, since it just describes only one of three types of IMD exception requirements
    - Note: The other two types are: 1) multiple MSDs are specified for the same set of (UL carrier frequency, DL carrier frequency, UL channel bandwidth, DL channel bandwidth); and 2) no MSD requirement is specified even there is an IMD issue
* Recommended WF
  + TBA

**Issue 2-1-2: Answer to Question 1 “if the EN-DC IMD exceptions are applicable only when the IMD product falls into the victim carrier, and if SA requirements apply otherwise in the case of 2UL?”**

* Proposals
  + Option 1: Yes, SA requirements shall be applied for dual UL carrier frequency combinations when no IMD product (up to 5th orders) falls into the victim’s RX CBW
  + Option 2: Yes, SA requirements shall be applied for dual UL carrier frequency combinations when no IMD product (up to 5th orders) falls into the victim’s RX CBW. It should be noted for some band combinations, when one band is subject to multiple orders (up to 5th) of IMD, only one worst case MSD value is specified in the RAN4 spec, other MSD value can be larger than zero but not specified.
  + Option 3: Yes, SA requirements shall be applied for dual UL carrier frequency combinations when no IMD product (up to 5th orders) falls into the victim’s RX CBWand no EN-DC exception requirements are defined for harmonics.
* Recommended WF
  + TBA

### Sub-topic 2-2

*Sub-topic description:*

*This sub-topic addresses the answer to Q2 in RAN5 LS R5-211609:*

*RAN4 to clarify* *the criteria that need to be fulfilled in order for MSD=0 to apply.*

*And options to this question listed in R4-2105438 are:*

* *Option 1: When carrier frequencies and bandwidths are selected such that there is no overlapping interference based on the equations defined in TR37.863, MSD=0 could be applied*
  + *Option 1b: the equations in TR 37.863 need to be further check in this case*
* *Option 2: Only test the IMD exceptions due to IMD interference defined in RAN4 spec. MSD=0 case is not tested for band combinations having IMD exceptions*
* *Option 3: Others*

*In R4-2110437 one issue on calculating the center frequency of the interferer is identified: there are two different equations specified in TS 38.101-3 and TR37.863-01-01:*

*Equation (1): fIBW = |a \* f1 + b \* f2| (where f1 and f2 are two UL Tx frequencies)*

*Equation (2): fINT = a\*fTX1+b\*fRX1+c\*fTX2+d\*fRX2*

*This is not directly related to the reply LS, however, companies are encouraged to have further check on this issue.*

*Open issues and candidate options before e-meeting:*

**Issue 2-2-1: Should Option 2 to Question 2 in R4-2105438 be revised since testing requirements is not the scope of RAN4?**

* Proposals
  + Option 1: No
  + Option 2: Yes
    - Option 2a: revised to “there are no requirements without MSD in this scenario, i.e. refsens is defined only with the specific test frequency settings in tables under section 7.3B.2.3.5 of TS38.101-3 if 2 UL are active”
* Recommended WF
  + TBA

**Issue 2-2-2: Answer to Question 2 “ what is the criteria that need to be fulfilled in order for MSD=0 to apply?”**

* Proposals
  + Option 1: MSD=0 case is not tested for band combinations having IMD exceptions.
  + Option 2: When carrier frequencies and bandwidths are selected such that there is no overlapping interference based on the equations defined in TR37.863, MSD=0 could be applied, and only test the IMD exceptions due to IMD interference defined in RAN4 spec. MSD=0 case is not tested for band combinations having IMD exceptions
  + Option 3: RAN4 to select some severe MSD cases and add another setting in clause 7.3B.2.3.5 of TS38.101-3 with lower (or 0 dB) MSD. This is in alignment with how it is already specified for 2nd order harmonics in clause 7.3B.2.3.1 of TS38.101-3.
  + Option 4: RAN4 to indicate that if one UL CC is transmitting at Pmin, the high MSD value is not applicable and MSD=0 shall apply instead.
  + Option 5: no IMD products fall into the victim carrier, however, whether it is meaningful to do this analysis is up to RAN5
  + Option 6: In RAN4 specs, no general criteria is defined in which REFSENS can be fulfilled with MSD=0 for the EN-DC combinations which have MSD exceptions due to IMD interference (2 UL active) and RAN4 kindly recommend RAN5 to only test the worst-case self-desensitization for MSD exception due to IMD interference.
* Recommended WF
  + TBA

