**3GPP TSG-RAN WG4 Meeting #95-e *R4-2008635***

**Electronic Meeting, 25 May – 5 June, 2020**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.133** | **CR** | **0796** | **rev** | **-** | **Current version:** | **16.3.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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|  | | | | | | | | | | |
| ***Title:*** | Cell identification in connected mode for NR-EUTRAN measurement in HST | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Huawei, HiSilicon | | | | | | | | | |
| ***Source to TSG:*** | R4 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_HST-Core | | | | |  | ***Date:*** | | | 2020-05-10 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | The Cell identification in connected mode for NR-EUTRAN measurement in HST is not defined | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Specify the Cell identification requirements in connected mode for NR-EUTRAN measurement in HST | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | The specification is not completed. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 9.4 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | | **X** |  | Test specifications | | | | TS38.533 | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

<Start of Change 1>

### 9.4.2 NR − E-UTRAN FDD measurements

#### 9.4.2.1 Introduction

The requirements are applicable for NR−E-UTRAN FDD RSRP, RSRQ, and RS-SINR measurements.

In the requirements, an E-UTRAN FDD cell is considered to be detectable when:

- RSRP related conditions in the accuracy requirements in clause 10.2.2 are fulfilled for a corresponding Band, together with the corresponding side conditions in Annex B.2.3 and Annex B.3.3 of TS 36.133 [15],

- RSRQ related conditions in the accuracy requirements in clause 10.2.3 are fulfilled for a corresponding Band, together with the corresponding side conditions in Annex B.2.3 and Annex B.3.3 of TS 36.133 [15],

- RS-SINR related conditions in the accuracy requirements in clause 10.2.5 are fulfilled for a corresponding Band, together with the corresponding side conditions in Annex B.2.3 and Annex B.3.19 of TS 36.133 [15].

#### 9.4.2.2 Requirements when no DRX is used

When the UE requires measurement gaps to idenitify and measure inter-RAT cells and an appropriate measurement gap pattern is scheduled, the UE shall be able to identify a new detectable FDD cell within TIdentify, E-UTRAN FDD according to the following expression:

,

where:

TBasicIdentify = 480 ms,

TInter1 is defined in clause 9.4.1,

CSSFinterRAT = CSSFwithin\_gap,i is the scaling factor for the measured inter-RAT E-UTRA carrier *i* which is calculated as specified in clause 9.1.5.2.

Identification of a cell shall include detection of the cell and additionally performing a single measurement with measurement period of TMeasure, E-UTRAN FDD defined in Table 9.4.2.2-1.

**Table 9.4.2.2-1:** M**easurement period and measurement bandwidth**

|  |  |  |
| --- | --- | --- |
| **Configuration** | **Physical Layer Measurement period: TMeasure, E-UTRAN FDD [ms]** | **Measurement bandwidth [RB]** |
| 0 | 480 x CSSFinterRAT | 6 |
| 1 (Note 1) | 240 x CSSFinterRAT | 50 |
| NOTE 1: This configuration is optional. | | |

The UE shall be capable of identifying and performing NR – E-UTRAN FDD RSRP, RSRQ, and RS-SINR measurements of at least 4 identified E-UTRAN FDD cells per E-UTRA FDD carrier frequency layer during each layer 1 measurement period, for up to 7 E-UTRA FDD carrier frequency layers.

If higher layer filtering is used, an additional cell identification delay can be expected.

The NR – E-UTRAN FDD RSRP measurement accuracy for all measured cells shall be as specified in clause 10.2.2. The NR – E-UTRAN FDD RSRQ measurement accuracy for all measured cells shall be as specified in clause 10.2.3. The NR – E-UTRAN FDD RS-SINR measurement accuracy for all measured cells shall be as specified in clause 10.2.5.

#### 9.4.2.3 Requirements when DRX is used

When DRX is in use and measurement gaps are configured, the UE shall be able to identify a new detectable E-UTRAN FDD cell within TIdentify, E-UTRAN FDD specified in Table 9.4.2.3-1. When RRM enhancement for high speedis configured the UE shall be able to identify a new detectable E-UTRAN FDD cell within TIdentify, E-UTRAN FDD specified in Table 9.4.2.3-2.

