**3GPP TSG- Meeting #5R4-2008804**

**, May -**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.101-4** | **CR** | **0042** | **rev** | **1** | **Current version:** |  |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | draft CR on max MIMO layer assumption in TS38.101-4 | | | | | | | | | |
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| ***Source to WG:*** | Apple | | | | | | | | | |
| ***Source to TSG:*** | R4 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_UE\_pow\_sav-Perf | | | | |  | ***Date:*** | | | 2020-05-02 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | The max MIMO layer number is updated to be configured per-BWP for power saving feature and also the DCI command can be used for maximum MIMO layer number change in the BWP. However, the 4Rx demod requirement is not clearly defined if UE can support max MIMO layer adaptation in power saving mode. | | | | | | | | |
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| ***Summary of change:*** | | Add clarification for 4Rx demod requirement for the UE which can support max MIMO layer adaptation. | | | | | | | | |
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| ***Consequences if not approved:*** | | The 4Rx demod requirement is not clearly defined if UE can support max MIMO layer adaptation in power saving mode. | | | | | | | | |
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| ***Clauses affected:*** | | Section 5.1.1.2 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

Start of Change 1

#### 5.1.1.2 Applicability of requirements for different number of RX antenna ports

The number of RX antenna ports for different RF operating bands is up to UE declaration.

The UE shall support 2 or 4 RX antenna ports for different RF operating bands. The operating bands, where 4 RX antenna ports shall be the baseline, are defined in Clause 7.2 of TS 38.101-1 [6]. The UE requirements applicability for UEs with different number of RX antenna ports is defined in Table 5.1.1.2-1.

Table 5.1.1.2-1: Requirements applicability

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| --- | --- | --- |
| Supported RX antenna ports | Test type | Test list |
| UE supports only 2RX | PDSCH | All tests in Clause 5.2.2 |
| PDCCH | All tests in Clause 5.3.2 |
| PBCH | All tests in Clause 5.4.2 |
| UE supports only 4RX or both 2RX and 4RX | PDSCH | All tests in Clause 5.2.3 (Note 2) |
| PDCCH | All tests in Clause 5.3.3 (Note 2) |
| PBCH | All tests in Clause 5.4.2 or 5.4.3 (Note 1) |
| Note 1: Requirements for PBCH with 4Rx is up to UE declaration  Note 2: ‘*maxMIMO-Layers-r16*’ is not configured during the performance requirements testing for UE supporting Release 16 per-BWP MIMO layer adaptation. | | |

End of Change 1