**Issue 2-2-3: For the two different equations to calculate interference center frequency specified in TS 38.101-3 and TR37.863-01-01, i.e., Equation (1) fIBW = |a \* f1 + b \* f2| (where f1 and f2 are two UL Tx frequencies), and Equation (2) *fINT = a\*fTX1+b\*fRX1+c\*fTX2+d\*fRX2*, which should be considered?**

* Proposals
  + Option 1: Equation (1) since intermodulation only caused by 2 UL Tx is checked.
  + Option 2: Equation (2) since it is more generic.
* Recommended WF
  + TBA
* Note: This issue is not directly related to the reply LS, but it is good to clarify and reach a common understanding within the group

## Companies views’ collection for 1st round

### Open issues

Sub topic 2-1

**Issue 2-1-1: Is Option 2 to Question 1 in R4-2105438 a correct description?**

* Proposals
  + Option 1: Yes
  + Option 2: No, since it just describes only one of three types of IMD exception requirements
    - Note: The other two types are: 1) multiple MSDs are specified for the same set of (UL carrier frequency, DL carrier frequency, UL channel bandwidth, DL channel bandwidth); and 2) no MSD requirement is specified even there is an IMD issue

|  |  |  |
| --- | --- | --- |
| **Company** |  | **Comments** |
| Huawei | Option 1 |  |
| AT&T | It is not clear as to the intent of Issue 2-1-1 since it does not consider all of the options for answering the RAN5 question. |  |
| Xiaomi | Option 2 |  |

**Issue 2-1-2: Answer to Question 1 “if the EN-DC IMD exceptions are applicable only when the IMD product falls into the victim carrier, and if SA requirements apply otherwise in the case of 2UL?”**

* Proposals
  + Option 1: Yes, SA requirements shall be applied for dual UL carrier frequency combinations when no IMD product (up to 5th orders) falls into the victim’s RX CBW
  + Option 2: Yes, SA requirements shall be applied for dual UL carrier frequency combinations when no IMD product (up to 5th orders) falls into the victim’s RX CBW. It should be noted for some band combinations, when one band is subject to multiple orders (up to 5th) of IMD, only one worst case MSD value is specified in the RAN4 spec, other MSD value can be larger than zero but not specified.

|  |  |
| --- | --- |
| **Company** | **Comments** |
| AT&T | Option 1. |
| Skyworks | We would like to propose option 4 = option 3 + 2 other types of MSD: “Yes, SA requirements shall be applied for dual UL carrier frequency combinations when no IMD product (up to 5th orders) falls into the victim’s RX CBW and no other EN-DC exception requirements are defined, ie no exception due to 1) harmonics (Tx or RX), 2) cross-band isolation, 3) counter-intermodulation (C-IM) interference. For example, DC\_3\_n1 suffers from MSD due to 1) dual UL IMD3, 2) cross band isolation and 3) C-IM interference. |
| Xiaomi | Option 2 |

Sub topic 2-2

**Issue 2-2-1: Should Option 2 to Question 2 in R4-2105438 be revised since testing requirements is not the scope of RAN4?**

* Proposals
  + Option 1: No
  + Option 2: Yes
    - Option 2a: revised to “there are no requirements without MSD in this scenario, i.e. refsens is defined only with the specific test frequency settings in tables under section 7.3B.2.3.5 of TS38.101-3 if 2 UL are active”

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| --- | --- |
| **Company** | **Comments** |
| Huawei | Option 1 |
| AT&T | We do not support Option 2 as the answer to Question 2 in R4-2105438. |

**Issue 2-2-2: Answer to Question 2 “ what is the criteria that need to be fulfilled in order for MSD=0 to apply?”**

* Proposals
  + Option 1: MSD=0 case is not tested for band combinations having IMD exceptions.
  + Option 2: When carrier frequencies and bandwidths are selected such that there is no overlapping interference based on the equations defined in TR37.863, MSD=0 could be applied, and only test the IMD exceptions due to IMD interference defined in RAN4 spec. MSD=0 case is not tested for band combinations having IMD exceptions
  + Option 3: RAN4 to select some severe MSD cases and add another setting in clause 7.3B.2.3.5 of TS38.101-3 with lower (or 0 dB) MSD. This is in alignment with how it is already specified for 2nd order harmonics in clause 7.3B.2.3.1 of TS38.101-3.
  + Option 4: RAN4 to indicate that if one UL CC is transmitting at Pmin, the high MSD value is not applicable and MSD=0 shall apply instead.
  + Option 5: no IMD products fall into the victim carrier, however, whether it is meaningful to do this analysis is up to RAN5
  + Option 6: In RAN4 specs, no general criteria is defined in which REFSENS can be fulfilled with MSD=0 for the EN-DC combinations which have MSD exceptions due to IMD interference (2 UL active) and RAN4 kindly recommend RAN5 to only test the worst-case self-desensitization for MSD exception due to IMD interference.