Table 9.4.2.3-1: Requirement to identify a newly detectable E-UTRAN FDD cell

|  |  |  |
| --- | --- | --- |
| **DRX cycle length (s)** | **TIdentify, E-UTRAN FDD (s) (DRX cycles)** | |
|  | Gap period = 40 ms, 20 ms | Gap period = 80 ms |
| ≤0.16 | Non-DRX requirements in clause 9.4.2.2 apply | Non-DRX requirements in clause 9.4.2.2 apply |
| 0.256 | 5.12\* CSSFinterRAT (20\*CSSFinterRAT) | 7.68\* CSSFinterRAT (30\*CSSFinterRAT) |
| 0.32 | 6.4\* CSSFinterRAT (20\*CSSFinterRAT) | 7.68\* CSSFinterRAT (24\*CSSFinterRAT) |
| 0.32< DRX-cycle ≤10.24 | Note1 (20\*CSSFinterRAT) | Note1 (20\*CSSFinterRAT) |
| NOTE 1: The time depends on the DRX cycle length.  NOTE 2: CSSFinterRAT is as defined in clause 9.4.2.2. | | |

Table 9.4.2.3-2: Requirement to identify a newly detectable E-UTRAN FDD cell for UE configured with RRM enhancement for high speed

|  |  |  |
| --- | --- | --- |
| **DRX cycle length (s)** | **TIdentify, E-UTRAN FDD (s) (DRX cycles)** | |
|  | Gap period = 40 ms, 20 ms | Gap period = 80 ms |
| ≤0.16 | Non-DRX requirements in clause 9.4.2.2 apply | Non-DRX requirements in clause 9.4.2.2 apply |
| 0.16<DRx cycle<=0.32 | Note 1(15\*CSSFinterRAT) |
| 0.32<DRx cycle <= 0.64 | 10\*CSSFinterRAT) |
| DRx cycle = 1.024 | Note 1(10\*CSSFinterRAT) | Note 1(10\*CSSFinterRAT) |
| DRx cycle = 1.28 | Note 1(8\*CSSFinterRAT) | Note 1(8\*CSSFinterRAT) |
| 1.28< DRX-cycle ≤10.24 | Note1 (20\*CSSFinterRAT) | Note1 (20\*CSSFinterRAT) |
| NOTE 1: The time depends on the DRX cycle length.  NOTE 2: CSSFinterRAT is as defined in clause 9.4.2.2. | | |

When DRX is in use, the UE shall be capable of performing NR – E-UTRAN FDD RSRP, RSRQ, and RS-SINR measurements of at least 4 identified E-UTRAN FDD cells per E-UTRA FDD frequency layer during each layer 1 measurement period, for up to 7 E-UTRA FDD carrier frequency layers, and the UE physical layer shall be capable of reporting NR – E-UTRAN FDD RSRP, RSRQ, and RS-SINR measurements to higher layers with the measurement period Tmeasure, E-UTRAN FDD specified in Table 9.4.2.3-2.

**Table 9.4.2.3-2: Requirement to measure E-UTRAN FDD cells**

|  |  |
| --- | --- |
| **DRX cycle length (s)** | **Tmeasure, E-UTRAN FDD (s) (DRX cycles)** |
| ≤0.08 | Non-DRX requirements in clause 9.4.2.2 apply |
| 0.08< DRX-cycle ≤10.24 | Note1 (5\* CSSFinterRAT) |
| NOTE 1: The time depends on the DRX cycle length.  NOTE 2: CSSFinterRAT is as defined in clause 9.4.2.2. | |

If higher layer filtering is used, an additional cell identification delay can be expected.

The NR – E-UTRAN FDD RSRP measurement accuracy for all measured cells shall be as specified in clause 10.2.2. The NR – E-UTRAN FDD RSRQ measurement accuracy for all measured cells shall be as specified in clause 10.2.3. The NR – E-UTRAN FDD RS-SINR measurement accuracy for all measured cells shall be as specified in clause 10.2.5.

