**3GPP TSG-RAN WG4> Meeting # 95-e *R4-2008631***

 **Electronic Meeting, 25 May - 5 June 2020**

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| *CR-Form-v12.0* |
| **CHANGE REQUEST** |
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|  | **38.133** | **CR** | **0874** | **rev** | **1** | **Current version:** | **16.3.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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| ***Title:***  | CR to TS 38.133: NR HST beam management requirements |
|  |  |
| ***Source to WG:*** | Nokia, Nokia Shanghai Bell  |
| ***Source to TSG:*** | R4 |
|  |  |
| ***Work item code:*** | NR\_HST-Core |  | ***Date:*** | 25-5-2020 |
|  |  |  |  |  |
| ***Category:*** | **B** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)Rel-12 (Release 12)**Rel-13 (Release 13)Rel-14 (Release 14)Rel-15 (Release 15)Rel-16 (Release 16)* |
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| ***Reason for change:*** | Specifying L1-RSRP measurement requirements for NR HST.  |
|  |  |
| ***Summary of change:*** | This CR updates the beam management requirements for NR HST according to the WF on RRM for NR HST (R4-2005358) which was agreed at the last meeting. This is a revision of R4-2008065.  |
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| ***Consequences if not approved:*** | L1-RSRP measurement requirements for NR HST are missing from the specification.  |
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| ***Clauses affected:*** | 9.5.4.1, 9.5.4.2 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ... |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

<Start of Change 1>

### 9.5.4 L1-RSRP measurement requirements

#### 9.5.4.1 SSB based L1-RSRP Reporting

The UE shall be capable of performing L1-RSRP measurements based on the configured SSB resource for L1-RSRP computation, and the UE physical layer shall be capable of reporting L1-RSRP measured over the measurement period of TL1-RSRP\_Measurement\_Period\_SSB.

The value of TL1-RSRP\_Measurement\_Period\_SSB is defined in Table 9.5.4.1-1 for FR1 and Table 9.5.4.1-2 for FR2, where

- M=1 if higher layer parameter *timeRestrictionForChannelMeasurement* is configured, and M=3 otherwise

- N= 8.

For FR1,

- P=$\frac{1}{1-\frac{T\_{SSB}}{MRGP}}$, when in the monitored cell there are measurement gaps configured for intra-frequency, inter-frequency or inter-RAT measurements, which are overlapping with some but not all occasions of the SSB; and

- P=1 when in the monitored cell there are no measurement gaps overlapping with any occasion of the SSB.

For FR2,

- P=$\frac{1}{1-\frac{T\_{SSB}}{T\_{SMTCperiod}}}$, when SSB is not overlapped with measurement gap and SSB is partially overlapped with SMTC occasion (TSSB < TSMTCperiod).

- P is Psharing factor, when SSB is not overlapped with measurement gap and SSB is fully overlapped with SMTC period (TSSB = TSMTCperiod).

- P=$\frac{1}{1-\frac{T\_{SSB}}{MRGP}-\frac{T\_{SSB}}{T\_{SMTCperiod}}}$, when SSB is partially overlapped with measurement gap and SSB is partially overlapped with SMTC occasion (TSSB < TSMTCperiod) and SMTC occasion is not overlapped with measurement gap and

- TSMTCperiod ≠ MGRP or

- TSMTCperiod = MGRP and TSSB < 0.5\*TSMTCperiod

- P is $\frac{1}{1-\frac{T\_{SSB}}{MRGP}}$\* Psharing factor, when SSB is partially overlapped with measurement gap and SSB is partially overlapped with SMTC occasion (TSSB < TSMTCperiod) and SMTC occasion is not overlapped with measurement gap and TSMTCperiod = MGRP and TSSB = 0.5\*TSMTCperiod

- P=$ \frac{1}{1-\frac{T\_{SSB}}{min⁡(T\_{SMTCperiod},MGRP)}}$, when SSB is partially overlapped with measurement gap (TSSB <MGRP) and SSB is partially overlapped with SMTC occasion (TSSB < TSMTCperiod) and SMTC occasion is partially or fully overlapped with measurement gap.

* - P is $ \frac{1}{1-\frac{T\_{SSB}}{MRGP}}$\* Psharing factor, when SSB is partially overlapped with measurement gap and SSB is fully overlapped with SMTC occasion (TSSB = TSMTCperiod) and SMTC occasion is partially overlapped with measurement gap (TSMTCperiod < MGRP)Psharing factor = 1
* if all of the reference signals configured for L1-RSRP reporting outside measurement gap are not fully overlapped by intra-frequency SMTC occasions, or
* if all of the reference signal configured for L1-RSRP reporting outside measurement gap and fully-overlapped by intra-frequency SMTC occasions are not overlapped by with the SSB symbols indicated by SSB-ToMeasure and 1 symbol before each consecutive SSB symbols indicated by SSB-ToMeasure and 1 symbol after each consecutive SSB symbols indicated by SSB-ToMeasure, given that SSB-ToMeasure is configured;
* Psharing factor = 3, otherwise.