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| --- | --- |
| **Company** | **Comments** |
| Huawei | Option 6 or Option 1 |
| AT&T | Option 3 or another option that leaves the test point definition to RAN5 for MSD=0 case which is similar to Option 5 but we would prefer draft LS text to know for sure.  For the alternate option, additional guidance can be provided to RAN5 for determination of the test points. We support Proposal 2 in the Ericsson contribution in R4-2111105 for the reply LS which does not seem to be listed as an option for the answer to Question 2.  We do think that consistency in the handling of core requirements between IMD and harmonic cases is needed. |
| Skyworks | Option 6. |
| Xiaomi | Prefer option 6, but option 1 or 2 is also OK |

**Issue 2-2-3: For the two different equations to calculate interference center frequency specified in TS 38.101-3 and TR37.863-01-01, i.e., Equation (1) fIBW = |a \* f1 + b \* f2| (where f1 and f2 are two UL Tx frequencies), and Equation (2) *fINT = a\*fTX1+b\*fRX1+c\*fTX2+d\*fRX2*, which should be considered?**

* Proposals
  + Option 1: Equation (1) since intermodulation only caused by 2 UL Tx is checked.
  + Option 2: Equation (2) since it is more generic.

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Huawei | Option 1 |
| Skyworks | Option 1 |

### CRs/TPs comments collection

*Major close to finalize WIs and Rel-15 maintenance, comments collections can be arranged for TPs and CRs. For Rel-16 on-going WIs, suggest to focus on open issues discussion on 1st round.*

|  |  |
| --- | --- |
| **CR/TP number** | **Comments collection** |
| XXX | Company A |
| Company B |
|  |
| YYY | Company A |
| Company B |
|  |

## Summary for 1st round

### Open issues

*Moderator tries to summarize discussion status for 1st round, list all the identified open issues and tentative agreements or candidate options and suggestion for 2nd round i.e. WF assignment.*

|  |  |
| --- | --- |
|  | **Status summary** |
| **Sub-topic#1** | *Tentative agreements:*  *Candidate options:*  *Recommendations for 2nd round:* |

### CRs/TPs

*Moderator tries to summarize discussion status for 1st round and provided recommendation on CRs/TPs Status update suggestion*

|  |  |
| --- | --- |
| **CR/TP number** | **CRs/TPs Status update recommendation** |
| XXX | *Based on 1st round of comments collection, moderator can recommend the next steps such as “agreeable”, “to be revised”* |

## Discussion on 2nd round (if applicable)

*Moderator can provide summary of 2nd round here. Note that recommended decisions on tdocs should be provided in the section titled ”Recommendations for Tdocs”.*

# Recommendations for Tdocs

## 1st round

**New tdocs**

|  |  |  |
| --- | --- | --- |
| **Title** | **Source** | **Comments** |
| WF on … | YYY |  |
| LS on … | ZZZ | To: RAN\_X; Cc: RAN\_Y |
|  |  |  |

**Existing tdocs**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Tdoc number** | **Title** | **Source** | **Recommendation** | **Comments** |
| R4-210xxxx | CR on … | XXX | Agreeable, Revised, Merged, Postponed, Not Pursued |  |
|  |  |  |  |  |
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Notes:

1. Please include the summary of recommendations for all tdocs across all sub-topics incl. existing and new tdocs.
2. For the Recommendation column please include one of the following:
   1. CRs/TPs: Agreeable, Revised, Merged, Postponed, Not Pursued
   2. Other documents: Agreeable, Revised, Noted
3. For new LS documents, please include information on To/Cc WGs in the comments column
4. Do not include hyper-links in the documents

## 2nd round

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Tdoc number** | **Title** | **Source** | **Recommendation** | **Comments** |
| R4-210xxxx | CR on … | XXX | Agreeable, Revised, Merged, Postponed, Not Pursued |  |
| R4-210xxxx | WF on … | YYY | Agreeable, Revised, Noted |  |
| R4-210xxxx | LS on … | ZZZ | Agreeable, Revised, Noted |  |
|  |  |  |  |  |

Notes:

1. Please include the summary of recommendations for all tdocs across all sub-topics.
2. For the Recommendation column please include one of the following:
   1. CRs/TPs: Agreeable, Revised, Merged, Postponed, Not Pursued
   2. Other documents: Agreeable, Revised, Noted
3. Do not include hyper-links in the documents