<End of Change 1>

<Start of Change 2>

### 9.4.3 NR − E-UTRAN TDD measurements

#### 9.4.3.1 Introduction

The requirements are applicable for NR−E-UTRAN TDD RSRP, RSRQ, and RS-SINR measurements.

In the requirements, an E-UTRAN TDD cell is considered to be detectable when:

- RSRP related conditions in the accuracy requirements in clause 10.2.2 are fulfilled for a corresponding Band, together with the corresponding side conditions in Annex B.2.3 and Annex B.3.3 of TS 36.133 [15],

- RSRQ related conditions in the accuracy requirements in clause 10.2.3 are fulfilled for a corresponding Band, together with the corresponding side conditions in Annex B.2.3 and Annex B.3.3 of TS 36.133 [15],

RS-SINR related conditions in the accuracy requirements in clause 10.2.5 are fulfilled for a corresponding Band, together with the corresponding side conditions in Annex B.2.3 and Annex B.3.19 of TS 36.133 [15].

#### 9.4.3.2 Requirements when no DRX is used

When the UE requires measurement gaps to idenitify and measure inter-RAT cells and an appropriate measurement gap pattern is scheduled, the UE shall be able to identify a new detectable TDD cell within TIdentify, E-UTRAN TDD according to the following expression:

- When configuration 0 or configuration 1 in Table 9.4.3.2-1 is applied,

,

- When configuration 2 or configuration 3 in Table 9.4.3.2-1 is applied,

,

where:

TBasicIdentify = 480 ms,

TInter1 is defined in clause 9.4.1,

CSSFinterRAT = CSSFwithin\_gap,i is the scaling factor for the measured inter-RAT E-UTRA carrier *i* which is calculated as specified in clause 9.1.5.2.

Identification of a cell shall include detection of the cell and additionally performing a single measurement with measurement period of TMeasure, E-UTRAN TDD defined in Table 9.4.3.2-1.

**Table 9.4.3.2-1: TMeasure, E-UTRAN TDD for different configurations**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Configuration | Measurement bandwidth (RB) | Number of UL/DL sub-frames per half frame (5 ms) | | DwPTS | | TMeasure, E-UTRAN TDD (ms) |
|  | DL | UL | Normal CP | Extended CP |  |
| 0 | 6 | 2 | 2 |  |  | 480 x CSSFinterRAT |
| 1 (Note 1) | 50 | 2 | 2 |  |  | 240 x CSSFinterRAT |
| 2 | 6 | 1 | 3 |  |  | 720 x CSSFinterRAT |
| 3 (Note 1) | 50 | 1 | 3 |  |  | 480 x CSSFinterRAT |
| NOTE 1: This configuration is optional.  NOTE 2: Void | | | | | | |

The UE shall be capable of identifying and performing NR – E-UTRAN TDD RSRP, RSRQ, and RS-SINR measurements of at least 4 identified E-UTRAN TDD cells per E-UTRA TDD carrier frequency layer during each layer 1 measurement period, for up to 7 E-UTRA TDD carrier frequency layers.

If higher layer filtering is used, an additional cell identification delay can be expected.

The NR – E-UTRAN TDD RSRP measurement accuracy for all measured cells shall be as specified in clause 10.2.2. The NR – E-UTRAN TDD RSRQ measurement accuracy for all measured cells shall be as specified in clause 10.2.3. The NR – E-UTRAN TDD RS-SINR measurement accuracy for all measured cells shall be as specified in clause 10.2.5.

#### 9.4.3.3 Requirements when DRX is used

When DRX is in use and measurement gaps are configured, the UE shall be able to identify a new detectable E-UTRAN TDD cell within TIdentify, E-UTRAN TDD specified in Table 9.4.3.3-1. When RRM enhancement for high speedis configured the UE shall be able to identify a new detectable E-UTRAN TDD cell within TIdentify, E-UTRAN TDD specified in Table 9.4.3.3-2.