Where:

 TSSB = ssb-periodicityServingCell

 TSMTCperiod = the configured SMTC1 period or SMTC2 period if configured

If the high layer in TS 38.331 [2] signaling of *smtc2* is configured, TSMTCperiod corresponds to the value of higher layer parameter *smtc2*; Otherwise TSMTCperiod corresponds to the value of higher layer parameter *smtc1*.

Longer evaluation period would be expected if the combination of SSB, SMTC occasion and measurement gap configurations does not meet pervious conditions.

**Table 9.5.4.1-1: Measurement period TL1-RSRP\_Measurement\_Period\_SSB for FR1**

|  |  |
| --- | --- |
| **Configuration** | **TL1-RSRP\_Measurement\_Period\_SSB (ms)**  |
| non-DRX | max(TReport, ceil(M\*P)\*TSSB) |
| DRX cycle ≤ 320ms | max(TReport, ceil(K\*M\*P)\*max(TDRX,TSSB)) |
| DRX cycle > 320ms | ceil(M\*P)\*TDRX |
| Note 1: TSSB = ssb-periodicityServingCell is the periodicity of the SSB-Index configured for L1-RSRP measurement. TDRX is the DRX cycle length. TReport is configured periodicity for reporting.Note 2: K = 1 when TSSB ≤ 40 ms and RRM enhancements for high speed are configured; otherwise K = 1.5. |

**Table 9.5.4.1-2: Measurement period TL1-RSRP\_Measurement\_Period\_SSB for FR2**

|  |  |
| --- | --- |
| **Configuration** | **TL1-RSRP\_Measurement\_Period\_SSB (ms)**  |
| non-DRX | max(TReport, ceil(M\*P\*N)\*TSSB) |
| DRX cycle ≤ 320ms | max(TReport, ceil(1.5\*M\*P\*N)\*max(TDRX,TSSB)) |
| DRX cycle > 320ms | ceil(1.5\*M\*P\*N)\*TDRX |
| Note: TSSB = ssb-periodicityServingCell is the periodicity of the SSB-Index configured for L1-RSRP measurement. TDRX is the DRX cycle length. TReport is configured periodicity for reporting. |

#### 9.5.4.2 CSI-RS based L1-RSRP Reporting

The UE shall be capable of performing L1-RSRP measurements based on the configured CSI-RS resource for L1-RSRP computation, and the UE physical layer shall be capable of reporting L1-RSRP measured over the measurement period of TL1-RSRP\_Measurement\_Period\_CSI-RS.

The value of TL1-RSRP\_Measurement\_Period\_CSI-RS is defined in Table 9.5.4.2-1 for FR1 and in Table 9.5.4.2-2 for FR2, where

- For periodic and semi-persistent CSI-RS resources, M=1 if higher layer parameter *timeRestrictionForChannelMeasurement* is configured, and M=3 otherwise

- For aperiodic CSI-RS resources M=1

- For periodic CSI-RS resources in a resource set configured with higher layer parameter *repetition* set to OFF, N=1. The requirements apply if *qcl-InfoPeriodicCSI-RS* is configured for all the resources in the resource set and for each resource one RS has QCL-TypeD with

- SSB for L1-RSRP measurement, or

- another CSI-RS in resource set configured with repetition ON.

- For periodic CSI-RS resources in a resource set configured with higher layer parameter *repetition* set to ON, N=ceil(*maxNumberRxBeam* / Nres\_per\_set), where Nres\_per\_set is number of resources in the resource set. The requirements apply provided *qcl-InfoPeriodicCSI-RS* is configured for all resources in the resource set.

- For semi-persistent CSI-RS resources in a resource set configured with higher layer parameter *repetition* set to OFF, N=1. The requirements apply provided TCI state is provided for all resources in the resource set in the MAC CE activating the resource set and for each resource one RS has QCL-TypeD with

- SSB for L1-RSRP measurement, or

- another CSI-RS in resource set configured with repetition ON.

- For semi-persistent CSI-RS resources in a resource set configured with higher layer parameter *repetition* set to ON, N=ceil(*maxNumberRxBeam* / Nres\_per\_set), where Nres\_per\_set is number of resources in the resource set. The requirements apply provided TCI state is provided for all resources in the resource set in the MAC CE activating the resource set.

- For aperiodic CSI-RS resources in a resource set configured with higher layer parameter *repetition* set to OFF, N=1. The requriements apply provided *qcl-info* is configured for all resources in the resource set and for each resource one RS has QCL-TypeD with

- SSB for L1-RSRP measurement, or

- another CSI-RS in resource set configured with repetition ON.

- For aperiodic CSI-RS resources in a resource set configured with higher layer parameter *repetition* set to ON, N=1. UE is not required to meet the accuracy requirements in clause 10.1.19.2 and 10.1.20.2 if number of resources in the resource set is smaller than *maxNumberRxBeam*. The requriements apply provided *qcl-info* is configured for all resources in the resource set.

For FR1,

- P=$\frac{1}{1-\frac{T\_{CSI-RS}}{MRGP}}$, when in the monitored cell there are measurement gaps configured for intra-frequency, inter-frequency or inter-RAT measurements, which are overlapping with some but not all occasions of the CSI-RS; and

- P=1 when in the monitored cell there are no measurement gaps overlapping with any occasion of the CSI-RS.