**Table 9.4.3.3-1: Requirement to identify a newly detectable E-UTRAN TDD cell**

|  |  |  |
| --- | --- | --- |
| **DRX cycle length (s)** | **TIdentify, E-UTRAN TDD (s) (DRX cycles)** | |
|  | Gap period = 40 ms, 20 ms | Gap period = 80 ms |
| ≤0.16 | Non-DRX requirements in clause 9.4.3.2 apply | Non-DRX requirements in clause 9.4.3.2 apply |
| 0.256 | 5.12\* CSSFinterRAT (20\*CSSFinterRAT) | 7.68\* CSSFinterRAT (30\*CSSFinterRAT) |
| 0.32 | 6.4\* CSSFinterRAT (20\*CSSFinterRAT) | 7.68\* CSSFinterRAT (24\*CSSFinterRAT) |
| 0.32< DRX-cycle ≤10.24 | Note1 (20\*CSSFinterRAT) | Note1 (20\*CSSFinterRAT) |
| NOTE 1: The time depends on the DRX cycle length.  NOTE 2: CSSFinterRAT is as defined in clause 9.4.3.2. | | |

**Table 9.4.3.3-2: Requirement to identify a newly detectable E-UTRAN TDD cell for UE configured with RRM enhancement for high speed**

|  |  |  |
| --- | --- | --- |
| **DRX cycle length (s)** | **TIdentify, E-UTRAN FDD (s) (DRX cycles)** | |
|  | Gap period = 40 ms, 20 ms | Gap period = 80 ms |
| ≤0.16 | Non-DRX requirements in clause 9.4.3.2 apply | Non-DRX requirements in clause 9.4.3.2 apply |
| 0.16<DRx cycle<=0.32 | Note 1(15\*CSSFinterRAT) |
| 0.32<DRx cycle <= 0.64 | 10\*CSSFinterRAT) |
| DRx cycle = 1.024 | Note 1(10\*CSSFinterRAT) | Note 1(10\*CSSFinterRAT) |
| DRx cycle = 1.28 | Note 1(8\*CSSFinterRAT) | Note 1(8\*CSSFinterRAT) |
| 1.28< DRX-cycle ≤10.24 | Note1 (20\*CSSFinterRAT) | Note1 (20\*CSSFinterRAT) |
| NOTE 1: The time depends on the DRX cycle length.  NOTE 2: CSSFinterRAT is as defined in clause 9.4.3.2. | | |

When DRX is in use, the UE shall be capable of performing NR – E-UTRAN TDD RSRP, RSRQ, and RS-SINR measurements of at least 4 identified E-UTRAN TDD cells per E-UTRA TDD frequency layer during each layer 1 measurement period, for up to 7 E-UTRA TDD carrier frequency layers, and the UE physical layer shall be capable of reporting NR – E-UTRAN TDD RSRP, RSRQ, and RS-SINR measurements to higher layers with the measurement period Tmeasure, E-UTRAN TDD specified in Table 9.4.3.3-2.

**Table 9.4.3.3-2: Requirement to measure E-UTRAN TDD cells**

|  |  |
| --- | --- |
| **DRX cycle length (s)** | **Tmeasure, E-UTRAN TDD (s) (DRX cycles)** |
| ≤0.08 | Non-DRX Requirements in clause 9.4.3.2 apply |
| 0.128 | For configuration 2 Note3, non-DRX requirements in clause 9.4.3.2 apply,  Otherwise: Note1 (5\*CSSFinterRAT) |
| 0.128<DRX-cycle≤10.24 | Note1 (5\*CSSFinterRAT) |
| NOTE 1: The time depends on the DRX cycle length.  NOTE 2: CSSFinterRAT is as defined in clause 9.4.3.2.  NOTE 3: See Table 9.4.3.2-1. | |

If higher layer filtering is used, an additional cell identification delay can be expected.

The NR – E-UTRAN TDD RSRP measurement accuracy for all measured cells shall be as specified in clause 10.2.2. The NR – E-UTRAN TDD RSRQ measurement accuracy for all measured cells shall be as specified in clause 10.2.3. The NR – E-UTRAN TDD RS-SINR measurement accuracy for all measured cells shall be as specified in clause 10.2.5.

<End of Change 2>