For FR2,

- P=1, when CSI-RS is not overlapped with measurement gap and also not overlapped with SMTC occasion.

- P=$\frac{1}{1-\frac{T\_{CSI-RS}}{MRGP}}$, when CSI-RS is partially overlapped with measurement gap and CSI-RS is not overlapped with SMTC occasion (TCSI-RS < MGRP)

- P=$\frac{1}{1-\frac{T\_{CSI-RS}}{T\_{SMTCperiod}}}$, when CSI-RS is not overlapped with measurement gap and CSI-RS is partially overlapped with SMTC occasion (TCSI-RS < TSMTCperiod).

- P=3, when CSI-RS is not overlapped with measurement gap and CSI-RS is fully overlapped with SMTC occasion (TCSI-RS = TSMTCperiod).

- P=$ \frac{1}{1-\frac{T\_{CSI-RS}}{MRGP}-\frac{T\_{CSI-RS}}{T\_{SMTCperiod}}}$, when CSI-RS is partially overlapped with measurement gap and CSI-RS is partially overlapped with SMTC occasion (TCSI-RS < TSMTCperiod) and SMTC occasion is not overlapped with measurement gap and

- TSMTCperiod ≠ MGRP or

- TSMTCperiod = MGRP and TCSI-RS < 0.5\*TSMTCperiod

- P=$\frac{3}{1-\frac{T\_{CSI-RS}}{MRGP}}$, when CSI-RS is partially overlapped with measurement gap and CSI-RS is partially overlapped with SMTC occasion (TCSI-RS < TSMTCperiod) and SMTC occasion is not overlapped with measurement gap and TSMTCperiod = MGRP and TCSI-RS = 0.5\*TSMTCperiod

- P=$ \frac{1}{1-\frac{T\_{CSI-RS}}{min⁡(T\_{SMTCperiod},MGRP)}}$, when CSI-RS is partially overlapped with measurement gap (TCSI-RS < MGRP) and CSI-RS is partially overlapped with SMTC occasion (TCSI-RS < TSMTCperiod) and SMTC occasion is partially or fully overlapped with measurement gap.

- P=$\frac{3}{1-\frac{T\_{CSI-RS}}{MRGP}}$, when CSI-RS is partially overlapped with measurement gap and CSI-RS is fully overlapped with SMTC occasion (TCSI-RS = TSMTCperiod) and SMTC occasion is partially overlapped with measurement gap (TSMTCperiod < MGRP)

Where:

 TSMTCperiod = the configured SMTC1 period or SMTC2 period if configured.

 TCSI-RS = the periodicity of CSI-RS configured for L1-RSRP measurement

If the high layer in TS 38.331 [2] signaling of *smtc2* is configured, TSMTCperiod corresponds to the value of higher layer parameter *smtc2*; Otherwise TSMTCperiod corresponds to the value of higher layer parameter *smtc1*.

Note: The overlap between CSI-RS for L1-RSRP measurement and SMTC means that CSI-RS for L1-RSRP measurement is within the SMTC window duration.

Longer evaluation period would be expected if the combination of CSI-RS, SMTC occasion and measurement gap configurations does not meet pervious conditions.

**Table 9.5.4.2-1: Measurement period TL1-RSRP\_Measurement\_Period\_CSI-RS for FR1**

|  |  |
| --- | --- |
| **Configuration** | **TL1-RSRP\_Measurement\_Period\_CSI-RS (ms)**  |
| non-DRX | max(TReport, ceil(M\*P)\*TCSI-RS) |
| DRX cycle ≤ 320ms | max(TReport, ceil(K\*M\*P)\*max(TDRX,TCSI-RS)) |
| DRX cycle > 320ms | ceil(M\*P)\*TDRX |
| Note 1: TCSI-RS is the periodicity of CSI-RS configured for L1-RSRP measurement. TDRX is the DRX cycle length. TReport is configured periodicity for reporting.Note 2: the requirements are applicable provided that the CSI-RS resource configured for L1-RSRP measurement is transmitted with Density = 3.Note 3: K = 1 when TCSI-RS ≤ 40 ms and RRM enhancements for high speed are configured; otherwise K = 1.5. |

**Table 9.5.4.2-2: Measurement period TL1-RSRP\_Measurement\_Period\_CSI-RS for FR2**

|  |  |
| --- | --- |
| **Configuration** | **TL1-RSRP\_Measurement\_Period\_CSI-RS (ms)**  |
| non-DRX | max(TReport, ceil(M\*P\*N)\*TCSI-RS) |
| DRX cycle ≤ 320ms | max(TReport, ceil(1.5\*M\*P\*N)\*max(TDRX,TCSI-RS)) |
| DRX cycle > 320ms | ceil(M\*P\*N)\*TDRX |
| Note 1: TCSI-RS is the periodicity of CSI-RS configured for L1-RSRP measurement. TDRX is the DRX cycle length. TReport is configured periodicity for reporting.Note 2: the requirements are applicable provided that the CSI-RS resource configured for L1-RSRP measurement is transmitted with Density = 3. |

<End of Change 1>